

**INTEGRATED SAFEGUARDS DATA SHEET
APPRAISAL STAGE**

Report No.: ISDSA10663

Date ISDS Prepared/Updated: 29-Sep-2014

Date ISDS Approved/Disclosed: 14-Aug-2014, 02-Sep-2014, 02-Oct-2014

I. BASIC INFORMATION

1. Basic Project Data

Country:	Mexico	Project ID:	P147212
Project Name:	MX Social Protection System (P147212)		
Task Team Leader:	Francesca Lamanna		
Estimated Appraisal Date:	18-Aug-2014	Estimated Board Date:	24-Oct-2014
Managing Unit:	GSPDR	Lending Instrument:	Investment Project Financing
Sector(s):	Other social services (100%)		
Theme(s):	Social safety nets (60%), Other social protection and risk management (20%), Other human development (20%)		
Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?			No
Financing (In USD Million)			
Total Project Cost:	350.00	Total Bank Financing:	350.00
Financing Gap:	0.00		
Financing Source			Amount
Borrower			0.00
International Bank for Reconstruction and Development			350.00
Total			350.00
Environmental Category:	C - Not Required		
Is this a Repeater project?	No		

2. Project Development Objective(s)

The proposed Project Development Objectives are to support the Government's effort to: (i) increase access of PROSPERA beneficiaries to social and productive programs, and (ii) develop instruments for an integrated social protection system.

3. Project Description

Component 1 - Support to PROSPERA Program and its linkage with social and productive programs. The objective of the first Component is to support PROSPERA's efforts to improve beneficiaries' social and economic wellbeing by promoting investment in human capital and strengthening the Program's linkage with other social and productive programs while ensuring continued improvements in the Program's service delivery to its beneficiaries.

Sub-Component 1.1. Promoting the investment in human capital through the provision of Conditional Support to PROSPERA Beneficiaries. Sub-Component 1.1 would provide conditional support to PROSPERA beneficiaries for education, health and nutrition.

Sub-Component 1.2. Strengthening PROSPERA's operational capacity to promote beneficiaries' access to social and productive programs. Sub-Component 1.2 would support the strengthening of PROSPERA's operational structure and of existing inter-sectoral coordination bodies to enhance the Program's effectiveness and promote greater access of beneficiaries to social and productive programs. In particular, Sub-Component 1.2 would provide technical assistance to: (i) define roles and responsibilities of PROSPERA staff working in the implementation of the Program to support the coordination with social and productive programs; (ii) design capacity building activities to strengthen the implementation of PROSPERA, and align Program operation with the new objectives of promoting greater linkages with complementary social and productive programs; (iii) strengthen the Program's communication strategy towards beneficiaries to update the content and tailor the approach to specific groups (e.g., youth and indigenous populations), increasing its effectiveness; and (iv) design and pilot coordination models for the inclusion of PROSPERA beneficiaries in social and productive programs.

Sub-Component 1.3. Strengthening PROSPERA's Monitoring and Evaluation tools and strategy. Sub-Component 1.3 would support the strengthening of PROSPERA's monitoring and evaluation tools and strategy, to build the evidence base that will be critical to assess the implementation of the Program's reforms to increase linkages with social and productive programs and adjust program design accordingly. In particular, Sub-Component 1.3 would provide technical assistance to: (i) update Program targeting and recertification methodology through inter alia: revising the targeting formula and monitoring PROSPERA beneficiaries that have concluded their participation in the Program; (ii) develop a digital platform containing information on PROSPERA beneficiaries and social and productive programs supply to support and monitor the implementation of the coordination models designed under Component 1.2; (iii) support the linkages and coordination between PROSPERA and the social and productive programs through, inter alia: the carrying out of studies and the production of evaluation reports, and data collection on the PROSPERA beneficiaries perception of the coordination between PROSPERA Program and social and productive programs; and (iv) carry out the evaluation of the long-term impact of the Program.

Component 2 – Strengthening the social protection system. The objective of the second Component is to support SEDESOL to develop new technologies and policies that will allow for better planning of social and productive interventions based on the needs of the population, improving efficiency and effectiveness of spending.

Sub-Component 2.1. Developing and implementing an integrated social information system (SIS). Sub-Component 2.1 would support the design, development and implementation of an integrated Social Information System (SIS). This technological platform will integrate, administer and exploit the socioeconomic data of beneficiaries and information on the supply of social programs that will

enable better planning, coordination and targeting of social policy. This Sub-Component would support the following activities: (i) carrying out an assessment of the ICT infrastructure needs for the development of the SIS; (ii) developing the SIS; (iii) supporting the implementation of the SIS through, inter alia, the acquisition of software and the provision of ICT services; (iv) developing of an operational manual documenting the processes involved in the operation of the SIS; (v) developing capacity building mechanisms for the use of the SIS including, inter alia, the development of capacity building software; and (vi) reviewing of the existing legal framework and development of protocols for the exchange of information through the SIS among the Borrower's ministries and agencies responsible for the implementation of social and productive programs.

Sub-Component 2.2. Supporting the development and implementation of SEDESOL's Regional Social Development Strategy (RSDS). Sub-Component 2.2 would support the definition and implementation of the Regional Social Development Strategy (RSDS). This Sub-Component would support the following activities: (i) carrying out diagnostic studies to identify the challenges facing localities in the development of social interventions and the role that SEDESOL could play, as input to the RSDS, and develop the RSDS based on the recommendations of the diagnostic studies; (ii) defining SEDESOL's organizational structure needs to implement the RSDS; (iii) developing institutional capacity to support SEDESOL's implementation of the RSDS including, inter alia, the development of capacity building modules and related software; (iv) developing the technological infrastructure required to obtain and analyze information on the supply of social programs and the social needs of the population at the local and regional levels; and (v) developing and implementing a monitoring and evaluation system for the RSDS including, inter alia, the acquisition of software and hardware and the provision of ICT services.

4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

Nationwide. Because of the nationwide scope and the focus on poor population, the Project targets indigenous peoples, generally located at the poorest marginalized localities in the country.

5. Environmental and Social Safeguards Specialists

Martin Henry Lenihan (GSURR)

Peter F. B. A. Lafere (GSURR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	No	This policy is not triggered as Project's activities are not expected to cause any environmental impacts.
Natural Habitats OP/BP 4.04	No	This policy is not triggered as Project's activities are not expected to cause any impact on natural habits.
Forests OP/BP 4.36	No	This policy is not triggered given that Project's activities will not impact the welfare of forests nor impact forest dependent communities.
Pest Management OP 4.09	No	This policy is not triggered since the Project will not finance the purchase or significant use of pesticides.
Physical Cultural Resources OP/	No	This policy is not triggered given that Project's

BP 4.11		activities are not expected to impact physical cultural resources.
Indigenous Peoples OP/BP 4.10	Yes	This policy is triggered given that the Project supports efforts to strengthen the PROSPERA Program's performance in states where indigenous people are present. The Indigenous Peoples Plan prepared and implemented under the Support to Oportunidades Project (2008) and its additional financing (2010) has been updated building on this experience. While it is understood that the Project has a broader scope, beyond PROSPERA, the IPP has focused primarily on this Component because the activities supported directly impact indigenous people, and therefore needs to be delivered in a culturally appropriate way. To this end, the World Bank team has assessed the strengths and weaknesses of PROSPERA's performance in states with indigenous population, and supported the Government to incorporate lessons-learned in the Indigenous Peoples Plan (IPP) to guide implementation under the proposed new operation. During preparation, the World Bank team agreed with the Government on key instruments to ensure an adequate implementation of the IPP. The IPP was discussed with the Secretariat of the Consultative Board of the Indigenous Peoples National Commission (CDI). In addition to updating the IPP, the plan analyzes alternatives for indigenous beneficiaries to access and benefit from other programs defined under Sub-Component 1.2. The IPP includes measures to address barriers hindering participation and to ensure these programs are culturally appropriate and indigenous peoples can reap the benefits from these programs.
Involuntary Resettlement OP/BP 4.12	No	This policy is not triggered as the Project is not expected to cause any impacts covered under the involuntary resettlement policy.
Safety of Dams OP/BP 4.37	No	This policy is not triggered given that the Project will not finance the construction of dams nor will rely on the operations of existing dams.
Projects on International Waterways OP/BP 7.50	No	This policy is not triggered since the Project does not affect international waterways as defined by the policy.
Projects in Disputed Areas OP/BP	No	This policy is not triggered as the Project will not

7.60	finance activities in disputed areas as defined by the policy.
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II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

<p>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</p>
<p>Because the Project involves conditional support to PROSPERA beneficiaries and technical assistance, there are no large scale, significant, and or irreversible impacts.</p>
<p>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</p>
<p>Because the Project involves conditional support to PROSPERA beneficiaries and technical assistance, there are no potential indirect or long term impacts due to anticipated future activities.</p>
<p>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</p>
<p>Because the project involves conditional support to PROSPERA beneficiaries and technical assistance, it was not necessary to consider other Project alternatives to help avoid or minimize adverse impacts.</p>
<p>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</p>
<p>The IPP includes two specific programs:</p> <ul style="list-style-type: none"> •Indigenous Communication Plan, which is conducted by the National Commission of Social Protection in Health in support of PROSPERA beneficiaries in indigenous regions. This plan is intended to encourage health education, better nutrition, and self-care through: general training in health self-care, information dissemination in indigenous languages, and individual guidance. This communication plan incorporates an intercultural perspective and promotes a more flexible approach among health practitioners combining formal with traditional medicine and communities' perspective on health. •Bilingual promoters: This Program is the responsibility of the Dirección General de Atención y Operación (DGAO) in PROSPERA. This Program is intended to develop a strategy to provide support to operational personnel in their interaction with indigenous beneficiaries in regions with a high percentage of indigenous population. <p>The management information and monitoring systems are strong and continue to be strengthened. The PROSPERA Program and SEDESOL have sufficient institutional capacity for the application of the indigenous safeguards policy. During the implementation of the World Bank financed Project Support to Oportunidades, the Indigenous Peoples Plan (IPP) was successfully implemented and a number of key actions were undertaken to benefit inclusion and better quality support for indigenous people in the Program. PROSPERA has identified efforts to improve the functioning of the Program in indigenous communities as a priority area to be supported under the proposed Project. The institutional assessment carried out during preparation corroborated actual capacity and an institutional strengthening program has been proposed accordingly.</p>
<p>5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.</p>

The IPP was discussed prior appraisal with the Secretariat of the Consultative Board of the Indigenous Peoples National Commission (CDI) and published in the PROSPERA and SEDESOL webpages, as well as the World Bank Website. CDI confirmed that the Project and its IPP are aligned with the consultations held on Indigenous People, Indigenous communities and afro-descendent made in the context of the preparation of the National Development Plan and the Special Program for Indigenous Population for 2014-2018 and fully consistent with the Commission protocol for nationwide coverage of interventions.

B. Disclosure Requirements

Indigenous Peoples Development Plan/Framework	
Date of receipt by the Bank	29-Jul-2014
Date of submission to InfoShop	12-Aug-2014
"In country" Disclosure	
Mexico	30-Jul-2014
<i>Comments:</i>	
If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level

OP/BP 4.10 - Indigenous Peoples	
Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If yes, then did the Regional unit responsible for safeguards or Practice Manager review the plan?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit or Practice Manager?	Yes [<input type="checkbox"/>] No [<input type="checkbox"/>] NA [<input checked="" type="checkbox"/>]
The World Bank Policy on Disclosure of Information	
Have relevant safeguard policies documents been sent to the World Bank's InfoShop?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
All Safeguard Policies	
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have costs related to safeguard policy measures been included in the project cost?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]

Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [<input checked="" type="checkbox"/>] No [<input type="checkbox"/>] NA [<input type="checkbox"/>]

III. APPROVALS

Task Team Leader:	Name: Francesca Lamanna	
<i>Approved By</i>		
Practice Manager/ Manager:	Name: Margaret Ellen Grosh (PMGR)	Date: 02-Oct-2014