

# Due Diligence Report – Social Safeguards (Indigenous Peoples)

---

Project Number: 42486-016  
June 2016

**IND: Madhya Pradesh Urban Services Improvement  
Project – Water Supply Improvement in Sagar**

**Package No.: MPUSIP–6B**

Prepared by the Government of Madhya Pradesh for the Asian Development Bank.

This due diligence report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.

# Indigenous People Screening and Due Diligence Report

Document stage: Draft for consultation  
Project Number: 42486  
Loan and/or Grant Number(s): {LXXXX; GXXXX; TXXXX}  
June 2016

IND: Madhya Pradesh Urban Services Improvement  
Program –  
Sagar Water Supply Scheme, District: Sagar

This due diligence report is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

## **CURRENCY EQUIVALENTS**

(as of June 01 ,2016)

Currency unit	=	Rupee (INR)
INR 1.00	=	USD015
USD 1.00	=	INR 68.70

## **ABBREVIATIONS**

<b>ADB</b>	-	<b>Asian Development Bank</b>
<b>AP</b>	-	<b>Affected Person</b>
<b>BPL</b>	-	<b>Below Poverty Line</b>
<b>CBO</b>	-	<b>Community Based Organization</b>
<b>DP</b>	-	<b>Displaced Person</b>
<b>EA</b>	-	<b>Executive Agency</b>
<b>GOI</b>	-	<b>Government of India</b>
<b>GOMP</b>	-	<b>Government of Madhya Pradesh</b>
<b>HHS</b>	-	<b>Households</b>
<b>IA</b>	-	<b>Implementing Agency</b>
<b>IR</b>	-	<b>Involuntary Resettlement</b>
<b>KM</b>	-	<b>Kilometer</b>
<b>MPUDC</b>	-	<b>Madhya Pradesh Urban Development Company</b>
<b>OHT</b>	-	<b>Over Head Tank</b>
<b>O&amp;M</b>	-	<b>operation and maintenance</b>
<b>PIU</b>	-	<b>Project Implementation Unit</b>
<b>PMC</b>	-	<b>Program Monitoring Consultant</b>
<b>PMU</b>	-	<b>Program Management Unit</b>
<b>PPTA</b>	-	<b>Project Preparatory Technical Assistance</b>
<b>R&amp;R</b>	-	<b>Resettlement and Rehabilitation</b>
<b>RF</b>	-	<b>Resettlement Framework</b>
<b>RFCTLARR</b>	-	<b>The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013</b>
<b>RP</b>	-	<b>Resettlement Plan</b>
<b>ROW</b>	-	<b>Right of Way</b>
<b>RWA</b>	-	<b>Resident Welfare Associations</b>
<b>SO</b>	-	<b>Safeguard Officer</b>
<b>SPS</b>	-	<b>Safeguard Policy Statement</b>
<b>UADD</b>	-	<b>Urban Administration and Development Directorate</b>
<b>UDED</b>	-	<b>Urban Development and Environment Department</b>
<b>ULBs</b>	-	<b>Urban Local Bodies</b>
<b>WRD</b>	-	<b>Water Resource Department</b>
<b>WTP</b>	-	<b>Water Treatment Plant</b>

## CONTENTS

		Page
<b>I</b>	<b>INTRODUCTION</b>	<b>05</b>
<b>1.1</b>	<b>Project Description</b>	<b>05</b>
<b>1.2</b>	<b>Overview</b>	<b>05</b>
<b>1.3</b>	<b>Scope of Indigenous People Planning Framework (IPPF)</b>	<b>06</b>
<b>1.4</b>	<b>Distribution of Scheduled Tribes in Madhya Pradesh in Relation to India</b>	<b>06</b>
<b>2.</b>	<b>Identification of Indigenous People/Tribal People</b>	<b>07</b>
<b>3</b>	<b>Preliminary Screening</b>	<b>07</b>
<b>4</b>	<b>Mitigations Measures</b>	<b>08</b>
<b>5</b>	<b>STRATEGY FOR TRIBAL PEOPLE’S PARTICIPATION</b>	<b>08</b>
<b>6</b>	<b>Monitoring</b>	
<b>7</b>	<b>Conclusion</b>	<b>11</b>
<b>Table. 1</b>	<b>Schedule Caste and Schedule Tribe population of Sagar town</b>	<b>09</b>
<b>Table. 2</b>	<b>Ward wise population of Indigenous people of Sagar town</b>	<b>10</b>
<b>Table. 3</b>	<b>Monitoring Indicator</b>	
<b>Table.4</b>	<b>Budget</b>	
<b>Appendix 1</b>	<b>List of Tribal Communities in State of Madhya Pradesh as Provided by Ministry of Tribal Affairs, Government of India</b>	<b>12</b>
<b>Appendix 2</b>	<b>List of Schedule Areas in Madhya Pradesh as Specified by the Scheduled Areas under the Sixth Schedule of Indian</b>	<b>14</b>
<b>Appendix 3</b>	<b>Public consultation</b>	<b>16</b>
<b>Appendix 4</b>	<b>IP Impact Screening List</b>	<b>19</b>

## I. INTRODUCTION

### 1.1 Project Description

1. The Government of Madhya Pradesh (GoMP) through Government of India (GoI) is negotiating a loan with the Asian Development Bank (ADB) for the proposed Madhya Pradesh Urban Services Improvement Program (MPUSIP), referred further as 'The Program'. The Madhya Pradesh Urban Services Improvement Program (MPUSIP) will improve the urban environment and living conditions of urban residents in 128 towns of Madhya Pradesh with the support of Asian Development Bank (ADB) .MPUSIP will rehabilitate, expand and improve urban water supply system and manage storm water and waste water of the selected towns and strengthen and build capacity of urban institutions' management and financing capacity for ensuring long term sustainability of the services.
2. The Program envisages to deliver:
  - Continuous, pressurized, safe and sustainable drinking water through private household metered connections in 128 tier II towns in the State;
  - Sewage and storm water collection and treatment system in 4 identified towns (Sanchi, Khajuraho- Rajnagar and Maihar); and
  - Non-physical investments comprising of establishing GIS system, capacity building of participating urban local bodies in contract management, improving local operators' capability through global partnership and building capacity of the implementing agency, urban local bodies and services utilities for ensuring long term sustainability of services.
3. Tranche 1 of the program will cover water supply interventions for 50 towns, sewerage and storm water collection system for 4 towns and non-physical investments in the participating local bodies as mentioned above. As per the preliminary estimates the proposed program is estimated to cost about \$820 million with Tranche I is expected to cost about \$390 million.

### 1.2 Overview

4. The state of Madhya Pradesh counts for highest Schedule Tribe (ST) population in India<sup>1</sup>. However, desk review of the Census 2011 data of the 128 towns where the programme is proposed reveals that out of the total population in 128 towns (2,697,091) 17.85 percent (481,700) belongs to Schedule Caste category and 6.19 percent (167,066) is Schedule Tribe. To achieve the overall goal of the programme an

---

<sup>1</sup> Statistical Profile of Schedule Tribes in India, 2013, a document published by the Ministry of Tribal Affairs, Statistics Division, Government of India reveals that the state of Madhya Pradesh counts for highest Schedule Tribe (ST) population in India (14.69 percent).

understanding of how social elements influence poverty, inequality, and vulnerability is important.

### **1.3 Scope of Indigenous People Planning Framework (IPPF)**

4. Sagar subproject will include activities like construction of water intake well, water treatment plants, storage reservoirs (ground-level and elevated), new pipe laying or pipe replacement (usually along public roads); and construction of sewerage and sanitation facilities etc that are likely to cause some extent of impact. The final locations/sites and final impacts will be concluded after detailed design is prepared. The IPPF has been developed to manage and mitigate possible impacts to the indigenous people in the investment program area.
5. The TPPF sets out the policy, principles, and implementation mechanisms to address such impacts according to ADB's Safeguards Policy Statement (SPS) requirement no. 3 on indigenous people (IP). During the course of project identification and social impact assessment, if any IPs are identified, the impacts (both positive and negative) of the subproject component on affected groups/communities will be addressed according to the prepared framework. The framework will address the developmental needs of indigenous people/ tribes as a distinct community through a process of sustainable development.
6. Tribal's constitute 8.61 percent of the total population of the country, with a total population of 104.28 million (Census 2011). In Madhya Pradesh 46 tribal communities reside. **Appendix 1**, provides the list of tribal communities in the state of Madhya Pradesh as provided by Ministry of Tribal Affairs, Government of India.

### **1.4 Distribution of Scheduled Tribes in Madhya Pradesh in Relation to India**

7. The tribal population of Madhya Pradesh increased to 15,316,784 in 2011 from 12,233,474 in 2001. The decadal growth rate during this period is 25.20 percent. Review of the Census 2011 data of the 128 towns of Madhya Pradesh where the programme is proposed, reveals that out of the total population in 128 towns (2,697,091) 17.85 percent (481,700) belongs to Schedule Caste category and 6.19 percent (167,066) is Schedule Tribe.
8. The trends in the population of the Scheduled Tribes by residence (total, Rural and Urban) for Census Years 1961- 2011 shows that the percentage of Scheduled Tribes Population in the Rural Areas (11.3 percent) much higher than Urban Population (2.8 percent). In Madhya Pradesh certain areas have been declared as scheduled area as

Specified by the Scheduled Areas under the Sixth Schedule of Indian Constitutions<sup>2</sup>. List of Schedule Areas in Madhya Pradesh is provided in **Appendix 2**.

## **2. Identification of Indigenous People/Tribal People**

9. ADB's Indigenous People Policy uses the following characteristics to define IP: (i) self identification as members of a distinct indigenous cultural group and recognition of this identity by others; (ii) collective attachment to geographically distinct habitats or ancestral territories in the project area and to the natural resources in these habitats and territories; (iii) customary cultural, economic, social, or political institutions that are separate from those of the dominant society and culture; and (iv) a distinct language, often different from the official language of the country or region.
10. According to the Census of India 2011, 8.61 percent of the Indian population is classified as ST. In comparison to the national figure, Madhya Pradesh has 14.7 percent of its populations classified as ST. The major tribes of Madhya Pradesh are classified in **Appendix 1**.

## **3. Preliminary Screening**

11. The preliminary screening of all IP communities and villages within and in the vicinity of the proposed sub-project area. The ULB arranged public meetings at IP communities to provide information regarding the Sagar Water Supply sub-project. During these meetings, community leaders and other participants will be given an opportunity to present their views and concerns. An initial screening will check for the following:
  - Presence and names of TP community groups in the area;
  - Cultural and religious distinction of the TP groups vis-à-vis other communities, and mainstreaming of the TP with the dominant population;
  - Laws and legislations related to TP groups;
  - Total number of TP community groups and percentage of TP population to total population in the area;
  - Number and percentage of TP households likely to be affected by the subproject component; and
  - Initial assessment to also include level of vulnerability of the TP, such as being a (primitive) tribal groups (PTG) and existing socioeconomic conditions that may further deteriorate due to project impact. If such especially vulnerable groups among the TP community are identified within the project area, they warrant special measures for protecting their socio-cultural identity and baseline economic standard. While determining vulnerability of these TP groups,

---

<sup>2</sup> Scheduled areas are autonomous areas within a state, administered federally, usually populated by a predominant Scheduled Tribe.

assessment will be made if there is any possibility of future impact due to the project.

12. An IP assessment done on the basis of checklist of SPS 2009 . The results of the preliminary screening show that there are IP households in the proposed sub-project area, but they are not affected by construction activities during implementation. For their safeguards a social impact assessment (SIA) will be conducted to capture IP issues and development opportunities that exist in the area at preconstruction stage. A checklist format for screening of IPs in the sub projects is in **Appendix 3**.

#### 4. Mitigation Measures

13. As Subproject Sagar Water Supply Indigenous People screening falls under **Category B (as investment will have positive impacts on IPs living in the project town)** only Mitigation Measures be taken:-

1. The impacts on indigenous households are potentially positive, measures will be undertaken to ensure that benefits are equally shared. This will be through ensuring indigenous peoples as stakeholders taking part during all stages of the investment program.

#### 5. STRATEGY FOR TRIBAL PEOPLE’S PARTICIPATION

- i) Consultations and information disclosure are an integral part of DDR preparation in order to ensure that the priorities, preferences, and needs of the tribal groups have been taken into consideration adequately. With that objective in view, a strategy for consultation with tribal communities and their leaders will be developed so that these are conducted in a participatory manner. The MPUDC/ PIU, MPUDC/ULB representatives will be part of evolving that strategy and consultation process.

**Table 1.Public consultation with Indigenous people**

Date	location	Participants	Stakeholder	Topic Discussed	Issues Raised
20/1/16	2 Wards (4 & 48) having maximum IP population.	Total=60 M=32, F=18	Indigenous Men, women , Poor men and Women	<ul style="list-style-type: none"> <li>• Status of existing drinking water supply system and need for improvements</li> <li>• Relevant information of the upcoming project and benefits of the project</li> <li>• Potential positive and negative impacts due to project</li> </ul>	<ul style="list-style-type: none"> <li>• The area has insufficient and inadequate drinking water resource.</li> <li>• Water supply to the area is done through a tube well that supplies water to the community people through stand posts.</li> <li>• The area faces severe water crisis</li> </ul>



				implementation • Response of the community towards the project	during the summer when the ground water level drops. • The community willingly accepts the project. • The community members expressed that their economic situation would prevent them from paying water user charges.

- ii) The IPs which are going to be benefitted will be actively engaged in all stages of the project cycle, including project preparation, and feedback of consultations with the IPs will be reflected in the project design, followed by disclosure. Their participation in project planning will inform project design, and the TPs should be convinced of their benefits from the project. The awareness material prepared will be translated into the local language of the IPs and made available to them before implementation of the project.
- iii) Local CBOs/tribal community representatives will be involved in IPP implementation and resolving all issues related to the IP through consultation and facilitation by the PIU/ULB and PMU. The PMU/ULB will ensure adequate flow of funds for consultation and facilitation of planned activities within IP.
- iv) One project information disclosure (PID) brochure will be prepared, translated into a language understandable to the tribal people, and distributed among them.
- v) Sagar Municipal Corporation –Sagar is Municipal Corporation population as per census of 2011 the total population of SC (54432) and ST (3052) accounted for 19.83% and 1.11% of the total town population (274554), respectively. Overall, SCs and STs accounted for 20.94 % (57484) of the total Sagar population. The sex ratio for SC and ST population in the year 2011 is 917 and 907, respectively.

**Table 2. SC & ST Population of Sagar**

	SC Population	<b>54432</b>	<b>19.83</b>
	ST Population	<b>3052</b>	<b>1.11</b>
	SC& ST Population	<b>57484</b>	<b>20.94</b>
	Total Population	<b>274554</b>	

**Source:** Census of India, 2011

- vi). Ward wise detail of IP population as per census 2011 given in **Table-3** only ST population is 1.11% only .In Sagar Municipal corporation IP population scattered in all 48 wards ,these IP get directly benefitted by the project as project covers whole town. To increase participation of IP in above wards will be increased through regular consultation and information disclosure means.

**Table 3: Ward wise detail of Indigenous People of Sagar town**

Ward name/No.	Total Population	Total pop. Male	Total Pop. Female	Total Pop. Sc	Total Pop. ST
1	4624	2383	2241	557	163
2	2818	1454	1364	146	40
3	3636	1856	1780	449	136
4	8694	5083	3611	890	466
5	9400	4842	4558	584	281
6	7179	3680	3499	688	61
7	7154	3726	3428	517	167
8	4015	2045	1970	196	06
9	4053	2105	1948	32	13
10	3597	1884	1713	25	07
11	4335	2237	2098	846	08
12	5387	2794	2593	265	11
13	3823	1954	1869	58	20
14	7570	3909	8661	909	56
15	5615	2949	2666	3173	117
16	6228	3279	2949	3423	5
17	7328	3757	3571	3138	14
18	7056	3738	3318	3026	60
19	6203	3250	2953	2196	60
20	9065	4706	4359	1696	35
21	4881	2560	2321	230	3
22	4733	6477	5853	4903	56
23	4299	2251	2048	2008	17
24	4186	2163	2023	2719	29
25	7365	3873	349	74	32
26	3868	2017	1520	704	20
27	4485	1747	2187	258	1

28	8319	4312	4007	29	0
29	6902	3624	3278	70	4
30	8253	4297	3956	46	1
31	4523	2395	2128	57	4
32	3931	2033	1898	704	12
33	3079	1620	1459	132	19
34	3912	2007	1905	77	4
35	4651	2424	2227	2449	10
36	3525	1835	1690	702	12
37	4485	2467	2266	1689	30
38	8415	4436	3979	526	2
39	3374	1697	1677	2728	59
40	2724	1406	1318	354	0
41	5017	2576	2441	46	4
42	4200	2220	1980	388	5
43	6142	3120	3022	273	0
44	6905	3626	3279	2752	3
45	7998	4195	3803	2599	74
46	7687	4018	3669	1007	234
47	6545	3441	3104	829	239
48	1260	659	601	3148	434
<b>Total</b>	<b>274556</b>	<b>143425</b>	<b>131131</b>	<b>54432</b>	<b>3052</b>

## 6. Monitoring

14. Monitoring indicators suggested for inclusiveness of Indigenous people in the project and to check the positive impact /benefits ensured for Indigenous people of the different wards in Mihona town. Following activities suggested and indicators will be monitored for inclusion.

**Table 4 : Monitoring Indicators**

<b>s.no</b>	<b>Activities Suggested</b>	<b>Indicators for inclusion</b>
1	Consultation with Indigenous people through out the implementation phase	Number of SC/ ST persons participated (M/F)
2.	Training programs for project related jobs/other work	Number. of participation(M/F)
3	Individual/House connections	*Number of connections given as per unit/FHH( No. of Total IP HHs - IP HHs having existing connections=proposed in project)
4.	Employment Generation in project construction related work	No. of IPs got employment(M/F)
5.	Employment generation in O/M	No. of IPs employed in project operation related work by contractor(M/F)
6.	Liasioning of SC/ST women in Income generation activities of different departments	willingness of women(No. of female shown interest/joined)

\* As there is no record of number of SC/ST house holds having existing water connection. It is being ensured that 100% IP HHs will have individual water connections.

## 7. CONCLUSIONS

### A. Summary and Conclusions

15. No significant IP impacts are assessed at identified sites and project area for sub-project components, as adequate vacant land is available for the proposed facilities, within the compounds of government lands. The site for WTP is on existing land .2 OHTs proposed at Sagar land is owned by Revenue Land NOC for that is under approval. So no Involuntary Resettlement, Displacement or income loss of Indigenous People in subproject. For linear components (pipe laying) or those proposed along government road RoWs, temporary disruption to road users, pedestrians and community members will be avoided .
16. It is being ensured that IPs will be included in project benefits (a) 100% individual household connection for BPL SC and 100% ST households will be ensured under the project, (b) the contract will include a provision related to preferential employment of local SC/ST population and women / vulnerable persons available for work, in construction-related work and work related to O&M of project facilities. The contractor will maintain records of such employment, which will be monitored by the PMU.
17. In the most congested zones of Sagar Safety measures and mitigated during construction by the contractor through simple measures and ensured safeguard measures reached to IPs.

**Table 5: Budgetary Provision**

S.no	Description	Target group	Estimated cost	Source of fund
1.	Focus Group Discussion and capacity building trainings, income generation activities	Majority wards IP	INR 2,00000	Included in subproject
2	provision of planks for pedestrian access to shops owned by Indigenous people	persons of majority wards	INR 3,00000	Included in subproject
3	10% contingencies		INR 50000	
	<b>Total</b>		<b>INR 550000</b>	

### Next Steps

. The DDR needs to be updated with the following information:

- To increase the participation of Indigenous People in project activities ,the frequency of consultations, will be increased; record of consultations held with local communities and other stakeholders to be documented and included in updated/final DDR.
- Due diligence report to be updated from time to time by PMU, initiation/completion of due procedures reported, and ADB approval obtained prior to start of construction.

**Appendix 1. List of Tribal Communities in State of Madhya Pradesh as Provided by Ministry of Tribal Affairs, Government of India**

1. Agariya
2. Andh
3. Baiga
4. Bhaina
5. Bharia Bhumia, Bhuinhar Bhumia, Bhumiya, Bharia, Paliha, Pando
6. Bhattra
7. Bhil, Bhilala, Barela, Patelia
8. Bhil Mina
9. Bhunjia
10. Biar, Biyar
11. Binjwar
12. Birhul, Birhor
13. Damor, Damaria
14. Dhanwar
15. Gadaba, Gadba
16. Gond; Arakh, Arrakh, Agaria, Asur, Badi Maria, Bada Maria, Bhatola, Bhimma, Bhuta, Koilabhuta, Koliabhuti, Bhar, Bisonhorn Maria, Chota Maria, Dandami Maria, Dhuru, Dhurwa, Dhoba, Dhulia, Dorla, Gaiki, Gatta, Gatti, Gaita, Gond Gowari, Hill Maria, Kandra, Kalanga, Khatola, Koitar, Koya, Khirwar, Khirwara, Kucha Maria, Kuchaki Maria, Madia, Maria, Mana, Mannewar, Moghya, Mogia, Monghya, Mudia, Muria, Nagarchi, Nagwanshi, Ojha, Raj, Sonjhari Jhareka, Thatia, Thotya, Wade Maria, Vade Maria, Daroi
17. Halba, Halbi
18. Kamar
19. Karku
20. Kawar, Kanwar, Kaur, Cherwa, Rathia, Tanwar, Chattri
21. (Omitted)
22. Khairwar, Kondar
23. Kharia
24. Kondh, Khond, Kandh
25. Kol
26. Kolam
27. Korku, Bopchi, Mouasi, Nihal, Nahul Bondhi, Bondeya
28. Korwa, Kodaku
29. Majhi
30. Majhwar
31. Mawasi
32. Omitted

33. Munda
34. Nagesia, Nagasia
35. Oraon, Dhanka, Dhangad
36. Panika [in (i) Chhatarpur, Panna, Rewa, Satna, Shahdol, Umaria, Sidhi and Tikamgarh districts, and (ii) Sevda and Datia tehsils of Datia district]
37. Pao
38. Pardhan, Pathari, Saroti
39. Omitted
40. Pardhi, Bahelia, Bahellia, Chita Pardhi, Langoli Pardhi, Phans Pardhi, Shikari, Takankar, Takia [In (i) Chhindwara, Mandla, Dindori and Seoni districts, (ii) Baihar Tahsil of Balaghat District, (iii) Betul, Bhainsdehi and Shahpur tahsils of Betul district, (iv) Patan tahsil and Sihora and Majholi blocks of Jabalpur district, (v) Katni (Murwara) and Vijaya Raghogarh tahsils and Bahoriband and Dhemerkheda blocks of Katni district, (vi) Hoshangabad , Babai, Sohagpur, Pipariya and Bankhedi tah sils and Kesla block of Hoshangabad district, (vii) Narsinghpur district, and (viii) Harsud Tahsil of Khandwa district]
41. Parja
42. Sahariya, Saharia, Seharra, Sehria, Sosia, Sor
43. Saonta, Saunta
44. Saur
45. Sawar, Sawara
46. Sonr

**Appendix 2. List of Schedule Areas in Madhya Pradesh as Specified by the Scheduled Areas under the Sixth Schedule of Indian Constitutions**

1. Jhabua district
2. Mandla district
3. Dindori district
4. Barwani district
5. Sardarpur, Dhar, Kukshi, Dharamपुरi, Gandhwani and Manawar tahsils in Dhar district
6. Bhagwanpura, Segaoon, Bhikangaon, Jhirniya, Khargone and Meheshwar tahsils in Khargone (West Nimar) district
7. Khalwa Tribal Development Block of Harsud tahsil and Khaknar Tribal Development Block of Khaknar tahsil in Khandwa (East Nimar) district
8. Sailana and Bajna tahsils in Ratlam district
9. Betul tahsil (excluding Betul Development Block) and Bhainsdehi and Shahpur tahsils in Betul district
10. Lakhanadone, Ghansaur and Kurai tahsils in Seoni district
11. Baihar tahsil in Balaghat district
12. Kesla Tribal Development Block of Itarsi tahsil in Hoshangabad district
13. Pushparajgarh, Anuppur, Jaithari, Kotma, Jaitpur, Sohagpur and Jaisinghnagar tahsils of Shahdol district
14. Pali Tribal Development Block in Pali tahsil of Umaria district
15. Kusmi Tribal Development Block in Kusmi tahsil of Sidhi district
16. Karahal Tribal Development Block in Karahal tahsil of Sheopur district
17. Tamia and Jamai tahsils, patwari circle Nos. 10 to 12 and 16 to 19, villages Siregaon Khurd and Kirwari in patwari circle no. 09, villages Mainawari and Gaulie Parasia of patwari circle No. 13 in Parasia tahsil, village Bamhani of Patwari circle No. 25 in Chhindwara tahsil, Harai Tribal Development Block and patwari circle Nos. 28 to 36,41,43,44 and 45B in Amarwara tahsil Bichhua tahsil and patwari circle Nos. 05,08,09,10,11 and 14 in Saunsar tahsil, Patwari circle Nos. 01 to 11 and 13 to 26, and patwari circle no. 12 (excluding village Bhuli), village Nandpur of patwari circle No. 27, villages Nikanth and Dhawdikhapa of patwari circle no 28 in Pandurna tahsil of Chhindwara district.



**Appendix 3. Stakeholder Consultation photographs and list of participants**



## List of participants

### Attendance List of person's Participated and Proceedings

5. लखन शी० पुत्रन लाल लखन
- शिखानी शी० आमरसिंह शिखानी
- सवित्रीकाई W/O शक्ति मरीसकदार सवित्रीकाई
- शोभा शशिवार शी० तुलसी शक्ति राजेश कुमार शशिवार
- मागवती W/O शोभा शशिवार मागवती काई
- कुंठाकाई W/O मोहन लाल कुंठा
- कुशुभ W/O राजकुमार कुशुभ
- आमराकाई W/O नवीन पुमाडे आमराकाई
- नंदाकांडारे W/O नंदाकांडारे नंदाकांडारे
- ममता W/O प्रीति ममता
- जानकाकाई W/O क. ई. मा. लाल जानकाकाई

## Appendix-4-Screening Checklist for Indigenous People Impact

### A. Introduction

1. Each project/subproject/component needs to be screen for any involuntary resettlement impacts and indigenous people impacts which will occur or already occurred. This screening determines the necessary action to be done by the project team.
- B. Information on project/subproject/component:
  - a. District/ Administrative Name: Sagar
  - b. Location (km): **Sagar 0 Km**
  - c. Civil work dates (proposed): NA
  - d. Technical Description: **Sagar-Water Supply Subproject**
2. Below is the initial screening for indigenous people impacts and due diligence exercise. **Positive or negative/permanent and temporary/ directly and indirectly impacts** must be considered and reported in the screening process.

KEY CONCERNS (Please provide elaborations on the Remarks column)	YES	NO	NOT KNOWN	Remarks
<b>A. Indigenous Peoples Identification</b>				
1. Are there socio-cultural groups present in or use the project area who may be considered as "tribes" (hill tribes, schedules tribes, tribal peoples), "minorities" (ethnic or national minorities), or "indigenous communities" in the project area?	✓			As project covers whole town .In wards having mixed population of Schedules tribes and National minorities in the project area
2. Are there national or local laws or policies as well as anthropological researches/studies that consider these groups present in or using the project area as belonging to "ethnic minorities", scheduled tribes, tribal peoples, national minorities, or cultural communities?	✓			On the basis of data of Socioeconomic & caste census (SECC) and Population Census 2011 the town is having population of Schedule tribes and National minorities.
3. Do such groups self-identify as being part of a distinct social and cultural group?		✓		
4. Do such groups maintain collective attachments to distinct habitats or ancestral territories and/or to the natural resources in these habitats and territories?		✓		

<b>KEY CONCERNS</b> (Please provide elaborations on the Remarks column)	<b>YES</b>	<b>NO</b>	<b>NOT KNOWN</b>	<b>Remarks</b>
5. Do such groups maintain cultural, economic, social, and political institutions distinct from the dominant society and culture?		✓		
6. Do such groups speak a distinct language or dialect?		✓		These groups using local language as they are from longer period in town .
7. Has such groups been historically, socially and economically marginalized, disempowered, excluded, and/or discriminated against?	✓			economically marginalised
8. Are such groups represented as "Indigenous Peoples" or as "ethnic minorities" or "scheduled tribes" or "tribal populations" in any formal decision-making bodies at the national or local levels?	✓			As few elected representatives are from such groups they are part of decision making bodies at local level.
<b>B. Identification of Potential Impacts</b>				
9. Will the project directly or indirectly benefit or target Indigenous Peoples?	✓			Whole town covered under piped water supply.
10. Will the project directly or indirectly affect Indigenous Peoples' traditional socio-cultural and belief practices? (e.g. child-rearing, health, education, arts, and governance)		✓		
11. Will the project affect the livelihood systems of Indigenous Peoples? (e.g., food production system, natural resource management, crafts and trade, employment status)		✓		
12. Will the project be in an area (land or territory) occupied, owned, or used by Indigenous Peoples, and/or claimed as ancestral domain?		✓		
<b>C. Identification of Special Requirements</b> <i>Will the project activities include:</i>				
13. Commercial development of the cultural resources and knowledge of Indigenous Peoples?		✓		

<b>KEY CONCERNS</b> (Please provide elaborations on the Remarks column)	<b>YES</b>	<b>NO</b>	<b>NOT KNOWN</b>	<b>Remarks</b>
14. Physical displacement from traditional or customary lands?		✓		
15. Commercial development of natural resources (such as minerals, hydrocarbons, forests, water, hunting or fishing grounds) within customary lands under use that would impact the livelihoods or the cultural, ceremonial, spiritual uses that define the identity and community of Indigenous Peoples?		✓		
16. Establishing legal recognition of rights to lands and territories that are traditionally owned or customarily used, occupied or claimed by indigenous peoples ?		✓		
17. Acquisition of lands that are traditionally owned or customarily used, occupied or claimed by indigenous peoples?		✓		

#### **E. Involuntary Resettlement and Indigenous People Impact**

2. After reviewing the answers above, EA/ Safeguard Team confirms that the proposed subsection/ section/ subproject/component (tick as appropriate):

[ Y ] Has Indigenous People (IP) positive impact, an indigenous people plan (IPP) (or specific IP action plan) is required

[ ] Has No IP impact, no IPP/specific action plan is required.

Prepared By:Aakar consultants	Verified by:
Signature:	Signature:
Name:Mr.Selahkari	Name:
Position: Project coordinator	Position:
Date:	Date: