



# Concept Environmental and Social Review Summary

## Concept Stage

### **(ESRS Concept Stage)**

Date Prepared/Updated: 11/03/2019 | Report No: ESRSC00921



## BASIC INFORMATION

### A. Basic Project Data

Country	Region	Project ID	Parent Project ID (if any)
Vietnam	EAST ASIA AND PACIFIC	P171511	
Project Name	Vietnam Time Use Survey Production		
Practice Area (Lead)	Financing Instrument	Estimated Appraisal Date	Estimated Board Date
Social	Investment Project Financing		11/29/2019
Borrower(s)	Implementing Agency(ies)		
Government of Vietnam	General Statistics Office (GSO), Ministry of Planning and Investment (MPI)		

### Proposed Development Objective(s)

The overall development objective of this project is to (i) increase the availability and use of gender disaggregated statistics and (ii) build the GSO's capacity to implement a time use survey in its own survey program in future years.

Financing (in USD Million)	Amount
<b>Total Project Cost</b>	<b>0.54</b>

### B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?

No

### C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]

The Vietnam Time Use Survey Production Project will assist the General Statistics Office (GSO) to implement a nationally representative time use survey following international best practices but adapted to Vietnam's context. The survey design shall be based on results of a pilot time use survey, implemented by the GSO and the World Bank with financing support from the Second Australia Bank Partnership (ABP2) Trust Fund - Gender Pillar. The survey will cover 6000 individuals across all provinces of the country, stratified by six regions – Mekong Delta, South East, Central Highlands, Northern and Coastal Central, Midland and Northern Mountains and Red River Delta - and is designed to



be representative at the regional level. Ethnic minorities shall be oversampled so that inference of male and female's differences in time use patterns within groups is feasible with a reasonable degree of certainty.

The project will have three components, namely (i) survey preparation and data collection – covering the costs for fieldwork preparation and data collection such as finalization of survey tools, training, sampling, data enumeration and field supervision activities, (ii) data analysis and dissemination - which covers data analysis, reporting and dissemination activities for both the data and the report that will be made available to the public and (iii) project management – covering professional audit of the project and the operating costs, which includes project coordination, procurement and financial management. The GSO is appointed as the single executing agency in charge of this operation.

#### **D. Environmental and Social Overview**

D.1. Project location(s) and salient characteristics relevant to the ES assessment [geographic, environmental, social]

The main project activities are survey preparation and data collection, analysis and dissemination. The survey will be conducted through enumerators using questionnaires to collect data on time use directly from respondents from 6,000 households in all 63 centrally-administered provinces and cities. Around 100 enumerators will be hired by GSO to collect data. Respondents, including both men and women, will come from different social and ethnic groups, including those from ethnic minorities. The project will not involve any physical works.

#### **D. 2. Borrower's Institutional Capacity**

The General Statistics Office (GSO) is a government agency under the Ministry of Planning and Investment (MPI) responsible for collecting, producing and disseminating national statistics. GSO has many statistics system improvement projects financed by the World Bank over the last three decades, the latest of which are the projects on 'Improvement of statistical dissemination in Vietnam' and 'Modernizing the production of statistics in Vietnam'. While GSO has not had any experience relating to preparation and implementation of any Bank financed project under the new Environmental and Social Framework (ESF), the environmental and social (ES) risks associated with this grant will require minimal skills or experience in ES risk management by GSO. Rather, it should be able to manage the limited set of risks identified by appointing a designated focal person within the PMU who can be supported by the World Bank task team ES specialists.

## **II. SCREENING OF POTENTIAL ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS**

### **A. Environmental and Social Risk Classification (ESRC)**

Low

#### **Environmental Risk Rating**

Low

The project does not involve any physical infrastructure improvements or works of any kind or any analytical, advisory or other activities which could have or lead to downstream physical, social or environmental impacts. The collection, analysis, storage and sharing of anonymized data are expected to result in negligible environmental risks and impacts. Therefore, the project environmental risk is classified as Low.

#### **Social Risk Rating**

Low



No physical works are proposed and the project does not involve analytical, advisory or other activities which could have or lead to downstream social impacts. Rather, the project will support data collection and analysis that could have significant social benefits. The project will employ enumerators, implying minor risks related to labor and working conditions, mitigation measures for which will be included in LMP and ESCP. Also, because data will be collected from ethnic minority households, enumerators will be trained in, and required to on how to engage and communicate with respondents, in a culturally appropriate manner during data collection.

## **B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered**

### **B.1. General Assessment**

#### **ESS1 Assessment and Management of Environmental and Social Risks and Impacts**

##### ***Overview of the relevance of the Standard for the Project:***

The project could have positive impacts (through collection of sex-disaggregated data to inform Government) on vulnerable groups, reduction in household burdens for women, especially those from ethnic minority groups by helping to capture data, and focus Government attention on measures to reduce household work for women and men from different social and ethnic groups across the country. The only relevant social risks identified through an initial screening of project activities relate to the labor and employment conditions of the enumerators to be hired, and the need to communicate, in a culturally appropriate manner, the purpose and methods of the survey, with different stakeholders, including ethnic minorities (Indigenous Peoples).

Based on the information available at the PCN stage, there are negligible adverse environmental or social impacts expected from the proposed Project. The project will not finance civil works or support preparation of feasibility studies of any project, nor will it involve the development of any policies or regulations that can have downstream environmental and social risks and impacts. Therefore, no environmental and social risks and impacts related to physical and geographical issues, biodiversity, occupational and community health, cultural heritage etc., have been identified. Therefore, no further environmental or social assessments are considered necessary.

##### **Areas where “Use of Borrower Framework” is being considered:**

The use of Borrower Framework for addressing environmental and social issues is not anticipated.

#### **ESS10 Stakeholder Engagement and Information Disclosure**

While the project will have no adverse ES impacts, the identification and outreach to stakeholders would be expected to be an important component of the project. Stakeholder identification and mapping will be important to understanding how best to collect data from potential respondents, and for the design of the survey. The presence of ethnic minorities (under ESS7) will also be considered to ensure that the survey methodology and instrument are culturally appropriate. The methodology and approach taken to data collection, analysis and storage will need to be clearly communicated to all relevant stakeholders. Key elements of stakeholder engagement plan (SEP), commensurate to the projects risks, will be incorporated into the ESCP. Special provisions will be included in the SEP to ensure its sensitivity to the issues of ethnic minorities, including culturally appropriate strategies of communication on the purpose and objective of the survey to ethnic minority groups. The project-affected parties are the General Statistics Office staff, respondents to the survey, and employed enumerators. Other interested



parties include potential users of the survey data, such as the World Bank, UNWomen, and other development partners, Vietnamese government agencies and ministries, and the Vietnamese National Assembly.

Enumerators will be trained and asked to provide respondents with adequate information on the grievance procedures when in terms of the project level grievance redress mechanism, if respondents have any queries or concerns relating to the survey, or the conduct of the enumerator, the first point of contact will be GSO's survey supervisors. If issues cannot be resolved at this level, they will be brought up to the Project Management Unit by GSO management. The proposed procedures and mechanism will be reflected in the publicity of the survey.

## **B.2. Specific Risks and Impacts**

**A brief description of the potential environmental and social risks and impacts relevant to the Project.**

### **ESS2 Labor and Working Conditions**

Workers involved in the survey may include GSO staff (as civil servants) and contracted enumerators as direct workers to collect data across the country. The client is expected to contract around 100 enumerators to collect data across the country. Labor and working conditions for GSO staff and enumerators will be assessed prior to appraisal to ensure alignment with ESS2. Labor management procedures will be prepared before project appraisal, scheduled for December 2019, to avoid and minimize risks for contracted workers while they travel to collect data and contact respondents from various social groups, and will set out how the project will meet the requirements of ESS2. Enumerators are expected to be employed from February 2020 to April 2020 to collect data for the survey.

### **ESS3 Resource Efficiency and Pollution Prevention and Management**

Based on the screening against ESS3, this ESS is not considered relevant to the project. The grant will not finance any civil works or other activities which use natural resources, or generate emissions and wastes.

### **ESS4 Community Health and Safety**

The project will neither finance nor support any civil works activities. No rehabilitation or construction of new infrastructure or other actions having an impact on the environment will be financed through this grant. In this context, it is not expected to generate any negative impact on the community health and safety.

### **ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement**

The grant will not support any activities that might result in Land Acquisition, Restrictions on Land Use and Involuntary Resettlement.

### **ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources**

The Project will neither finance nor support any civil works activities or policies affecting biodiversity or management of living natural resources.



### **ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities**

The survey is expected to include respondents from ethnic minority groups from some provinces. Guidance on how to work with respondents from ethnic minority groups in a culturally and ethnically appropriate manner will be included in the survey manual for enumerators, and the over survey methodology, sampling approach and survey instrument will be reviewed to ensure they are culturally appropriate and accessible to ethnic minority respondents.

### **ESS8 Cultural Heritage**

The project will finance survey data collection and analysis , and will not finance activities that might directly or indirectly affect tangible or intangible cultural heritage.

### **ESS9 Financial Intermediaries**

The project will not include any financial intermediaries.

## **B.3 Other Relevant Project Risks**

None

## **C. Legal Operational Policies that Apply**

### **OP 7.50 Projects on International Waterways**

No

The project will not be implemented on any international waterways.

### **OP 7.60 Projects in Disputed Areas**

No

No part of the project activities will be implemented in a disputed area, so the policy is not triggered.

## **III. WORLD BANK ENVIRONMENTAL AND SOCIAL DUE DILIGENCE**

### **A. Is a common approach being considered?**

No

#### **Financing Partners**

None

### **B. Proposed Measures, Actions and Timing (Borrower's commitments)**

#### **Actions to be completed prior to Bank Board Approval:**

Actions to be completed prior to Bank Board Approval:

- Complete Stakeholder Engagement Plan to be included in Grant Operational Manual.
- Complete the Environmental and Social Commitment Plan (ESCP)



Incorporation of social issue considerations (inclusion, vulnerable people, ethnic minorities etc.) in survey design and sampling.

Prior to appraisal, elements of an SEP will be incorporated into the ESCP, and LMP will be prepared.

**Possible issues to be addressed in the Borrower Environmental and Social Commitment Plan (ESCP):**

The TOR (and the consultant's outputs) for survey design, sampling, and analysis which incorporates social and environment issues considerations (such as inclusion, vulnerable people, indigenous peoples etc.) will be approved by Bank E&S team. The LMP will be prepared prior to appraisal; project activities implemented in line with ESF requirements; and E&S considerations included in project reporting.

**C. Timing**

**Tentative target date for preparing the Appraisal Stage ESRS**

11-Nov-2019

**IV. CONTACT POINTS**

**World Bank**

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**Borrower/Client/Recipient**

Borrower: Government of Vietnam

**Implementing Agency(ies)**

Implementing Agency: General Statistics Office (GSO), Ministry of Planning and Investment (MPI)

**V. FOR MORE INFORMATION CONTACT**

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**VI. APPROVAL**

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