



Higher Education Reforms Project (P147010)

SOUTH ASIA | Nepal | Education Global Practice |
IBRD/IDA | Investment Project Financing | FY 2015 | Seq No: 3 | ARCHIVED on 07-May-2016 | ISR23309 |

Implementing Agencies: University Grants Commission

Key Dates

Key Project Dates

Bank Approval Date:19-Feb-2015

Effectiveness Date:22-May-2015

Planned Mid Term Review Date:21-Nov-2017

Actual Mid-Term Review Date:--

Original Closing Date:30-Jun-2020

Revised Closing Date:30-Jun-2020

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

To support reforms in selected institutions for improving quality, relevance, and efficiency of higher education; and to assist under-privileged students for equitable access.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components

Name

Component 1: Implementation of Reforms:(Cost \$60.00 M)

Component 2: Capacity Building and Project Management:(Cost \$5.00 M)

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Satisfactory
Overall Implementation Progress (IP)	● Moderately Satisfactory	● Moderately Satisfactory
Overall Risk Rating	● Substantial	● Substantial

Implementation Status and Key Decisions



The progress of the project is being monitored through achievement of yearly targets for seven Disbursement Linked Indicators (DLIs) corresponding to systemic reforms, five key performance indicators (KPIs) measuring outcomes, and intermediate indicators. The Year-one DLI progress is largely on track in spite of the devastating earthquake in April/May, 2015, and the protracted unofficial trade disruption impacting on fuel crisis following the promulgation of the Constitution on September 20, 2016. However, actions need to be expedited on several fronts by the implementing agencies: University Grants Commission (UGC), Tribhuvan University (TU), and the Student Financial Assistance Fund Development Board (SFAFDB) to reach the defined DLI targets by June 30, 2016.

The progress with respect to KPIs is as follows: (i) three higher education institutions (HEIs) have been accredited and five others are in pipeline to be accredited by June 2016 against the year-one target of eight; (ii) percentage of students enrolled in identified priority areas at Bachelor's level reached 15% against year one target of 13%, data for the post-graduate level is expected to be collected by May 31, 2016; (iii) 580 underprivileged students (from bottom two poorest quintiles) at the higher secondary level (HSL) from the science stream, and 3,000 students at the Bachelor's level have been selected for financial support against the target of 500 and 2,500 respectively. The share of girl's selected for the support at the higher secondary level is 26% against the target of 40%, and at the Bachelor's level 59% against the target of 40%; and (iv) the average time taken for announcement of results of 2nd and 3rd years of TU centralized under-graduate programs in Humanities and Social Sciences, Management, and Education is reduced to 5.3 months from eight months on average. The first year results of these programs are yet to be published. It is expected that the average time will be less than 7 months, which is the target for the first year.

Sixty institutions have been selected for support for reforms through performance based funding. One TU campus has been granted autonomy. TU is now preparing to grant Academic autonomy also to selected institutions.

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	● Substantial	● Substantial
Macroeconomic	--	● Substantial	● Substantial
Sector Strategies and Policies	--	● Moderate	● Moderate
Technical Design of Project or Program	--	● Moderate	● Moderate
Institutional Capacity for Implementation and Sustainability	--	● Moderate	● Moderate
Fiduciary	--	● Substantial	● Substantial
Environment and Social	--	● Moderate	● Moderate
Stakeholders	--	● Moderate	● Moderate
Other	--	--	--
Overall	--	● Substantial	● Substantial

Results

Project Development Objective Indicators

► Number of Accredited HEIs (Number, Custom)

Baseline

Actual (Previous)

Actual (Current)

End Target



Value	12.00	15.00	15.00	125.00
Date	01-Aug-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

Comments

Five HEIs are in pipeline to be accredited by June 30, 2016

► Percentage of students enrolled in identified priority areas (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Under Graduates: 12.6; Post-graduates: 16.7	--	Under Graduates: 15.0; Post-graduates: 16.7	Under Graduates: 17.0; Post-graduates: 23.0
Date	01-Aug-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

Comments

The system has not generated 'Actual (Previous)' information.

► Number of under-privileged students supported under the Project (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	(i) Total Higher Sec (HS) : 0 Total Bachelor (B) : 0 (ii) % female(HS): 0 % female(B): 0	--	(i) Total Higher Sec (HS) : 580 Total Bachelor (B) : 3000 (ii) % female(HS): 26 % female(B): 59	(i) Total (HS) : 2,000 Total (B) : 7,500 (ii) % female(HS): 45 % female(B): 40
Date	01-Oct-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

Comments

The system has not generated 'Actual (Previous)' information.

► Average time taken for announcement of results of Bachelors programs with centralized examinations at TU (Months, Custom)



Value	7.00	7.00	7.00	3.00
Date	01-Jul-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

► Number of publications in refereed journals by the research grant recipients. (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	85.00
Date	01-Jul-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

Overall Comments

Intermediate Results Indicators

► Number of institutions undertaking specified reforms (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	15.00	18.00	60.00	135.00
Date	01-Oct-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

► Number of TU institutions granted autonomy (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	4.00	4.00	5.00	14.00
Date	01-Aug-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020



► Number of academic programs revised (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	75.00
Date	01-Aug-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

► Number of new academic programs introduced in identified priority areas (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	65.00
Date	01-Jul-2014	--	15-Apr-2016	30-Jun-2020

Comments

The system has not generated 'Actual (Previous)' information.

► Computerization of records of all students eligible for appearing in examinations from TU constituent and affiliated campuses (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	15.00	100.00
Date	01-Jul-2014	--	15-Apr-2016	30-Jun-2020

Comments

The system has not generated 'Actual (Previous)' information.

► Number of Research, Development and Innovation (RDI) projects supported in identified priority areas (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	PhD: 0; Faculty: 0	--	PhD: 0; Faculty: 0	PhD: 130 Faculty: 220
Date	01-Aug-2014	--	15-Apr-2016	30-Jun-2020

Comments

The system has not generated 'Actual (Previous)' information.



► Direct project beneficiaries (Number, Core)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	82000.00	500000.00
Date	01-Jul-2014	30-Oct-2015	15-Apr-2016	30-Jun-2020

▲ Female beneficiaries (Percentage, Core Supplement)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	40.00

► DLI 1: National accreditation system established and functioning (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	A QAAA with functional autonomy established at UGC	Formal establishment of QAAA awaiting GON approval. Accreditation process continuing through an interim arrangement; three additional insitutions (cumulative 15) accredited. Five HEIs are at the Peer Review stage	QAAA Rule pend-ing for formal approval. QAA of HEIs is in progress following existing provision at UGC as agreed in the FA until QAAA is estab-lished. Additional five HEIs are in pipeline to be accredited by June 15, 2016.	A total of 125 higher education institutions accredited
Date	30-Jun-2015	30-Oct-2015	15-Apr-2016	30-Jun-2020



► DLI 2: Performance-based financing extended to HEIs (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	<p>1.Guidelines for Performance Based Funding (PBF) of campuses published by UGC</p> <p>2. Formula Based Funding (FBF) for autonomous campuses adopted by UGC</p>	<p>One hundred HEIs from 55 districts have submitted application for participation in HERP, and their selection is in progress. 400 community campuses have been informed to submit documents by January 14, 2016 to receive PBF based regular funds for FY 2016 from UGC as specified in the Guidelines</p>	<p>First group of 60 HEIs selected and MOUs signed; first tranche of performance grant (PG) will be released by May 31, 2016</p>	<p>1. A total of 135 HEIs supported with PBF</p> <p>2. At least 10 autonomous campuses supported through FBF</p> <p>3. Criteria for PBF based regular funding for community campuses adopted by UGC; and PBF based regular funds released to at least 100 community campuses</p>
Date	01-Jun-2015	30-Oct-2015	15-Apr-2016	30-Jun-2020

► DLI 3: Autonomy extended to additional campuses/schools (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	<p>1.TU autonomy campus rules for constituent campuses revised by TU Senate</p> <p>2. TU adopts rules for academic autonomy of affiliated campuses by TU Senate</p>	<p>Two constituent campuses have submitted application for autonomy and four other campuses are carrying out stakeholders' consultations on autonomy</p>	<p>Public Administration Campus opted autonomy. Padma Kanya Campus is in the pipeline; 50% of year one target on autonomy achieved.Mahendra Ratna Campus, Ilam has submitted application to TU for academic autonomy.</p>	<p>1. Autonomy granted to 14 constituent campuses/schools of TU</p> <p>2. Eight autonomous/ accredited campuses or schools exercise academic autonomy</p>
Date	02-Jun-2015	30-Oct-2015	15-Apr-2016	30-Jun-2020



► DLI 4: Examination reforms implemented and academic calendar enforced in TU (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	(i)Academic rules of TU revised and approved by TU Academic Council for completing academic calendar within one academic year; and (ii) a plan for examination reforms approved by TU (with especial focus on centralized examinations)	Office of the Controller of Examinations (OCE) of TU has identified priority Master's degree academic programs for adhering to the announced academic calendar: second year (2068/69-2011/12 intake) Public Administration; and first year (2069/70-2012/13 intake) Public Administration, Rural Development, Sociology and Anthropology, Political Science, and Nepali.	Adherence of academic calendar of the six Master's degree academic programs identified by the Office of the Controller of Examinations (OCE) is on track. These programs are: second year (2068/69-2011/12 intake) Public Administration; and first year (2069/70-2012/13 intake) Public Administration, Rural Development, Sociology and Anthropology, Political Science, and Nepali. The average time taken for announcement of results of 2nd and 3rd years of Humanities and Social Sciences, Management, and Education is 5.3 months.	1. Academic calendar of 15 program years of centralized Master's programs adhered to 2. Results for regular centralized Bachelors programs published within three months on average
Date	02-Jun-2015	30-Oct-2015	15-Apr-2016	30-Jun-2020

Comments

First year results of the targeted programs yet to be published. It is expected that the average time will be within 6 months.

► DLI 5: Academic reforms introduced: Revision of existing and introduction of new programs at undergraduate and graduate levels (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Areas of priority for new programs approved by UGC; and guidelines for selection of programs and institutions approved by UGC	Call for proposals from HEIs for revision of existing academic programs and introduction of new academic programs is in progress	Call for proposals from HEIs for revision of existing academic programs and introduction of new academic programs made, and selection is in progress.	A total of 140 programs revised or introduced and implemented (new programs: 40-50 percent)
Date	01-Jun-2015	30-Oct-2015	15-Apr-2016	30-Jun-2020



► DLI 6: Poverty-targeted financial support for under-privileged students (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	PMT processes for selection of students revised by SFAFDB; and database and process computerized	Applications received from 1,680 students seeking enrolment in the science stream at the higher secondary level; and applications have also been called for Bachelor level students	(a) Higher Secondary Level: 580 selected;female: 26%; (b) Higher Education Level: 3,000 selected; female 59%	1. Funds released to at least 9,500 students selected through PMT 2. A plan for sustainability of poverty targeted scheme approved
Date	15-Jun-2015	30-Oct-2015	15-Apr-2016	30-Jun-2020

Comments

Year 1: Random verification of 10% students in process; scholarship will be distributed to individual student bank account by June 15, 2016.

► DLI 7: Institutions supported for academic excellence in priority areas through RDI awards (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	(a) (i) Existing research guidelines reviewed and revised by UGC; and (ii) Priority areas for support approved by UGC (b) Parallel recruitment and promotion rules adopted by TU Executive Council	Call for proposals for Research, Development and Innovation grants is in progress	Call for proposal made; selection will be complete by April 30 and the 1st tranche disbursement to the awardees will be made by May 31, 2016.	1. About 500 research projects supported 2. A plan for sustainability of research funding approved 3. At least 50 faculty members recruited and/or promoted based on excellence
Date	15-May-2015	30-Oct-2015	15-Apr-2016	30-Jun-2020

Overall Comments

Data on Financial Performance



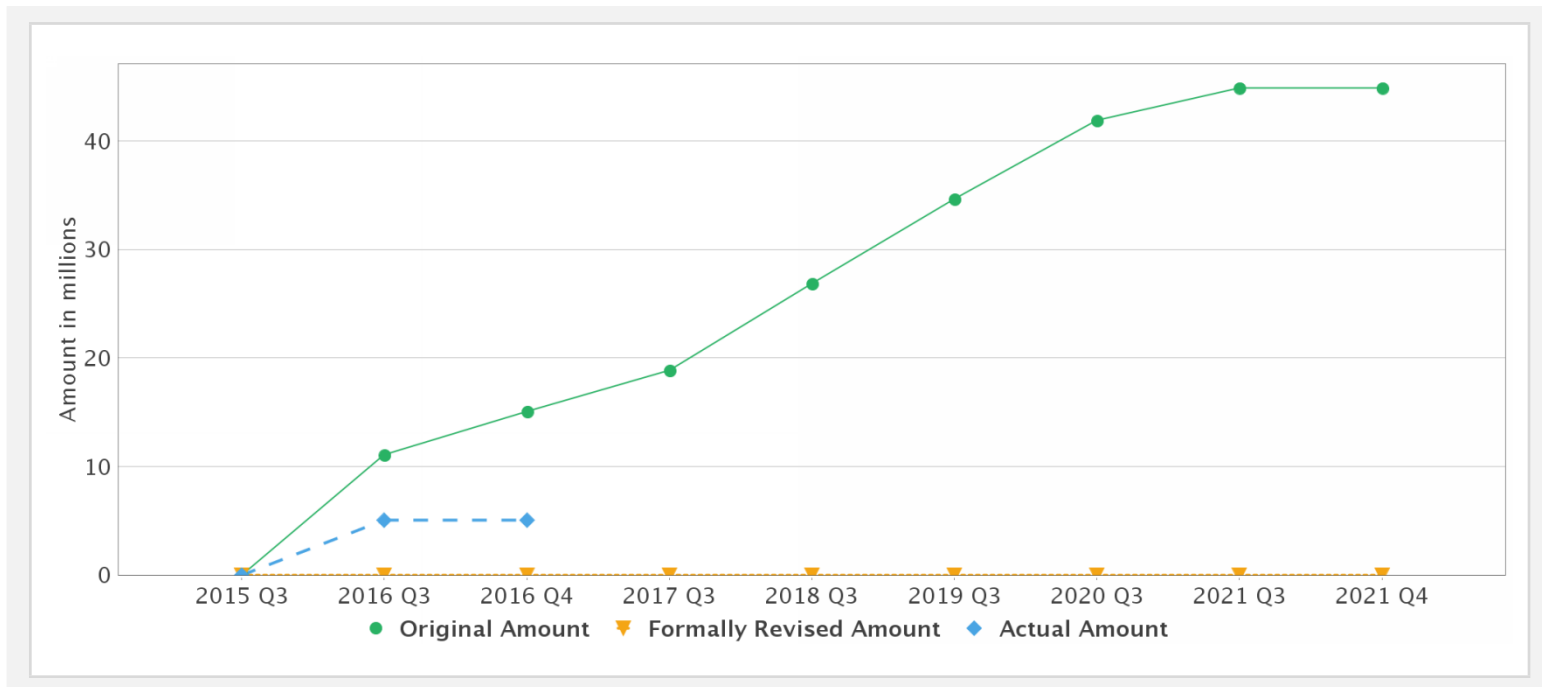
Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P147010	IDA-55860	Effective	XDR	44.90	44.90	0.00	5.04	39.86	11%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P147010	IDA-55860	Effective	19-Feb-2015	06-Apr-2015	22-May-2015	30-Jun-2020	30-Jun-2020

Cumulative Disbursements



Restructuring History

There has been no restructuring to date.

Related Project(s)



There are no related projects.
