ANNEX IV
ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN (ESMP)

Port Sudan Emergency Water Supply and Sanitation Project

ESMP Annex to the Financing Agreement (FA)

General considerations

- UNICEF is planning to implement the **Port Sudan Emergency Water Supply and Sanitation** Project. The Bank has agreed to provide financing, implementation support and monitoring for the Project.

- UNICEF will implement measures and actions of this Environmental and Social Management Plan[1] (***ESMP***) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (***OS***) and the National policy and legal requirements.

- Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.

- The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. UNICEF is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Unit (PIU).

- Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by UNICEF as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.

- As agreed by the Bank and UNICEF, this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESMP itself. In such circumstances, UNICEF will propose and agree changes with the Bank and then update the ESMP to reflect such changes.

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[1] The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank/Fund and Borrower/Recipient. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower/Recipient will need to demonstrate to the Bank/Fund, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (***Section III.2.3 of Bank's ESP and section D of OS1***)

	Material Actions[2] to Manage the Project's E&S Risks and Impacts	Basis for Requirement	Key Performance Indicator	Indicative Timing/Deadline
Periodic E&S implementation report to the Bank		Bank's ESP and OS1	Reports as part of narrative programmatic reports as per Article 4.01(b), submitted in time, in good standard	As per Article 4.01(b)
1	Appointment of E and S specialists as part of the Project Implementation Unit	Disclosed ESIA, OS1	Seasoned E&S specialist(s) in the PIU	By Project effectiveness date
2	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public	OS1, OS10 and National requirements, as relevant.	Maintain and operate GRM[3] with well-resourced and facilitated GRC (Grievance Redressal Committee), Satisfactory to the Bank requirements and national requirements, as relevant.	One month after the project effectiveness date
3	Payment of compensation and reinstallation of affected people	SO10	N/A	N/A
4	Incorporation of site-specific E&S measures in the request for proposals	SO1 & national requirements	Incorporation of relevant E & S measures in procurement documents and contractual arrangements for civil works sub-projects/ activities	As part of the preparation of procurement documents and respective contracts
5	Submission of high-risk activity's Contractor ESMP (*C-ESMP*) to Bank clearance	Bank's ESP and OS1	N/A	N/A
6	Establishment of the Contractor's Grievance Mechanism (GM) and information of workers	OS1, OS2, SO10 and Bank's Disclosure and Access to Information Policy	An established grievance redress mechanism (GRM) is available to all project	Prior to the time of recruitment of the Contractor's workers

[2] Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.
[3] Building on UNICEF's existing CFM

			workers for raising workplace concerns.[4]	
7	Obtaining nationally required licenses prior to commencement of subjected activities (excavations, tree-cutting, working at height, working in confined spaces, etc.)[5]	OS1, OS2 and national labor laws as relevant	Nationally required relevant licenses obtained where feasible	If feasible, before the commencement of the relevant activities.
8	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1 and national requirements	Agreed and disclosed specific E&S documents prepared during project implementation, as applicable, including agreed terms of reference	Before commencement of the project activities and after identification of E&S issue during the project implementation
9	Engagement with concerned stakeholders of each relevant specific E&S activity	OS1, OS10, Bank's Disclosure and Access to Information Policy	Approved and disclosed the Stakeholder engagement plan (SEP). Summary of stakeholder meetings conducted and a progress report of SEP implementation in place.	Before commencement of activities, and continuously during project implementation.
10	Establishment of Emergency Preparedness and Response mechanism	OS1 & OS4, national legislation on contingencies, as relevant	Project-level Emergency Preparedness Plan in place	Before commencement of works and whenever a high-risk emergency requirement is identified
11	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	Operational Project Level Grievance Redress Mechanism (GRM). Grievance logs in place	Upon receipt, complaints and grievances are registered and entered into case management, with feedback provided

[4] Given the limited capacity and operational constraints of contractors in the current Sudan context, a standalone Contractor's Grievance Mechanism may not be feasible. Therefore, the UNICEF Project-level GRM will be extended to cover all project workers (including contractor personnel) and affected communities, ensuring accessible, confidential, and transparent grievance handling in line with AfDB ISS requirements.
[5] Given the current context in Sudan, obtaining nationally required licenses prior to certain activities may not always be feasible. In such cases, the Project will implement activities in accordance with AfDB ISS requirements and internationally recognized EHS standards, while engaging locally recognized authorities where possible to uphold the intent of national legislation.

				within defined processing timelines
12	Notification to riparian or alert to downstream exposed peoples	Bank's ESP and OS1, applicable International Treaty/Convention ratified	NA	NA
13	Capacity building of key project implementers	OS1	Reports on Capacity building of key project implementers	As per the reporting periods outlined in the approved capacity-building implementation plan.
14	Implementation of ESMS/ESAP[6]	OS1 and OS9, national requirements	N/A	N/A
14.1	*Approval of any required E&S management procedure*	Ditto	N/A	N/A
14.2	*Establishment of the E&S unit*	Ditto	N/A	N/A
14.3	*Capacity Building of the E&S Unit*	Ditto	N/A	N/A
14.4	*Processing the Value Chain E&S due diligence*	Ditto	N/A	N/A
15	Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank.	Bank's ESP	Notification served to the Bank for severe risks or incidents, in a timely manner	Immediately and no later than 72hours after learning of the occurrence
16	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	Root-cause analysis (RCA) of any fatal EOHS incident submitted, and implementation of the Corrective Action Plan (CAP) prepared.	Within 30 days of the fatal incident or accident occurrence
17	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	Disclosed E&S instruments, including the ESIA and SEP reports, as applicable.	After the E&S instrument(s) are agreed by the Bank

[6] Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.