

Kyrgyz Republic

Community Development and Investment Agency (ARIS)

National Community Initiatives Project (P180909)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

Appraisal Stage Draft

**Bishkek
February 5, 2024**

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Kyrgyz Republic (the Recipient) will implement the National Community Initiatives Project (the Project), with the involvement of the Ministry of Finance of the Kyrgyz Republic (MoF) and the Community Development and Investment Agency (ARIS) as set out in the Financing Agreement and Grant Agreement. The International Development Association (the Association) has agreed to provide financing (P180909) for the Project acting in its own name, as set out in the Financing Agreement and, as administrator of the [TF], as set out in the Grant Agreement.
2. The - Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement and Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the MoF and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit semi-annual reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than thirty (30) days after the end of each reporting period.</p>	ARIS
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association.</p>	ARIS
C	<p>CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit such reports to the Association. The reports are submitted to the Association at its request.</p>	<p>Submit to the Association the monthly reports on ESHS performance upon request or as annexes to the semi-annual reports to be submitted under Action A.</p>	ARIS
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Maintain throughout Project implementation effective staffing and institutional structures to support the management of the Project E&S risks and impacts.</p> <p>Hire and maintain throughout Project implementation one social development specialist and one environmental specialist to support management of ESHS risks and impacts of the Project.</p>	<p>Hire these specialists no later than thirty (30) days of Project Effective Date and maintain throughout project implementation.</p>	ARIS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.2	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>Finalize, adopt and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs, which outlines the requirements for environmental and social screening, assessment, review, management, and monitoring procedures for all envisaged activities.</p>	Adopt and disclose the ESMF prior to Board Approval and thereafter implement the ESMF throughout Project implementation.	ARIS
	<p>Approve and implement a site-specific environmental and social impact assessments (ESIAs), if necessary, and Environmental and Social Management Plans (ESMP) for the subprojects of the Project, consistent with the relevant ESS, and as set out in the ESMF. The activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p>	Adopt a site-specific ESMP before the commencement of the bidding process for the respective subproject that requires the adoption of such ESMP. Once adopted, implement the respective ESMP throughout the subproject implementation.	ARIS
	<p>Ensure that site-specific ESMPs include a security risk mitigation measure in line with the ESMF in the event of security risk, and enforce their implementation. This includes, but is not limited to, development, adoption, and implementation of adequate mitigation measures in consultation with all relevant stakeholders, awareness raising of all Project employees and community members on security risk mitigation measures.</p>	During preparation and implementation of site-specific ESMPs throughout Project implementation.	ARIS
1.3	<p>MANAGEMENT OF CONTRACTORS</p> <p>Based on conducted environmental and social assessments of the site-specific activities in accordance with the ESMF, incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p> <p>Contractors for works shall be required to assign qualified E&S experts for sub-projects' E&S risk management by including this requirement in the bidding documents for works.</p>	<p>Conduct during the preparation of design and estimate documentation, procurement documentation, and relevant contracts, supervision of contractor activities throughout the Project implementation.</p> <p>Assign qualified E&S experts before the commencement of works and maintain them throughout Project Implementation.</p>	ARIS
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project to be supported under the TA are carried out in accordance with terms of reference acceptable</p>	Throughout Project implementation.	ARIS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.		
1.5	<p>CONTINGENT EMERGENCY RESPONSE FINANCING</p> <p>a) Ensure that the CERC section is included into the ESMF. This section should reflect an indicative list of activities related to the likely emergencies that led to the CERC’s inclusion in the project, potential risks, mitigation measures and monitoring and evaluation procedures for the implementation of CERC component, in accordance with the ESSs.</p> <p>b) Identify, develop and adopt any environmental and social (E&S) instruments which may be required for activities under CERC component of the Project , in accordance with the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments.</p>	<p>a) The adoption of the CERC section in the ESMF in form and substance acceptable to the Association is a withdrawal condition under Section I.E of Schedule 2 of the Financing Agreement and Grant agreement, respectively, for the Project.</p> <p>b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.</p>	ARIS
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	Adopt the LMP prior to Board Approval and thereafter implement the LMP throughout Project implementation.	ARIS
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	Establish grievance mechanism prior to recruitment of Project workers and thereafter maintain and operate it throughout Project implementation.	ARIS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p> <p>Incorporate resource efficiency and pollution prevention and management measures in the ESMPs under Action 1.2 above, consistent with the requirements of ESS3, including water and raw material use, air pollution, hazardous materials and waste, as relevant, as well as any sub-plans (waste management plans and etc.).</p>	Same timeframe as for the adoption and implementation of ESMPs under action 1.2 above.	ARIS
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>TRAFFIC AND ROAD SAFETY</p> <p>Based on the results of public consultations with host communities, adopt the site-specific ESMPs and thereafter implement measures and actions to assess and manage traffic and road safety risks– including those resulting from movement of Project related vehicles transporting Project workers and any project related goods and materials – on Project workers and the community, as required in the ESMF.</p>	At the stage of development, adoption and implementation of ESMPs for the subprojects throughout the Project implementation	ARIS
4.2	<p>COMMUNITY HEALTH AND SAFETY</p> <p>Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, construction, operation of infrastructure, economic activities, behavior of Project workers, risks associated with labor influx, emergency response, health, and safety performance. Integration of risk mitigation measures will be incorporated into the site-specific ESMP to be prepared in accordance with ESMF</p>	At the stage of development, adoption and implementation of ESMP for the subprojects throughout the Project implementation	ARIS
4.3	<p>SEA AND SH RISKS</p> <p>Ensure that site-specific ESMPs include actions to prevent and mitigate risks of sexual exploitation and abuse and sexual harassment (SEA/SH) in line with ESMF and enforce their implementation. This includes, but is not limited to, development and adherence to Code of Conduct for all employees, SEA/SH-sensitized grievance mechanism, awareness raising of all employees and community members on SEA/SH risks and mitigation measures.</p>	During preparation and implementation of site-specific ESMPs throughout Project implementation.	ARIS
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY			
5.1	<p>RESETTLEMENT POLICY FRAMEWORK</p> <p>Adopt and implement a Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.</p>	Adopt the RPF prior to Board Approval and thereafter implement the RPF throughout Project implementation.	ARIS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
5.2	<p>RESETTLEMENT PLANS</p> <p>Adopt and implement a resettlement action plan (RAP) for each activity under the Project for which the RPF requires such RAP as set out in the RPF and consistent with ESS5.</p>	Adopt and implement the respective RAP, including ensuring that before taking possession of the land and related assets, full compensation has been provided and displaced people have been resettled and moving allowances have been provided.	ARIS, Local self-government bodies
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Respective measures to manage biodiversity risks shall be developed and reflected in specific ESMPs consistent with ESS6 and the ESMF; if significant risks and adverse impacts on biodiversity are identified in accordance with ESS6 and the ESMF, appropriate, Biodiversity Management Plans (BMP) shall be developed and included in the site-specific ESMPs.</p>	Same timeframe as for the adoption and implementation of the ESMPs under action 1.2 above.	ARIS
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FINDS</p> <p>In accordance with the ESMF incorporate into the ESMPs, ESMP checklists Chance Finds Procedures, and include in all civil works.</p>	Adopt the Chance Finds Checklist during the assessment of environmental and social risks and preparation of subproject proposal, and thereafter implement it throughout Project implementation.	ARIS, Local self-government bodies
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	Adopt the SEP prior to Project Appraisal and thereafter implement the SEP throughout Project implementation.	ARIS
10.2	<p>PROJECT GRIEVANCE MECHANISM</p>		ARIS

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Maintain and operate the grievance mechanism as described in the SEP, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Operationalize the grievance mechanism until the commencement of Project activities and thereafter maintain and operate the mechanism throughout Project implementation.	
CAPACITY SUPPORT			
CS1	<p>Training for PIU staff, such as Project Coordinator, Engineers and all field staff:</p> <ul style="list-style-type: none"> • Specific aspects of environmental and social assessment; • Ensuring the emergency preparedness and response; • Community health and safety; • Preparation of Environmental and Social Management Plans; • Resettlement Policy Framework; • Climate change adaptation; • Overview of WB ESS and their implementation during the project cycle; • SEA/SH training and awareness raising/implementation of GBV action plan; • Code of Conduct. 	Throughout Project implementation stage; once a year as refresher training for each action point.	ARIS

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>CS2 Training for Project beneficiaries and stakeholders:</p> <ul style="list-style-type: none"> • Occupational health and safety including emergency prevention and preparedness and response arrangements to emergency situations; • COVID-19 infection safety awareness, Community health and safety, GBV and SEA/SH; and Grievance Mechanism; • Public health and safety; • Requirements of the Code of Conduct regarding engagement with the Project beneficiaries; • Review of WB ESSs and their implementation during the project cycle. • National environmental requirements for project preparation and implementation; • Implementation ESMP, LMP, SEP, GRM; • Implementation of environmental screening/ESMP, social screening Preparation of site-specific ESMP reporting; • SEA/SH training and awareness raising/implementation of GBV action plan; • Applying approved pesticides, herbicides, etc. 	<p>Prior to commencing relevant works</p>	<p>ARIS</p>