PEOPLE'S COMMITTEE OF BINH DINH PROVINCE DEPARTMENT OF AGRICULTURAL AND RURAL DEVELOPMENT

### DAM REHABILITATION AND SAFETY IMPROVEMENT PROJCET (DRASIP/WB8)

# **RESETTLEMENT ACTION PLAN**

# SUBPROJECT: DAM REHABILITATION AND SAFETY IMPROVEMENT OF THACH BAN RESERVOIR, PHU CAT DISTRICT, BINH DINH PROVINCE

Binh Dinh, May 2015

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PROJECT OWNER	THE CONSULTANT			

Binh Dinh, May 2015

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## Abbreviations

CPCCommune People's CommitteeCPOCentral Project OfficeDARDDepartment of Agriculture and Rural DevelopmentDRASIPDam rehabilitation and safety improvement projectDMSDetailed Measurement SurveyDPCDistrict People's CommitteeDRCDistrict Resettlement CommitteeEMPFEthnic Minorities Policy FrameworkEMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	AP	Affected person
CPOCentral Project OfficeDARDDepartment of Agriculture and Rural DevelopmentDRASIPDam rehabilitation and safety improvement projectDMSDetailed Measurement SurveyDPCDistrict People's CommitteeDRCDistrict Resettlement CommitteeEMPFEthnic Minorities Policy FrameworkEMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	CPC	-
DRASIPDam rehabilitation and safety improvement projectDMSDetailed Measurement SurveyDPCDistrict People's CommitteeDRCDistrict Resettlement CommitteeEMPFEthnic Minorities Policy FrameworkEMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	СРО	1
DRASIPDan rehabilitation and safety improvement projectDMSDetailed Measurement SurveyDPCDistrict People's CommitteeDRCDistrict Resettlement CommitteeEMPFEthnic Minorities Policy FrameworkEMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	DARD	Department of Agriculture and Rural Development
DMSDetailed Measurement SurveyDPCDistrict People's CommitteeDRCDistrict Resettlement CommitteeEMPFEthnic Minorities Policy FrameworkEMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	DRASIP	1 0 1
DRCDistrict Resettlement CommitteeEMPFEthnic Minorities Policy FrameworkEMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	DMS	
EMPFEthnic Minorities Policy FrameworkEMDPEthnic Minorities Policy FrameworkEMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	DPC	District People's Committee
EMDPEthnic Minorities Development PlanESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	DRC	District Resettlement Committee
ESIAEnvironmental and Social Impact AssessmentESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	EMPF	Ethnic Minorities Policy Framework
ESMFEnvironmental and Social Management FrameworkGOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	EMDP	Ethnic Minorities Development Plan
GOVGovernment of VietnamHHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	ESIA	Environmental and Social Impact Assessment
HHHouseholdIMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGOOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	ESMF	Environmental and Social Management Framework
IMCIndependent monitoring consultantIOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	GOV	Government of Vietnam
IOLInventory of LossesRAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	HH	Household
RAPResettlement action planLARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	IMC	Independent monitoring consultant
LARLand acquisition and resettlementLURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	IOL	Inventory of Losses
LURCLand use right certificateMOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	RAP	Resettlement action plan
MOFMinistry of FinanceMOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	LAR	Land acquisition and resettlement
MOLISAMinistry of Labors – Invalids and Social AffairsNGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	LURC	Land use right certificate
NGONon-government OrganizationOPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	MOF	Ministry of Finance
OPOperating PolicyPADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	MOLISA	Ministry of Labors – Invalids and Social Affairs
PADProject Appraisal DocumentsPPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	NGO	Non-government Organization
PPCProvince People's CommitteePMUProject Management UnitPRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	OP	Operating Policy
PMUProject Management UnitPRAParticipation Rural AssessmentPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	PAD	Project Appraisal Documents
PRAParticipation Rural AssessmentRPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	PPC	Province People's Committee
RPFResettlement Policies FrameworkTORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	PMU	Project Management Unit
TORTerms of ReferenceUSDUnited States DollarVNDVietnamese Dong	PRA	Participation Rural Assessment
USD United States Dollar VND Vietnamese Dong	RPF	Resettlement Policies Framework
VND Vietnamese Dong	TOR	Terms of Reference
	USD	United States Dollar
	VND	Vietnamese Dong
WB World Bank	WB	World Bank

### **DEFINITION OF TERMS**

Project impacts	Any impacts relating directly to land acquisition or limit using legal areas or protected areas
Affected persons	Any person who, as a result of the implementation of a project, loses the right to own, use, or otherwise benefit from a built structure, land (residential, agricultural, or pasture), annual or perennial crops and trees, or any other fixed or moveable asset, either in full or in part, permanently or temporarily.
Cut-off-date	Is the date when the PPC issues the Notification of Land acquisition for the relevant project (Article 67.1 of Land Law 2013) before implementation of detailed measurement survey. A census survey will done before the cut-off date is announced to establish a list of potential affected households.
Eligibility	Any person who used the land affected by the project and listed before the cut-off-date: (i) with formal legal right to land; (ii) without formal legal right to land but have a claim to such land or assets recognized under the laws of the country (iii) without recognizable legal right or claim to the land they are occupying.
Replacement cost	For agricultural land, the replacement cost is the pre-project or pre-displacement, whichever is higher, market value of land of equal productive potential or use located in the vicinity of the affected land, plus the cost of preparing the land to levels similar to those of the affected land, plus the cost of any registration and transfer taxes. For land in urban areas, it is the pre-displacement market value of land of equal size and use, with similar or improved public infrastructure facilities and services and located in the vicinity of the affected land, plus the cost of any registration and transfer taxes. For houses and other structures, it is the market cost of the materials to build a replacement structure with an area and quality similar to or better than those of the affected structure, plus the cost of transporting building materials into the construction site, plus the cost of any labor and contractors' fees, plus the cost of any registration and transfer taxes. In determining the replacement cost, depreciation of the asset and the value of salvage materials are not taken into account, nor is the value of

	valuation of an affected asset.
Resettlement	Covers all direct economic and social losses resulting from land
	taking and restriction of access, together with the consequent
	compensatory and remedial measures. Resettlement is not
	restricted to its usual meaning-physical relocation. Resettlement
	can, depending on the case, include (i) acquisition of land and
	physical structures on the land, including businesses; (ii) physical
	relocation; and (iii) economic rehabilitation of affected persons
	(APs), to improve (or at least restore) incomes and living standards.
Entitlements	Include compensation and assistance for APs based on the type and extent of damage.
Inventory of Losses	Is process of accounting for physical assets and income affected
(IOL)	by project.
Socio - Economic	A socio-economic baseline survey of households, businesses, or
Baseline Survey (BLS)	other project-affectd parties needed to: identify and accureately
•	compensate or mitigate losses, assess impacts on household
	economy, and differentiate affected parties by level of impact.
Vulnerable groups	People who by virtue of gender, ethnicity, age, physical or mental
	disability, economic disadvantage, or social status may be more
	adversely affected by resettlement than others and who may be
	limited in their ability to claim or take advantage of resettlement
	assistance and related development benefits.
Livelihood	Economic activities and income streams, usually involving self-
	employment and or wage employment by using one's
	endowments (both human and material) to generate adequate
	resources for meeting the requirements of the self and
	household on a sustainable basis.
Income restoration	Re-establishment of sources of income and livelihoods of the
	affected households.
Stakeholders	Any and all individuals, groups, organizations, and institutions
	interested in and potentially affected by a project or having the
	ability to influence a project.

## SUMMARY CONTENTS OF RESETTLEMENT ACTION PLAN

### a) Objectives of subproject

Subproject: Rehabiliation and safety improvement of Thach Ban reservoir, Cat Son commune, Phu Cat district, Binh Dinh province ensures water supply for 130 (ha) of agricultural land of 355 households; modernization in management, operation and improve ecological system and combination with fishery. In addition, project will ensure safety, protect 80 households (they are in list of 355 households) and infrastructure at downstream area of Thach Ban Dong village, Cat Son commune. Therefore, 355 households (1,226 people) are expected to receive benefit from project .

### **b) Scope of impact**:

The implementation of project will impact on three (03) villages: Thach Ban Dong, Thach Ban Tay, Hoi Son of Cat Son commune, Phu Cat district. Because subproject invests in repairing and rehabilitating the current works and the expected location of material storage is in the safety corridor of works, so the impact at household level is insignificant: No household relocation, no household losing from 20% of productive land (from 10% of productive land for vulnerable households & poor households), no impact on house, architectural building, no impact on business.

Results of IOL has showed that there are a total 378 households (with 1,324 people) being affected by the project, of which affected households by land acquisition are 23 households (98 people) and water cut during construction affecting 355 households (1,226 people). Total acquired land is 144,504 of which, permanently acquired land area is 1,611 m<sup>2</sup> (including: 677m2 of garden land and 588m2 of annual tree's land of 12 households and 346m2 of land managed by People's Committee of Cat Son commune), temporarily acquired land area is 142,893 m<sup>2</sup> project (including: 132,893m2 of annual tree's land of 11 households and 10,000m2 of paddy field managed by People's Committee of Cat Son commune).

In addition, 447,774m2 of paddy field and 299,991m2 of crop land are affected by cut – off water for construction that resulting in suspension of production in Summer – Autumn crop in 2016.

Crops and trees are affected including: Eucalyptus: 14,843 tree; 12 coconut palm; 96 peach tree;  $15.348m^2$  of cassava;  $425m^2$  of paddy field; and  $49,389m^2$  of other crops such as: chilli, watermelon, peanut.

### c) Legislation policies

The policies of compensation, support and resettlement of project are determined according to the regulations and current law of Vietnam Government and WB's policies.

### d) Entitlement of the affected people

The entitlements of the affected people are developed and performed in RAP (see the table of entitlement matrix ) corresponds to the identified effects in the estimated damage survey process

and socio-economic survey. The benefits will be updated, if necessary, after the DMS and consultation with affected households, to ensure that the damage will be recovered, or improved

### e) Dissemination of information, public consultation and complaint address

The public consultation meeting, discussion with the affected households and local staffs in villages, commune will be conducted during the RAP preparation. Project's policies and selection plan of replacment, income recovery also are discussed in these meetings. Related issues, the proposal of the AHs have been raised and brought to the RAP. The grievance redress mechanism will be designed to ensure that all inquiries or complaints from affected households will be addressed properly and promptly. Affected people will know their rights through notifications and documents in the course of consultations and surveys. The main information in the draft RAP will be informed to the affected people before apprasing by WB.

### f) Organization of implementation

Ministry of Agricultural and Rural Developmement (MARD), and (CPO) will ensure the coordination for the implementation of the RAP. MARD will work with the province of Binh Dinh and direct Binh Dinh DARD with People's Committee of Phu Cat district to ensure that the compensation and assistance are made in accordance with this RAP. Compension and site clearance board of Phu Cat district with representatives of the affected households will be established to monitor compensation process. During implementation of project, the independent monitoring consultant will monitor the implementation of project in order to ensure that compensation, support are in line with the approved resettlement plan.

### g) Funding for compensation, support and resettlement

Total cost of compensation, support and resettlement for the subproject is: VND **3,374,000,000**, equivalent to: \$157,238. Total cost of compensation, support and resettlement will be determined exactly after implementing detaied measurement.

Binh Dinh, May 2015

## PART I. INTRODUCTION

### 1.1 Introduction of project and subproject

### 1.1.1 Introduction of Dam rehabilitation and safety improvement project (WB8)

Project's name: Dam Rehabilitation and Safety Improvement Project

Donor: World Bank (WB)

Project owner:

- Management agency: Ministry of Agricultural and Rural Development

- Project owner: Central Project Office (CPO)

- Sub-Project ower: Department of Agricultural and Rural Development, Binh Dinh Irrigation Project Management Unit

*Implementation time*: 7 years from 2015 ÷ 2022

Sources of fund: investment cost: \$ 460 million

### General objectives of project:

The objectives of project is to support the implementation of dam safety program of Government via dam and reservoir safety improvement as well as protection for people and public's assets at downtream area.

Tasks of project:

- Strengthening capacity, institutional at national level regarding on dam safety management via supplement, adjustment of guidance to ensure dam safety.

- Strengthening flood management capacity at region level and dam operation with coordination of MARD, MoIT, MonRE.

- Ensuring dam safety, stability and recovery the designing function via rehabilitation, improvement and equiping for operation and prediction equipement.

The components of project: the project contains 4 components:

### Component 1: Dam safety rehabilitation (US \$ 385 million)

This component will improve dam safety through physical rehabilitation of existing infrastructure, including: i) Detailed design, supervision and quality control of rehabilitation works for prioritized dams and associated infrastructure; (ii) rehabilitation works, including civil works, hydro-mechanical works and installation of hydrological and safety monitoring equipment; (iii) preparation of Operation and Maintenance Plans and Emergency Preparedness Plans.

### Component 2: Dam safety management (US \$ 60 million)

This component will improve the planning and operational framework for dam management to safeguard the people and socio-economic infrastructure within downstream communities. This would include provision of support to: (i) hydrological observation network and information

systems; (ii) integrated development planning and operational coordination mechanisms between irrigation and hydropower reservoirs; (iii) regulatory and institutional support iv) capacity enhancement, basin-wide integrated dam reservoir operation plans, emergency preparedness plan. This component will support MARD, MoIT, MonRE in implementation of technical support for National Program, complete instituational, mechanism coordination and implementation between Ministries, Sectors, Department, Agencies, local and relevant levels.

### **Component 3: Project management support (US\$ 15 million)**

Th project is implemented with participation of three Ministries, project scope is spread in 31 provinces; most of reservoirs are located in mountainous area, with difficult traffic condition and implementation time is extended to 6 years; the allocation of fund is difficult in implementation of project.

This component will provide cost for management, monitoring, assessmeent, technical support, bidding, audit, information, training, purchasing equipements for project's management.

# Component 4: Disaster Contingency\_(\_no fixed allocation, but not to exceed 20% of the total project cost)

This component will improve the response capacity of the Government in case of an emergency relating to dam failure during project implementation. In the event of an emergency, this contingency component would facilitate rapid utilization of loan proceeds by minimizing the number of processing steps and modifying fiduciary and safeguard requirements so as to support rapid implementation.

### 1.1.2 Introduction of subproject

*Subproject*: "Dam rehabilitation and safety improvement of Thach Ban reservoir, Cat Son commune, Phu Cat district, Binh Dinh province" is the one of the selected subproject. The distance from subproject area to 1A National highway is about 7.5km in the West and to Quy Nhon city is 40km in the North; coordination; 13<sup>0</sup>53'33.98" N and 109<sup>0</sup>13'50.53" E (figure.1) *Construction location*: Cat Son commune, Phu Cat district, Binh Dinh province.



Figure 1.1: The location of subproject

Thach Ban reservoir was built in 1978 with small scale, total volume is 772,000 m<sup>3</sup> of water. The catchment area is approximately  $3.0 \text{km}^2$ , it is construction with grade III ensuring irrigatoon frequency of 85%; The design flood peak discharge is  $Q_{1,5\%} = 77.17 \text{m}^3$ /s and total annual flow discharge is  $W_0 = 2.7066 \times 10^6 \text{ m}^3$ . However, some components of construction are degraded and not ensure dam and downstream area safety, the detail as follows:

*Embankment*: downstream slope is eroded with ditches, there is no water drainage system at toe of downstream slope; water is leaked through embankment. Left abutment and dam foundation are subsided in large scale. seepage create a flow on dam surface and left abutment. Many sections are eroded, subsided seriously (Picture no.2).

*Upstream slope*: reinforcement stones are broken completely, crushed stone layer below is flowed, many holes with depth of 50-60cm, dam slope is deformed in range of normal water level  $2.00 \div +2.50m$  (picture no.3). Dam surface elevation varies from +52.50m to +52.90m, because of no parapet, dam surface is eroded, collapsed, reduced the width (picture no.4)



Figure 1.2: Downstream slope is eroded, seepage water created flow on surface

Figure 1.3: reinforcement stones at upstream slope are broken completely

*Spillway*, is free spillway, the width is B=30m. length of spillway is 50m, dissipation yard at downstream is stone structure, downstream is accumulated (picture no.5).



Figure 1.4: Width of dam surface is narrowed; different dam elevations



Figure 1.5: Downstream area of dissipation yard is accumulated

*Intake culvert*, was built in 1990, culvert is broken now, seepage collar are damaged and lead to water lost. The valve of the outlet work intake have been broken and un-controllable (picture no. 6)

*Service road*: The road started at Son Loc bridge to Dam, L=845,4 m, width = 2,5m. Earth road, road surface is rough that leading to muddy in rainy season. Less population density along road. two sides of road are paddy field and crops land (picture 7, 8, 9, 10).



Figure 1.6: intake culvert is broken

Figure 1.7: Service road is wet in rainy season



*Figure 1.8:: Location of service road (taken from satellite)* 

Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP





Figure 1.9: Current status of the beginning point of service road

Figure 1.10: Current status of the end point of service road



*Figure 1.11: Location of borrow pit (taken from satellite)* 





Figure 1.12: current status of material storage area (area no. 1)

Figure 1.13: Current status of the expected location of campsite area

### **1.2** The negative impact of land/ asset acquisiton

The implementation of project will impact on three (03) villages: Thach Ban Dong, Thach Ban Tay, Hoi Son of Cat Son commune, Phu Cat district. Because subproject invests in repairing and rehabilitating the current works and the expected location of material storage is in the safety corridor of works, so the impact level of households is insignificant.

Results of IOL has showed that there are a total 378 households (with 1,324 people) being affected by the project, of which affected households by land acquisition are 23 households (98 people) and water cut during construction affecting 355 households (1,226 people). Total acquired land is 144,504 of which, permanently acquired land area is 1,611 m<sup>2</sup> (including: 677m2 of garden land and 588m2 of annual tree's land of 12 households and 346m2 of land managed by People's Committee of Cat Son commune), temporarily acquired land area is 142,893 m<sup>2</sup> of agriculture land (including: 132,893m2 of annual tree's land of 11 households and 10,000m2 of paddy field managed by People's Committee of Cat Son commune)

In addition, 447,774m2 of paddy field and 299,991m2 of crop land are affected by cut – off water for construction that resulting in suspension of production in Summer – Autumn crop in 2016.

However, the impact at household level is insignificant: No household relocation, no household losing more than 20% of productive land (more than 10% of productive land for vulnerable groups and poor affected households), no impact on house, architectural building, no impact on business. The detailed data for each components are following:

#### a. Impact on land acquisition due to construction:

- *Service road:* Construction road starts from Son Loc bridge with length of 845m, of which 750m of earth road, the expected width is 3m (1.5m for each side). Land is acquired permanently for management road, the area of permanently acquired land is 1,611m<sup>2</sup>, including: 677m<sup>2</sup> of garden land and 588m<sup>2</sup> of annual planting tree's land of 12 households and 346m<sup>2</sup> of land managed by People's Committee of Cat Son commune.

- *Headworks (dam, spillwat, intake culvert):* There is no impact on land acquisition because of just rehabilitating the current works.

- Soil transportation road: distance from borrow pit to reservoir is 1,000m2 of soil surface managed by People's Committee of Cat Son commune, thus there is no land acquisition.

- Borrow pit, includings:

- The main borrow pit: The location at Go Chuong area, downstream of dam, right bank of Dap Da stream and 1.0 km distance to Thach Ban dam; the area of land is 6,0ha. Cassava, watermelon and eucalyptus of 9 households are plant on this land, and there is no households living there. The expected depth for dredging is 3.0m and the volume of soil is approximately:  $60,000m2 \times 3m = 180,000 \text{ m}^3$  of soil.
- The reserve pit (in case of lack of soil in the main borrow pit): is located in the right abutment of spillway, distance to spillway and Thach Ban's dam is 100m and 1.0 km respectively; the area is 7.0ha. This is productive land of 1 households planting cassava, peanut and eucalyptus; there is no households living there. The expected depth of dredging is 2.5m and the exploitation volume is: 70,000m2 x2.5 = 175,000 m<sup>3</sup> of soil.

Land acquisition for borrow pit is temporary, and return to households after taking soil surface, the area of temporarily acquired land: 130,000m2 of annual planting tree's land (including: 60,000m2 at borrow pit and 70,000 m2 at reserve pit). Project will compensate, support to 10 households: compensation for damage to plants on land at inventory time of land acquisition, support for loss of income in time of construction, and support to cost of land reclamation

- *Campsite, material storage area:* the expected location is dyke's toe, and crops area of 01 household, where is planting watermelon; the temporarily used area is 3,000m2 in construction time.

- *Disposal site:* located in downstream of dam, the temporarily used area for disposal is 10,000m2, after finishing project this area is used for production normally. This land is managed by People's Committee of Cat Son commune and is bided for planting rice. Before implementation time (at the end of 2015), People's Committee of commune will stop bidding contract, so there is no impact of harvest and there is no compensation for households.

- Crops and trees are affected including: Eucalyptus: 14,843 tree; 12 coconut palm; 96 peach tree; 15.348m<sup>2</sup> of cassava; 425m<sup>2</sup> of paddy field; and 49,389m<sup>2</sup> of other crops such as: chilli, watermelon, peanut
- Buildings, architectural objects: no building is impacted.

b. Impact due to cut - off water for construction:

A Thach Ban irrigation reservoir is the water resources for irrigation to 130 hectares of agricultural land. Some negative impacts during the construction time such as the interrupting water supply to agricultural practices and downstream communities could be considered. Therefore, the construction schedule should have to arrange following the most appropriate schedule in reality, and can reduce the negative impacts. However, due to the effect of water releasing for construction, so that planting season with areas using water from Thach Ban's reservoir is affected. The expected time for construction is two years (no construction in flooding season). In the first year, reservoir is drained totally from March to August for construction of intake culvert, embankment and spillway. Before flooding season of the first year (August 31), intake culvert will be finished, embankment will be constructed to the normal water elevation of +50,80m, reservoir is stored and re- operated.

Construction of culvert and dam affected only summer – autumn crops in the first year (winter – spring crops finish in March, stop irrigation before starting construction). PMU will announce time of cut - off water for suspension of production in Summer – autumn crop in 2016. According to crop struction, in total of  $1,300,000m^2$  of productive land are benefit from Thach Ban reservoir. 747.765m<sup>2</sup> (including: 447,774m<sup>2</sup> of paddy field and 299.991m<sup>2</sup> of crop land) of

land are impacted due to cut- off water for construction ( the rest of area is not impacted because of additional water supply from Hoi Son reservoir or no summer – autumn crop). Number of households are impacted indirectly due to cut – off water for construction are 355 households (1,226 people).

### **1.3 The mitigation measures for negative impacts**

In order to mitigate the impact of land acquisition and resettlement, many activities have been implemented in proposal and design phase of subproject. Based on the current condition, the design consultant selected the appropriate construction execution after calculating and studying in order to minimize the impacted land and asset.

The following measures should be implemented to minimize the impact on land, trees and assets of local people in designing stage:

- Selecting construction measures at the location which does not impact on households' land and asset.
- Selecting an appropriate construction road with construction execution in order to limit impact on agricultural production and local people traffic. The transportation roads have to reinstate after project completing.
- Subproject will use temporarly an area for gathering material, construction machine, workers' campsite, construction barrier, and borrow pit and the other impacts in construction process.
- Irrigation schedule has to be ensured according to the requirement of agricultural production and domestic water use in construction phase.

The adjusted designs will be considered continuosly during implementation process of project in order to minimize the adverse impacts on affected households by project.

### **1.4. Resettlement action plan**

The resettlement action plan (RAP) is developed according to the Resettlement Policy Framework in line with Involuntary Resettlement Policies of World Bank and other polices, laws of Social Republic of Viet Nam and Binh Dinh province. RAP determines number of affected households, characteristics, impact level, and mitigation measures and other compensation, support policies. The main contents include:

- Policies and procedures of land acquisition, compensation, resettlement and strategies ensure livelihood recovery as well as jobs for the affected people;
- Clarify the households who are affected negatively by project; they will be compensated and supported by applying the negative impact mitigation measure;
- the basic information should be disclosure of project and the potential impact on local community; at the same time the Resettlement policies of World Bank should be explained clearly to residential community;
- The comments and desire of the affected households should be recorded and reported to the project owner.
- A plan is developed in order to the affected people take part in the project's stages, including complaint addressing mechanism.
- Budget of resettlement implementation and other expenditure for support income recovery as well as administrative cost.

RAP will be updated after completion of detailed design and DMS; it will determine exactly the range and impact level of subproject. Therefore, the implementation of Resettlement Action

Plan is data base to estimate the relevant expenditure, important based legislation and procedure in project implementing; contribute to address the conflict and complaint of the affected people.

# PART II. RESULTS OF SOCIO – ECONOMIC SURVEY OF THE AFFECTED PEOPLE

### 2.1. Social information

### 2.1.1. The socio – economic information of the affected area

The socio – economic conditions include land encroachment data and resettlement impact. The data of subproject conditions include map of land use, population and employment data, education level, poverty level and rate of children on the age going to school in the project area.

Living condition in Cat Son commune is difficult; Cat son commune is vulnerable place by disasters and climate change; there are many proverty households with economy mostlt based on agriculture and low income. Total natural area: 11,358.2 ha of 3 villages: Thach Ban Dong, Thach Ban Tay, Hoi Son. There area 1,450 households equivalent to 5303 people (2742 male, 2561 female), population density is 47 people/km2; number of people on working age (16-60) is 3128 people and out of working age is (< 16 and > 60) 2175 people. Kinh people is: 1439 households, with 5265 people; Ba Na people: 11 household, 38 people ( living outsite Thach Ban reservoir area and they are not impacted by subproject). Classification according to the production type, 1406 households is working in agriculture and forest sector in total of 1450 households and the rest part is working in commercial, service sector and others (*Source: the economic – socio report of communes in 2014*)

### 2.1.2 The characteristic of affected household:

Consultant carried out interviewing, investigation: 123 households; of which: 23 households are impacted due to land acquisition and 100 households are selected in total of 355 households affected by cut – off water for construction with the following results:

### 2.1.2.1 Cultural level

Table 2.1: Educational qualification of the affected households			
Contents	Quantity	Percentage (%)	
Illiteracy	6	1.1	
Primary	132	24.5	
Secondary	245	45.5	
High school	69	12.8	
College	13	2.4	
University	44	8.2	
Never going to school	30	5.5	
Total	539	100	

 Table 2.1: Educational qualification of the affected households

Source: Investigation data in March 2015

The SES results show that educational qualification of the affected households (table 1): 245 people accounting for 45.5% are secondary education; 132 people accounting for 24.5% are primary education. Number of people graduated university and college are 44 people accounting for 8.2% and 30 children accounting for 5.5% under 6 year – old are on age of going to school or never going to school. This results show that residents pay attention to education at low level.

2.1.2.2 Major job and income of affected people

Contents	Quantity	Percentage (%)
Loss of working capacity	17	3,2
Agriculture, forest, fishery	289	53,6
Trade, service	2	0,4
Official staff	9	1,7
Student	141	26,1
Worker	17	3,2
Military	5	0,9
Housework	2	0,4
Employer	18	3,3
Unemployment	4	0,7
Children	35	6,5
Total	539	100

 Table 2. 2: The major job of affected households

Source: Survey data in March 2015

The main income of the affected households come from agriculture sector, 289 people accounting for 53.6% take part in cultivation (crop and rice) raising livestock, poultry (cown, duck, chicken...); 141 people accounting for 26.1% are students, 26 people are staffs and workers (9 people is government staffs, 17 people are workers) accounting for 4.9%. rain addition, this data also show that 17 people (accounting for 3.2%) are disablement and 6,5% of them are children.

Contents	Number of households	Percentage (%)
Below 1,000,000 VND	0	0
From 1,000,000VND to 2,000,000 VND	7	5,7
From 2,000,000 VND to 3,000,000 VND	15	12,2
From 3,000,000VND to 5,000,000VND	72	59,4
Higher 5,000,000đ	28	22,7
Total	123	100

Source: investigation data in March 2015

The data table 3 shows that the average income of the affected households varies from 3 million VND - 5 million VND accounting for 59.4% and income is 5 million/ month accounting for 22.7%. The income level is low, living conditions of local people in project area face with many difficulties .

### 2.2. Scale of subproject's impact

Inventory of Losses (IOL) is implemented; the implementation procedures of IOL is carried out by People's Committee of Cat Son commune coordinating PMU, the consultant preparing project, RAP's consultant based on the construction's alternative in order to determine the impact level and scope .

In March 2015, consultant group estimated the damage to land and asset on the acquired land according to the construction scale.

The project area is located in Cat Son commune, Phu Cat district, Binh Dinh province. Scope of impact includes 1,611m2 of permanently acquired land for management road and 142,893m2 of temporarily acquired land for auxilliary constructions (borrow pit, disposal site...) and after subproject finishing, this land would be reinstate for continuous production, no impact on house, architechtural objects. Number of affected households are listed in table 4:

attactad	Relocated households	Number of affected ethnic people	Number of vulnerable household	Number of households are affected more than 20% of productive land (more than 10% of productive land for vulnerable groups and poor affected households) productive	Number of househol ds impacted business	number of displac ed grave	Cultural buildings are impacted
23 households are affected by land acquisition and 355 households are affected by water cut during construction	0	0	2	0	0	0	0

Table 2. 4: Number	of affected	households	by subproject.
	or arrected	nouschoius	by subprojecti

### 2.2.1 Impact on land

Table 2. 5: The in	pact range of subproject
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Commune	Number of affected households	5	Area of temporary affected productive land (m <sup>2</sup> )	Area of permanent affected residential land (m <sup>2</sup> )	Area of temporary affected residential land (m <sup>2</sup> )
Cat Son	23	1,611	142,893	0	0

Source: Investigation data in March 2015

The IOL show that 23 households are affected by subproject due to land acquisition, of which

1,611m2 of productive land are acquired permanently and  $142,893m^2$  of productive land are acquired temporarily and 355 households (1,226 people) are affected due to cut – off water for construction; no productive land or residential land is acquired permanently

### 2.2.2 Impact on house and architectural building: no building is affected

### 2.2.3 Impact on standing crops and trees

Number of trees on land which is acquired temporarily: eucalyptus: 14,843 trees; 12 coconut palms; 96 peach trees; 15,348m2 of cassava; other crops such as: chili pepper, watermelon, peanut...

### 2.2.4 Impact on business, production

No household affected on business.

### 2.2.5 Impact on the vulnerable household

According to the survey data, 2 vulnerable households are (01 single mother household and 01 poverty household) acquired land for service road, but the lost area is below 10% of total area.

### 2.2.6 Impact on grave

There is no impact on grave.

### 2.3. Inspiration for resettlement and livelihood recovery.

### 2.3.1. Selecting resettlement alternative

According to the investigation data: the affected households stated that they desire to receive cash due to loss of land and asset attached land or receive support due to suspend production for construction.

### 2.3.2. Compensation use and livelihood recovery plan

The 23 affected households will use a part of compensation for their children's education and the rest of compensation will be saved to get monthly interest for daily expense

\* **Expectation of local on project:** the households stated that the project has impact positively on production stability later, so it is recommend to implemented as soon as possible. Local labour should be consider in implementation process. Compensation should be implemented early according to the regulation of Government and Sponsor.

# PART III. POLICY FRAMEWORK AND ENTITLEMENTS

### 3.1 Policy framework

A Resettlement Policy Framework has been adopted that guides resettlement planning and implementation for all subprojects of the project "Dam rehabilitation and safety improvement project.

The overall objective of the policy is to ensure that all people affected by the subproject are able to maintain and, preferably, improve their pre-subproject living standards and income-earning capacity from compensation and life rehabilitation assistance for the loss of movable and immovable assets.

The policy applied for this RAP is based on the laws and regulations of the Government of Viet Nam (GOV) and the Involuntary Resettlement Policy of WB which integrated in RPF of the project.

### 3.2 Laws and provisions of Vietnam Government

Legislation framework of Government of Vietnam: the laws and decree related to land acquisition and resettlement applied in Vietnam and the decision of city/ province include: Constitution of Socialist Republic of Vietnam (1992) and adjusted version (2013) confirm the land use/ own right. In addition, State, government, Ministry of Natural Resources and Environment, Ministry of Agricutlrural and Rural Development, Ministry of Industry and Trade issued regulations, decrees, and decision which create land legislation framework regarding on land acquisition, resettlement, compendation and safety protection range of dam, hydraulic works. the main documents include:

- The Constitution of the Socialist Republic of Vietnam (2013).
- Land Law No.45/2013/QH13.
- Ordinance 34/2007/PL-UBTVQH11 of Congress, dated 20/4/2007 on the implementation of democracy in communes, wards and towns, defined the issues to the public, including public implementing "project, investment projects and priorities, implementation schedule, compensation, support clearance and resettlement related to the project, works on the commune level
- Decree No.43/ND-CP dated May 15, 2014 on the implementation of the Land Law;
- Decree No. 44/2014/ND-CP dated May 15, 2014 on Regulation of land valuation;
- Decree No.47/2014/ND-CP dated May 15, 2014 on compensation and resettlement when the land is acquired by the State;
- Decree No. 38/2013/ND-CP dated April 23, 2013 on the management and use of sources of official development assistance (ODA);
- Decree no. 112/2008/NĐ-CP on intergrated natural resource and environment management, protection, exploitation of reservoir;
- Decree no. 72/2007/NĐ-CP dated May 07, 2007 of Government on dam safety management;
- Decree no. 201/2013/NĐ-CP dated November 27, 2013 of Government regulating in detail implementation of Water Resources Law;
- Decision No 1956/2009/QD-TTg dated November 17, 2009 of the Prime Minister on approval of the overall strategy for vocational training for rural workers by 2020;
- Decision 52/2012/QD-TTg of the Governmental Prime Minister, dated 16 November 2012 issuing policy on assistance for job creation and vocational training to laborers

whose agricultural land is acquired;

- Decision no. 64/2014/QĐ-TTg of Prime Minister dated November 18, 2014 on policies of migration, resettlement of irrigation and hydro power project;
- Decree no. 33/2008/TT-BNN dated February 04, 2008 of Ministry of Agricultural and Rural Development on guiding implementation some issues of Circular no. 72/2007/NĐ-CP;
- Circular no. 45/2009/TT-BNN dated July 24, 2009 of Ministry of Agricultural and Rural Development on development and approvement of hydraulic works's protection solutions;
- circular no. 65/2009/TT-BNN dated October 12, 2009 of Ministry of Agirucltural and Rural Development guiding on arragement and classification of irrigation works;
- Circular no. 40/2011/TT-BNN dated May 27, 2011 of Ministry of Agricultural and Rural Development regulating the capacity of individual, organizations participating management, exploitation of irrigation works ;
- Circular no. 34/2010/TT-BCT dated October 07, 2010 of Ministry of Industry and Trade regulating dam safety management of Hydro power plant;
- Circular No.36/2014-TT-BTNMT dated June 30, 2014 on regulations on land and construction works valuation method and adjusted price;
- Circular No.37/2014/TT-BTNMT dated June 30 in 2014 on regulations on compensation, support and resettlement when land is acquired by the State;
- Other relevant document.

The other laws, decrees, decisions related to land management, land acquisition and resettlement include Construction Law no. 50/2014/QH13 dated June 18, 2014 regarding on construction activities, right and obligation of organization and idividual investing in construction and construction activities; Decree no. 105/2009/NĐ-CP of Government dated November 11, 2009 on administrative punishment of land; Decree no. 12/2009/NĐ-CP of Government, dated 12/2/2009 on management of construction invesment project and replaces Decree no. 16/2005/NĐ-CP and Decree no. 112/2006/NĐ-CP on management of construction invesment project, Decree no. 38/2013/NĐ-CP of Government regarding on management and use of ODA, and Decree no. 70/2001/NĐ-CP of Government on the implementation of the Law on Marriage and Family, regulating all registration dossiers of family assets and land use rights with the name of both spouses; the decision of the provincial projects related to compensation and resettlement in the province will be applied to each respective provinces

Laws, decrees and decisions related to information disclosure at aritcle 67, Land Law no. 45/2013/QH13, require information disclosure to the affected people before acquiring non – agricultural and agricultural land in period of at 90 and 180 days.

The document related to grievance and redress procedures: Law on Complaint dated November 11, 2011. Decree no. 5/2012/NĐ-CP dated October 03,2012 of Government: regulating in detail of Law on Complaint.

Besides the general policy of the Government of Vietnam, Binh Dinh province has also introduced legislation to apply the Decree of the Government and circulars to guide the agencies and departments regarding on land acquisition and site clearance. The following decisions are issued about regulations on compensation and assistance when the State acquired land area of the town and district of Binh Dinh province as follows:

- Decision no. 50/2012/QĐ-UBND dated December 20, 2012 of People's Committee of Binh Dinh province issuing compensation, support and resettlement policies when State acquires land in Binh Dinh province (adjust, supplement according to the Law on land in 2013).
- Decision no. 34/2014/QĐ-UBND dated December 22, 2014 of People's Committee of

Binh Dinh province issuing land price table in (period of 05 year) in Binh Dinh province.

- Decision no. 40/2013/QĐ-UBND dated December 16, 2013 of People's Committee of Binh Dinh province issuing compensation unit price for tree, crop when State acquires land in Binh Dinh province.
- Decision no.41/2013/QĐ-UBND dated Decemeber 16, 2013 of People's Committee of Binh Dinh province issuing compensation unit price for house, buildings, architectural objects when State acquires land in Binh Dinh province.
- And other documents related to compensation, support and resettlement in Binh Dinh province.

### 3.3 Policies of involuntary resettlement of World Bank (OP/BP 4.12)

Involuntary resettlement may result in the serious economy, social and environmental issues due to project in case the mitigation meausres can not be carried out according to the World Bank's experiment: The production system is borken; people have to face with poverty while their asset, production tools, livelihood or income are losing; local residents have to relocate to new environment where their available skills are inappropriate and competitiveness of resource are more stressfull; the growth conditions are decreasing or losed. Therefore, World Bank's policies is regulated in OP/BP 4.12 inclduing safety measures in order to consider and overcome these potential risks.

The basic guidance principles of OP 4.12 of World Bank are:

a) Involuntary resettlement should be avoided where feasible, or minimized, exploring all viable alternative project designs;

b) Where it is not feasible to avoid resettlement, resettlement activities should be conceived and executed as sustainable development programs, providing sufficient investment resources to enable the persons displaced by the project to share in project benefits. Displaced persons should be meaningfully consulted and should have opportunities to participate in planning and implementing resettlement programs.

c) Displaced persons should be assisted in their efforts to improve their livelihoods and standards of living or at least to restore them, in real terms, to pre-displacement levels or to levels prevailing prior to the beginning of project implementation, whichever is higher.

*Eligibility compensation.* The people who have to relocated or be impacted by project in the eligibility compensation include:

a) The land owner has land use right or legal assets (official);

b) The land owner has no land use right or legal assets (non official), submitted eligible documents of land use right according to the State law, based on legal documents such as land tax bill, accommodation certificate or based on accommodation permission of local authorities for affected land by project <sup>(1)</sup>.

The people who are not accepted legal land use right or not declare legal land use right will not be compensated land, but they will be received support of resettlement and other support, if necessary, to achieve proposed objectives in this policy, if they have land in the project area

<sup>&</sup>lt;sup>(1)</sup> \_And includes other documents evidencing the origin of land use, no need to declare with authority (because the characteristics of rural residential land is stable, in addition to certificate land use rights, the hand on hand trade, without the intervention of the authorities), or proof of land use rights are lost without new authorities granted or confirmed

before cut – off date determined in RAP. The people who encroached project area or created new assets (rehabilitation, new construction/ architectural objects, new planting tree) after cut off date will have no right to receive compensation or any support.

*Preparation of Resettlement Action Plan report.* Objective of OP/BP 4.12 is to minimize involuntary resettlement. Reducing involuntary resettlement by studying, designing replacement alternative, or in case of in avoidable resettlement should develop the policies for improvement of recovery living standard of the poor, the vulnerable group, or replaced people before implementing. In case of acquiring the affected land and assets as mentioned, The Resettlement Action Plan of subproject should be prepared and approved by competent authorities before signing the agreement of project. After completion of detailed technical design, number of the displaced people will be screened; the compensation price for effects types and allowances will be updated and performed in detail in the updated Resettlement Action Plan. (guidance to develop RAP is shown in Annex 1).

In case of the components of project causing negative impacts on replaced people's livelihood, the support measures will be applied in preparation time of Resettlement Action plant in order to recover or improve their living standard before implementing project. During implementation time, recovery process will be monitored to check how it is implemented, if not, the special support policies will be applied for early life recovery.

The relevant activities. This policies are applied to all components of the project related to the issue of resettlement regardless of funding. This policies also are applied to other activities related to resettlement issue: (a) the activities seriously and directly related to the project and, (b) the need to achieve the project's objectives; and (c) are made or are planning to implement the project at the same time

Determining value and compensation for damages: is the method to determine the damage value for compensation activities, support for the affected land acquisition, resettlement of the project (Replacement Cost). In this project, the damage including loss of land and buildings, and other damaged property and trees, crops. Land management agencies shall assist provincial-level People's Committees to organize identifying specific land price. Land management provincial agencies hires a consultant who function as determining land value in order to identify the specific land price. Implementation unit of compensation and resettlement will conduct surveys, investigations and proposed replacement cost for all types of affected land and buildings (both agricultural and residential land), market price for damaged crop; this price is determined for calculating compensation when the State recovers land

### 3.4 The difference between policies of Government of Vietnam and World Bank

The differences between legislations of Government of Vietnam on resettlement, compensation and support policies and World Bank are approved in project Resettlement Policy Framework and shown in table 3-1 bellow:

Subjects	Bank's OP 4.12	Government of Vietnam	Project Measures		
	Land Property				
<i>Policy</i> <i>objectives</i>	PAPs (Project Affected Persons) should be assisted in their efforts to improve their livelihoods and standards of living or at least to restore them, in real terms, to pre- displacement levels or to levels prevailing prior to the beginning of project implementation, whichever is higher	Not mentioned. However, there is a provision of support to be considered by PPC to ensure they have a place to live, to stabilize their living and production. (Article 25 of Decree 47). In case the amount of compensation/support is not enough for resettled people to buy a minimum resettlement plot/apartment, they will be financially supported to be able to buy a minimum resettlement plot/apartment (Article 86.4 of Land Law 2013 and Article 27 of Decree 47)	Livelihoods and income sources will be restored in real terms, at least, to the pre-displacement levels or to levels prevailing prior to the beginning of project implementation, whichever is higher.		
Support for affected household s who have no	5 0	Only agricultural land used before July 1, 2004 is eligible for compensation. Other cases may be considered for assistance by PPC if needed.	Financial assistance of an agreed amount will be given to all PAPs, regardless of their legal status, until their livelihoods and standards of living restore in real terms, at least, to pre-displacement levels.		

### Table 3. 1: Comparison of Vietnam's and World Bank's Policies related to Involuntary Resettlement

recogniza ble legal right or claim to the land they are occupying	beginning of project implementation, whichever is higher)		
Compens ation for illegal structures	Compensation at full cost for all structures regardless of legal status of the PAP's land and structure.	No compensation	Compensation at full replacement cost will be given for all structures affected, regardless of legal status of the land and structure.
		Compensation	
Methods for determini ng compensa tion rates	Compensation for lost land and other assets should be paid at full replacement costs,	Compensation for lost assets is calculated at price close to transferring the assets in local markets or the cost of newly-built structures. Provincial People's Committees are granted to identify compensation prices for different categories of assets. Independent land valuator can be used to determine land prices, which will be appraised by land appraisal board before Provincial People's Committee approval.	Independent appraiser identifies replacement costs for all types of assets affected, which are appraised by land appraisal board and approved by. Provincial People's Committees to ensure full replacement costs.

Compens ation for loss of income sources or means of livelihood	Loss of income sources should be compensated (whether or not the affected persons must move to another location)	Assistance in respect of income loss is given only for registered businesses. Assistance measures to restore income sources are provided.	All income losses are to be compensated and, where necessary to achieve the objectives of the policy, development assistance in addition to compensation will be provided.
Compens ation for indirect impact caused by land or structures taking	It is good practice for the borrower to undertake a social assessment and implement measures to minimize and mitigate adverse economic and social impacts, particularly upon poor and vulnerable groups.	Not addressed.	Social assessment has been undertaken and measures identified and being implemented to minimize and mitigate adverse impacts, particularly upon poor and vulnerable groups.
Livelihoo d restoratio n and assistance	Provision of livelihood restoration and assistance to achieve the policy objectives.	Livelihood restoration and assistance measures are provided. No follow-up for full livelihood restoration after resettlement completion.	Provision of livelihood restoration and assistance measures to achieve the policy objectives. These will be monitored as detailed in the RAP

Consultat ion and disclosure	Participation in planning and implementation, specially confirming the eligibility criteria for compensation and assistance, and access to Grievances Redress Mechanisms	Focus mostly on consultation during planning (consultation on draft plan of compensation, support and resettlement and plan for training, career change and facilitating job searching); information sharing and disclosure.	Consultation and participation incorporated into RAP design, along with information sharing with PAPs and stakeholders.
	Gi	ievance redress mechanism	
	Grievance redress mechanism should be independent	The same governmental body makes decisions on compensation and resettlement, and also handles grievances at the first step. However, complainants can go to court at any steps as PAP wishes.	More effective Grievance and Redress mechanisms are to be established, built on the existing governmental system, with monitoring by an independent monitor
		Monitoring & Evaluation	
	Internal and independent monitoring are required	Citizens are allowed to supervise and report on breaches in land use and management on their own (or through representative organizations), including land recovery, compensation, support and resettlement (Article 199, Land Law 2013). There is no explicit requirements on monitoring of the resettlement works,	Both internal and external (independent) monitoring is to be regularly maintained (on a monthly basis for internal and bi-annual basis for independent monitoring). An end- of-project report will be done to confirm whether the objectives of OP 4.12 were achieved.

	including both internal and independent	
	(external) monitoring	

## PART IV. COMPENSATION POLICIES

### 4.1 The main principles and objectives

The main principles on compensation, support and resettlement include:

- The impacts on land acquisition and assets as well as relocation of AHs must be minimized. In case that the minimization of land acquisition is infeasible, the compensation and assistance for restoration will be provided for people adequately
- The compensation rates will be determined on the basis of results of independent land/assets appraisal in a timely and consultative fashion. All fees and taxes on land and/or house transfers will be waived or otherwise included in a compensation package for land and structures/houses or business activities. The local authorities will ensure that APs who choose resettlement site on their own obtainment, without additional cost, will be provided with the necessary house and land use right certificate or official certificates corresponding to similar compensation packages provided to those who choose to move to the project's resettlement sites. This includes compensation for agricultural land, residential land, structures and other assets.
- The APs will be provided full assistance (including a transportation allowance) for transportation of personal belongings and assets, in addition to the compensation at replacement cost of their houses, lands, and other properties.
- Additional efforts, such as economic rehabilitation assistance, training and other forms of assistance, should be provided to APs losing income sources in order to enhance their future prospects toward livelihood restoration and improvement. Vulnerable groups may need additional assistance in this regard.
- The previous level of community services and resources, encountered prior to displacement, will be maintained or improved for resettlement areas.

### 4.2 Entitlement policies

All APs who are identified in the project-impacted areas on the above mentioned cut-off date of the Project will be entitled to compensation for their affected assets, and rehabilitation measures sufficient to enhance, or at least restore their livelihoods in real terms relative to pre-project levels. The cut – off date is the date when the PPC issues the Notification of Land acquisition for the relevant project (Article 67.1 of Land Law 2013) before implementation of detailed measurement survey. A census survey will done before the cut-off date is announced to establish a list of potential affected households. The people who encroached or created new assets (rehabilitation, new construction/ architectural structures, new plants) after cut – off date will have no right to receive compensation or other any support.

Based on the types of impacts, category of APs and their benefits, the project prepared specific entitlements to each category of APs which is mentioned in the approved RPF. For details about entitlement matrix, please refer to the approved project RPF. After specific designing, specifice measurement and socio – economic investigation will be foundation to identify the real impacts, and the cost replacement investigation will be implemented to determine compensation cost and price and to refer matrix of entitlement

Turne of I/	A		In an antation Amount of the
Type of Loss/ Impacts	Application	Entitlements	Implementation Arrangements
Impacts1. Productive land²(Agricultural, garden, pond land, etc.) either in or 		Cash compensation at replacement cost (free from taxes and transaction costs) for the affected area of the land.	<ul> <li>Affected households to be notified at least ninety days before land recovery by the Project.</li> <li>The owner of land will hand over the land within 20 days from the date District Compensation Board fully pays compensation for land.</li> </ul>
	Land Users with temporary or leased rights to use land.	Cash compensation at the amount corresponding to the remaining investment on the land.	
	Land Users who do not have formal or customary rights to the affected land	PAPs will receive assistance corresponding to 80% of land replacement cost. Agricultural land used before July 1, 2004 will be compensated at 100% as per Article 77.2 of the land law. In addition to above, rehabilitation/training assistance will be provided.	

### Table 4. 1: Matrix of entitlements
		In case the PAP uses public land where there was previous agreement to return the land to the Government when so requested, they will not be compensated for the acquired public land but will be compensated for structures, crops, trees and other assets on the land at 100% of the replacement cost.	
2. Crops and Trees, aquaculture products	Owners regardless of tenure status	For annual and perennial standing crops or trees, aquaculture products regardless of the legal status of the land, compensation in cash will be paid to the affected persons, who cultivate the land, at full replacement cost in local markets to ensure the compensation is sufficient to replace the lost standing crops, trees or aquaculture products.	PAPs will be given notice several months in advance regarding evacuation. Crops grown after issuance of the deadline will not be compensated.
3. Temporary impacts	Temporary loss of land and assets.	Compensation for all damaged or lost assets, including trees, crops at full replacement cost Rental in cash for the land acquired at a rate which will be no less than the net income that would have been derived from the affected property during disruption; Restoration of the land within 3 months after use: The contractor is expected to return the land in its original condition within 3 months of the termination of the civil works.	If the quality of land is radically changed when returned to PAPs, requiring PAPs to change in the types of land use; then PAPs should be compensated for all envisaged cost of losses.

4. Allowances /Assistance       Loss of land and non-land assets as follows:       Specific assistance to vulnerable groups would be as follows:         Targeted Vulnerable Households       Affected       vulnerable groups were defined as in Terms of Terminology       Specific assistance to vulnerable groups would be as follows:         - For landless households, as in Terms of Terminology       - For landless households, assistance through provision of an apartment that PAP can either pay in installment to buy or rent it for living.         - Social Policy: (i) Relocated Households that include heroic mothers, heroic armed force, heroic labor, war veterans, wounded or dead soldiers families will be provided with support as regulated by the PPCs; (ii) Poor Relocated Households or Poor Households where 20% or more of their productive land is affected ou the remaining land is rendered unviable and to be certified by local authority).         - Other vulnerable groups affected by the Project, whether they have to relocate or not, (female headed households with dependents, households with disabled persons, elderly without any source		Households whose income generation activities, or livelihoods are affected as a result of water cut during dam/reservoir rehabilitation (temporary impact)	Compensation for all lost will be at replacement costs. Priority of participation in agricultural extension, and other assistance of local.	People to be assisted must be specified and consulted fully.
	/Assistance Targeted to Vulnerable	Affected vulnerable groups regardless of severity of impacts. The vulnerable groups were defined	<ul> <li>as follows:</li> <li>For landless households, assistance through provision of an apartment that PAP can either pay in installment to buy or rent it for living.</li> <li>Social Policy: (i) Relocated Households that include heroic mothers, heroic armed force, heroic labor, war veterans, wounded or dead soldiers families will be provided with support as regulated by the PPCs; (ii) Poor Relocated Households or Poor Households where 20% or more of their productive land is affected or where &lt;20% land is affected but the remaining land is rendered unviable and to be certified by local authority).</li> <li>Other vulnerable groups affected by the Project, whether they have to relocate or not, (female headed households with dependents, households</li> </ul>	

		<ul><li>the same support given to poor households in accordance with the provincial policy.</li><li>These households are entitled to take part in Income Restoration Program</li></ul>	
5. Other Allowances/ Assistances	Loss of land and non-land assets	<i>Incentive Bonus</i> : All PAPs who vacate the affected land immediately after receiving compensation and allowances will be given an incentive allowance in accordance with the provincial policy.	
		<b>Repair Allowance</b> : If house/structure is partially affected and the remaining structure is viable for continued use, the project will provide a repair allowance equivalent of 20% of compensation for the affected part of the structure to enable PAPs to restore it to former or better conditions.	
		The relocating households with children who are going to schools will be supported with 1-year tuition as regulated by the Ministry of Education Based on the actual situation of the locality, the PPC Chairman issues other allowances to ensure accommodation and livelihood restoration for PAPs.	

# PART V. INFORMATION DISCLOSURE AND PUBLIC CONSULTATION

## 5.1. Information disclosure policies of World Bank (OP17.50)

Information dissemination to people affected by the project and the involved agencies is an important part in preparation and implementation of the project. The consultation with affected persons and ensuring of their active participation will reduce the potential conflicts and risks of slowing the project progress. This allows the project to design a resettlement and rehabilitation program as a general development program, in accordance with the needs and priorities of affected people and therefore, this maximizes economic and social benefits of investments. Objectives of the information and community consultation program include:

- Ensuring that local competent authorities as well as representatives of affected persons will be involved in the planning and making decision. The PPMUs will work closely with the district/commune PCs during the sub-project implementation. The participation of affected persons in implementation stage will be continued by requesting each district/commune to invite representatives of affected persons to play as members of the Council/Board of Compensation and Resettlement of the district and participate in resettlement activities (property evaluation, compensation, resettlement and monitoring).
- Sharing all information about planned work items and activities of the sub-project with the affected people.
- Collecting information about needs and priorities of affected persons as well as receive information about their reactions to the planned policies and activities.
- Ensuring that affected persons can be informed fully the decisions which directly affect their income and living standards and they have the opportunity to participate in the activities and make decisions on issues directly affecting them.
- Gaining the cooperation and participation of affected persons and communities in the activities necessary for planning and implementing the resettlement.
- Ensuring the transparency in all activities related to land acquisition, compensation, resettlement and restoration.

## 5.2 Information disclosure

The objective of information disclosure is to provide information of compensation, impacts and support to the affected people and community. Actually, due to limitation of farmer's social relationship with local authority, the problems related to policies is policies are rarely exchanged between the local government and the affected people. Affected people feel comfortable when they are asked about compensation policy and received documents related to the project at any time, they do not have to wait to hear the dissemination of information

## 5.2.1 Information disclosure in preparation phase of Resettlement Action Plan

Information disclosure and community consultation are carried out in preparation and implementation time to ensure timely informing of land acquistion, compensation and resettlement to the affected households and relevant parties; this is an opportunity of the affected people to participate and expess their desire to resettlement implementation program . Resettlement consultant coordinated with People's Committee of districts/ communes in project area, leaders of organization to hold meeting and community consultation, infomation provision

and guilding next steps in order to ensure timley information provision to the affected households.

On February 25, 2015, a consultation meeting was held in the communal areas of the subproject area with participation of 23 households who are impacted by land acquisition and representative of 355 households who are impacted by water cut during construction and representives of social organization such as: Farmers' Association, Women's Union, the Vietnam Fatherland Front of Cat Son commune also participate in meetings to disseminate information and consultation on land acquisition, compensation and resettlement of the subproject

The main contents of consultation:

- General information disclosure of Vietnam and World Bank's policies, Dam rehabilitation and safety improvement project .
- Informing the information of project and World Bank's polices of resettlement, environment and minority policies via brochures and speaker;
- Collecting information and local residents' opinions regarding on project implementation
- Introducing and providing the information related to project and safety policies of World Bank; asking local residents about their information, feedback of desgin, resettlement, desire and aspiration;
- Answering the questions of local residents ralating to project and safety policies;
- Request the CPC, the project manager to answer specific questions about the local people project details or local policies;
- Record the opinion of the people, representatives of the CPC and the stakeholders in the minutes of the meeting.

## 5.2.2 Information disclosures in implementation phase of Resettlement Action Plan

The objective of information disclosure is to inform the impacts, compensation, and support to the affected households and community. There is an undeniable fact, because of the limitations of social relations and exchanges with the local government of the farmers, so the issues related to policies are not discussed frequently and directly with the people

As mentioned above, Resettlement consultant coordinated with local authority to consult with the affected households, to share information and discuss the potential positive and negative impacts during implementation process, construction progress, resettlement, compensation , support, compensation procedures and specific policies which are included in RPF. The relevant document will be passed on the affected household at the meeting .

## 5.3 Public consultation

## 5.3.1 Consultation in preparation process of Resettlement Action Plan

Community consultation was carried out in March 2015 at Cat Son with the following contents:

- Inform fully information to authority and the affected people by project .
- Sent the aconstruction schedule of devepment RAP report to district/ city authority and commune/ town authority.
- Investigate information by providing questionnairs to the affected households, including:
  - The construction's impact on local people's life, the advantages and disadvantages of resettlement. Comments of compensation, resettlement plan.

- The construction's impact on local people's life, infrastructure, the advantages and disadvantages of project's implementation.
- Comments of resettlement and proposed resettlement action plan.

In the consultation meeting, there are paticipation of: the affected households owner; representative of People's Committee of commune, social organizations (Farmers' Association, Women's Union). The contents are disscused about proposal subproject, collecting community opinions, and the differents design alternatives

The main information is informed in the meeting including: (i) subproject's scope and objectives; (ii) order, process and procedures related to compensation, support and resettlement; (iii) the impacts of resettlement.

The comments of participants: the impacts of project are insignificant because rehabilitation of current construction is to ensure irrigation effectiveness, contribute to bring benefit to local people. The affected households desire to be provided project's information and construction progress and project is implemented early

Consultation after the final draft is available. The objective of Resettlement action plan is to provide information and consult the affected people, relevant organizations and individual regarding: (i) Estimation results of damage, the expectation unit price of compensation, and the entitlement; (iii) the procedures of compensation payment and resettlement's activities ; collecting fully and exactly opinions of local people, creating oppotunities for the affected people to take part in development of resettlement plan in order to ensure democracy in development.

The participants agree to implement project; with the policy of the project and they want the project to be done soon so that people have a better chance in the development of production and life

## 5.3.2 Consultation in implementation process of Resettlement Action Plan

Before starting to update resettlement plan in accordance with the detailed design, PMU/ Compensation Committee, support and resettlement provincial/ district will hold public consultation meetings in each of the affected communes provides additional information for people affected and provide opportunities for them to participate in public discussions on policies and procedures for resettlement. Sending an invitation to all those affected people before the meeting at the same place. The purpose of this meeting is to clarify the information which is availbale on date of the meeting and provide opportunities for affected people to discuss their concerns and clarify information. Along with written notice to the affected people, the information measures should be considered to informa the affected people and community, such as posters in the visible region at headquarters CPC/ districts where affected people are living, announced through radio and local newspapers. Both men and women of the affected households as well as members of the community who are interested are encouraged to participate. During the meeting, it need to explain the project, and the rights and entitlements of households, and the meeting will be an opportunity to raise relevant questions. Similar meetings will be held periodically throughout the project.

## 5.3.3 Project's leaflet

A leaflet provides information about the project which will be developed and provided to people affected by the project during the project preparation phase and implementation phase of the project to ensure that people grasp and aware of the benefits that the project brings. Leaflets project provides compensation policies, supporting details presented in the Resettlement Policy

Framework aims to develop measures to mitigate the social impact, when the sub-project acquires land and site clearance

## 5.4 Disseminating information

As per Bank's requirement, RAP will be disclosed in Vietnamese at local level, particularly at the office of PMU, District PCs, Ward/Commune PCs and the World Bank's Vietnam Development Information Center (VDIC) in Hanoi before and after it is approved by the Government of Vietnam. The English version of this RAP will be also disclosed at the World Bank Info Shop in Washington D.C. prior to project appraisal.

## 5.5 Grievances redressing machanism

Grievances related to any aspects of the Project will be handled through negotiation aimed at achieving consensus. Complaints will pass through 3 stages before they could be elevated to a court of law as a last resort. Project management units will bear all administrative and legal fees that might be incurred in the resolution of grievances and complaints.

## First Stage – Cat Son Commune/Ward People's Committee

An aggrieved APs may bring his/her complaint to the One Door Department of the Commune/Ward People's Committee, in writing or verbally. The member of CPC/WPC at the One Door Department will be responsible to notify the CPC/WPC leaders about the complaint for solving. The Chairman of the CPC/WPC will meet personally with the aggrieved APs and will have 30 days following the receiving date of the complaint to resolve it. The CPC/WPC secretariat is responsible for documenting and keeping file of all complaints handled by the CPC/WPC.

## Second Stage – Phu Cat District People's Committee (DPC)

If after 30 days the aggrieved affected household does not hear from the CPC, or if the APs is not satisfied with the decision taken on his/her complaint, the APs may bring the case, either in writing or verbally, to any member of the DPC or the DRC of the district. The DPC in turn will have 30 days following the receiving date of the complaint to resolve the case. The DPC is responsible for documenting and keeping file of all complaints that it handles and will inform the DRC of district of any decision made. Affected households can also bring their case to Court if they wish.

## Third Stage – Binh Dinh Province People's Committee (PPC)

If after 30 days the aggrieved PAP does not hear from the DPC, or if the PAP is not satisfied with the decision taken on his/her complaint, the PAP may bring the case, either in writing or verbally, to any member of the PPC or lodge an administrative case to the District People's Court for solution. The PPC has 45 days within which to resolve the complaint to the satisfaction of all concerned. The PPC secretariat is also responsible for documenting and

keeping file of all complaints that it handles. Affected households can also bring their case to Court if they want.

## **Final Stage - Court of Binh Dinh province**

If after 45 days following the lodging of the complaint with the PPC, the aggrieved PAP does not hear from the PPC, or if he/she is not satisfied with the decision taken on his/her complaint, the case may be brought to a court of law for adjudication. Decision by the court will be the final decision.

Decision on solving the complaints must be sent to the aggrieved APs and concerned parties and must be posted at the office of the People's Committee where the complaint is solved. After three days, the decision/result on solution is available at commune/ward level and after seven days at district or city level.

To ensure that the grievance redress mechanism described above is practical and acceptable to APs, it were consulted with local authorities and communities in consideration of specific cultural attributes, especially the vulnerable group.

## PART VI. ARANGEMENT AND RESPONSIBILITY

The implementation of Resettlement activities requires the paritipation of departments, local organizations at national, province, city, district and commune level. People's Committee of province participating in project will bear responsibility to implement the general policies framework and resettlement plan of subproject in respective province. The compensation, support and resettlement boards will be established at province/ district level in line with regulations of Decree no. 47/20014/NĐ-CP, Decree no. 43/2014/NĐ-CP and Decision no. 50/2012/QD - UBND. The provision and policies of Policies framework and Resettlement plan will be the legal foundation for the implementation of compensation, resettlement activities in Dam Rehabiliation and Safety Improvement project (WB8) in Vietnam.

## 6.1 Provincial level: Province People's Committees:

PPC takes responsibility for compensation, site clearance, resettlement within province. PPC is responsible for:

- Informing or giving DPCs a mandate to inform about land acquisition after sub-project location selected.
- Giving decision on land acquisition of organizations.
- Approving the RAPs of the subproject.
- Approving overall plan of compensation.
- Directing DPCs to implement compensation, resettlement, and site clearance.
- Providing adequate funds for compensation in a timely manner.
- In special case that the compensation plan has to be approved by the provincial authority, the competent agencies establish provincial Appraisal board for assessment of compensation submitted by the Resettlement, support and resettlement Board of the district to advise the provincial authority approval in accordance with the provisions of the Government on compensation, support and resettlement and relocation policies which are applied for project

Provincial compensation board: Because of insignificant impacts' scope and level, it is not neccesary to establish Provincial compensation board .

## 6.2 Provincal Project Management Unit.

Provincial Project Management Unit (PPMU) is responsible for implementing civil works components of the project: PPMUs will manage compensation and site clearance of their respective sub-projects, encompassing:

- Prepare and update RAP.
- Submitting updated sub-project RAP prepared in the project implementation period to the authorized PC before making compensation payment.
- Co-operating closely with Departments, agencies, sectors, and the project DPCs in implementing resettlement and site clearance to ensure that the implementation of compensation and resettlement is in line with the construction schedules.
- Monitoring internally implementation of compensation and resettlement of the subprojects, preparing quarterly reports on implementation progress of compensation and resettlement of the sub-projects to CPO.

## 6.3 District level:

District People's Committees (DPCs) are responsible for:

- DPCs directly guide DRCs, District Land Development Centers and PCs of affected communes to implement the compensation, resettlement and resettlement.
- Approving compensation, support and resettlement plans submitted by DRCs (District Resettlement Committee).
- Issuing decision on land acquisition from individuals and households.
- Settling complaints and grievances of the APs within jurisdiction.

The implementation units of compensation, support and resettlement at district level bear responsibility for compensation, site clearance for works located in their respective district, encompassing:

- Conducting replacement cost surveys in their districts to establish the basis for calculation of compensation rates for affected assets.
- Co-operating with the CPCs to disseminate information and consult project affected households.
- Carrying out inventory of affected assets of HHs, preparing compensation plans to submit to the authorized PC for approval.
- Co-operating with PPMUs and CPCs of affected communes to implement compensation and site clearance.
- Responding to APs' queries and advising DPCs in redressing grievances raised by affected persons.

## 6.4 Commune and the affected community

Commune People's Committees (CPCs) are responsible for:

- Providing cadastral maps for Resettlement Committees and mobilizing their staff to be members of DMS teams.
- Co-ordinating with DRCs in delivering information and organizing community consultations.
- Redressing APs' queries relating to inventory of their assets.
- Facilitating and assisting APs in restoring their livelihoods, income, and stabilizing their lives.

Community level: Affected communes appoint their representatives participating in DMS teams to monitor the implementation process and sign in DMS (detailed measurement survey) minutes of affected households.

# PART VII. MONITORING AND EVALUATION

## 7.1. Monitoring

Monitoring is a continuous process of evaluating the implementation of the project, related to the implementation schedule which has been agreed, on the use of inputs, infrastructure and projects service. Monitoring provides all stakeholders the constant reflection on the implementation. It identified the actual and potential success and difficulties arising as soon as possible, to facilitate timely correction in operation phase of project. Monitoring has two purposes:

i) Monitor the activities of project whether it complete effectively or not, including quality, quantity and time.

ii) Asset these activities whether they achieve the project's purposes and objectives or not, and how achievement level is.

The implementation agencies (Province/ local Project Management Unit) as well as the independent monitoring organizations hired by CPO to monitor, supervise regularly the implementation of RAP.

## 7.2. International monitoring

Internal monitoring of this RAP implementation is undertaken by the implementation agency with the assistance of the project consultants. The implementation unit will monitor preparation progress and implementation of resettlement via periodical progress report. The main contents of internal monitoring include the process monitoring:

- Compensation payment for affected households for the different types of damage pursuant to the compensation policies described in the resettlement plans
- Implementation of technical assistance, allowance payment and relocation support.
- Implementation of income recovery and entitlement to recovery support .
- Dissemination of information and consultation procedures.
- Monitoring of complaint procedures, existing problems that require the manageable attention.
- Prioritizing affected persons on the proposed selections.
- In coordination to complete RAP activities and award construction contract.

The executive agencies will collect information every month from the different resettlement committees. A database tracking the resettlement implementation of the Project will be maintained and updated monthly.

The executive agencies will submit internal monitoring reports on the RAP implementation as a part of the quarterly report. The reports should contain the following information:

- Number of affected persons according to types of effects and project components and the status of compensation, relocation and income recovery for each item.
- The distributed costs for the activities or for compensation payment and disbursed cost for each activity.
- List of outstanding complaints

- Final results on solving complaints and any outstanding issues that demand management agencies at all levels to solve.
- Arisen issues in the implementation process.
- RAP Schedule is actually updated.

## 7.3.Independent Monitoring

*Objective*. The general objectives of independent monitoring are to periodically supply independent monitoring and assessing results on the implementation of the resettlement objectives, on the changes of living standard and jobs, APs income and social foundation restoration, effectiveness, impacts and sustainability of APs' entitlements, and on the necessity of mitigation measures (if any) in an attempt to bring about strategic lessons for making policy and planning in the future.

*Responsible agencies*. Based on the project scope of impact, the CPO will decide to hire a firm or individual consultant for the independent monitoring and evaluation of RAPs implementation. This is called the Independent Monitoring Consultant (IMC) specializing in social science and has experiences in independent monitoring of RAP. The IMC should start their work as soon as the project implementation comments.

*Objectives of monitoringg and evaluation*. The following indicators will be monitored and evaluated by the IMC, including but not limited to activities bellowed:

- Payment of compensation will be as follows: a) full payment to be made to all affected persons sufficiently before land acquisition; (b) adequacy of payment to replace affected assets.
- Provision of assistance for APs who have to rebuild their houses on their remaining land, or building their houses in new places as arranged by the project, or on newly assigned plots.
- Support for recovering income source.
- Community consultation and public dissemination of compensation policy: (a) APs should be fully informed and consulted about land acquisition, leasing and relocation activities; (b) the IMC should attend at least one community consultation meeting to monitor community consultation procedures, problems and issues that arise during the meetings, and propose solutions; (c) public awareness of the compensation policy and entitlements will be assessed among the APs; and (d) assessment of awareness of various options available to APs as provided for in the RAPs.
- Affected persons should be monitored regarding restoration of productive activities.
- APs' satisfaction on various aspects of the RAP will be monitoring and recorded. Operation of the complaint mechanism and speed of complaint settlement will be monitored.
- Through the implementation, trends on living standards will be observed and surveyed. Any potential issues arising in the restoration of living standards are reported and suitable measures will be proposed to ensure the project objectives.

## 7.4. Methodology for Independent monitoring

## A. Data storage

The Independent Monitoring Consultant (IMC) will maintain data base of resettlement monitoring information. This data base contain monitoring results, including monitored

households and is updated in the next data collecting times. The implementation agencies could contact with data base which are compileed by PMU and Projec owner.

## B. Report

The IMC has to submit periodical report 6 months/ times and state the findings which are appeared during un monitoring process. These monitoring reports will be submitted toCPO, and then CPO will submit reports to Project owner in form of annexes of progress reports.

The report includes (i) implementation progress report of RAP, (ii) the differences, if any, with regulations and principles of RAP, (iii) identification of the pending issues and proposal measures; the implementation agencies are informed about current situation and dealing with the difficilites timely and (iv) a report of difficulties' situation and the issues are identified in the previous report

## C.The next monitoring report

The monitoring reports will be discussed in the meeting between the IMC and PMUs. The PMUs will these meetings after receiving these report. The next activities will be conducted based on the difficulties and problems which are indentified in report and discussion results of relevant parties.

## D. The final assessment report

Actually, this is an assessment at the time of regulating resettlement activities and achievement of objectives. The independent monitoring will carry out an assessment of resettlement process and impacts 6 - 12 months after completion of resettlement activities. The used assessment questions system is based on data base of project data base system and the sample questions which were used in monitoring activities.

Finally, the summary table of resettlement assessment in the Project Completion Report (PCR) is developed before finishing project. The assessment issue contains the evaluation of project's impacts (number of the affected households, the problems which have not been reso;ved due to land acquisition and information provision in case that livelihood recovery of the affected people are equal to the pre – project level  $\cdot$ .

The RAP will be considered to not finish ubtil the final assessment and audit claim that the affected households receive fully compensation, support and recovery process of livelihood will be implemented on schedule.

Monitoring is a continuous process of evaluating the implementation of the project, related to the implementation schedule which has been agreed on the use of inputs, infrastructure and service projects. Monitoring provides all stakeholders with feedback on the implementation. It identifies the actual and potential success and difficulties which are likely arised, to facilitate timely correction in project activities phase

There are two objectives of project:

i) Assessing the activities of project whether they are completed effectively or not, including quality, quantily and time.

ii) Assessing these activities whether they would achieve the proposed objectives and goals of project or not, and how level of achievement is .

The implementation unit (Provincial Project Management Unit) and the IMC hired by CPO will monitor, supervise periodically the implementation of RAP.

## PART VIII. BUDGETS AND COST ESTIMATION

## 8.1 Funding Sources

The expenditure of compensation, support and resettlement for the affected land, assest attached land will come from the counterpart fund of Binh Thuan province, government budget and loan (if any).

## 8.2 Cost estimation of compensation and support

Summary of compensation, support expense of subproject, including .

## a. Compensation expense includes:

- For permanent acquired land : is compensated according to the replacement cost for lost land.

- For temporary affected productive land: (i) is compensated with cash according to the market price for trees, crops on affected land and loss of gross income in time project uses land; and (ii) reinstated the temporary part of land after completion of construction.

- Compensation for damage to trees and crops: (i) annual crops in pre – harvest time will be compensated with money according to market price, equivalen to the highest yield in a crops in the 3 previous years; (ii) perennial trees are compensated with money according to the market price classifying with type, ages, and yield of that tree; (iii), the timber trees are compensated with money according to the market price classifying type, ages and diameter of stem

## b. Support expense includes:

- Support to change occupation/ vocational training: according to the regulations of People's Committee of Binh Dinh province will be supported with 02 times of agricultural land value in the local land table price for all area of acquired agricultural land; the supported area do not exceed handover limitation of agricultural land.

- Support to the vulnerable group: is equivalento 30kg of rice/person/ month with the average market price at the support time of the local no less than 3 years for the people in vulnerable group. Support level of 84 months (7 years) is regulated by People's Committee of Binh binh province

c. Cost for management and provision : is equal to 10% of compensation, support value

## d. Compensation, support manners

- For acquiring land for borrow pits and camps: to be compensated according to the statistics above, and also additional supports to loss of net income during the land used by project; it means that 3 crop seasons are supported by stopping production (2 years construction with 4 crop seasons and current crop has been compensated considered as one production season)

- For productive land stopped production by cut - off water for construction: support one summer-autumn crop in 2016 (in the report of project). The level of support is equal to loss of income during suspension of production

- Consultant surveyed and collected comments from directly affected people, the indirectly affected people, the unaffected people and Cat Son commune's officers: the investment costs in agricultural production is equal to 30% of crop's revenue; therefore the net income is equal to 70% of revenue in agricultural activities. Revenue of the first season is equal to compensation expense for the one crop production.

## e. Investigation results of replacement cost

Compensation unit price for land, trees and crops is calculated according to the market price at the time of compensation payment. Subproject area is rural, so economic activities has not developed. For agricultural land, there is almost no exchang and trading activities, the survey of the compensation unit price for agricultural land of the PPC regulations are appropriate and acceptable to the people. Consultants have examined the compensation unit price for vegetation and crops under the provisions of the PPC with price equal to the market price of each asset in the project area

Land reclamation cost for reinstatement of temporary land use, investment costs to increase soil fertility, is calculated according to labor and fertilizer at the local, surveying unit price of Consultant is 1,200 VND/ m2 (equivalent to 40% of the investment costs of production)

The table below is summary of survey result of replacement cost in project area

No.	List of contents	Unit	Unit price of Binh Dinh (VND)	Investigation price (VND)	Application price (VND)
Ι	Land				
1	Land for annual crops in project area (level 4)	m2	30,000	30,000	30,000
II	Crops and trees				
1	Eucalyptus	Tree	9,000	9,000	9,000
2	Coconut	Tree	450,000	450,000	450,000
3	Anacardium occidentale	Tree	400,000	400,000	400,000
4	Cassava	Tree	2,350	2,350	2,350
5	Rice has not harvested ( calculating for 01 production season)	m2	3,600	3,600	3,600
6	Other crops (calculating for 01 production season)	m2	2,350	2,350	2,350

Table 8. 1: Investigation results of replacement cost

## Table 8. 2: Cost estimation of compensation and support of subproject

					Unit: VNĐ
TT	Contents	Unit	Volume	Unit price	Total
Α	Compensation				2,806,173,180
1.	Permanent acquisition				51,640,000
1.1.	Compensation for land				37,950,000
	Garden	m2	677	30,000	20,310,000
	Annual crop land	m2	588	30,000	17,640,000

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1 0		1 1			10 600 000	
1.2.	Trees on land				13,690,000	
	Eucalyptus planted over 4 year	Tree	40	9,000	360,000	
	Coconut planted over 10 years	Tree	12	450,000	5,400,000	
	Anacardium occidentale	Tree	16	400,000	6,400,000	
	Rice has not harvested	m2	425	3,600	1,530,000	
2.	Temporary impact				1,132,657,505	
	Cost for land reclaimation to	m2	132,893			
2.1.	reinstate the temporarily used			1,200	159,471,600	
	land					
2.2.	Trees on land				317,358,950	
	Eucalyptus planted over 4 year	Tree	14,803	9,000	133,227,000	
	Anacardium occidentale planted	Tree	80	400,000	32,000,000	
	over 5 year			400,000	32,000,000	
	Cassava	Tree	15,348	2,350	36,067,800	
	other crops: bean, chilli,	m2	49,389	2 250	116 064 150	
	watermelon			2,350	116,064,150	
	Compensation for loss of net	m2	132,893	2,350 x 3		
2.3.	income when project uses land			seasons	655,826,955	
	(crop land)			x 70%		
3	Compensation for loss of net inco	ome due t	o cut – off v	vater for	1 601 975 675	
3	construction (Summer – autumn	crops in 2	2016)		1.621.875.675	
3.1.	Paddy field	m2	447,774	3,600 x 70%	1,128,390,480	
3.2.	Crop land	m2	299,991	2,350 x 70%	493,485,195	
В	Other allowances				261,120,000	
					_01,1_0,000	
1	Allowance for job changing/ job		1 265	30,000 x 2		
1.	Allowance for job changing/ job creation	m2	1,265	30,000 x 2 times	75,900,000	
1.		m2	1,265	,		
1.		m2	1,265	times		
1. 2.	creation	m2 person	1,265 7	times 30kg of rice		
	creation Assistance for people of			times 30kg of rice x 84 months	75,900,000	
	creation Assistance for people of vulnerable groups (2 households			times 30kg of rice x 84 months x VND	75,900,000	
	creation Assistance for people of vulnerable groups (2 households			times 30kg of rice x 84 months x VND 10,500 /kg	75,900,000	
	creation Assistance for people of vulnerable groups (2 households with 7 persons)			times 30kg of rice x 84 months x VND 10,500 /kg	75,900,000 185,220,000	
2.	creation Assistance for people of vulnerable groups (2 households with 7 persons) Sum of A + B Management			times 30kg of rice x 84 months x VND 10,500 /kg	75,900,000 185,220,000 <b>3,067,293,180</b> <b>306,729,318</b>	
2.	creation Assistance for people of vulnerable groups (2 households with 7 persons) Sum of A + B			times 30kg of rice x 84 months x VND 10,500 /kg of rice	75,900,000 185,220,000 <b>3,067,293,180</b>	
2.	creation Assistance for people of vulnerable groups (2 households with 7 persons) Sum of A + B Management			times 30kg of rice x 84 months x VND 10,500 /kg of rice 10% x	75,900,000 185,220,000 <b>3,067,293,180</b> <b>306,729,318</b>	
2.	creation Assistance for people of vulnerable groups (2 households with 7 persons) Sum of A + B Management Management cost			times 30kg of rice x 84 months x VND 10,500 /kg of rice 10% x	75,900,000 185,220,000 <b>3,067,293,180</b> <b>306,729,318</b> 306,729,318	
2.	creation Assistance for people of vulnerable groups (2 households with 7 persons) Sum of A + B Management Management cost SUM			times 30kg of rice x 84 months x VND 10,500 /kg of rice 10% x	75,900,000 185,220,000 <b>3,067,293,180</b> <b>306,729,318</b> 306,729,318 <b>3,374,022,498</b>	

The cost is calculated at the present time and may change according to the updated RAP. The cost of replacement cost and support policy of province may change, so the compensation cost will be updated when RAP is updated

## PART IX. IMPLEMENTATION ARRANGEMENT

## 9.1. The implementation steps

Compensation and resettlement orders and procedures are based on Decree no.47/2014/NĐ-CP dated May 15, 2014, Circular no. 14/2009/TT-BTNMT dated October 01, 2009 of Ministry of Natural Resources and Environment.

Decision no. 50/2012/QĐ-UBND dated December 20, 2012 of People's committee of Binh Dinh province issuing on policies of compensation, support and resettlement when State acquires land in Binh Dinh province.

Based on above-mentioned legal bases, organization structures and coordination among concerning agencies, basic compensation and resettlement activities will be implemented following below steps:

## Step 1: Location introduction and announcement of land acquisition

Determination and announcement of land acquisition policy are based on appraisal document of land use demand of Department of Natural Resources and Environment submitting to Binh Dinh PPC for approval and issuing announcement of land acquisition ( including the reason of land acquisition, area and location of acquired land based on available cadastral dossier or in the detailed construction plan which was approved; assign responsibility to People's Committee of district and commune to annouce land acquisition, steering compensation, support and resettlement; district People's Committee is responsible for inventory, developing compensation plan). City PC is responsible for steering and widely spreading land acquisition policy, regulations on land acquisition, compensation, allowance and resettlement when the land is acquired by the State for purposes of national defense, national interests, public utilities and economic development.

Commune PCs are responsible for openly posting land acquisition policy at headquarter of commune PCs and at residential activities zone where there is acquired land, and announcing publicly on commune radio stations (at place speaker system is available).

## Step 2: Preparation of cadastral documents for acquired land

According to documents on land acquisition policy of PPC, Department of Natural Resources and Environment instruct Land use right registration offices of same level to prepare cadastral documents.

Adjust cadastral map suitably to the current status and abstract of cadastral map for places with official cadastral maps or abstract of cadastral map for places without official cadastral maps; Correct and make copy of cadastral documents (cadastral books) to submit to DRC;

Make a list of acquire land lots with following contents: map identification mark, lot identification mark, name of land user, area of lot with the same use purpose, land use purpose

# Step 3: Prepare, appraise and approve general plan on compensation, assistance and resettlement

Project owner steers consultant units to make overall plan of compensation, support and resettlement (here in called general plan) based on current data and documents supplied by Department of National Resources and Environment, which is appraised and approved with approvement of project investor. The general plan has the following main contents:

- a. Basis for plan preparation;
- b. Synthetic statistics on area of all land types, agricultural land levels, number of map pages, number of plots; estimated value of assets on land ;
- c. Synthetic statistics on number of households, people, labourers in acquired land area, in which clearly specify number of job-changed labourers, number of DPs;
- d. Estimation of compensation, assistance rate; estimated location, area of resettlement site or resettlement house and modes of resettlement;
- e. Estimation of supporting measures to resolve employment and training plan for job changes;
- f. List of works, scope of governmental works, organizations of religious bases, DPs community and estimation of location to displace;
- g. Number of displaced graves and estimation of location to displace;
- h. Cost estimation to realize the plan;
- i. Financial source to realize the plan;
- j. Plan implementation progress.

## **Step 4: Making landmarks for site clearance.**

After general plan is approved, The project owner based on the basic design for conducting boundary markers of site clearance, handed over to the organizations that are responsible for compensation, management to implement the next stages of site clearance. During the establishment, approved engineering design (or design of construction drawings) if there is an adjustment of the scope of land acquisition, project owner collaborates with organizations to compensate promptly and notify accurately immediately the local contents of the adjustment

## Step 5: Develop compensation, support and resettlement plan.

1. Site inspection, inventory.

Based on land acquisition annoucement, site clearance boundary of project, the organization who is responsible for compensation will make a minutes of specific inventory for each case of land acquisition( called inventory minutes of the compensation); the minutes has to perform clearly the following contents : name, place of permanent accommodation, temporary accommodation, number of family's member, number of employees, the people in case of social policies (if any); area and location of acquired land; number of trees, livestocks, characteristics of assets, building and construction attached land.

2. Determine origion of acquired land.

Compensation organization collaborates with Division of Land use register, People's Committee of district and ward, cadastral dossier, cadastral map and other documents related to land use right, determination of legal land users.

3. Develop compensation, support plan.

Pursuant to inventory minutes of compensation volume, origion of acquired land, unit prive and compensation policies. The compensation organization develops compensation, support and resettlement with the following contents:

- Name and address of the households who are acquired with land ;
- Area, type of land, location, origion of acquired land ;
- The basis for calculating the amount of compensation, support such as the land value for compensation, the price of houses and property for compensation, number of household's members, number of employees, number of people are entitled to social assistance
- Amount of compensation, support ;
- Arrangement of resettlement ;
- Replacement construction of state, organizations, religion and residential community;
- Displacement of graves.
- 4. Collect comments of compensation, support and resettlement plan:
  - Post publicly compensation, support and resettlement plan at headquarter of Commune People's Committee and residential area where land is acquired and the relevant people can provide their opinions;
  - Posting compensation, support and resettlement plan must be confirmed by People's Committee of commune, representative of Commune Fattherland front committee, representatives of households who are acquired with land;
  - Time of posting plan and receiving comments is at leaste twenty days,.
- 5. Complete compensation, support and resettlement plan:
  - When time of posting plan and receiving comments is over, the compensation, site clearance organization is responsible for summarizing the comments in writing, performing clearly number of agree or disagree opinions, number of other opinions on compensation, support and resettlement plan; the plan should be completed and sent the completed plan attached with summary of comments to Natural Resources and Environment Agency for approval.
  - In case that there are a lot of disagree opinions on compensation, support and resettlement plan, the compensation organization need to explain clearly or consider, adjust before appraising by Natural Resources and Environment agency.

## Step 6: Submit and appraise compensation, support plan

Division of Natural Resources and Environment is responsible for chairing, cooridnating with the relevant district divisions in appraising compensation, support plan; the plan should be submitted to district People's Committee for approval as regulation.

## Step 7: Handle complaints for land acquisition decision

- Based on the land acquisition announcement and compensation, support and resettlement plan developed and approved by competent agencies. District People's Committee make a decision of land acquisition for affected households, idividual, community.
- During acquiring land, if there are complaints of local people, People's Committee of commune is responsible for collecting complaints and grievances and then submitting to competent agencies for considering.

When there is no decision on claim settlement, land acquisition will be still in progress. In case competent agencies settling complaints conclude that the land acquisition is illegal, the implementation of land acquisition shall be obligatory to stop; governmental agencies that

issued the land acquisition decision shall make decision on cancellation of that land acquisition decision and compensate for losses (if any) caused by land acquisition decision. In case competent agencies settling complaints conclude that the land acquisition is legal, the owners of acquired land have to comply with the land acquisition decision.

## Step 8: Publish compensation, assistance and resettlement plan.

- District People's Committee approves compensation, support and resettlement plan as regulations.
- in time of three (03) days, from the day of receiving the approved compensation, support and resettlement plan, the compensation, site clearance organization is resonsible for collaborating with People's Committee of commune to disaminate and post publicly approved decision of compensation, support and resettlement plan at headquarter of People's Committee of commune and at the residental area where land is acquired; The decision of compensation, support and resettlement should be sent to the people who are acquired with land, performing clearly the level of compensation, support and resettlement (if any), time and location of compensation, support payment and handover time of acquired land to the compensation, site clearance organization.

## Step 9: Implement payment of compensation, assistance and resettlement

Compensation, support and resettlement board carries out payment after the approved decision of compensation, support and resettlement plan is available.

## Step 10: Site handover and land acquisition

In time of twenty (20) days, from the day the compesation, site clearance organization pays completely compensation, support money for the people who are acquired with land according to the approved plan, then they have to handover land to the compensation, site clearance organization.

## 9.2 Update RAP

There is no data of DMS at this time. After carrying out DMS, the detailed inventory data in line with detailed technical design will be updated in RAP. Compensation plan will be developed by the local based on RAP in order to update price of compensation for land, assets and other supports.

This RAP will be updated (prior to implementation) to a) reflect the results of the census survey/consultations with affected households on temporary impact on local households due to water cut, and b) propose detailed compensation package for households with affected crops/business, or with other types of social impact – as identified during the census survey/consultation when the rehabilitation measures are worked out in more details, and the temporary impact on people's agricultural activities/livelihoods becomes clearer.

This report will be submit to CPO and World Bank and approved before paying compensation. Publish the draft RAP and final RAP in line with OP 17.50 of WB on publishment of document of project to the affected community and at the Infoshop of WB. The draft of RAP will be published to the affected people before approving by WB. The final RAP will be published after approving.

## 9.3 Implementation schedule

The implementation schedule for resettlement activities of subproject will be followed the table below, encompassing: (i) consultation activitiws; (ii) the completed activities to prepare implementation of RAP; (iii) and other external monitoring activities.

	Agencies take	<i>Implementaion</i>
Activities	responsibility	schedule
Preparation	τεsponsionity	schedule
Approving the document of social safety	World Band and	2 <sup>nd</sup> Quarter/
policies of project and subproject's RAP	Government	2015
Uploading on website of MARD, CPO,	СРО	
Province People's Committee and Vietnam		ardo
Development Information Center (VDIC) the		3 <sup>rd</sup> Quarter/
social safety policies of project and		2015
subproject's RAP		
Training to enhance capacity for project's	CPO and resettlement	2 <sup>nd</sup> Quarter/
staffs and district compensation boards	consultant	2015
Selecting independent monitoring unit	СРО	3 <sup>rd</sup> Quarter/
		2015
Updating RAP	Resettlement consultant	4 <sup>th</sup> Quarter/
		2015
Implementation of RAP		
Disaminiting project's information to the	District compensation	3 <sup>rd</sup> Quarter/
affected people	board and People's	2015
	Committee of communes	2013
Measuring affected asset and developing	District compensation	3 <sup>rd</sup> Quarter/
compensation plan	board and People's	2015
	Committee of communes	2013
	Province Project	
	Management Unit, District	4 <sup>th</sup> Quarter/
Compensation payment and site clearance	compensation board and	2015
	People's Committee of	2015
	communes	
Monthly internal resettlement monitoring and	Province Project	3 <sup>rd</sup> Quarter/
making quarterly report	Management Unit	2015
6 month independent resettlement monitoring	Independent monitoring	4 <sup>th</sup> Quarter/
and making monitoring report	unit	2015

## Table 9. 1: Implementation schedule

## ANNEX I- LIST OF THE AFFECTED HOUSEHOLDS

# Table 1: The list of households who are acquired permanently land for management road (12 households)

			The	The affected areas (m2)			
No	No Name	Address (village)	Garden land	Agricultural land	Fertile land	Note	
1	Vo Van Hoang	Thach Ban Tay			42		
2	Pham Van Cuc	Thach Ban Tay	25				
3	Bach Thi Thich	Thach Ban Tay		60			
4	Bach Thanh Van	Thach Ban Tay	104		64		
5	Phan Thi Kim Dung	Thach Ban Tay	155				
6	Bach Thanh Sa	Thach Ban Tay	253		120		
7	Trinh Thi Loc	Thach Ban Tay	45		105		
8	Bach Thanh Long	Thach Ban Tay		61			
9	Bach Thi Kim Huong	Thach Ban Tay	53				
10	Bach Thanh Sam	Thach Ban Tay			113		
11	Vo Van Khu	Thach Ban Tay		23			
12	Ho Thi Le	Thach Ban Tay	42				
13	Cat Son CPC			281	65		
	Sum		677	425	509		
	Total		1,611				

# Table 2: The list of households who are acquired temporarily for auxillary construction: campsite, material storage, disposal, borrow pit (11 households)

	o Name Address (village)		The a <u>j</u>	ffected are	eas (m2)	
No			Garden land	Paddy field	Crop land	Note
1	Đang Van By	Thach Ban Dong	0	0	3,000	Camping site
2	Phan Canh Tien	Thach Ban Dong	0	0	9,282	Borrow pit
	Nguyen Tuong					
3	Quang	Thach Ban Dong	0	0	9,484	Borrow pit
4	Nguyen Thi Tien	Hoi Son	0	0	2,648	Borrow pit
5	Nguyen Van Nam	Hoi Son	0	0	4,307	Borrow pit
6	Nguyen Van Minh	Hoi Son	0	0	5,140	Borrow pit
7	Vo Van Em	Hoi Son	0	0	3,682	Borrow pit
8	Do Cong Hien	Hoi Son	0	0	13,981	Borrow pit
9	<b>Đang Thanh Phong</b>	Hoi Son	0	0	837	Borrow pit
10	Ho Phi Long	Hoi Son	0	0	10,659	Borrow pit

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11	Vo Van Thanh	Thach Ban Dong	0	0	69,873	Reserve pit
	Cat Son CPC		0	10,000	0	Disposal site
	sum		0	10,000	132,893	
	Total		142,893			

## Table 3: The impacted area due to cut – off water for construction

No	Name	Address (village)	The affected	l areas (m2) Crop land	Note
1	Phan Cong Huynh	Thach Ban Đong	640		
2	Phan Khanh	Thach Ban Đong	1,500		
3	Phan Canh Tien	Thach Ban Đong	1,735		
4	Phan Thi Hoa	Thach Ban Đong	700		
5	Nguyen Van Thanh	Thach Ban Đong	1,510		
6	Nguyen Thi Yem	Thach Ban Đong	600		
7	Phan Thi Khiem	Thach Ban Đong	546		
8	Phan Cong Tien	Thach Ban Đong	650		
9	Vo Thi Xiem	Thach Ban Đong	370		
10	Phan Cong Than	Thach Ban Đong	1,150		
11	Phan Thi Tho	Thach Ban Đong	550		
12	Vo Van Diep	Thach Ban Đong	1,123		
13	Vo Xuan Hoa	Thach Ban Đong	1,850		
14	Phan Lac	Thach Ban Đong	574		
15	Phan Cong Ca	Thach Ban Đong	2,373		
16	Dang Duc Hao	Thach Ban Đong	1,427		
17	Phan Thi Than	Thach Ban Đong	1,170		
18	Phan Cong Hieu	Thach Ban Đong	840		
19	Nguyen Khac Son	Thach Ban Đong	1,080		
20	Nguyen Chi	Thach Ban Đong	1,050		
21	Phan Thi Cuc	Thach Ban Đong	416		
22	Phan Van Hieu	Thach Ban Đong	735		
23	Tran Son	Thach Ban Đong	2,771		
24	Vo Dinh Hung	Thach Ban Đong	400		
25	Phan Van Thinh	Thach Ban Đong	1,000		
26	Phan Thi Thanh	Thach Ban Đong	522		
27	Trinh Cao	Thach Ban Đong	510		

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28	Nguyen Thi Lien	Thach Ban Đong	590	
29	Nguyen Thi Am	Thach Ban Đong	300	
30	Phan Thi Vu	Thach Ban Đong	460	
31	Tran Ba Thuan	Thach Ban Đong	2,500	
32	Phan Cong Tien	Thach Ban Đong	2,520	
33	Nguyen Ngoc Hai	Thach Ban Đong	930	
34	Trinh Thi Thin	Thach Ban Đong	850	
35	Ngo Tan Đat	Thach Ban Đong	520	
36	Phan Thi Thin	Thach Ban Đong	800	
37	Nguyen Thi Phung	Thach Ban Đong	490	
38	Phan Thi Sanh	Thach Ban Đong	1,470	
39	Vo Thi Day	Thach Ban Đong	620	
40	Trinh Thi Ket	Thach Ban Đong	850	
41	Phan Van Han	Thach Ban Đong	700	
42	Phan Cong Tien	Thach Ban Đong	450	
43	Tran Trung Đong	Thach Ban Đong	1,200	
44	Phan Thi Nha	Thach Ban Đong	300	
45	Vo Dinh Ban	Thach Ban Đong	350	
46	Phan Lac	Thach Ban Đong	500	
47	Nguyen Van Thuan	Thach Ban Đong	650	
48	Ngo Thi Son	Thach Ban Đong	460	
49	Vo Tuong	Thach Ban Đong	510	
50	Nguyen Ngoc Hai	Thach Ban Đong	4,330	
51	Nguyen Anh	Thach Ban Đong	2,500	
52	Nguyen Ngoc Phung	Thach Ban Đong	1,180	
53	Le Van Thanh	Thach Ban Đong	3,770	
54	Vo Van Phuong	Thach Ban Đong	2,560	
55	Nguyen Đuc Tuyen	Thach Ban Đong	1,680	
56	Nguyen Ngoc Tuan	Thach Ban Đong	4,000	
57	Vo Van Qua	Thach Ban Đong	2,000	
58	Nguyen Ngoc Anh	Thach Ban Đong	2,000	
59	Phan Thanh Tan	Thach Ban Đong	2,620	
60	Nguyen Anh	Thach Ban Đong	1,800	
61	Nguyen Dinh Thuat	Thach Ban Đong	1,620	
62	Nguyen Tuong Quang	Thach Ban Đong	1,000	
63	Nguyen Duc Lai	Thach Ban Đong	1,500	
64	Le Van Binh	Thach Ban Đong	2,060	
i	•		,	I

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65	Nguyen Lan	Thach Ban Đong	4,620	
66	Vo Thi Lan	Thach Ban Đong	5,000	
67	Le Hoai Minh	Thach Ban Đong	1,500	
68	Dang Don	Thach Ban Đong	1,300	
69	Nguyen Duc Ha	Thach Ban Đong	1,000	
70	Nguyen Duc Thuong	Thach Ban Đong	2,000	
71	Nguyen Duc Lenh	Thach Ban Đong	1,000	
72	Pham Van Chung	Thach Ban Đong	1,710	
73	Nguyen Thi Than	Thach Ban Đong	1,530	
74	Nguyen Dinh Thach	Thach Ban Đong	1,770	
75	VoVan Bao	Thach Ban Đong	2,030	
76	Dang Van Thu	Thach Ban Đong	2,270	
77	Dang Duy Ha	Thach Ban Đong	1,000	
78	Phan Thanh Long	Thach Ban Đong	2,500	
79	Le Thi Sa	Thach Ban Đong	2,620	
80	Tran Xuan Dinh	Thach Ban Đong	1,120	
81	Huynh Thi Tri	Thach Ban Đong	1,150	
82	Phan Thi My	Thach Ban Đong	2,180	
83	Nguyen Dien	Thach Ban Đong	680	
84	Nguyen Thi Kiem	Thach Ban Đong	2,150	
85	Phan Thi Thanh	Thach Ban Đong	4,270	
86	Nguyen Thi Thuyen	Thach Ban Đong	2,150	
87	Mac Thi Nam	Thach Ban Đong	1,030	
88	Vo Chi Cong	Thach Ban Đong	1,690	
89	Vo Van Nam	Thach Ban Đong	2,500	
90	Nguyen Van Soan	Thach Ban Đong	2,680	
91	Nguyen Huynh	Thach Ban Đong	2,530	
92	Nguyen Thi Hue	Thach Ban Đong	1,500	
93	Nguyen Van Thanh	Thach Ban Đong	3,240	
94	Nguyen Tri	Thach Ban Đong	2,120	
95	Vo Van Danh	Thach Ban Đong	3,120	
96	Phan Xuan Ngoc	Thach Ban Đong	3,240	
97	Nguyen Chiem	Thach Ban Đong	2,100	
98	Nguyen Thi Le	Thach Ban Đong	2,190	
99	Phan Van Dung	Thach Ban Đong	1,620	
100	Phan Thanh Nga	Thach Ban Đong	2,660	
101	Trinh Thi Phu	Thach Ban Đong	1,270	
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Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP

Thach Ban Đong 1 50	
Thash Dan Dang	
Thash Dan Dang	
Thach Ban Đong 1,50	
Thach Ban Đong2,00Thach Ban Đong	
Thach Ban Đong 2,12	
Thach Ban Đong   3,53	
Thach Ban Đong 1,36	
Thach Ban Đong2,53	
Thach Ban Đong 2,06	
Thach Ban Đong 3,00	
Thach Ban Đong 2,13	
Thach Ban Đong2,70	
Thach Ban Đong 62	
Thach Ban Đong 55	
Thach Ban Đong 1,20	
Thach Ban Đong 2,50	
Thach Ban Đong 1,20	
n Thach Ban Đong 25	
Thach Ban Đong 60	
Thach Ban Đong 50	
Thach Ban Đong 1,50	
Thach Ban Đong 56	
Thach Ban Đong 2,60	
Thach Ban Đong 60	
Thach Ban Đong 80	
Thach Ban Đong 2,03	
Thach Ban Đong 63	
Thach Ban Đong 80	
Thach Ban Đong 1,20	
Thach Ban Đong 65	
Thach Ban Đong 2,50	
Thach Ban Đong 50	
Thach Ban Đong 98	
Thach Ban Đong 50	
Thach Ban Đong 65	
Thach Ban Đong 55	
Thach Ban Đong 35	
Thach Ban Đong2,60Thach Ban Đong60Thach Ban Đong80Thach Ban Đong2,03Thach Ban Đong2,03Thach Ban Đong63Thach Ban Đong80Thach Ban Đong63Thach Ban Đong1,20Thach Ban Đong65Thach Ban Đong50Thach Ban Đong50	

Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP

Resetti	ement Action Plan – KAP	<b>T</b> I 1 5 5	<u>г</u>	
139	Tran Van Mo	Thach Ban Đong	650	
140	Vo Thi Sen	Thach Ban Đong	1,200	
141	Nguyen Thanh Sang	Thach Ban Đong	850	
142	Nguyen Duc Vinh	Thach Ban Đong	2,530	
143	Nguyen Van Ninh	Thach Ban Đong	560	
144	Vo Van Tuan	Thach Ban Đong	2,350	
145	Tran Van Hoang	Thach Ban Đong	680	
146	Nguyen Duc Son	Thach Ban Đong	1,200	
147	Dang Van Quang	Thach Ban Đong	500	
148	Le Minh Hoang	Thach Ban Đong	650	
149	Ngo Tung Thanh	Thach Ban Đong	1,250	
150	Nguyen Thi Tin	Thach Ban Đong	500	
151	Vo Thi Hieu	Thach Ban Đong	350	
152	Nguyen Van Dung	Thach Ban Đong	2,100	
153	Nguyen Thanh	Thach Ban Đong	520	
154	Nguyen Thi Minh	Thach Ban Đong	650	
155	Phan Canh Minh	Thach Ban Đong	500	
156	Vo Van Sang	Thach Ban Đong	1,200	
157	Nguyen Duy Thuong	Thach Ban Đong	650	
158	Tran Thi Dai	Thach Ban Đong	800	
159	Nguyen Duc Hiep	Thach Ban Đong	2,600	
160	Phan Thanh Nam	Thach Ban Đong	800	
161	Phan Thanh Hoai	Thach Ban Đong	1,500	
162	Dang Thi Day	Thach Ban Dong	900	
163	Tran Van Lien	Thach Ban Dong	650	
164	Nguyen Van Vu	Thach Ban Dong	2,500	
165	Vo Van Ly	Thach Ban Dong	1,460	
166	Le Van Ha	Thach Ban Dong	3,250	
167	Nguyen Hung Cuong	Thach Ban Dong	2,800	
168	Nguyen Duc Trong	Thach Ban Dong	830	
169	Nguyen Duc Chung	Thach Ban Dong	1,070	
170	Nguyen Van Mai	Thach Ban Dong	1,460	
171	Phan Canh Sanh	Thach Ban Dong	3,500	
172	Vo Van Dung	Thach Ban Dong	1,000	
173	Nguyen Duc So	Thach Ban Dong	3,030	
174	Tran Quang Thanh	Thach Ban Dong	3,140	
175	Nguyen Thi Quy	Thach Ban Dong	2,190	
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Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP

176Vo Van TongThach Ban Dong3,580177Nguyen Duc KhanThach Ban Dong1,500178Nguyen BinhThach Ban Dong2,500179Vo Van ĐichThach Ban Dong4,285180Vo BaThach Ban Dong2,440181Tran Van ThanhThach Ban Dong3,790182Phan Canh ThanhThach Ban Dong1,600183Tran Thi HuongThach Ban Dong3,750184Vo Quoc ChinhThach Ban Dong1,850185Nguyen Van PhucThach Ban Dong3,210186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920188Vo Van GiauThach Ban Dong3,130	
178Nguyen BinhThach Ban Dong2,500179Vo Van ĐichThach Ban Dong4,285180Vo BaThach Ban Dong2,440181Tran Van ThanhThach Ban Dong3,790182Phan Canh ThanhThach Ban Dong1,600183Tran Thi HuongThach Ban Dong3,750184Vo Quoc ChinhThach Ban Dong1,850185Nguyen Van PhucThach Ban Dong3,210186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920	
179Vo Van ĐichThach Ban Dong4,285180Vo BaThach Ban Dong2,440181Tran Van ThanhThach Ban Dong3,790182Phan Canh ThanhThach Ban Dong1,600183Tran Thi HuongThach Ban Dong3,750184Vo Quoc ChinhThach Ban Dong1,850185Nguyen Van PhucThach Ban Dong3,210186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920	
180Vo BaThach Ban Dong2,440181Tran Van ThanhThach Ban Dong3,790182Phan Canh ThanhThach Ban Dong1,600183Tran Thi HuongThach Ban Dong3,750184Vo Quoc ChinhThach Ban Dong1,850185Nguyen Van PhucThach Ban Dong3,210186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920	
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183Tran Thi HuongThach Ban Dong3,750184Vo Quoc ChinhThach Ban Dong1,850185Nguyen Van PhucThach Ban Dong3,210186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920	
184Vo Quoc ChinhThach Ban Dong1,850185Nguyen Van PhucThach Ban Dong3,210186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920	
185Nguyen Van PhucThach Ban Dong3,210186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920	
186Tran Thi LienThach Ban Dong1,096187Vo Van MienThach Ban Dong2,920	
187Vo Van MienThach Ban Dong2,920	
188Vo Van GiauThach Ban Dong3,130	
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189Dinh Quang VinhThach Ban Dong790	
190Ho Thi CanhThach Ban Dong3,060	
191Huỳnh Van ThoThach Ban Dong2,500	
192Dinh Van ThanhThach Ban Dong1,430	
193Ta Hung CaThach Ban Dong1,200	
194Phan Thanh BinhThach Ban Dong5,330	
195Nguyen Thi SonThach Ban Dong2,760	
196Nguyen Duc ThachThach Ban Dong2,520	
197Phạm Thi MaiThach Ban Dong1,460	
198Vo Van ThanhThach Ban Dong4,760	
199Vo Thi HieuThach Ban Dong4,460	
200Vo Van MinhThach Ban Dong1,850	
201Nguyen Thi LienThach Ban Dong1,790	
202Nguyen Van DungThach Ban Dong1,960	
203Nguyen Duc TichThach Ban Dong1,030	
204Phan Van TaiThach Ban Dong2,000	
205Nguyen Thi PhucThach Ban Dong1,660	
206Vo Van ThanhThach Ban Dong1,500	
207Nguyen Thi BinhThach Ban Dong1,800	
208Tran Thi Thu ThuyThach Ban Dong1,800	
209Vo Thi ManThach Ban Dong2,560	
210Nguyen Thi HocThach Ban Dong1,430	
211Vo Thi TrongThach Ban Dong1,900	
212Nguyen Duc HatThach Ban Dong2,720	

Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP

213Nguyen Duc HaoThach Ban Dong3,450214Vo Thi SenThach Ban Dong1,400215Le Thi LieuThach Ban Dong1,570216Vo Van DuThach Ban Dong3,000217Nguyen Duc QuynhThach Ban Dong2,630218Nguyen Thi LoiThach Ban Dong2,030219Nguyen Duc HiepThach Ban Dong1,130220Nguyen Duc CanhThach Ban Dong1,200221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong1,749225Vo Thi PhuongThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,180220Nguyen Duc VinhThach Ban Dong1,260223Nguyen Var ThaiThach Ban Dong3,200224Nguyen Duc LapThach Ban Dong3,200225Vo Thi PhuongThach Ban Dong3,200226Nguyen Var ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong1,180228Kieu Thi DaiThach Ban Dong1,260230Phan Thi TriThach Ban Dong1,260231Nguyen HoaThach Ban Dong2,930232Dang Ngo	
215Le Thi LieuThach Ban Dong1,570216Vo Van DuThach Ban Dong3,000217Nguyen Duc QuynhThach Ban Dong2,630218Nguyen Duc QuynhThach Ban Dong2,030219Nguyen Duc HiepThach Ban Dong1,130220Nguyen Duc CanhThach Ban Dong830221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong1,749225Vo Thi PhuongThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,760231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
216Vo Van DuThach Ban Dong3,000217Nguyen Duc QuynhThach Ban Dong2,630218Nguyen Thi LoiThach Ban Dong2,030219Nguyen Duc HiepThach Ban Dong1,130220Nguyen Duc CanhThach Ban Dong830221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong1,749225Vo Thi PhuongThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,760231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
217Nguyen Duc QuynhThach Ban Dong2,630218Nguyen Thi LoiThach Ban Dong2,030219Nguyen Duc HiepThach Ban Dong1,130220Nguyen Duc CanhThach Ban Dong830221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong1,749225Vo Thi PhuongThach Ban Dong3,200226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,760231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
218Nguyen Thi LoiThach Ban Dong2,030219Nguyen Duc HiepThach Ban Dong1,130220Nguyen Duc CanhThach Ban Dong830221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong970225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
219Nguyen Duc HiepThach Ban Dong1,130220Nguyen Duc CanhThach Ban Dong830221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong970225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
220Nguyen Duc CanhThach Ban Dong830221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong970225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
221Vo Van SuThach Ban Dong1,200222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong970225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
222Vo Thanh HungThach Ban Dong3,140223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong970225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
223Nguyen Thanh SangThach Ban Dong1,800224Nguyen Duc LapThach Ban Dong970225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
224Nguyen Duc LapThach Ban Dong970225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
225Vo Thi PhuongThach Ban Dong1,749226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
226Nguyen Van ThaiThach Ban Dong3,200227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
227Tran Quang TuyetThach Ban Dong3,500228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
228Kieu Thi DaiThach Ban Dong1,180229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
229Nguyen Duc VinhThach Ban Dong1,760230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
230Phan Thi TriThach Ban Dong1,100231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
231Nguyen HoaThach Ban Dong2,930232Dang Ngoc HongThach Ban Dong7,000	
232   Dang Ngoc Hong   Thach Ban Dong   7,000	
233Nguyen ThanhThach Ban Dong3,900	
234Dang Ngoc CoiThach Ban Dong2,700	
235Dang Ngoc HuanThach Ban Dong1,500	
236Vo Cong TamThach Ban Dong6,100	
237Vo Thi NgocThach Ban Dong3,160	
238Nguyen Van AThach Ban Dong2,550	
239Vo Quang BaThach Ban Dong4,500	
240Nguyen Duc BaThach Ban Dong4,300	
241Tran Thi HuongThach Ban Dong700	
242Vo Thi NgocThach Ban Dong1,200	
243Vo Thi DanThach Ban Dong500	
244Vo Van ThatThach Ban Dong620	
245Vo NgoThach Ban Dong750	
246Vo Van ThanhThach Ban Dong850	
247Cao Thi TamThach Ban Dong800	
248Phạm Thi TheThach Ban Dong620	
249Nguyen Thi LyThach Ban Dong522	

Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP

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250	Pham Minh Hai	Thach Ban Dong	1,200		
251	Vo Van Son	Thach Ban Dong	620		
252	Vo Thi Tiet	Thach Ban Dong	400		
253	Pham Thi Dung	Thach Ban Dong	750		
254	Nguyen O	Thach Ban Dong	1,250		
255	Le Tung Vy	Thach Ban Dong	450		
256	Vo Van Nghia	Thach Ban Dong	450		
257	Nguyen An	Thach Ban Dong	1,300		
258	Nguyen Chi Sanh	Thach Ban Dong	6,500		
259	Vo Van Nguyen	Thach Ban Dong		7,276	
260	Ngo Duc Then	Thach Ban Dong		5,028	
261	Dang Van Chenh	Thach Ban Dong		2,500	
262	Ngo Duc The	Thach Ban Dong		6,231	
263	Nguyen Chenh Huan	Thach Ban Dong		3,630	
264	Nguyen Van Long	Thach Ban Dong		5,442	
265	Đoàn Ngoc Thu	Thach Ban Dong		3,588	
266	Vo Van Uc	Thach Ban Dong		2,363	
267	Ngo Tung Lam	Thach Ban Dong		4,632	
268	Vo Quoc My	Thach Ban Dong		2,195	
269	Nguyen Luat	Thach Ban Dong		1,797	
270	Nguyen Thi Bac	Thach Ban Dong		3,158	
271	Nguyen Van Tao	Thach Ban Dong		2,598	
272	Nguyen Van Tinh	Thach Ban Dong		4,000	
273	Ho Thi Khanh	Thach Ban Dong		3,322	
274	Nguyen Duc Qua	Thach Ban Dong		4,260	
275	Le Binh	Thach Ban Dong		6,111	
276	Vo Van That	Thach Ban Dong		3,170	
277	Vo Chi Linh	Thach Ban Dong		2,752	
278	Ngo Tung Lam (son)	Thach Ban Dong		3,224	
279	Nguyen Van Hoi	Thach Ban Dong		3,200	
280	Le Thuan Nien	Thach Ban Dong		3,700	
281	Tran Tai	Thach Ban Dong		3,558	
282	Le Van Hiep	Thach Ban Dong		2,516	
283	Nguyen Van Lanh	Thach Ban Dong		5,091	
284	Vo Thi Suong	Thach Ban Dong		1,505	
285	Nguyen Van Loc	Thach Ban Dong		3,593	
286	Nguyen Van Nga	Thach Ban Dong		2,500	

Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP

	ement Action Plan – KAP	1	
287	Đoan Ngoc Hung	Thach Ban Dong	5,106
288	Phạm Minh Hai	Thach Ban Dong	1,389
289	Vo Van Thanh	Thach Ban Dong	2,632
290	Nguyen Thanh Long	Thach Ban Dong	5,500
291	Nguyen Thi Be On	Thach Ban Dong	1,977
292	Nguyen Duc Truong	Thach Ban Dong	3,600
293	Vo Van Son	Thach Ban Dong	1,800
294	Vo Thi Kim Hong	Thach Ban Dong	1,797
295	Nguyen Van Thanh	Thach Ban Dong	2,833
296	Vo Van Thuan	Thach Ban Dong	4,500
297	Đoàn Ngoc Dong	Thach Ban Dong	3,735
298	Nguyen Duc Tich	Thach Ban Dong	7,661
299	Phạm Van Binh	Thach Ban Dong	2,500
300	Vo Dong Phi	Thach Ban Dong	6,059
301	Nguyen Gia	Thach Ban Dong	6,904
302	Nguyen Thanh Dan	Thach Ban Dong	7,250
303	Ngo Duc Hoang	Thach Ban Dong	3,800
304	Nguyen Van Vinh	Thach Ban Dong	5,324
305	Nguyen Thi Lan	Thach Ban Dong	3,563
306	Nguyen Thi Tuy	Thach Ban Dong	1,121
307	Nguyen Van Qui	Thach Ban Dong	4,462
308	Nguyen Thi Phuong	Thach Ban Dong	1,363
309	Nguyen Van Đao	Thach Ban Dong	4,968
310	Nguyen Van Tuan	Thach Ban Dong	1,052
311	Bach Thanh Sam	Thach Ban Dong	2,530
312	Vo Duc	Thach Ban Dong	2,400
313	Phan Van Luan	Thach Ban Dong	2,000
314	Dang Anh Tuan	Thach Ban Dong	4,030
315	Bach Thi Cuc	Thach Ban Dong	3,700
316	Thai Van Dinh	Thach Ban Tay	4,200
317	Bui Van Thanh	Thach Ban Tay	4,710
318	Bach Thi Thich	Thach Ban Tay	3,735
319	Nguyen Thi Ngon	Thach Ban Tay	2,000
320	Bach Thanh Van	Thach Ban Tay	3,200
321	Trinh Ngoc Dao	Thach Ban Tay	2,000
322	Bach Thi Lon	Thach Ban Tay	3,000
323	Bach Thanh Vinh	Thach Ban Tay	1,500

Subproject: Dam rehabilitation and safety improvement of Thach Ban reservoir, Binh Dinh province Resettlement Action Plan – RAP

324	Phan Thi Kim Dung	Thach Ban Tay		4,000	
324	Vo Thanh Kiet	Thach Ban Tay		3,700	
325		-			
	Nguyen Thi Ha	Thach Ban Tay		2,000	
327	Vo Van Thanh	Thach Ban Tay		1,500	
328	Nguyen Thi Dieu	Thach Ban Tay		2,000	
329	Bach Thanh Sa	Thach Ban Tay		4,300	
330	Trinh Thi Loc	Thach Ban Tay		7,500	
331	Bach Thanh Long	Thach Ban Tay		4,300	
332	Bach Thi Kim Huong	Thach Ban Tay		1,000	
333	Nguyen Quang	Thach Ban Tay		1,250	
334	Bach Thanh Yen	Thach Ban Tay		3,000	
335	Vo Dong Tam	Thach Ban Tay		700	
336	Ho Duc Vy	Thach Ban Tay		6,400	
337	Bach Thanh Vinh	Thach Ban Tay		1,500	
338	Vo Van Khu	Thach Ban Tay		3,300	
339	Vo Thi My Hanh	Thach Ban Tay		2,000	
340	Vo Thi Loc	Thach Ban Tay		3,500	
341	Bach Thi Thanh Loan	Thach Ban Tay		1,000	
342	Ho Thi Kim Lien	Thach Ban Tay		1,500	
343	Ho Thi Le	Thach Ban Tay		1,500	
344	Bui Van Tai	Thach Ban Tay		500	
345	Nguyen Duc Lai	Thach Ban Tay		1,500	
346	Tran Ngoc Linh	Thach Ban Tay		600	
347	Vo Ngoc Xuan	Thach Ban Tay		500	
348	Phan Van Manh	Thach Ban Tay		1,000	
349	Phan Van Cuong	Thach Ban Tay		500	
350	Dang Van Thanh	Thach Ban Tay		2,600	
351	Nguyen Truong Han	Thach Ban Tay		500	
352	Bach Thanh Vu	Thach Ban Tay		1,500	
353	Vo Van Chung	Thach Ban Tay		500	
354	Bui Du Nam Son	Thach Ban Tay		1,000	
355	Bach Thi Anh Tuyet	Thach Ban Tay		500	
	Sum		447,774	299,991	
	Total		747	7,765	

## CỘNG HỎA XÃ HỘI CHỦ NGHĨA VIỆT NAM Độc lập – Tự do – Hạnh phúc

### BIÊN BÀN LÀM VIỆC

## V/v điều tra khảo sát hiện trạng tài sản bị ảnh hưởng tại xã Cát Sơn.

Hôm nay, ngày 30 tháng **5** năm 2015, tại trụ sở UBND xã Cát Sơn, huyện Phủ Cát, tính Bình Định. Thành phần gồm có :

1. Đại diện UBND xã Cát Sơn :

- Ông Võ Đông Phi	Chức vụ: Phó Chủ tịch.
- Ong vo Dong rin	chuc vụ, rho chu tịch,

- Ông Nguyễn Ngọc Bình

Chức vụ: Cản bộ địa chính.

Ông Nguyễn Văn Thái
 Chức vụ: Phỏ thôn Thạch Bản Đông.

Ông Hồ Khánh Chức vụ: Trưởng thôn Thạch Bản Tây.

### 2. Đại diện Ban Quân lý dự án Thủy lợi Bình Định:

- Ông Hồ Nguyên Sĩ

Chức vụ: Phó Giảm cốc.

- Ông Nguyễn Văn Trường

Chức vụ: Cán bộ kỹ thuật.

- 3. Đại diện Viện Nước, Tưới tiêu và môi trường (gọi tất là Tư vấn):
  - Ông Vũ Quốc Chính

- Ông Nguyễn Thị Hà châu

Ông Nguyễn Văn Hòa

Chức vụ: Chức vụ:

Chức vụ:

## NỘI DUNG

Cùng tiến hành họp và thống nhất một số công việc như sau:

1. Từ vấn thông bảo cho UBND xã về phạm vi bị ảnh hưởng và ranh giới giải tỏa dự kiến để thực hiện dự án: Sửa chữa và năng cao an toàn dập Phù Cát, tỉnh Bình Định (WB8) trên địa hàn xã Cát Sơn. Trên cơ sở đó, các bên và cùng với UBND xã Cát Sơn cã thống nhất phạm vi ảnh hưởng của dự án như sau:

 Về công trình hồ đập: không ảnh hưởng đến công tác thu hồi đất vì được cải tạo trên lòng hồ hiện có;

 Về lán trại, kho bãi chứa vật liệu: dự kiến lấp đặt dưới chân đê và khu đất màu của òng Đặng Văn Báy; diện tích dất sử dụng tạm thời khoảng 3.000m2 (không bồi thường đất chi bồi thường hoa màu).

Về bãi vật liệu dất dấp, bao gồm:

(i) Bải khai thác chính: Vị trí tại Khu Gò Chuồng, hạ lưu đập, bờ hữu suối Đập Đá và cách trung tâm tuyến dập hồ Thạch Bản khoảng 1,0km; diện tích khu dất khoảng 6,0ha. Hiện tại là khu dất trồng bạch dàn, mì, dưa hầu của 09 hộ dân, không có hộ dân nào sinh sống. Dự kiến chiếu sâu khai thác 3,0m và trữ lượng khai thác khoảng: 60.000m2 x 3m 180.000 m<sup>3</sup> đất.

(ii) Bấi dự phóng (chỉ thực hiện khi nào trữ lượng đất ở mô chính không đũ khối lượng): Vị tri Vai phải tràn, cách tuyến tràn 100m và cách trung tâm tuyến đập hỗ Thạch Bàn khoảng 1,0km; diện tích khu đất khoảng 7,0ha. Hiện tại là khu dất mì, đậu phộng của hộ dân Võ Văn Thành, không có hộ dân nào sinh sống. Dự kiến chiều sâu khai thác 2,5m và trữ lượng khai thác khoảng; 70.000m2 x2,5 = 175.000 m<sup>3</sup> đất.

Về đường thi công:

+ Đường thi công chở vật liệu kết hợp đường quản lý: Thống nhất quan điểm, đường thi công đi từ cầu Sơn Lộc vào Hồ thay cho đi qua khu dân cư để tránh ảnh hưởng đến khu dân cư; chiếu dài toàn tuyến là 845m, nhưng đã có 1 doạn bẽ tông và chỉ côn lại 750m đường đất, cự kiến mở rộng thêm 3m (mỗi bên 1,5m). Không có ảnh hưởng đến đất thố cư mà chỉ ảnh hưởng đến đất sản xuất rất ít, UBND xã đã làm việc với các hộ dân và các hộ thống nhất hiến đất để làm đường theo như chương trình nông thôn mới (chỉ bối

thường hoa màu và cây cối 2 bên đường). Tuyến đường này nằm trong Chương trình nông thôn mới, không có tái định cư.

+ Dường đi lấy dất dễ thi công: chiều dài từ mô dất dễn trung tâm hồ cái 1.000m, trong đó anh hưởng đền đất của dân khoảng 500m x 4m = 2.000m2 dất máu; không bối thường đất chỉ bối thường hoa màu.

2. Trên cơ sở phạm vi bị ảnh hưởng, UBND xã Cát Son đã cung cấp cho Tư vấn danh sách các hộ bị ảnh hưởng do thu hồi dất để thực hiện dự án và danh sách các hộ nằm trong vùng hưởng lợi của dự án, đồng thời xác nhận các số liệu khảo sát như sau:

Diện tích dải sản xuất được hưởng lợi từ hỗ Thạch Bản vào khoảng 130ha của 355 hộ dân (trong đó có 315 hộ thuộc thôn Thạch Bản Đông và 40 hộ thuộc thên Thạch Bản Tây). Trong điều kiện bình thuờng sản xuất hàng năm, điện tích đất sản xuất vụ Hẻ Thu được lấy nước từ Hồ Thạch Bản là 75 ha (45 ha lúa và 30 ha màu), còn lại 40ha được bổ sung nguồn nước tưới từ hỗ Hội Sơn và 15ha không có cơ cấu mùa vụ Hẻ Thu. Như vậy, nếu cất rước đẻ thì công hỗ Thạch Bản vào mùa Hẻ Thu thì chỉ ảnh hưởng đến 75 hẹ dất sản xuất nông nghiệp. Việc cất nước thì công này cũng không ảnh hưởng đến nước uống và nước sinh hoạt của người dân, vì da số người dân sử dụng giếng cóng hoặc giếng đào tại vườn nhà.

3. UBND xã xác nhận tư vấn đã tiên hành khảo sát điều tra tải sản hiện trạng của 10 hộ bị ảnh hưởng do thu hồi đất và lấy mẫu điều tra tỉnh hình kinh tế xã bội của 110 hộ dân/355 hộ bị ảnh hưởng của dự ản trên địa bản xã (có danh sách kêm theo).

Ghi chú: Đại diện Chủ dầu tư Đại diện tư vấn Cat Son AM DOC EHU TICH ALTA NT HON CHU LIGT Wi Dany Phi Hê Nguyên Si

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM	
Độc lập – Tự do – Hạnh phúc	

Binh Dinh, ngày 45 tháng L năm 2015

#### DỰ ẢN SƯA CHỮA VÀ NÂNG CAO AN TOÀN ĐẶP THẠCH BẢN, TINH BÌNH ĐỊNH (WB8)

BIÊN BẢN HỌP THAM VẢN CỘNG ĐỎNG VỀ LẬP KẼ HOẠCH TẢI ĐỊNH CƯ xã Cát Sơn, huyện Phủ Cát, tỉnh Binh Định.

### I. THÀNH PHÀN GÓM CÓ:

1. Đại diện UBND xã Cát Sơn :

Ôr g Vô Đông P ủ

- Ôrg Nguyễn Ngọc Binh

Chức vụ: Phó Chủ tịch. Chức vụ: Cán bộ địa chính. Chức vụ: Phó thôn Thạch Bản Đông.

- Ông Nguyễn Văn Thái
 - Ông Hồ khánh

Chức vụ: Trường thôn Thạch Bản Tây.

#### 2. Đại diện Ban Quan lý dự án Thuy lợi Bình Định:

<ul> <li>Ông Hồ Nguyên Sĩ</li> </ul>	Chức vụ: Phó Giảm đốc.
- Ông Nguyễn Văn Trương	Chức vụ: Cán bê kỹ thi ất.

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#### 3. Đại diện Viện Nước, Tưới tiêu và môi trường (gọi tắt là Tư vận):

 - Ông Vũ Quốc Chính
 Chức vụ:

 - Ông Nguyễn Thị Hà châu
 Chức vụ:

 - Ông Nguyễn Văn Hòa
 Chức vụ:

4. Đại diện những người bị ảnh hưởng: 440 người (chỉ tiết xem danh sách đình kèm):

#### II. Nội dung tham vấn:

Chuyên gia tả, định cư trình bày về những tác động khi thu hồi đất và các tải sản trên đất, những chính sách của Chính phủ nước Cộng hòa xã hội chủ nghĩa Việt Nam và địa phương, chính sách của dự án ương vấn để bồi thường thiệt hại khi Nhà nước thu hồi đất đai và các tài sản trên đết.

#### III. Ý kiến thảo luận;

Đại điện Chủ đầu tư

BAN

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Hê Nguyên St

 Các hộ bị ảnh hướng đồng ý để nhà nước thu hồi đất để phục vụ công trình sửa chữa năng cao an toàn đập hồ Thạch Bàn, xã Cát Sơn. Đế nghị bồi thường theo dùng chính sách của Tính và Ngân hãng Thế giới.

2. Nếu dự án cất nước thi công thì cề nghị Nhà nước hỗ lượ cho người dân do mất nguồn thủ nhập từ san xuất nông nghiệp, mức hỗ trợ theo điện tích dất ngừng sản xuất và đon giá quy dinh của Nhà nước.

 Người dân có kiến nghị khi dự án thi công nên tuyến chọn công nhân tại đ,a phương để giải quyết được công ăn việc làm cho người dấn.

4 UBND xã để nghị xem xét thu hồi dất vĩnh viễn tại mô vật liệu thay cho việc thu hồi tạm thời (chí bồi thương cây trồng, hoa màu) vi nếu lấy dất lớp mặt đi thi sau này cây trồng khé phát triển; điện tích sau khi bồi thường giao cho UBND xã quản lý.

Đại diện tư vấn

