# INTEGRATED SAFEGUARDS DATA SHEET (FCPF READINESS FUND) CONCEPT STAGE

## Date ISDS Prepared/Updated: March 1, 2015

## I. BASIC INFORMATION

FCPF Readiness activities relate to strategic planning and preparation for REDD+. Specifically, countries prepare for REDD+ by:

- i. Assessing the country's situation with respect to deforestation, forest degradation, conservation and sustainable management of forests and relevant governance issues;
- ii. Identifying REDD+ strategy options;
- iii. Assessing key social and environmental risks and potential impacts associated with REDD+, and developing a management framework to mitigate these risks and potential impacts;
- iv. Working out a reference level of historic forest cover change and greenhouse gas emissions and uptake from deforestation and/or forest degradation and REDD+ activities, and potentially forward-looking projections of emissions; and
- v. Designing a monitoring system to measure, report and verify the effect of the REDD+ strategy on greenhouse gas emissions and other multiple benefits, and to monitor the drivers of deforestation and forest degradation, as well as other variables relevant to the implementation of REDD+.

The FCPF Readiness Preparation grant activity (referred to as "Project" in the ISDS) will finance some of this preparatory work; it will not finance any implementation of REDD+ activities on the ground (e.g., investments or pilot projects).

# A. Basic Project Data

Country: Fiji	Project ID: P151209			
	Additional Project ID (if any):			
Project Name: Fiji: FCPF Implementation Su	ipport Project			
Task Team Leader: Stefanie Sieber				
Estimated Appraisal Date: N/A	Estimated Board Date: N/A			
Managing Unit: GENDR	Lending Instrument: IPF			
Practice Area: Environment and Natural Reso	ources			
Theme: Climate Change				
IBRD Amount (US\$m.):				
IDA Amount (US\$m.):				
GEF Amount (US\$m.):				
PCF Amount (US\$m.):				
FCPF Amount (US\$m.): 3.8				
Other financing amounts by source:				
Environmental Category: B				
Simplified Processing Simple [X] Repeater []				
Is this a transferred project Yes [] No [X]				

# **B.** Project Objectives

The development objective of Grant is to assist Fiji to carry out the Readiness Preparation Activities by supporting the preparation of its REDD+ strategy through a participatory and inclusive process, the establishment of a national MRV system, and by producing technical work and policy advice to help strengthen sustainable land and forest management practices.

## C. Project Description:

The Readiness Preparation Proposal (R-PP) Roadmap<sup>1</sup> includes all activities necessary for Fiji to achieve a state of REDD+ Readiness. The proposed Readiness Preparation Grant will provide US\$ 3.8 million over a period of four years (2015 to 2019) to finance a subset of those activities, focusing on the technical aspects of the R-PP Roadmap. Broader awareness raising and capacity building activities will be financed by the Government and Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) (US\$ 0.6 million respectively).

The Readiness Preparation Grant will finance the main elements of the R-PP that will not only help Fiji develop its REDD+ strategy, but strengthen sustainable land and forest management practices through targeted technical work and policy advice. In particular, the Readiness Preparation Activities will aim to (i) strengthen existing institutions for REDD+ through the establishment and operationalization of a Fiji National REDD+ Unit and assessment and strengthening of existing feedback, and grievance redress mechanisms (FGRMs) for REDD+; (ii) develop National REDD+ strategy and an Environmental and Social Management Framework (ESMF), which will be validated by a broad spectrum of stakeholders through the Strategic Environmental and Social Assessment (SESA) and be informed by several analytical studies; and (iii) put in place a robust monitoring system for REDD+, including a preliminary national Reference Emission Level/Reference (REL/RL), national Measurement, Reporting and Verification (MRV) system and a safeguards information system. These activities will also strengthen existing forest policy, practices and management systems, information and data, participatory methods and human and institutional capacity, which will support sustainable land and forest management practices .

**Table 1 below provides detail activities to be financed with the Readiness Preparation Grant.** In addition, the table also shows other funding source from the Government of Fiji (GoF) and GIZ to complement the proposed Readiness Preparation Activities in order for Fiji to successfully implement all activities required for REDD+ Readiness.

Activities for Assessment Note with FCPF Funding	FCPF grant	R-PP component	GoF	GIZ
1. Institutional strengthening for REDD+	1.30		0.20	0.17
1.1. Establishment and operationalization of Fiji National REDD+ Unit	1.10	Comp. 1a	0.14	0.13
1.2. Assessment and strengthening of existing FGRMs for REDD+	0.20	Comp. 1a, c	0.06	0.04
2. Development of a REDD+ strategy for Fiji	1.19		0.23	0.31
2.1. Supporting analytical work to prepare a REDD+ strategy	0.44	Comp. 2a-c	0.09	0.09
2.2. SESA process and ESMF development	0.31	Comp. 2d	0	0
2.3. Consultation and participation activities	0.44	Cross-cutting	0.14	0.22
3. Establishment of a monitoring system for REDD+	1.65		0.20	0.11

## Table 1: Project Components and Costs, 2015-2019 (in USD millions)

<sup>&</sup>lt;sup>1</sup> Please see Component 5 of the R-PP for a summary of all the activities and budget.

Activities for Assessment Note with FCPF Funding	FCPF grant	R-PP component	GoF	GIZ
3.1. Design and development of a REL/RL	0.58	Comp. 3	0.12	0.07
3.2. Design and development of a MRV system	0.87	Comp. 4a	0.06	0.03
3.3. Design and development of a safeguards information system	0.20	Comp. 4b	0.02	0.01
TOTAL	3.80		0.63	0.59
TOTAL				5.02

#### Component 1: Institutional Strengthening for REDD+ (US\$ 1.30 million)

The activities under this component include: (i) supporting the establishment and operationalization of the Fiji National REDD+ Unit, by providing them with human, technical and operational resources; and (ii) assessing the relevant existing FGRMs and arrangements at the national and decentralized levels, strengthening the existing FGRMs and, as necessary and appropriate, establishing a new FGRM, to address any relevant feedbacks and grievances related to REDD+. The institutional and human capacity building as well as technical support provided under this component will also help strengthen sustainable land and forest management practices.

- a) Sub-component 1.1: Establishment and operationalization the Fiji National REDD+ Unit (US\$ 1.10 million). The Fiji National REDD+ Unit will comprise of a technical adviser (with some management functions), two technical experts, one communications officer, one finance and administration officer, one project assistant and one driver. The GoF will fund the latter three personnel, while the Readiness Preparation Grant will support the remaining positions as well as the set-up and operational costs.<sup>2</sup> The technical advisor and experts will provide technical oversight and coordination of the REDD+ Readiness process, including the REDD+ strategy development, analytical work, REL/RL development and establishment of a MRV and safeguards information system. They will also provide technical support to on-going or upcoming REDD+ projects, especially in the design of project methodologies. The REDD+ unit will be based in the Forestry Department. The Communication Officer will coordinate the extensive consultations required for REDD+ Readiness and the development of the REDD+ strategy. Key tasks include: (i) ensure effective delivery of the consultation and participation plan; (ii) develop and implement the communication strategy/plan and outreach, which are culturally appropriate to fit the target audience; (iii) ensure the results of the consultations are properly documented and effectively disseminated to the relevant stakeholders using the right channel/medium of communication; and (iv) ensure the procedures for how these consultations influence REDD+ strategy development are followed. This sub-component will also cover expenses associated with the annual budget audit, monitoring and reporting and the mid-term review.
- b) Sub-component 1.2: Assessment and strengthening of existing FGRMs for REDD+ (US\$ 0.20 million). Fiji recognized early on that the national REDD+ program needs to be operated in an inclusive manner with particular regard to transparent and effective communication and decisions across different sectors of Government. A stakeholder analysis was thus conducted early on<sup>3</sup> and the multi-stakeholder National REDD+ Steering Committee (REDD+ SC) was set up. This sub-component aims to ensure broad stakeholder engagement and use of stakeholder feedback in shaping the REDD+ Readiness program by funding (i) an assessment of existing grievance mechanisms at the national and decentralized levels; (ii) assess the capacity of institutions and customary mechanisms that would handle grievances; (iii) support their strengthening specifically for REDD+; and (iv) establish effective FGRM.

<sup>&</sup>lt;sup>2</sup> It is important to note that the FCPF grant will only fund the salaries of contractual staff for the Readiness Preparation Activities. It will not fund consultants' services and salaries of officials for Fiji's civil service.
<sup>3</sup> For a full list of identified stakeholders see annex 1b of the R-PP.

#### Component 2: Development of a REDD+ strategy for Fiji (US\$ 1.19 million)

This component will support the GoF in further developing its REDD+ strategy building on the on-going processes in-country, which will help identify the best balance of land use options to promote sustainable and inclusive economic growth in Fiji. This will require developing a deep understanding of the multi-sectorial drivers of deforestation and forest degradation, integrating social and environmental concerns into the REDD+ strategy and building broad-based support across all ethnic groups and relevant stakeholders. In particular, the activities under this component include: (i) supporting analytical work to prepare a REDD+ strategy; (ii) undertaking SESA and preparing an ESMF; and (iii) conducting consultation on key issues related to REDD+, including multi-sectorial drivers and underlying causes of deforestation and degradation, REDD+ strategy options, forest governance and land tenure, benefit sharing arrangement, SESA, and MRV. The policy and technical analysis produced under this component will also help strengthen sustainable land and forest management practices. It will also help inform possible future programs to implement some of the proposed REDD+ strategy options aimed at reducing emissions from deforestation and forest degradation, improving SFM, strengthening agricultural practices and enhancing climate change resilience. This component has three sub-components:

- a) Sub-component 2.1: Supporting analytical work to prepare a REDD+ strategy (US\$ 0.44 million). The current state of knowledge indicates that Fiji has modest rates of deforestation compared to other countries in the region, but that its forests are heavily degraded. A broad range of drivers and underlying causes were identified during the R-PP preparation phase, most notably agricultural expansion and conversion of forest to pasture lands and grazing. However, there has been no nationwide assessment of Fiji's deforestation and forest degradation processes and only an initial set of REDD+ strategy options has been identified. The Readiness Preparation Grant will thus fund several studies to (i) comprehensively assess the multi-sectorial drivers and underlying causes of deforestation and forest degradation in Fiji,<sup>4</sup> (ii) analyze forest governance and land tenure analysis, (iii) identify and prioritize REDD+ strategy options and (iv) analyze the economic, environmental and social costs and benefits associated with different REDD+ strategy options.
- b) Sub-component 2.2: SESA process and ESMF development (US\$ 0.31 million). To ensure that negative impacts of future performance-based REDD+ programs are eliminated or minimized, social and environmental concerns will need to be taken into account during the development of the REDD+ strategy. A Safeguards Working Group (SWG) has already been established by the National REDD+ SC to develop national REDD+ criteria and indicators, which will be important for managing the SESA and ESMF development. This sub-component will fund the strengthening of the SWG as well as the SESA process, which will assess the extent to which the proposed REDD+ strategy options address the environmental and social issues associated with Fiji's customary and present patterns of land use and forest management. Any identified gaps will be addressed throughout this process. In addition, the SESA will develop an ESMF that outlines the procedures for managing potential environmental and social impacts resulting from REDD+ implementation including guidance for the preparation of future environmental and social safeguard instruments.
- c) Sub-component 2.3: Consultation on key issues addressed under the FCPF (US\$ 0.44 million). Inclusive and participatory consultations are at the heart of the REDD+ readiness process. This sub-component will fund consultation on key issues addressed under FCPF, including on (i) the

<sup>&</sup>lt;sup>4</sup> Since Fiji is a low deforestation/high forest cover country, a methodologically-strong approach is required to account for future drivers of deforestation and forest degradation in the REDD+ Strategy. Such an approach would combine an in-depth analysis of the potential impacts of the development of productive sectors on natural forests (based on national plans or sector strategies) with a modelling approach. Such an assessment will also be able to inform discussions on potential competitive land-uses and help identify trade-offs.

multi-sectorial drivers and underlying causes of deforestation and degradation; (ii) forest governance and land tenure; (iii) the identification of strategy options; (iv) potential benefit sharing arrangements and mechanisms, (v) the SESA, and (vi) MRV including safeguards and multiple co-benefits. Outreach efforts are anticipated to be substantial given a widely dispersed population and a rich and strong tradition on consultation and participation across all layers of society in Fiji.<sup>5</sup> GoF and Fiji will fund broader awareness raising and outreach programs.

#### Component 3: Establishment of a monitoring system for REDD+ (US\$ 1.65 million)

The activities under this component will put in place a robust monitoring system for REDD and will include designing and developing: (i) a REL/RL, (i) a MRV system, and (iii) a safeguard information system. The monitoring system established under this component will also provide important information and data that can be directly used in the management and development of the forest sector more broadly. The activities developed under component 3 will enable Fiji to access results-based finance should it choose to. More importantly, it will strengthen its capacity to monitor and manage natural resources more generally through the extension of the national forest inventory beyond forest management purposes, enhancement of national databases and geospatial information regarding Fiji's natural resource base to inform land development and planning and developing technical skills. It will thus be critical to carefully sequence the technical work so that it will be able inform the development of the REDD+ strategy. The activities planned under this component will build capacity and develop data and information infrastructure that can serve other important purposes beyond REDD+, such as FAO FRA reporting, national land use planning and biodiversity reporting. This technical work will build on ongoing capacity building and awareness activities of GoF and GIZ.<sup>6</sup> Specifically the grant will aim to draw on existing remote sensing data, ground based measurements, capacity and infrastructure, in a coordinated way, to design, build and operationalize a National MRV system. Such a system will allow Fiji to routinely asses its natural resource base, which is central to meet broader climate change objectives.

- c) Component 3.1. Design and development of a REL/RL (US\$ 0.58 million). The development of a REL/RL for Fiji will measure the level of net emissions and removals resulting from land cover changes during a historical reference period. Projections of different development scenarios will be generated taking national circumstances into account. The outputs from this component will inform the development of the REDD+ Strategy for Fiji (Component 2). Funding for this component will include: (i) technical and hardware support, particularly for the DoF; (ii) GIS-based data analysis and management support; (iii) collection, analysis and aggregation of activity data (land use and land cover change); (iv) collection and analysis of emission factors for all land use categories; (v) assessment of carbon pools; and (vi) development of national RL and alternative scenario.
- d) Sub-component 3.2: Design and development of a MRV system (US\$ 0.87 million). This sub-component will support the design and establishment of a national MRV system building on existing IT infrastructure. It will provide funding to: (i) design and establish institutional framework for National Forest Monitoring System; (ii) establish a database and integration tool to estimate GHG emissions and removals in the forestry sector; (iii) transparently document the MRV system; and (iv) conduct capacity building for MRV.
- e) Sub-component 3.3: Design and development of a safeguards information system (US\$ 0.20 million). This sub-component will support the development and establishment of a comprehensive monitoring system for non-carbon impacts of REDD+, including safeguards as well as co-benefits that integrates with the MRV system (component 3.2). It will be

<sup>&</sup>lt;sup>5</sup> It will, however, be critical to ensure that the consultations relate to specific issues where analytical work can bring additional knowledge and insights and to avoid consulting the same actors too many times on the same issues.

<sup>&</sup>lt;sup>6</sup> Whilst a range of capacity is being built in relevant government departments, there is currently a lack of coordination to generate GHG accounts for UNFCCC reporting and REDD+.

critical to integrate this new monitoring system as much as possible with existing information and data management system. The activities under this component will thus review existing monitoring institutions regarding safeguards and then build a safeguards information system. Communities can play an important role in monitoring adherence to safeguards and will thus be supported through capacity building activities to fulfill this function.

# D. Project location and salient physical characteristics relevant to the safeguard analysis (if known):

The REDD+ Readiness Activities does not entail activities on the ground.

Fiji is an archipelago comprised of over 330 islands spread over a large area of ocean. The Project will not fund any on-the-ground activities but would include plans for such activities to operate in forested areas of Fiji, which cover approximately 80% of Fiji's land mass. Over 80% of the total land area also remains under customary ownership. Three trial pilot REDD+ sites are already in place.

It is expected that future REDD+ sites will be predominately in rural areas, and have a combination of land tenure arrangements including customary ownership including reserve and non-reserve land, as well as leased lands.

The likely safeguard issues are detailed in Part II of this ISDS. Specifically, the SESA to be prepared during the REDD readiness phase will analyze land holding and tenure arrangements, and identify and assess the potential for any involuntary land acquisition issues or restriction of access to natural resources to occur, and management processes will be embedded into the ESMF. The ESMF will include, as required, a Resettlement Policy Framework (RPF) and/or Process Framework (PF), all of which will be part of the SESA, in order to ensure avoidance, minimization, and/or appropriate compensation for adversely affected persons or populations in downstream implementation.

## E. Borrower's Institutional Capacity for Safeguard Policies:

Fiji's National REDD+ Policy was developed through a comprehensive multi-stakeholder consultation process and endorsed by cabinet in 2011. The National REDD+ Steering Committee is a multi-sectoral institutional arrangement established to coordinate the REDD+ agenda. The GoF has already mobilized a multi-sectoral Technical Safeguards Working Group to support the National REDD+ Steering Committee and issued a series of policy statements relevant to safeguards associated with the program including the protection and respect of rights of Indigenous People, biodiversity conservation and preservation of the natural forest (e.g. the Forestry Policy in 2007, the Fiji REDD-Plus Policy in 2011 and the National Climate Change Policy in 2012).

Government agencies currently involved in the Readiness activities (e.g. the Forestry Department, the Ministry of iTaukei Affairs, the Secretariat of the Pacific Community, the iTaukei Land Trust Board and the Ministry of Environment and Mineral Resources) have exhibited awareness of safeguard issues and demonstrated strong commitment to undertake the SESA. The GoF has also conducted an assessment of the country's present legal and institutional framework vis-à-vis the requirements of the REDD+ program (i.e. Republic of Fiji: Legal Framework for REDD Plus, 2013) relevant to safeguards issues, such as the land rights and security of tenure, community involvement in forest management and environmental impact assessment (EIA) of REDD+ activities.

Despite these efforts, GoF's familiarity of World Bank (WB) Safeguard Policies and Procedures is limited and not universal, and the statutory framework does not fully meet WB Safeguard Policies and Procedures requirements. For instance, although grievance mechanisms exist for customary land disputes, access to an adequate grievance redress mechanism or legal remedy regarding land

management or change of use varies needs to be broadened to be inclusive of other ethnic groups, land users and vulnerable persons. Other example areas of shortcomings include ambiguity in land-related legislation and lack of community consultation for proposed developments or land use changes.

The WBG will conduct a comprehensive institutional capacity assessment to determine national capacity for applying and complying with WB Safeguard Policies during readiness preparation, a detailed analysis of social and environmental impacts to be conducted by a specialist team delivering the Strategic Environmental and Social Assessment (SESA) will occur in tandem with consultations on the REDD+ Strategy Options. These assessments will identify additional measures needed to ensure safeguard compliance beyond the existing institutional framework, domestic laws, regulations and policies. The ToR for this specialist team will be approved by the WBG prior to approval of WBG funds. Subsequently, guidelines for effective participation and consultation, carbon transactions, benefit sharing schemes and regulations on the management of REDD+ funds will be developed.

The key output of the SESA is the formulation of an ESMF to address the safeguards issues and satisfy the requirements of the WB Safeguard Policies. The ESMF will govern the screening, the preparation, review, approval and compliance monitoring of projects to be undertaken in the REDD+ program.

# F. Environmental and Social Safeguards Specialists on the Team:

Haddy Jatou Sey, Senior Social Development Specialist, co-TTL Ross Butler, Social Safeguards Specialist / Regional Safeguards Coordinator Kenneth Green, Senior Safeguards Specialist Jonas Bautista, Environmental Safeguards Specialist Claire Forbes, Social Safeguards Specialist

## **II. SAFEGUARD POLICIES THAT MIGHT APPLY**

The FCPF Readiness Preparation grant must comply with WB safeguard policies regarding the management of environmental and social impacts. This grant will, in part, support the country's activities to identify the potential risks associated with REDD+ and mitigation options. In order to do this, the FCPF is using a SESA to integrate key environmental and social considerations into REDD+ Readiness by combining analytical and participatory approaches. The SESA allows: (i) social and environmental considerations to be integrated into the REDD+ Readiness process, in particular the REDD+ strategy; (ii) stakeholder participation in identifying and prioritizing key issues, assessment of policy, institutional and capacity gaps to manage these priorities and recommendations, and disclosure of findings in the REDD Country's progress reports on Readiness preparation; and (iii) an ESMF to be put in place to manage environmental and social risks and to mitigate potential adverse impacts. The SESA guidelines have been integrated into the R-PP template.

It is envisioned that the safeguards policies mentioned below may be triggered in the REDD+ Readiness process.

Safeguard Policies Triggered	Yes	No	TBD
Environmental Assessment (OP/BP 4.01)	X		

This policy is triggered as the Readiness Preparation Activities will result in drafting of REDD+ Strategy Options, which may have potential long-term impacts on forest, land use, and landowner and leaseholder rights.

The assessments and plans generated by the Readiness Preparation Activities will be informed by policy requirements of OP 4.01 and other relevant safeguard policies. The potential social and environmental impacts of the REDD+ Strategy Options will be assessed through a SESA, with TOR cleared by the WB.

Safeguard Policies Triggered	Yes	No	TBD
Component 3 will support the development and establishment of			
for non-carbon impacts of REDD+, including safeguards as we			
existing monitoring institutions regarding safeguards and then	build a robust	safeguards in	nformatio
system.			
Natural Habitats (OP/BP 4.04)	X		
This policy is triggered as the Readiness Preparation Activities w	vill result in dra	fting of REDI	D+ Strate
Options, which are expected to have significant positive environment			
a reduction in forest loss. This policy is triggered to ensure the R			
account biodiversity conservation as well as the conservation of o			
Forests (OP/BP 4.36)	X		
This policy is triggered as the Readiness Preparation Activities w	vill result in dra	fting of REDI	)+ Strate
Options, which are expected to have significant positive impacts			
overall objective is to reduce deforestation and forest degradation			
potential changes in the management, protection, or utilization of	• •	<b></b>	
arise from REDD+ Strategy Options and activities which may af			
their level of dependence upon or interaction with forests. Trigge	•		
work carried out with WB funding is properly informed in relation			
Pest Management (OP 4.09)		T	
This policy is triggered as the Readiness Preparation Activities w			
Options, which might lead to agricultural intensification, and/or n			
programs that require pest management. The REDD+ Strategy O	ptions and SES	A will addres	s critical
issues related to pest management.		. <u> </u>	······
Physical Cultural Resources (OP/BP 4.11)	X		
This policy is triggered as the Readiness Preparation Activities w			
Options, which could affect areas containing sites with physical of			
historical buildings, archaeological or paleontological sites, holy	sites, etc.). The	SESA (and E	ESMF) wi
assess if ground implementation of REDD+ Strategy Options is I	likely to include	these sites ar	nd ensure
the appropriate measures are adopted in order to protect them.			
Indigenous Peoples (OP/BP 4.10)	X		
The Readiness Preparation Activities are likely to benefit Indiger			
the customary lands of Indigenous Peoples (referred to as iTauke	i). The applicat	ion of this po	licy will
ensure that consultation regarding REDD+ Strategy Options is cu	ulturally approp	riate and inclu	usive, and
provides evidence of broad community support for REDD+ activ			
extent of impacts on Indigenous Peoples will be determined through	ugh a social ass	essment (SA)	as part of
the SESA. Given that Indigenous Peoples will form the overwhel			
area, elements of an Indigenous Peoples Plan will be incorporated			
for this is provided in the SESA Terms of Reference.	•	5	
Involuntary Resettlement (OP/BP 4.12)	X	Τ	
Since REDD+ Strategy Options are under development, potentia		l ding the type	i and exter
of potential land acquisition, economic or physical displacement	or access restri	tions to reso	and exten
remains unknown. This policy is triggered to ensure landowners,	laacabaldara ar	d land users	inces, and formation
dependent communities and/or individuals are properly consults.	, icascilulueis ai		
dependent communities and/or individuals are properly consulted	a and not coerce		
commit to REDD+ activities or other forest management/reforest		mvoiuntarily,	and that
best practice approaches as informed by OP 4.12 are adopted. Th	ie SESA will id	entity and ass	ess the
potential for any involuntary land acquisition issues or restriction			
	a CSALL man in	nclude las requ	ured a
and management processes will be embedded into the ESMF. Th			
and management processes will be embedded into the ESMF. Th Resettlement Policy Framework (RPF) and/or Process Framewor	rk (PF), all of w	hich will be p	art of the
and management processes will be embedded into the ESMF. Th	rk (PF), all of w priate compensa	hich will be p tion for adver	art of the sely

Safeguard Policies Triggered	Yes	No	TBD
Preparation Grant will fund assessment of existing grievance me decentralized levels, assess the capacity of institutions and custo			handle
grievances, and support their strengthening specifically for RED		_	
Safety of Dams (OP/BP 4.37)		X	
The REDD+ strategies and activities will not involve the constru- existing dams	iction of, nor wi	Il be affected	by, new or
Projects on International Waterways (OP/BP 7.50)		X	
The REDD+ strategies and activities will not affect any internation	ional waterways		
Projects in Disputed Areas (OP/BP 7.60)		X	
The REDD+ strategies and activities will not be located in any d	lisputed areas		

# **III. SAFEGUARD PREPARATION PLAN**

A. Target date for review meeting of REDD Country's mid-term progress report, at which time the ISDS may be updated as needed:

December 31, 2016

B. Time frame for launching and completing the safeguard-related studies that may be needed. The specific studies and their timing<sup>7</sup> should be specified in the initial ISDS and/or updated ISDS:

The main safeguard instrument to be applied is the SESA. The SESA includes the preparation of an ESMF. The ESMF may evolve and be updated over time when new REDD + strategy options and projects or activities (including investments), or policies/regulations are identified during implementation of REDD+. The SESA addresses the key environmental and social issues associated with the analysis and preparation of REDD+ strategy options as well as REDD+ projects, activities (including investments), policies and regulations. In this manner SESA can ensure compliance with WB's environmental and social safeguards.

The SESA process requires that the selection of REDD+ strategy options should take into account the country's institutional and capacity constraints for managing environmental and social risks, and the potential environmental and social impacts associated with these strategy options. Any identified gaps to manage these risks and potential impacts in relation to relevant WB's safeguard policies should be identified along with the strategy options to feed into the preparation of the ESMF. The ESMF should provide a framework to manage and mitigate the potential environmental and social impacts related to specific projects and activities (including investments and carbon finance transactions), in the context of the future implementation of REDD+.

The ESMF will be structured to contain subject-specific frameworks addressing the relevant requirements of the applicable environmental and social safeguard policies including, but not necessarily limited to, a RPF and/or PF.

# **IV. APPROVALS**

<sup>&</sup>lt;sup>7</sup> Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the Infoshop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.

Signed and submitted by:		
Task Team Leader:	Stefanie Sieber	February 19, 2015
Approved by:		
Regional Safeguards Coordinator:	Peter Leonard	February 28, 2015
Comments:	- Uptituto for for for	
Practice Manager:	Iain Shuker	February 21, 2015
Comments:		