June 2018

KGZ: Proposed Loan and Grant for Additional Financing on CAREC Corridors 1 and 3 Connector Road Project, Phase 2 (Section 2A)

Prepared by the Ministry of Transport and Roads of the Kyrgyz Republic for the Asian Development Bank. This is an updated version of the draft originally posted in July 2017 available on <a href="https://www.adb.org/projects/documents/kgz-48401-008-iee-0">https://www.adb.org/projects/documents/kgz-48401-008-iee-0</a>.

# КЫРГЫЗ РЕСПУБЛИКАСЫНЫН ТРАНСПОРТ ЖАНА ЖОЛ МИНИСТРЛИГИ

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Mr. Narendra Singru Head of Project Administration Unit CWTC Asian Development Bank

Subject: CAREC Corridors 1 and 3 Connector Road Project, Phase 2 (Bishkek-Osh and Bishkek-Naryn-Torugart Road). Initial environmental examination (IEE ).

The Ministry of Transport and Roads approves the reports "Initial environmental examination" for sections Balykchy km 0 - km 43 (section 1), Kochkor (km 64) – Epkin (km 89) (section 2a), prepared under the CAREC Corridors 1 and 3 Connector Road Project, Phase 2, and asks you to publish the document on the ADB website.

This document has been prepared in accordance with the environmental legislation of the Kyrgyz Republic and the Asian Development Bank Safeguard Policy, as well as considering the possibility of implementation and monitoring by MOTR KR.

The Ministry of Transport and Roads of the Kyrgyz Republic finds possible to update and complement these reports during the project if necessary, subject to preliminary agreement with ADB.

Yours faithfully,

Deputy minister

**A.Zhusubaliev** 

# **Initial Environmental Examination**

Project Number: TA 8887-KGZ May 2018

# KGZ: CAREC Corridors 1 and 3 Connector Road Project (Section "Kochkor [Km 64] to Epkin [Km 89]")

This Initial Environment Examination in Detailed Design Stage was prepared by Japan Overseas Consultants/ DI"KYRGYZDORTRANSPROEKT for the Ministry of Transport and Roads of Kyrgyz Republic and for the Asian Development Bank, by updating the IEE Report in the Feasibility Stage prepared by Kocks Consult GmbH / Finnish Overseas Consultants Ltd. / CAC Consulting

This initial environmental examination is a document of the borrower. The views expressed herein do not necessarily represent those of ADB's Board of Directors, Management, or staff, and may be preliminary in nature.

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# ABBREVIATIONS

A-2A	-	Achaeological Assessment Report for Section 2A in 2018
A-2A ADB		
ADT	_	
AIDS		
AP		
BoQ	_	Bill of Quantities
CAREC	_	Central Asia Regional Economic Cooperation
CEWP		
CITES		Convention on International Trade in Endangered Species
CO		Carbon Monoxide
CSC		Construction Supervision Consultant
CW		Civil Works
dBA		A-weighted decibels
DO	-	
EA	-	
EIA		
EIP	-	
EMoP		Environmental Monitoring Plan
EMP		
FCM		
GDP	-	Gross Domestic Product
GRM	-	Grievance Redress Mechanism
h, hr		Hour
Ha	-	Hectare
HIV	-	Human Immunodeficiency Virus
IA	-	Implementing Agency
IEE	-	Initial Environmental Examination
IES	-	International Environmental Specialist
IP	-	Indigenous People
IPIG	-	Investment Projects Implementation Group
IUCN	-	International Union for Conservation of Nature
KDTP	-	Kyrgyzdortransproekt
Kg	-	Kilogram
Km	-	Kilometer
Kpa	-	Kilopascal
LAR	-	
LARP	-	1
LHS	-	
Ls	-	Lump Sum
m <sup>2</sup>	-	Square Meter
m <sup>3</sup>	-	Cubic Meter
MAC	-	Maximum Allowable Concentration
Max.	-	Maximum
ME	-	Ministry of Economy
Min.	-	Minimum
MOF	-	Ministry of Finance of the Kyrgyz Republic
MoTR	-	Ministry of Transport and Roads of the Kyrgyz Republic
MoCIT	-	Ministry of Culture, Information and Tourism of the Kyrgyz Republic
MPC	-	Maximum Permissible Concentrations
N-2A	-	Noise Assessment Report for Section 2A in 2018

NES	-	National Environmental Specialist
NGO	-	Non-Governmental Organization
No.	-	
NO <sub>2</sub>	-	Nitrogen Dioxide
PAM	-	Project Administration Manual
PAP	-	Project-Affected Person
PBM	-	Performance-based maintenance
PER	-	Public Environmental Review
PPMS	-	Project Performance Management System
PPTA	-	Project Preparatory Technical Assistance
RAP	-	Resettlement Action Plan
RHS	-	Right Hand Side
ROW	-	Right-of-Way
RP	-	Resettlement Plan
SA	-	Social Assessment
SAEPF	-	State Agency on Environment Protection and Forestry
SER	-	State Environmental Review
SETI	-	State Ecological and Technical Inspection
SO <sub>2</sub>	-	Sulfur Dioxide
SPS	-	Safeguard Policy Statement
SSEMP	-	Site Specific Environmental Management Plan
TA	-	Technical Assistance
TMP	-	Traffic Management Plan
TOR	-	
TPH	-	Petroleum Hydrocarbon
TSP	-	Total Suspended Particulates
UNFCC	-	
V-2A	-	Vibration Assessment Report for Section 2A in 2018
WHSP	-	Worker's Health and Safety Plan
		•

## Contents

Α.	Executive Summary	8
В.	Policy, Legal, and Administrative Framework	13
	1. Purpose and Context of the Report	13
	2. Extent of IEE Study	13
	3. Environmental protection legislation of Kyrgyz Republic	14
	4. Required ADB Environmental Approval	16
	5. Permitting Processes in Kyrgyz RePublic	18
	6. Environmental Standards	18
C.	Description of the Project	21
	1. Need for the Project	21
	2. General information on project facility	21
	3. Type and Technical Road Category of the Project	22
	4. Details of the Project	22
	4.1 RoadCross section	22
	4.2 Bridges and Culverts	24
	4.3 Powerlines	24
	5. Temporary Ancillary Facilities	24
	5.1 Material Sources, Cut and Fill	24
	5.2 Construction materials	24
	5.3 Asphalt and Cement Batching Plants	25
	5.4 Construction Camp	25
	6. Alternatives	26
	7. Traffic Volume	26
	8. Proposed Schedule for Implementation	26
D.	Description of the Environment	27
	1. Topography, Geology and Soils	27
	2. Climate	27
	3. Hydrology, Water Resources and Water Quality	27
	4. Ecological Resources in Project Area	28
	4.1 Flora	28
	4.2 Fauna	28
	5. Endogenous and exogenous processes	28
	6. Socioeconomic Information	29
	6.1 Regional Information	29
	6.2 Local Information	29
	7. Cultural and Archaeological Resources	29
	8. Sensitive Receptors	31
	9. Baseline Measurements	32

	9.1 Water Quality Measurements	32
	9.2 Air Quality Measurements	32
	9.3 Noise Measurements	33
	9.4 Vibration Measurements	33
Ε.	Environmental Impacts and Mitigation Measures	34
	1. Impacts in the Project Phases	35
	2. Mitigation measures	48
	2.1 Construction Phase	48
	2.2 Operations and Maintenance Phase	57
	3. Climate Change Impacts on the Project Road	58
F.	Analysis of Alternatives	60
	1. Zero option – the «Inaction»/ do nothing alternative	60
	2. Alternative – the road reconstruction project	61
G.	Information Disclosure, Consultation, and Participation	62
	1. Public Consultations and Participation	62
	2. Information Disclosure	64
Н.	Grievance Redress Mechanism	66
	1. Objectives	66
	2. Grievance Redress Group (RG)	66
	2.1 Functioning of the GRG within the Grievance Redress Mechanism	66
	2.2 Composition of GRG	66
	2.3 Duties of GRG Members	67
	2.4 Grievance Resolution Process	70
	3. Additional Mechanisms Available for Grievance Redress	71
I.	Environmental Management Plan	72
	1. EMP	72
		12
	2. Monitoring	
		84
	2. Monitoring	84 84
	2. Monitoring 2.1. Monitoring plan	84 84 87
	<ol> <li>Monitoring</li> <li>1. Monitoring plan</li> <li>2.2. Budget on Mitigation Measures</li> </ol>	84 84 87 87
	<ol> <li>Monitoring.</li> <li>Monitoring plan</li> <li>Budget on Mitigation Measures.</li> <li>Budget on Monitoring Activities.</li> </ol>	84 84 87 87 88
	<ol> <li>2. Monitoring</li></ol>	84 84 87 87 88 88
J.	<ol> <li>Monitoring</li></ol>	84 87 87 88 88 88
J.	<ol> <li>Monitoring</li></ol>	84 84 87 87 88 88 88 89 90

ANNEXES:
Annex A: Outline Tables of Project92
Annex A1: Alignment Sheet
Annex A2: Main Earth Work Proposed95
Annex A3: Outline of Culverts in Section 2A96
Annex A4: Location of Powerlines affected 99
Annex B - List of Attendees in the Public Consultation in Kochkor
Annex C – Written Comments, Recommendations and Questions
Annex D – Transcript of the video recording: in Kochkor District
Annex E – Results of laboratory analysis107
a) Air quality 107
b) Water quality 111
c) Noise
d) Vibration
Annex F. Conclusion of the Ministry of Culture, Information and Tourism, KR
Annex G: Information letter from MoTR 123
Annex H: Noise Modelling and Assessment Report for Section 2A in 2018 Separate Volime
Annex I: Vibration Modelling and Assessment Report for Section 2A in 2018Separate Volume
Annex J: Archaeological Survey and Assessment Report and Proposed Plan for Section 2A in 2018 Separate Volume

List of Tables

Table 1: National Environmental Legislations	15
Table 2: Maximum Permissible Concentrations of Harmful Substances	19
Table 3: I Kyrgyz Noise Standards	19
Table 4: IFC Noise Guidelines	19
Table 5: Semantic Description of Construction Noise Impact	20
Table 6: Building Vibration Assessment Criteria	20
Table 7: BS 5228 Vibration Assessment Criteria for Human Perception	20
Table 8: Surface Water quality Standards	20
Table 9: Geographical Jurisdictions along the Road Section	21
Table 10: Volume of Earthwork	22
Table 11: Bridges in the Section)	24
Table 12: Possible Borrow Areas	25
Table 13: Results of Manual Traffic Count (2015)	26
Table 14: W Forecast of possible activation of mudflows and floods	28
Table 15: Forecast of possible development of flooding processes	29
Table 16: Water Quality Measurement Parameters	
Table 17: Air Quality Measurement Results	33
Table 18: Results of Short term noise monitoring, Section 2A	33

Table 19. Results of 24hr monitoring noise monitoring. Dwelling in Ak Uchuk Section 2A	. 34
Table 20: Prediction of Air Pollution along Project Road	. 36
Table 21: Results of Construction Noise Calculations	. 40
Table 22: Results of Operational Noise alculations	. 42
Table 23: Minimum Distance of Rollers to Friable Houses not to cause Damages	. 44
Table 24: Type of Damage Structures Suffer by High Vibration Rollers	. 44
Table 25: Options of Mitigation Measures for Vibration	. 51
Table 26: Summary Table on Public Consultation for Kochkor - Epkin	. 63
Table 27: Local Level GRG	. 64
Table 28: Central Level GRG	. 67
Table 29: Grievance Resolution Process	. 71
Table 30: Environmental Management Plan	. 73
Table 31: Environmental Monitoring Plan	. 85
Table 32: Number and Cost for Mitigation of Affected Trees	. 87
Table 33: Budgetary Cost for Environmental Monitoring Specialists	. 88
Table 34: Budgetary Cost for Environmental Monitoring Requirements	. 88

# List of Figures

Figure 1: Location Map of the Road Section	. 21
Figure 2: Technical Category II Road (Type 1-6)	. 23
Figure 3: Technical Category II Road (Type 7-11)	. 23
Figure 4: Location of Archaeological Resources near Cholpon village	. 30
Figure 5: Actual Archaeological site near Cholpon village	. 30
Figure 6: Simulation of NO <sub>2</sub> concentration during Construction	37
Figure 7: Simulation of NO <sub>2</sub> concentration after Operation 2034	. 37
Figure 8: Simulation of Gasoline Contaminated Plume in Groundwater	. 46
Figure 9: Cemetery, RHS	. 54
Figure 10: Cemetery, LHS	. 54
Figure 11: Public Consultation in Jochkor (17 March 20	62

## A. Executive Summary

## Introduction

- 1. This report is the Initial Environmental Examination (IEE) report for Section 2A. As part of the project, the following studies and additional reports have been prepared:
  - Noise Modelling and Assessment Report for Section 2A (Annex H);
  - Vibration Modelling and Assessment Report Section 2A (Annex I); and
  - Archaeological Survey and Assessment Report and Proposed Plan Section 2A (Annex J).

The findings of these reports are summarized in this main text of the IEE report and the detailed studies are attached.

- 2. The Government of the Kyrgyz Republic has requested the Asian Development Bank (ADB) to identify, formulate, and prepare an ensuing loan and/or grant for the CAREC Corridors 1 and 3 Connector Road. The main outcome of the PPTA is to prepare a feasibility study suitable for ADB financing. The Section "Kochkor (Km 64) to Epkin (Km 89)" will be financed by ADB. The proposed Project will improve the following socio-economic indicators of the regions of the Kyrgyz Republic:
  - (i) Reduce the cost of passenger and cargo transportation between southern and Issyk-Kul and Naryn regions by providing direct access.
  - (ii) Reduce transport costs due to route cutting and better road conditions.
  - (iii) Increase in local and international transportation and movement.
  - (iv) Origination of additional income-generating opportunities for local residents
  - (v) Creation of new jobs
  - (vi) Good state of vehicles/Reduction of operating costs
- 3. This is the environmental assessment study undertaken for the Detailed Design Stage of the project in accordance with ADB requirements and has been prepared based on the previous IEE Report prepared during the Feasibility Stage Version, wherever possible, as was initially prepared by KOCKS and approved by ADB.
- 4. According to the categorization of ADB Safeguard Policy Statement, the project belongs to category "B" and doesn't require preparation of an Environmental Impact Assessment (EIA) report. As a part of the ADB Policy, the project requires preparation of an Initial Environmental Examination (IEE) report.

In the legislation of the Kyrgyz Republic, in accordance with the changes, according to the Regulation on the procedure for conducting environmental impact assessment in the Kyrgyz Republic No. 60 dated February 13, 2015, this stage is considered as Initial Environment Examination at the Detailed Design Stage and is documented through an IEE report. The categorization of projects, according to the legislation of the Kyrgyz Republic, is not carried out, therefore the EIA report and the IEE report can be considered as equivalent.

5. The IEE study for Section "Kochkor (Km 64) to Epkin (Km 89)" is being conducted based on secondary information from a number of available sources, and primary data were obtained from field parametric measurements along with the observations gathered from several field visits. Environmental public consultation was done and was attended by residents of the communities mentioned as well as those from surrounding villages.

# Policy, Legal, and Administrative Framework

- 6. The IEE study was in conformance with the national legal framework of Kyrgyzstan consisting of the important laws in environmental protection, water protection, cultural heritage, public health, and other national environmental legislations. In addition, International Treaties that Kyrgyzstan was a signatory were also considered as part of the overall framework.
- 7. The environmental assessment in Kyrgyz Republic is founded on two subsystems:
  - (i) OVOS (the Russian acronym for "Assessment of Environmental Impacts"), and
  - (ii) Ecological Expertise (State Environmental Review, SER).

The resulting IEE is presented for public consultations, after which revisions are done according to the public's feedback. Subsequently, the OVOS report, Statement of Environmental Consequences, and other supporting documentations are submitted for the State Environmental Review (SER). After which the project may be approved, rejected or send for re-examination.

8. Under ADB approval requirements, a set of specific safeguard requirements are required to be met by the Borrowing Country in addressing environmental and social impacts and risks. The project would undergo Screening and Categorization, formulation of Environmental Management Plan and Public Disclosure. Public Consultations for Category B would be required so that views of affected groups are taken into account in the design of the Project and within the mitigation measures proposed.

## **Description of the Project**

9. The project road Section "Kochkor (Km 64) to Epkin (Km 89)" is a 25-km east to west highway. This Section begins at the junction of three roads – the road that goes through the village of Kochkor, the Bishkek-Naryn-Torugart Highway serving as Kochkor Bypass and this section of the Project road. Generally, this Section follows the existing alignment up to Epkin (Km 89). The entire of this section is within Naryn Oblast and it traverses only one district, namely Kochkor (Kochkor, as the capital).

The details of the proposed road Section project are:

- (i) Rehabilitate and pave the project road to Technical Category II from Kochkor (Km 64) to Epkin (Km 89) according to Kyrgyzstan National Standard with Geometrical and Structural Requirements with 95 km/hr design speed outside the settlement areas and 60 km/h within the villages.
- (ii) Rehabilitation, repair and/or replacement of bridges and culverts.
- (iii) Construction of side drains and other drainage structures.
- (iv) Provision of retaining walls and river protection measures, where necessary.
- (v) Provision of adequate road signing and marking.

2

- (vi) Provision of safety barriers.
- 10. The road is to be designed according to Kyrgyz geometric design standard, and accordingly, it shall be sufficient to carry the traffic loading efficiently within its projected service life. Effectively, these will be a two-lane road consisting of a carriageway width (sum of the width of lanes) and the width of the shoulders. The design elements for the cross section of the project road are as follows:
  - (i) Number of lanes:
  - (ii) Lane width: 3.5 3.75 m
  - (iii) Carriageway width: 7.00-7.50 m
  - (iv) Width of shoulder: 3.25-3.75 m (of which 0.50-0.75 m is paved)
  - (v) Total road width: 15.00 m

#### **Description of the Environment**

- 11. The road 25 km from Kochkor (Km 64) to Epkin (Km 89) runs entirely in Kochkor valley, passing through a number of settlements interspersed by agricultural fields with a 2-line configuration of carriageway.
- 12. The territory of Kochkor District is vast tracts of agricultural lands devoted to farming and animal stock-raising. Kochkor valley is limited to in the north by the Kyzart Pass from the south Karagatty Kyzart Mountain Ridges. The mountain area has highly broken relief with high slopes. The difference of elevations in the valley varies from 1,700 to 2,400 meters, the mountain areas from 2400 to 4502 m. The terrain is characterized as undulating and mountainous and covered with grasses suitable for grazing.

## **Environmental Impacts and Mitigation Measures**

13. Most of the anticipated environmental impacts of the proposed road project are likely to be resulting directly from construction activities and certain impacts occur in operation stage as well.

- 14. The impacts during construction include (i) noise and vibration impacts, emission of pollutants to air and vibration, which is especially of high significance within the settlements alongside the project road and where sensitive receptors are located such as schools, hospitals mosques or other, e.g. households located near the road and others like quarries, bazaar (ii) impacts on water courses and rivers (iii) impacts on historical and archaeological sites (iv) impacts from aggregate sourcing at borrow sites; (v) impacts on soil and vegetation, inclusive tree plantations alongside the project road due to site clearance activities; (vi) impacts from bridge and drainage facilities rehabilitation; (vii) impacts from asphalt plant and aggregate crushers and (viii) impacts from contractor's working camps.
- 15. Impacts in operation stage are, due to increased traffic volume and vehicle speed resulting in elevated levels of gaseous and noise emissions, and potentially increased pedestrian vs. vehicle accidents or spills of harmful substances.
- 16. Impacts have been identified in to design phase and mitigation measures shall be taken in construction and operation phases respectively.
- 17. The construction entails a number of activities which are expected to introduce impacts and disturbances to the general environment, especially during the construction period. Most of these impacts are confined within the right-of-way, construction sites, and facility sites; while some activities can affect the outlying areas or even a wider area, especially if not properly mitigated.
- 18. Avoidance of impacts can be executed by proper planning/preparation during the Preengineering and design phase. A number of mitigation measures have been proposed as part of this study.

#### Analysis of Alternatives

- 19. The Two alternatives were considered in this IEE:
  - (i) Zero option inaction / do nothing
  - (ii) The road reconstruction project

The "Zero option" alternative scenario will mean that the road stays "as is", in which no rehabilitation works are considered. Considering the mentioned reasons and along with those presented in the "Country and Regional Strategy" and "Locality Specific Rationale", the benefits of rehabilitating and reconstructing the road generally outweigh the expectations of the "Zero option" alternative.

The second Alternative is considering the road reconstruction in the section Kochkor (Km 64) to Epkin (Km 89).

#### **Consultation, Participation and Information Disclosure**

- 20. In accordance with ADB's Public Communications Policy (2011) and SPS (2009), Public Consultation meeting for this section on the environmental aspects was undertaken on 17 March 2016 in the village hall of Kochkor District Administration Building. During the public consultation, the Consultant (Kocks Consult, GmbH), prepared PowerPoint presentation regarding the technical features of the project and explained the potential environmental and social impacts with corresponding mitigation measures. This event was organized by IPIG with the assistance of PPTA consultants. At this instance, the participants were able to express what they thought about the project and were given a chance to ask clarificatory questions during the open forum. Forms were provided to the people for them to write in their own comments which incorporated in the IEE and serve as recommendations in the design phase.
- 21. The IEE shall also be disclosed to a wider audience via the ADB website. During the project implementation, periodic environmental monitoring reports shall be submitted by IPIG on behalf of MoTR and correspondingly also be uploaded in the ADB website and in KGZ on MoTR website.

#### Grievance Redress Mechanism

22. The Grievance Redress Mechanism (GRM) is a process through which the affected people need a trusted way to voice and resolve concerns about the project and the project also finds

an effective way to address affected people's concerns. The GRM will cover issues related to social, environmental and other safeguard issues under ADB safeguard covenants and Kyrgyz Law.

23. With two stage appeals – the Local (village) Level and Central Level, along with greater participation of the local people, resolution of complaints will be better ensured. ADB itself has additional mechanism in which a complainant can be appealed through the ADB Accountability Mechanism which is always accessible to the APs.

## **Environmental Management Plan**

- 24. The Environmental Management Plan (EMP) for the project road, consisting of impact mitigation and monitoring plan, has been prepared as part of this IEE. A program of monitoring, the Environmental Monitoring Plan (EMoP), is also developed herein to ensure that all concerned agencies take the specified action to provide the required mitigation, to assess the level of project impacts on environmental quality and to determine whether any additional measures may be necessary. This EMP will be part of the contract documents consisting of specified measures covering most of the possible issues that can occur will enable the avoidance, reduction, and mitigation of adverse impacts in the project cycle. The Contractor shall adopt the mitigation measures, particularly those for the construction into his Site-specific Environmental Management Plan (SSEMP) consistent with their own work program, which will be submitted to Supervision Consultant and MOTR for approval. Supplementary Plans will also be drawn up by the Contractor for specific situations to ensure a focused action on any problem that might arise.
- 25. Operational framework of the EMP involves the national agencies (IPIG-MoTR, SETI & SAEPF), ADB Safeguard Specialists, Construction Supervision Consultant, Contractor, with the local governments and recognizing roles of NGO's and people's organization at the project site.
- 26. The cost for implementing EMP will be financed by the loan, specifically the costs of mitigation measures will be included in the construction contracts, and the cost for environmental monitoring will be included in the consulting service of the CSC. Mitigation measures and a monitoring plan have been developed and incorporated into the EMP. Under the guidance of CSC, the contractor will have to submit general site-specific Environmental Management Plans on the basis EMP including following 12 annexes prior to commencing operations:
  - (i) Dust Suppression Plan
  - (ii) Construction Noise Management Suppression Plan
  - (iii) Vibration Management and Monitoring Plan
  - (iv) Surface Water Contamination Prevention Plan
  - (v) Borrow Pits Management Plan
  - (vi) Batching Plant/ Cement Plant Management Plan
  - (vii) Soil Management Plant
  - (viii) Solid and Liquid Waste Management Plan
  - (ix) Cultural & Historical Sites Management Plan
  - (x) Safety Management Plan
  - (xi) Camp and Workshop Management Plan
  - (xii) Material Processing Plants/Equipment and Storage Facilities Plan

The SSEMP shall be endorsed by the construction supervision consultant before submission to IPIG for approval.

27. IPIG will promptly inform ADB of the occurrence of any risks or impacts, with detailed description of the event and proposed corrective action plan if any unanticipated environmental and/or social risks and impacts arise during construction, implementation or operation of the Project that were not considered in the IEE. IPIG will report any actual or potential breach of compliance with the measures and requirements set forth in the EMP promptly after becoming aware of the breach.

28. Monitoring and reporting. During construction, monitoring shall be done by CSC. Based on this monitoring results, CSC will submit quarterly project progress report reflecting environmental safeguard compliance. CSC will assist IPIG in compiling and submitting semiannual monitoring reports (EMR) during project construction within one month after each reporting period. EMRs will be disclosed at ADB website and submitted to local authorities for acquaintance.

#### **Conclusions and Recommendations**

- 29. The IEE/EMP-EMoP, as part of the contract documents, shall be adhered to by the Contractor. Accordingly, the Contractor shall require all his Sub-Contractors to follow also the EMP and such stipulations should also be shown in Sub-contracting agreements, which will be verified by the Engineer (or the CS Consultants).
- 30. Adequate public consultations were done in introducing the project as well as presentation of environmental and community impacts and the stakeholder concerns were incorporated into the IEE. The IEE will be disclosed to the public and can be viewed on ADB websites after implementation of 2nd Public Consultation in this DD Stage.
- 31. Upon assessment of the impacts in this IEE process, the project is maintained at Environmental Category B; since the predicted impacts are "site-specific, with few irreversible, and in most cases mitigation measures can be readily designed and to be incorporated in the detailed designs.
- 32. Mitigation measures have been developed for consideration in the detailed design phase, for implementation in the construction phase, and subsequently for the operations phase, to reduce all negative impacts to acceptable levels.
- 33. As per assessment in this IEE, the proposed Road Project is unlikely to significant environmental impacts. To ensure environmental and social safeguards, the IEE recommends that:
  - strict monitoring is done; the strict monitoring is done;
  - measures be implemented;
  - avoid socioeconomic impact hire local people;
  - contractor should have SSEMP approved before commencing construction works;
  - baseline measurements and periodic monitoring be done;
  - contractor to designate environmental staff;
  - CSC to provide sufficient training on EMP implementation and compliance monitoring for the CSC engineers and to the Contractor's staff;
  - CSC to assist IPIG in monitoring and reporting on EMP implementation
  - IPIG-MoTR shall oversee environmental compliance and ensure that reporting requirements are followed.

## B. Policy, Legal, and Administrative Framework

## 1. Purpose and Context of the Report

- 34. The Government of the Kyrgyz Republic (the government) has requested for a project preparatory technical assistance (PPTA) from the Asian Development Bank (ADB) to identify, formulate, and prepare an ensuing loan and/or grant for the CAREC Corridors 1 and 3 Connector Road. The main output of the PPTA is a feasibility study suitable for donors financing. The study will cover five (5) sections:
  - (i) Balykchi (Km 0) to kilometer-post 43 (Km 43), approximately 43 kilometers (km);
  - (ii) Kochkor (Km 64) to Epkin (Km 89), approximately 25 km;
  - (iii) Epkin (Km 89) to Bashkugandy (km 159), approximately 70 km;
  - (iv) Bashkugandy (km 159) to Kyzyl-Zhyldyz (km 183), approximately 24km, where Bypass Road is being envisioned to avoid the village of Chaek and part of Kyzyl-Zyldyz; and
  - (v) Aral (Km 195) to Too-Ashuu (km 286), approximately 91 km.

The Section Kochkor (Km 64) to Epkin (Km 89) will be financed by ADB.

- 35. The project scope also includes soft components to tackle sector-wide issues. Agreement needs to be reached with the government on the exact details, including:
  - (i) improve efficiency of road asset management in the Kyrgyz Republic,
  - (ii) support the government with institutional reforms in transport sector,
  - (iii) introduce performance based maintenance contracts, and
  - (iv) improve road safety in the Kyrgyz Republic.

The Investment Project Implementation Group (IPIG) within the Ministry Transport and Road (MoTR) shall be the Executing Agency (EA) for this project during the construction stage. As initial part of the possible funding assistance, the ADB has engaged Kocks Consult GmbH, Germany, to prepare a Feasibility Study and Preliminary Design for the entire project. The consultancy scope also includes an Initial Environmental Examination (IEE); and a social and poverty analysis and impact assessments, in accordance with ADB's Safeguard Policy Statement (SPS) 2009. Then, Japan Overseas Consultants was hired to upgrade this previous IEE to be finalized.

- 36. With reference to the Contract Agreement for Consultancy Services for the engagement, one of the main tasks of the Consultant is to update/upgrade the previous IEE report in Feasibility Stage to the IEE Report in the Detailed Design Stage Report for the project in accordance with the requirements of environmental legislations of the Government of Kyrgyzstan in addition to the ADB's Safeguard Policy Statement (SPS) 2009. Such environmental safeguard requirements specify that the borrowers/clients are to undertake an environmental assessment process which entails assessing impacts, planning, managing impact mitigations, preparing environmental assessment reports, disclosing information, undertaking consultation establishing a grievance mechanism, monitoring activities and reporting results. The IEE document shall also include particular environmental safeguard requirements pertaining to biodiversity conservation and sustainable management of natural resources, pollution prevention and abatement, occupational and community health and safety, and conservation of physical cultural resources.
- 37. This IEE document includes an Environmental Management Plan (EMP) that is the updated version of EMPs as had been presented previously, that covers, based on the identified potential impacts, their characteristics, magnitude, distribution, and duration, sensitive receptors and affected groups. The EMP is more quantitative, than the previous one, shall address the potential impacts and risks identified by the environmental assessment with the corresponding mitigation measures designed to minimize, reduce and mitigate (or compensate the affected parties) and to be implemented for the entire project cycle.

## 2. Extent of IEE Study

38. This Initial Environmental Examination (IEE) Report is for the Section "Kochkor (Km 64) to Epkin (Km 89)", which has a distance of around 25 km. This road section shall be rehabilitated into Category II road. Accordingly, with its setting and mode of rehabilitation, the project

undertaking is classified under the ADB Safeguard Policy Statement 2009 as environment Category B, requiring an Initial Environmental Examination. The purpose of this stage is to review and upgrade the previous IEE Report with more updated and quantitative environmental information additionally obtained/revealed, based on updated construction information such as detailed configuration of infrastructures on/along the road (culverts and power lines), more detail of earth work proposed, potential borrow pits, additional field monitoring and prediction of behavior of noise/pollutions in air and groundwater by sophisticated numerical method. Other environmental issues were also reviewed and confirmed such as fauna and flora, climate change, health, safety and social issues. Based on all the impacts additionally identified/reviewed, considering with the construction scope, it is expected that few impacts, if any, are irreversible, and in most cases mitigation measures can be designed to avoid or minimize them, as is same conclusion of previous IEE report.

39. The first Public Consultations meeting on the environmental aspects for Kochkor (Km 64) to Epkin (Km 89), in accordance with Kyrgyz legislation on public access to the information and ADB's Public Communications Policy (2011) and SPS (2009), was undertaken on 17 March 2016 in Kochkor District Administration Office. Meeting was organized by the IPIG-MoTR through official communication to the local leaders inviting stakeholders in the surrounding villages.

#### 3. Environmental Protection Legislation of Kyrgyz Republic

40. Environmental impact of the Kochkor (Km 64) to Epkin (Km 89) Road Rehabilitation Project is regulated by a number of environmental legislative acts of the Kyrgyz Republic. The Relevant elements of the environmental legislation of the Kyrgyz Republic are shown in Table 1

# Table 1: National Environmental Legislations

N	Legislation	Number & Year of adoption	Purpose/content				
Main laws on environmental protection							
1	The Constitution of the Kyrgyz Republic	2010	Land, its mineral resources, airspace, waters, forests, flora and fauna and other natural resources are used, but at the same time are under protection. Everyone is obliged to take care of the environment, flora and fauna of the country.				
2	The Environmental Safety Concept of KR	No.506 dtd. 23.11.2007	It establishes the basic principles of environmental policy and determines global, national and local environmental issues; priorities in the field of environmental protection at the national level as well as tools to ensure environmental safety.				
3	National Sustainable Development Strategy of the Kyrgyz Republic for 2013-2017	No.11 dtd. 21.01.2013	Provides a conceptual sustainable development framework aimed to satisfy the needs of current generations and not to endanger at the same time the needs of future generations.				
4	Law of KR "On Environmental Protection"	No.53 dtd. 1999 in the wording dtd. 27.04.2009	Establishes the basic principles of environmental protection and provides legal authority to establish environmental quality, designate special protected areas, promulgate rules and procedures for the use of natural resources, establish environmental monitoring and control system and reinforce procedures for overcoming emergency situations. Among the standards and norms of environmental quality authorized under this law and related to the project there are: Standards of Maximum Safe Concentration of Hazardous Substances In Air, Water; Standards of Maximum Safe Noise, Vibration Levels and Other Hazardous Physical Impacts. This law establishes the requirements for environmental examination (environmental assessment) intended by economic or other activities to prevent potential adverse environmental impacts. In addition, it prohibits financing or implementation of projects related to the use of natural resources without obtaining approval from the State Environmental Expertise.				
5	Law of KR "On Environmental Impact Assessment"	No.54 dtd. 1999, in the wording dtd. 04.05.2015	The main law related to environmental assessment. Its task is to prevent negative impacts on human health and environment occurring as a result of economic or other activities, and to ensure compliance of these activities with environmental requirements of the country.				
6	Law of KR "General technical rules and regulations for environmental safety in the Kyrgyz Republic"	No.151 dtd. 2009	Is meant to protect the environment. It determines the main provisions for technical regulation of environmental safety and establishes general requirements for ensuring environmental safety during design and operations of businesses and other facilities of all legal and physical entities.				
7	Regulation on procedure for conducting environmental impact assessment in the Kyrgyz Republic	No. 60 dtd. 13.02.2015	Establishes the procedure for assessing the environmental impact of the proposed activity (hereinafter EIA). The purpose of EIA is to prevent and/or mitigate the environmental impacts of the proposed activity and other related social, economic and other consequences.				
8	Regulation on Water Zones and Strips of Water Bodies Protection in the Kyrgyz Republic	No.271 dtd. 7.07. 1995	Defines the procedure for establishing water zones and strips of water bodies protection in the Kyrgyz Republic, establishes a regime of economic activity and land use located in the water protection zones and strips. This law also defines responsibility for keeping them in proper shape.				
9	Rules for the protection of surface waters in KR	on March 14, 2016 № 128	These Rules govern the protection of surface waters from pollution and depletion, in the implementation of the water users of different types of business activities that have or may have an adverse impact on the status of surface waters, irrespective of their legal form, as well as regulate the procedure for implementation of measures for the protection of surface water.				
10	Law of KR "On Protection of Atmospheric Air"	No.51 dtd. 1999, in the wording dtd. 09.08.2005	Governs the relations on use and protection of atmospheric air.				
11	Law of KR "On Production and Consumption Waste"	No.89 dtd. 2001	Defines the national policy in production and consumption waste management. It is aimed at preventing negative impacts from production and consumption waste on the environment and human health while handling it and their maximum involvement in the economy as an additional source of raw materials.				
12	Law of KR "On Protection and Use of Flora"	No.53 dtd. 2001	Establishes the legal framework for ensuring effective protection, rational use and reproduction of flora resources.				
13	Law of KR "On Wildlife"	No.59 dtd. 1999, in the wording dtd. 24.06.2003	Establishes the legal relations in the context of protection, use and reproduction of wildlife.				
14	Law of KR "On local self-government and local state administration"	No.101 dtd. 2011	Establishes the principles for setting-up local authorities at the level of administrative and territorial units of the Kyrgyz Republic.				
15	Law of the KR "On industrial explosives";	No. 110 dtd 21. 05. 2015	Defines the legal framework for the regulation of explosives trafficking on the territory of the Kyrgyz Republic, and ensuring the safety of personnel working with explosive materials, the population, as well as the protection of property and the environment;				
16	Regulation on the procedure of consideration and issuance of industrial safety authorization documents.	No.301 dtd. 30.05.2013	Establishes the procedure for consideration and issuance of legal entities and individuals, allowing documents authorized executive body, endowed with special licensing features in the field of industrial safety, including conduct of explosive works (procurement, storage of explosive; license for explosive work, etc.)				
			Legislation on Land Acquisition				

N	Legislation	Number & Year of adoption	Purpose/content		
17	The Constitution of the Kyrgyz Republic 2010		Clause 12 recognizes a diversity of forms of ownership and guarantees equal legal protection of private, state, municipal and other forms of property (Clause 12, paragraph 1). Land can be of private, municipal and other forms of ownership except for pastures, which cannot be privately owned (Clause 12, paragraph 5). Property is indefeasible. No one can be arbitrarily deprived of his property. Seizure of property by the state against the will of the owner is allowed only by court decision (Clause 12, paragraph 2). Seizure of property for public purposes specified in the law is possible by the court decision with fair and advanced compensation of property cost and other damages caused as a result of such alienation. (Clause 12, paragraph 2).		
18	Civil Code	No.16 dtd. 8.05.1996 in the wording dtd. 30.05.2013	Determines that the person whose right is violated can demand full compensation for damages, unless the law or agreement consistent with the law says otherwise (Clause 14, paragraph 1). The Civil Code specifies the following losses subject to compensation: expenses incurred or to be incurred by the person whose right is violated in connection with restoration of violated rights (Clause 14, paragraph 2); loss or damage to property (Clause 14, paragraph 2); lost income that would be received by the person under normal civil turnover conditions if his right was not violated (lost profits) (Clause 14, paragraph 2); Compensation for loss of profits along with the other costs, at least in the amount of such income, to the person loosing land, assets or livelihood.		
19	Land Code	No.45 dtd. 2.06.1999 in the wording dtd. 26.05.2009	Governs land relations in the Kyrgyz Republic, basis for the origin, procedure for exercise and termination of rights to land and their registration, and also aimed to create land and market relations in state, communal and private ownership of land and efficient use and protection of land. The Land Code is the main document, which regulates land use.		
20	transfer No. 145 dtd. other normative legal acts of the Kyrgyz Republic. It defines the legal basis, c				
21	Law «On Highways»	No.72 dtd. 2.06.1998	According to Clause 4 the public roads are owned by the state and not subject to sale and cannot be passed into private ownership. This law (Clause 27) also provides that without prior approval of the State Automobile Inspectorate and the Ministry of Transport and Road of the Kyrgyz Republic the following is prohibited among others: trade on the roadside; placement of kiosks, pavilions and similar structures; and, unauthorized use of road lands (Clause 23)		
22	Regulation on valuation of assets		Valuation of assets is made based on the Provisional Rules of activities of valuators and valuation organizations (Government Resolution #537 dtd. August 21, 2003), property valuation standards (Government Resolution #217 dtd. April 3, 2006) and other national legislative provisions.		
		Law On Prot	ection And Use Of Historical And Cultural Heritage		
23	The Law "On protection and use of historical and cultural heritage"	No.91 dtd. 26.07.1999	Establishes legal norms for protection and use of tangible historical and cultural heritage on the territory of the Kyrgyz Republic, which is of unique value for people. The law is mandatory for all legal entities and individuals. It defines their rights and obligations in the context of protection and use of tangible historical and cultural heritage. Historical and cultural heritage are the historical and cultural monuments associated with historical events in the life of the people, development of society and the state, material and spiritual creative works representing historical, scientific, artistic or other value.		
	The Law "On access to	1	Law on Access to Information		
24	information held by public bodies and local self-government of the Kyrgyz Republic"	No.213 dtd. 28.12.2006	This law regulates the rights and obligations of public authorities to provide information to the local population, in order to achieve transparency of work of public awareness		
	International Conventions and Agreements				
25	UN Framework Convention on Climate Change	2000	Combating global climate change and its consequences.		
26	Aarhus Convention on access to information, public participation in decision-making and access to justice on environmental issues.	2001	To support the protection of human rights to a healthy environment and wellbeing, access to information, public participation in decision-making and access to justice on issues related to the environment.		

41. Ratification of international legal acts involves implementation of international requirements into the national legislation and harmonization of the Kyrgyz legislation with the international legislation. However, this process is moving very slowly in Kyrgyzstan given that conventions are really frameworks that need to be translated into national laws, a process that is time consuming and complicated.

## 4. Required ADB Environmental Approval

42. ADB requires the consideration of environmental issues in all aspects of its operations. Superseding the previous environment and social safeguard policies, ADB's Safeguard Policy Statement, 2009 (SPS, 2009) sets out the policy objectives, scope and triggers, and principles for three key safeguard areas: (i) environmental safeguards, (ii) involuntary resettlement safeguards, and (iii) Indigenous Peoples safeguards.

- 43. ADB adopts a set of specific safeguard requirements that borrowers/clients are required to meet in addressing environmental and social impacts and risks. Borrowers/clients comply with these requirements during project preparation and implementation. The environmental safeguard requirements are indicated in Appendix 1 of SPS 2009 (Safeguard Requirements 1: Environment). This states that ADB requires environmental assessment of all project loans, program loans, sector loans, sector development program loans, and loans involving financial intermediaries, and private sector loans.
- 44. In the ADB's Screening and Categorization, the nature of the environmental assessment required for a project depends on the significance of its environmental impacts, which are related to the type and location of the project, the sensitivity, scale, nature and magnitude of its potential impacts, and the availability of cost-effective mitigation measures. Projects are screened for their expected environmental impact are assigned to one of the following four categories:
  - **Category A:** A proposed project is classified as category A if it is likely to have significant adverse environmental impacts that are irreversible, diverse, or unprecedented. These impacts may affect an area larger than the sites or facilities subject to physical works. An environmental impact assessment is required.
  - **Category B:** A proposed project is classified as category B if its potential adverse environmental impacts are less adverse than those of category A projects. These impacts are site-specific, few if any of them are irreversible, and in most cases mitigation measures can be designed more readily than for category A projects. An initial environmental examination is required.
  - **Category C:** Projects likely to have minimal or no adverse environmental impacts. No environmental assessment is required, although environmental implications are still reviewed.
  - **Category FI:** proposed project is classified as category FI if it involves investment of ADB funds to or through a financial intermediary.
- 45. Environmental Management Plan: An Environmental Management Plan (EMP) which addresses the potential impacts and risks identified by the environmental assessment shall be prepared. The level of detail and complexity of the EMP and the priority of the identified measures and actions will be commensurate with the project's impact and risks.
- 46. Public Disclosure: ADB will post the following safeguard documents on its website so affected people, other stakeholders, and the general public can provide meaningful inputs into the project design and implementation:
  - (i) For environmental Category A projects, draft EIA report at least 120 days before Board consideration;
  - (ii) Final or updated EIA and/or IEE upon receipt; and
  - (iii) Environmental Monitoring Reports submitted by Implementing/Executing Agencies during project implementation upon receipt.
- 47. The Section Kochkor (Km 64) to Epkin (Km 89) was classified based on ADB's Safeguard Policy Statement (2009), and ADB Methodological Guidelines on Environmental Assessment (2003) as a category "B", and IEE is required and regarded as the final environmental assessment report.
- 48. ADB also requires public consultation in the environmental assessment process. For Category B projects, the borrower must consult with groups affected by the proposed program and with local non-governmental organizations (NGOs) if possible. The consultation needs to be carried out as early as possible in the program cycle so that views of affected groups are taken into account in the design of the program and within the mitigation measures proposed. Any compensation related grievance redress issues will be resolved according to the Program's Resettlement Framework. A single Grievance Redress Mechanism (GRM) shall be set up to tackle both environmental and social issues for the project.

## 5. Permitting Processes in the Kyrgyz Republic

- 49. The assessment of the possible effects of economic and other activities on the environment and human health, as well as the development of a list of measures to prevent adverse effects (destruction, degradation, damage and depletion of natural ecological systems and natural resources), and improve the environment are carried out in the framework of environmental impact assessment provided the environmental legislation of the Kyrgyz Republic.
- 50. Environmental impact assessment is carried out according to the
  - Regulations on the procedure for environmental impact assessment in the Kyrgyz Republic (13 February, 2015, #60);
  - Regulations on the procedure of the state ecological examination in the Kyrgyz Republic (7 May, 2014, #248);
  - Law "On Ecological Expertise" No.54 dtd. 1999, (with amendments as of 04 May 2015),
  - Law "On Environmental Protection" No.53 dtd. 1999, and
  - Law "General technical regulation on environmental safety."No.151 dtd. 2009.
- 51. The Environmental Management Plan (EMP) is developed on the basis of the EIA, design solutions and refined, is specified on each next stage of the project. EMP reflects all the possible negative impacts that have been identified EIA and includes mitigation measures these effects.
- 52. Environmental assessment in Kyrgyzstan is founded on two subsystems: (i) OVOS (the Russian acronym for "Assessment of Environmental Impacts"), and (ii) Ecological Expertise (State Environmental Review, SER). Based on a "list", project screening is done to determine whether a project is the subject to environmental assessment or not. For cases that this is required, an OVOS is conducted by an OVOS consultant hired by a Project Proponent. The environmental assessment proceeds produces the EIA documents which will be subjected for further reviews.
- 53. The resulting EIA/IEE is then presented for public consultations, after which revisions are done according to the public's feedback. Subsequently, the OVOS report, Statement of Environmental Consequences, and other supporting documentations are submitted for the State Environmental Review (SER). After which the project will be approved, rejected or send for reexamination.
- 54. Continuation of the SER depends on the project, but cannot be more that 3 month after submission by the Initiator of the project with all EIA/IEE documents to SER. Public Environmental Review (PER) is organized and conducted by the initiation of the local people, local administrations and Civil societies, registered in the Kyrgyz Republic. The outputs of public environmental review are directed to the agency, which is implementing the state environmental expertise and to the agency, which is responsible for the decisions of implementing of the expertise objects.
- 55. Public Consultation had been held for the IEE during the Feasibility Stage. The outputs of the public consultation are incorporated in the Public Environmental Review (PER) which can be done both stage of the OVOS or also initiated in parallel to the SER. The SER duration depends on the complexity of the project, but should not exceed 3 months after submission of all the OVOS documents for the SER by the Project Proponent.

#### 6. Environmental Standards

56. The following environmental standards are applied to the Project. International standards were also presented here with for comparison with Kyrgyz standards; subsequently the more stringent standards shall be used as monitoring requirements.

#### Air quality

57. Maximum permissible concentrations of harmful substances in ambient air according to Kyrgyz and international standards below in Table 2.

Pollutants	Maximum permissible concentration (mg/m <sup>3</sup> )		Concentration averaging period	
	According to national legislation	According to IFC*	According to national legislation	According to IFC*
Dust	0.5	-	daily average	-
PM <sub>10</sub> (Reference only	-	0.01	-	1 year
and not monitored)	-	0.025	-	24 hours
PM <sub>2.5</sub> (Reference only	-	0.02	-	1 year
and not monitored)	-	0.05	-	24 hours
Sulphur Dioxide (SO <sub>2</sub> )	0.5	0.02	daily average	24 hours
Nitrogen Dioxide (NO <sub>2</sub> )	0.085	0.04	daily average	1 year
Carbon monoxide (CO)	3.0	0.1	daily average	Maximum daily 8 hour mean

#### Table 2: Maximum Permissible Concentrations of Harmful Substances

\*World Health Organization (WHO). WHO Ambient Air Quality Guidelines.

#### Noise

58. The Kyrgyz National Noise Standards are set out in Table 3. These take the form of design aims or noise limits, which are not sufficient for use in process of environmental impact assessment where the effect of change in noise levels need to be considered. In addition, the absolute noise levels provided in the Table do not provide specific internal noise levels for the community facilities identified within this study including for example shops or mosques.

Table 3: Ky	gyz Noise	Standards
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Description of Activity / Category	LAeq,T	LAmax,F
Areas immediately adjacent to hospitals and sanatoriums	Day 45 Night 35	Day 60 Night 50
Areas immediately adjacent to dwellings, polyclinics, dispensaries, rest homes, holiday hotels, libraries, schools, etc.	Day 55 Night 45	Day 70 Night 60
Areas immediately adjacent to hotels and dormitories	Day 60 Night 50	Day 75 Night 65
Recreational areas in hospitals and sanatoriums	35	50
Rest areas at the territories of micro-districts and building estates, rest houses, sanatoriums, schools, homes for the aged, etc.	45	60

The International Finance Corporation (IFC) Guidelines are set out in Table 4 below. These are again in the form of design aims, which it states have been taken from WHO Guidelines and which should not be exceeded. The levels of 55dB and 45dB for day and night time for dwellings are identical to those included in the Kyrgyz Standards, however they are levels below which there are no demonstrable effects of noise on health, and not levels at which there would be considered to be significant noise impacts. The level of 70dB for industrial and commercial premises is not appropriate as an environmental noise standard and is based on a WHO hearing damage criterion.

Receptor	Noise Level Guidelines LAeq,1hr (dBA)	
	Daytime (07:00 - 22:00)	Night time (22:00 - 07:00)
Residential; institutional; educational	55	45
Industrial; commercial	70	70

The IFC guidelines also state that noise increases should not exceed 3dB, but do not distinguish between the assessment of temporary effects e.g. construction activities, and permanent effects e.g. changes in road traffic noise. However, in order to remain compliant with IFC Guidelines a noise change of 3dB is used to identify a significant construction noise impact, which is then rated using the semantic descriptors in Table 5 below.

Noise change (dB)	Description of Impact	Effect
Increase of 0.1-2.9 dB	Negligible	No Effect
Increase of 3.0-9.9 dB	Low	
Increase of 10.0-14.9 dB	Moderate	Negative Effect
Increase of 15 dB or more	Major	

In additon, IFC specifies that Occupational Noise Exposure shall not exceed 80dB for 8 hr.

#### Vibration

59. The British Standard BS 5228 [12] sets out guideline values in terms of peak particle velocity for human response to construction works and these are shown out in Table 3 below. Column three includes semantic descriptors of the scale of vibration impact which are equivalent to those commonly used in the assessment of construction vibration.

The overall results of the assessment are to be presented in the form of building vibration damage contours in vibration assessment report in the separate volume hence the human response to vibration must be considered in relation to these contours. Impacts to buildings and human are summarized in Tables 6 and 7 respectively.

Building Vibration Damage Risk Level	Building Description	Cosmetic Damage Threshold ppv (mm/s)
	Extremely fragile historic buildings, ruins, ancient monuments	2
High Risk A	Fragile buildings of clay construction with shallow (<1m) rubble footings	3
High Risk B	Fragile buildings of clay construction with concrete foundations/footings	3
Medium Risk	Residential brick built on concrete foundations/footings and light commercial	10
Low Risk	Heavy commercial, industrial and framed buildings	25

#### **Table 6: Building Vibration Assessment Criteria**

#### Table 7: BS 5228 Vibration Assessment Criteria for Human Perception

Vibration Level ppv (mms-1)	Description of Effect	Description of Impact
<0.3	Vibration unlikely to be perceptible	Negligible
0.3 to 1.0	Increasing likelihood of perceptible vibration in residential	Minor
1.0 to 10	Increasing likelihood of perceptible vibration in residential environments but can be tolerated at the lower end of the scale if prior warning and explanation has been given to residents	Moderate
>10	Vibration is likely to be intolerable for any more than a brief exposure to a level of 10mms-1	Major

#### Surface water

60. Monitoring qualities of surface water are presented in Table 8 below.

#### Table 8: Surface Water quality Standards

	Maximum permissible concentra	Maximum permissible concentration (mg/m <sup>3</sup> )		
Pollutants	According to national legislation	According to EC legislation		
Turbidity	Not less than 20/10 cm	Not less than 1,0 meters/depth		
Oil Products	0,3 mg/L	not visible in the form of a film		
Dissolved Oxygen (DO)	-	-		
рН	-	-		
Total Suspended Solid (TSS)	Increasing 0.25 / 0.75			

Total Suspended Solid (TSS) Increasing 0.25 / 0.75

GN 2.1.5.1315-03 with changes GN 2.1.5.2280-07 and SanPiN2.1.5.980-00, Directive 2006/44 / EC of the European Parliament and of the Council of 6.09 in '06 on the quality of fresh waters needing protection or improvement of quality in order to maintain fish life.

## C. Description of the Project

## 1. Need for the Project

- 61. Since Kyrgyzstan is a mountainous, landlocked country, regional commerce depends heavily on road transport, which dominates the Kyrgyz transport system and heavily dependent on road transport. As mentioned in Country Partnership Strategy with ADB, the road infrastructure has been routinely affected by climate-induced extreme events, including extreme temperatures, landslides, and mudslides. It is for this reason that further investment will be needed in the rehabilitation and maintenance of the road infrastructure.
- 62. The proposed project will help link the southern regions of Osh, Batken, and Jalal-Abad with the northern regions of Naryn, Issyk-Kul, Chui, and Talas, and then further connect to the regional corridors. The project will: (i) reduce the cost of passenger and cargo transportation between southern and northern regions by providing direct access, (ii) provide a more direct transit route between Kazakhstan and Tajikistan, and (iii) help stimulate economic activity such as trade.

## 2. General information on Project Facility

- 63. This Section's starting point designated as Km 64, begins at the junction of three roads the road that goes through the village of Kochkor, the Bishkek-Naryn-Torugart Highway serving as Kochkor Bypass and this section of the Project road. Generally, this Section follows the existing alignment up to Epkin (Km 89). The entire of this section is within Naryn Oblast and it traverses only one district, namely Kochkor (Kochkor, as the capital).
- 64. Within the Kochkor District, the road traverses the villages of Kok-Zhar, Chikildek and Epkin. Also in Kok-Zhar village a bridge spans over Zhon Aryk River, which is one of the tributaries of Chui River.
- 65. Table 9 shows the Geographical Jurisdictions that the road section traverses or is near to.

Oblast	Rayon	Village	Section / km
		Kok-Zhar	
Naryn		Chikildek	
	Kochkor	Cholpon	Km 64 – Km 89
		Epkin	

#### Table 9: Geographical Jurisdictions along the Road Section

Source: The Consultant

# 66. The map of the project road is shown in Figure 1.



Figure 1: Location Map of the Road Section

- 67. Engineering-geological conditions of subgrade construction on the North-South Alternative road on the section between Kochkor to Epkin are favorable. Baseline with a length of 25 km is laid mainly on the existing roadbed with gravel envelope, in some spaces with asphalt coat. Coating is asphalt, mainly with a thickness of 5-6 cm, rarely 9-10 cm. Base of road pavement and is constructed from gravel, pebble and crushed-stone soils with sandy-loam, sandy fillers.
- 68. The road is in poor condition, the surface is bumpy with numerous patches, covered with frequent transversal and longitudinal cracks, often with crack network. Also in Kok-Zhar village a bridge spans over Zhon-Aryk River, which is one of the tributaries of Chui River. The road also crosses many feed and irrigation ditches and low places.

## 3. Type and Technical Road Category of the Project

69. The Section "Kochkor (Km 64) to Epkin (Km 89)" will be upgraded to Technical Road Category II consisting of (i) pavement works – replacement and/or construction of new pavement structure; (ii) bridge construction/repair – mostly repairs of bridge decks; (iii) culverts and drainage works – replacement of old culverts and improvement of existing ones with installation of side ditches; (iv) road curvature improvements – for improved drivability and safety, curvatures and gradients will be improve, especially at existing narrow curves; (v) carriage way widening – in a number of spots the road width will be widened to allow for safe two-way traffic, and pedestrian access; (vi) slope cuts – due to necessary widening and safety; (vii) slope stabilization – cuts will be stabilized by structural works; and (viii) installation of road furniture – necessary safety features and furniture shall be installed at strategic locations along the road. The envisioned service life of the pavement based traffic load forecast is set at 15 years, with the normal routine and periodic maintenance.

### 4. Details of the Project

#### 4.1 Road Cross section

70. The road is to be designed according to Kyrgyzstan geometric design standard, and accordingly, it shall be sufficient to carry the traffic loading efficiently and with the vehicles from the opposite directions can pass safely. Effectively, these will be a two-lane road consisting of a carriageway width (sum of the width of lanes) and the width of the shoulders. The design elements for the cross section of the project road are as follows:

(i)	Number of lanes:	2
(ii)	Lane width:	3.5-3.75 m
(iii)	Carriageway width:	7.00-7.50 m
(iv)	Width of shoulder:	3.25-3.75 m (of which 0.50-0.75 m is paved)
(v)	Total road width:	15.00 m

71. Planned volume of earthworks is summarize as shown in Table 10:

Table	10:	Volume	of Earthwork
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Description		Quantity
Excavation of top soil (vegetative layer)	m <sup>3</sup>	75,470
Excavation to spoil of unsuitable and surplus material, common soil	m <sup>3</sup>	76,200
Excavation to spoil of unsuitable and surplus material of rocky ground	m <sup>3</sup>	11,500
Formation of embankment, common material from cut	m <sup>3</sup>	55,000
Provision of Subgrade, selected material	m <sup>3</sup>	23,500

Locations, type (cut or fill) and scale (length, width, depth/height) of earthwork are summarized in Annex A2.

72. Typical pictures of sections Technical Category II Road (Types 1-6) are shown in Figure 2 while those of 'Types 7-11) are indicated in Figure 3.

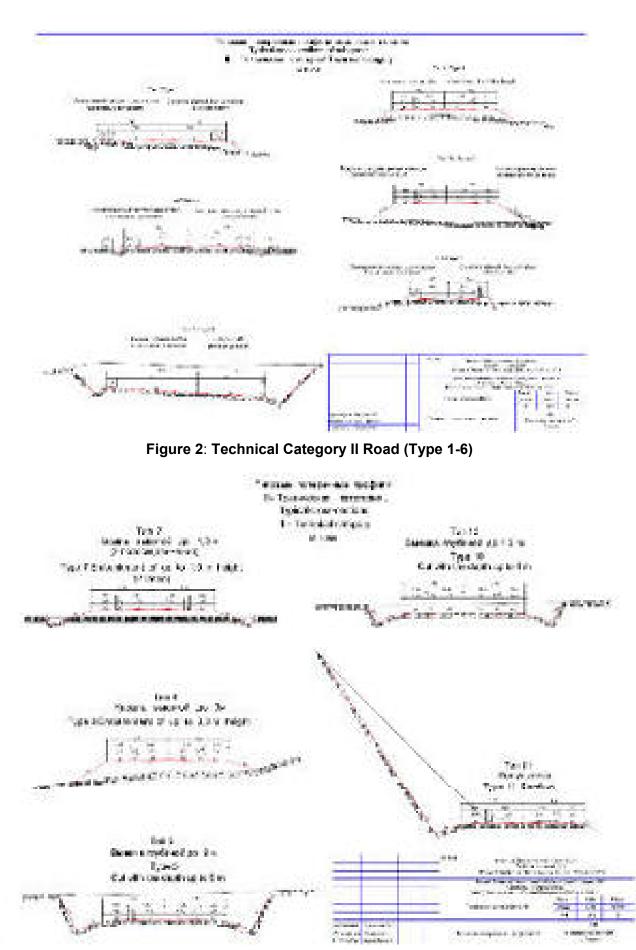


Figure 3: Technical Category II Road (Type 7-11)

## 4.2 Bridges and Culverts

73. There are four (4) major bridges along this section of the project road as shown in the Table 11. Minor water crossings pass through culverts which are also going to be reconstructed. Estimate is 35 culverts.

No.	Bridge Location	Name of crossing watercourse	Span Scheme	Bridge length, m	Design bridge width, m	Proposed Rehabilitation Measure
1.	65+414	Zhon-Aryk	14.06x3	47,38	11.5+2x1.5	repair, widening
2.	68+046	Mukan	1x6.0	6.5	11.5+2x0.75	replacement
3.	86+530	Ak-Uchuk	1x6.0	11,1	11,5+2x1,5	replacement
4.	88+793	Zharkoomdu	1x6.0	11,1	11.5+2x0.75	replacement

#### Table 11: Bridges in the Section

In additon, there are 49 culvers are to be installed/upgrade as per Annex A-3.

#### 4.3 Powerlines

74. Very often, overhead powerlines are running along the road and sometimes crosses the road. Locations these powerlines are summarized in Annex A4.

#### 5. Temporary Ancillary Facilities

#### 5.1 Material Sources and Cut and Fill

- 75. Considerable volume of materials will be obtained from borrow areas and will be used for construction of road embankments and bridge approaches. Several feasible borrow areas are quite apparent in the general vicinity. Contractors involved in the recent road reconstruction works also can readily identify potential areas for borrow materials which can be used for the bridge approach roads. The prospective contractor will probably identify his own source of materials. However, the materials need to be approved by the construction supervision engineer prior to using them for the project.
- 76. Should the Contractor be sourcing the materials from existing and operational guarry site, the contractor should exert influence on the operator that all required permits from local authorities, get approval from territorial departments of SAEPF are obtained and proper operational and management measures be instituted to minimize impacts to the general environment. On the other hand, if the contractor plans to begin development of a new borrow pits, then it is required of him to the mandatory procedures under the provisions of the Kyrgyz Republic, namely, the contractor must obtain all necessary permits for the allocation of plots for borrow pits or spoils from the local government, coordinated with regional administrations to develop a "plan of development and reclamation of borrow pits" and transmit the necessary documents to the MOTR KR to obtain a license for the development of borrow pits in the State Committee for industry, energy and subsoil use of the KR. These steps are not required when using existing guarries or precast plants. In the case of private borrow pits all permits (licenses, coordination with local authorities, State Agency on Environment Protection and Forestry, etc.) is the responsibility of the owners of the quarry, which should be specified in the contracts concluded between the contractor and the owner of the quarry.
- 77. During the field investigations by the material specialist, suitable construction materials were located and inspected. However, near the road section, no suitable materials were found. During to construction period, the contractor should perform his own material survey and process the corresponding permits for the operation of material sources.

#### **5.2 Construction materials**

78. Table 12 provides a list of possible sites for borrow areas.

No.	km	Side	Description	Availavility	Photo
1	76	LHS	Old borrow pit with sand and gravel	Yes	
2	81	LHS	Sand and gravel	Yes	
3	85.6	LHS	Sand and gravel Slope deposit	Yes	

# Table 12: Possible Borrow Areas

# 5.3 Asphalt and Cement Batching Plants

- 79. In establishing asphalt plant at the site for the road pavement of basically the binder course and the surface course; Gases will be emitted when producing the asphalt hot mix likewise bitumen spill may occur during handling and mix preparation. For the cement batching plant for concreting works such as bridges, culverts and drainage works, cement dust can contaminate the air. It is same for crushing plant to produce suitable sizes of sand and gravel for asphalt and concrete. In addition, the preparation, mixing and loading of concrete mix into the transit mixer and subsequent washing of trucks will result into soil and water contamination.
- 80. These facilities should be situated at appropriate distances from the residences (not less than 500m) as well as the river (not less than 75m, depending on the size of water protection zone) so as not to result to water contamination. Within the project road, since the area is rural, there are ample spaces to set up these plants. The Contractor should obtain the necessary permits, negotiate properly with the landowners and reinstate the area after usage at the end of the project.

## 5.4 Construction Camp

81. Selection of the required land plots for organizing the construction camps is the Contractor/s responsibility, as well as negotiation with the owners of the lands and getting required permissions. There are free land plots to be used for the construction camps and Contractor has a choose to select relevant territory for the location. The proper maintenance of all the service and sanitary facilities at the construction camp falls6 under the direct responsibility of the Contractor under the supervision of the construction supervision engineer for the project. The sanitary facilities or ablution include toilets, urinals, showers, washstands and a laundry area. In addition, equipment and maintenance yard will also have to be sited accordingly. Waste water should not be discharged into the river unless treated in compliance to local effluent standards. Solid waste collection and disposal should be planned properly in accordance with the requirements to the solid wastes. Solid waste disposal to the rivers are restricted. For construction camps, there are ample spaces in the area that the Contractor can select to set them up.

## 6. Alternatives

- 82. Two alternatives were considered in this IEE:
  - (i) Zero option inaction / do nothing
  - (ii) The road reconstruction project

The "Zero option" alternative scenario will mean that the road stays "as is", in which no rehabilitation works. Considering the mentioned reasons and along with those presented in the "Country and Regional Strategy" and "Locality Specific Rationale", the benefits of rehabilitating and reconstructing the road generally outweigh the expectations of the "zero option" alternative.

The second Alternative is considering the road reconstruction for the Category II which will be on the existing road in the section Kochkor (Km 64) to Epkin (Km 89).

## 7. Traffic Volume

83. Results of the Manual traffic for road section counting converted into AADT by each vehicle type (Year 2015) in view of seasonal and daily correlation is shown in the Table 13<sup>1</sup>.

Section	Name of the section	Vehicle Type	Car	Light Bus/ Van	Medim Bus	Large Bus	Light Truck Pick Up	Mediu Truck 2-axle	Heavy Truck 3-axle	Truck trailer	Truck Semi trailer	Total
1B & 2A	Kochkor 62+580k m – Epkin 89km & Epkin 89km – Bashkuga ndy 159+274k m	Counti ng result	1359	128	91	3	40	48	72	55	35	1831
	-	Day/Mon	th Factor	(Tuesday//	August) =	0.885						
	-	AADT	1203	113	81	3	35	42	64	49	31	1620

 Table 13: Results of Manual Traffic Count (2015)

84. As per estimate in the traffic study, the growth rate is as follows: (i) 2011-2024 = 4.2%; (ii) 2025-2029 = 3.7%; (iii) 2030-2035 = 3.2%; and (iv) 2036-2040 = 2.8%. After adding the diverted traffic and applying the growth rates the future traffic are around 2,434 cars. Comparing this value with Road Classifications for Kyrgyz Republic, it shows that Category II road will be sufficient to service the future traffic.

#### 8. Proposed Schedule for Implementation

85. The schedule for the construction activities is at preliminary stage. The detailed design consultant will have to be recruited who will undertake the necessary design finalization along with all the contract documents. This IEE will form a part of the contract with specific provisions to form part of the Technical Specifications. The anticipated start of construction will be in 2019. All construction period will take 2 years and plus 1 year for technical guarantee.

<sup>&</sup>lt;sup>1</sup>This is part of the Economic Report for this PPTA

## D. Description of the Environment

## 1. Topography, Geology and Soils

- 86. The road section "Kochkor (Km 64) to Epkin (Km 89)", starts west of Kochkor village which is part of the Kochkor District. This part of the Kochkor valley is described as a cavity with a base altitude 1800-2500 m, length of 80 km, and a width of 20 km. The general area can be considered as steppe environs with fragments of forests and meadows. Near the road, agriculture and animal herding are the main activities. From km 64 km 92 is well laid within the Kochkor depression, oriented east-west direction. The surface of the valley is flat, with slight wavy longitudinal profile. Elevations fluctuate over at 1856 2250 m above sea level, the growth occurs in the direction of the pass Kyzart.
- 87. The territory of Kochkor District is vast tracts of agricultural lands devoted to farming and animal stock-raising. Kochkor valley is limited to in the north by the Kyrgyz from the south Karagatty Kyzart Mountain Ridges. The mountain area has highly broken relief with high slopes. The difference of elevations in the valley varies from 1,700 to 2,400 meters, the mountain areas from 2400 to 4502 m. The terrain is characterized as undulating and mountainous and covered with grasses suitable for grazing. The roadside terrain is mainly grassland devoted to animal grazing. Local vegetation is sparse, however in some parts of the road are lined with trees (mainly poplars, elms and black locust).

### 2. Climate

- 88. Much of the Naryn regions are ridges. The climate is continental; winter is cold and long. Thelowest absolute temperature gets as low as - 45°C (below zero). The summers are short and cool. There are several climatic zones in the area: (i) at the height of 1400--1600m above sea level - desert, semi-desert; (ii) 1600--1800m, 1800--2000m – steppe; (iii) 2500--4000m subalpine and alpine zone; (iv) above 4000m zone of eternal snows.
- 89. The average temperature in January is 15°C. The absolute minimum temperature (-50°C) was registered on the territory of Ak-Sai valley. In the lake Chater-Kël, valleys: the Ak-Sai, Arpa, in the upper reaches of the Naryn, in the basins Son-kul have cold winters, warm summers short. During the day characterized by abrupt changes in temperature may be freezing even in the summer months. Average annual precipitation on the plains is 200-300 mm in the mountains a little more. The period of heavy rainfall in the second half of spring and the first half of summer, when the fall of 30 to 60% of annual precipitation. In the valleys of the amount of precipitation increases from west to east. The snow cover is in the valley of the up to 40 cm, in the mountainous area of up to 80 cm. In Kochkor valley because of strong winds snowfall are rare, and cover is unstable.

#### 3. Hydrology, Water Resources, and Water Quality

- 90. Naryn region has more than 5,000 rivers and streams. Highland ridges of the perennial glaciers that give rise to many rivers that go far beyond the region. Glaciers are located at an altitude of 4000 m. The total area of glaciers is about 500 km2. Most glaciers are located on the ridges of Kakshaal. The largest is the Ak-Sai, Ai-Tal, Ortho-Tash. Also, there are numerous alpine lakes and the biggest of them are Son-Kol and Chatyr -Kol.
- 91. Within the Naryn region flows the longest river of the country the Naryn River, which supplies seven hydroelectric power plants. Naryn River is providing a huge flow of water that significantly affects the economic activity not only in Kyrgyzstan, but also Uzbekistan, Kazakhstan and Tajikistan. It flows within the ranges of 10-14 km3 per year. The most important tributaries of the Naryn River: Small Naryn (407 m<sup>3</sup> / s), On -Archa (160 m<sup>3</sup> / s), Dzhergetal (65.4 m<sup>3</sup> / s), Kok -Gert (Kazhyrty) (64.5 m<sup>3</sup> / s). The Syr-Darya River within Naryn forms the second largest river in Central Asia the Syr Darya.
- 92. The eastern watershed before the Kyzart pass forms the catchment that drains into Orto-Tokoi Reservoir via Kochkor River. The main rivers in this area are Zhon-Aryk River that joins Kochkor River at Km 67. The Kochkor River is a river in Kochkor District of Naryn oblast. It is formed by confluence of Karakol and Seok rivers. The river is 45 km long, with the basin area

2,590 km<sup>2</sup> wide and the average discharge 12.6 m<sup>3</sup> per second. Chu River is formed by the confluence of Kochkor River and Zhon-Aryk River near village Kok-Jar.

## 4. Ecological Resources in Project Area

93. Naryn oblast is considered rich in flora and fauna. Some of the species that thrive are relict Tien Shan blue spruce, herbs: buckthorn, ephedra, zverovoy, yarrow, valerian, wild rose and manyo thers. There are reserves: Naryn and Karatal-Zhapyryk reserves, hunting reserves: Kochkor, At-Bashy, Ugut etc.

## 4.1 Flora

- 94. Territory of the Kochkor Epkin section refers to arable irrigated land on the site of steppes and deserts. According to geobotanical subdivision, the territory refers to Inner Tien Shan province.
- 95. Range of vegetation types. Desert: thorn cushion plant, sod-grass steppes, tall grass meadow, cryophilic cushion plant, swamps, spruce forests, leafy summer green forests, deciduous shrubs. And juniper stands. Great area is occupied by primitive plant aggregation with sparse vegetation. Type of belts deserted steppe with fragments of forests and meadows.
- 96. Dominant vegetation is: Sympegmaregeli, Silver willow (Salix acutifolia), Sea buckthorn (Hippophaerhamnoides), Geranium regelii, Geranium himalayense, Kalidiumcuspidatum, Reaumuriasoongorica, Acantholimonalatavicum, Artemisia tianschanica, Stipacaucasica, Festucasulcate, Phlomisoreophila, Carexstenocarpa, Iris halophila Pall (Iris sogdiana Bunge). Out of medicinal plants, there grow Begger's rose, loose rose, Ural licorice.

# 4.2 Fauna

- 97. The territory of the project area is desert and semi-desert. By geographical zoning, the territory refers to inner Tien Shan and midland. Representative species of the given territory are:
  - (i) Reptiles: desert lidless skink, lizard, arrow-snake, copperhead;
  - Birds: little owl, mongolian plover, short-toed lark, tawny pipit, common chats, black redstart, rocky nuthatch, desert mongolian finch, roodyshelduck (in reservoirs), bearded partridge, chukar partridge (in open habitats), turtle dove, black-bellied sandgrouse flies;
  - (iii) Animals: great horseshoe bat, sharp-eared owl-moth, tolai hare, sand eel, steppe polecat, stone marten, gray marmot, muskrat (in reservoirs);

## 5. Endogenous and exogenous processes

- 98. **Seismic hazard.** According to seismic regionalization of the Kyrgyz Republic territory, the project area relates to 8-point seismic zone (SNiP KR 20-02:2009).
- *99.* Mudflow hazard. Mudflow of storm origin may take place in Kok-Zhar rural district by threatening houses, bridges and roads. Mudflow may take place once in two or more years on the major part of the area's mountainous territory. Mudflows of storm origin may happen within April-September, most likely within May-July as shown in Table 14.

## Table 14: Forecast of possible activation of mudflows and floods

N≌	Rural district	River	Settlement	Facilities that might be affected
25	Kok-Zhar	Mudflows, right bank, Zhon-Aryk River	Kok-Zhar village	houses, homestead lands
		it- 001C		

Source: MES KR website, 2015

100. **Flooding**. Areas with high levels of groundwater are confined to lower terraces of Zhon-Aryk River' valleys as shown in Table 15.

N⁰	Rural district	Settlement	Floo	Flooding reasons		Recommended safety measures
62	Semiz-Bel	Chekildek village	High level	ground	water	Lowering of ground water level
64	Cholpon	Cholpon village	High Ievel	ground	water	Construction of collector drainage network
66	Cholpon	Epkin village	High level	ground	water	Construction of collector drainage network

Source: MES KR website, 2015

### 6. Socioeconomic Information

## 6.1 Regional Information

- 101. Naryn Oblast is situated in the southeast of Kyrgyzstan is bordered on the east by the Issyk-Kul, in the north - Chui, in the west - Jalal-Abad and Osh provinces in the south - with China. The region consists of 5 districts (Ak-Talaa, At-Bashy, Jumgal, Kochkor Naryn). Naryn City is the administrative center of the region and the largest city in the Inner Tien Shan. Its total land area is 45.2 thousand square kilometers, accounting for 1/4 of the territory of Kyrgyzstan, and with 249.1 thousand people, which is 5.2% of the country's population.
- 102. The Oblast's main imports include industrial chemicals, metals and machinery, while the exports are comprised of livestock, livestock products including hides, minerals, textiles and textile products. The main livelihood in Naryn Oblast is engaging in animal husbandry or agriculture. Private farms cover less than 5% of the utilized land area of the Oblast, with significant overgrazing in many places as distant pastures are not accessible due to poor road networks.

## 6.2 Local Information

- 103. The Section "Kochkor (Km 64) to Epkin (Km 89)" of the project road passes through the several villages of Kochkor Rayon. Basic social infrastructures are available in these villages, such as drinking water, and electricity. For heating, local people use coal and firewood. All of the villages have schools, with kindergartens in large villages.
- 104. Village Medical Points are found in every village and in rayon centers there are hospitals. Transport infrastructure is the main road with an asphalt surface (cold asphalt) and dirt roads. The bulk of the population is engaged in agricultural activities and livestock. The main products are wheat, potatoes, barley, meat, milk, wool, eggs.

## 7. Cultural and Archaeological Resources

- 105. There are several objects of cultural and historical significance in the project area. One notable item though is the presence of burial sites and cemeteries along the road. It is important that the road design and consequently the construction will ensure that impacts will be avoided or minimized.
- 106. To obtain more detailed archaeological, cultural and historical information, a local specialist was engaged by PPTA to undertake this scope. This specialist had conducted a separate field work and presented his findings in a report.
- 107. In March 2016 and April 2018, archaeological investigations were performed by a local archaeologist historical and cultural heritage sites and objects in the vicinity of the project within the territories of Ton district of Issyk-Kul, and Kochkor and Jumgal district of Naryn oblast, Jaiyl district of Chui oblast in accordance with the Technical instructions and norms of the method of archaeological investigations<sup>2</sup>.
- 108. Within the section, the significant archaeological resources consist of

<sup>&</sup>lt;sup>2</sup>Provisional Regulations on the procedure of the archaeological survey. Approved by Decree of the Government on July 11, 2014 under the number 386; Avdusin DA Field Archaeology of the USSR, 1980. - p.58-113.

 Eight (8) objects presumably stone-earth mounds of early nomads made up of mainly of small size gravel with a height from 0.2 to 0.7 meters and a diameter of 4 to 11 meters. These artifacts are located about 80-100 meters south side of the road south-west of the village of Cholpon (or LHS from the road) in Kochkor district (coordinates 42.18314 E75.45456 of N) between arable agricultural lands (see Photos below). Due to its distance, it would not be directly affected by construction activities. However, the Contractors should be informed of their presence and be in instructed to avoid any disturbance to this area as shown in Figures 4 and 5:



Figure 4: Location of Archaeological Resources near Cholpon village



Figure 5: Actual Archaeological site near Cholpon village.

- Burial ground Chekildek 1, consisting of more than 10 large and small mounds, apparently related to the Saks time (VIII-III centuries BC) (Fig. 10). On the stretch of road 74,900 – 75,250 meters from the south-west side of the road at 115 meters.
- Burial mound Chekildek 2 is completely located to the north side of the road and consists of 22 large round mounds with stone-soil fill. The height of the embankment is about 0.20-0.30 cm, diameter 5-7 meters. One of the mounds is located 48 meters from the road. At 75,700 – 76,800m,
- 4) Burial mound Chekildek 3 also dates from the Saks time (VIII-III BC), refers to the royal type and has 3 large mounds. The diameter of the mounds is 10-12 meters; the height is up to 1.5 meters. Located north of the road at a distance of 100 meters

- 5) Burial mound Chekildek 4 on the south side of the road consists of 28 mounds lying in a chain from west to east. All the mounds are located further than 50 meters south of the road
- 6) Five burial mounds of the Saks burial ground of the royal type Buguchu 1 are located along the road. Distance to the road is 15m in the minimum at section of the road at 77,930 – 79,300 meters near the village of Buguchu.
- 7) Burial mound Cholpon at 81,400 81,600m
- 8) Cholpon 2 burial ground at 83,000 83,600 meters 240 m to the west of the modern cemetery
- 109. Based on the results of the research, a report has been prepared, the Archaeological Survey and Assessment Report and Proposed Plan for Section 2A, which contains protection measures (presented as part of Annex Jand was sent to the Ministry of Culture, Information and Tourism of the Kyrgyz Republic (MoCIT KR) for opinion. This object of historical and cultural heritage is the object of study and protection of MoCIT KR. Therefore, all questions related to the establishment of protection zones, procedures, management plans should be coordinated with MoCIT KR and local government.
- 110. To prevent damage to the existing objects/ sites of cultural heritage a Protection Plan has been prepared, which is also included as part of Annex J. The Contractor should respect and establish protection zones, procedures, management plans, in accordance to the plan and should work together with MoCIT KR and local government.
- 111. In accordance with the Law of the Kyrgyz Republic on historical cultural heritage (art. 32, 33) in the event of cultural monuments found, all construction works must stop and report the findings to the local executive authorities or any other competent organization (Institute of History and Cultural Heritage, National Academy of Sciences; Department of History, Kyrgyz National University after Balasagyn), and in MoCIT KR.

#### 8. Sensitive Receptors

- 112. Sensitive receptors are those areas where the occupants are more susceptible to the adverse effects of exposure contaminants, pollutants and other adverse substances that the activities may generate. These generally include, but are not limited to, hospitals, schools, bazaars, mosques/churches, convalescent facilities and cultural, historical or archeological sites. Such facilities along the project road section as referred to the alignment sheet are:
  - (i) Kok-Zhar village (km 67+500) near the school along the road, LHS;
  - (ii) Chekildek village (km 70+000) near the school, LHS;
  - (iii) Epkin village (km 88+100) near the mosque, LHS;
  - (iv) Archaeological objects
    - Eight (8) objects presumably stone-earth mounds of early nomad, 62,700-63,400m
    - Burial ground Chekildek 1, 74,900 75,250 meters,
    - Burial mound Chekildek 2, 75,700 76,800m,
    - Burial mound Chekildek 3, 75,700 76,800m,
    - Burial mound Chekildek 4, 75,700 76,800m,
    - Five burial mounds of the Saks burial ground of the royal type Buguchu 1 at 77,930 79,300 meters near the village of Buguchu.
    - Burial mound Cholpon at 81,400 81,600m
    - Cholpon 2 burial ground at 83,000 83,600 meters
  - (v) Cemeteries:
    - 68 km Cemetery on the (RHS) 2-3 m away from the road
    - 70 km Cemetery (LHS) 2-3 m away from the road.
    - 83 km Cemetery (LHS) 50-70 m from the road.
- 113. Aside from the possible impact due to noise, dust, vehicular emissions during construction and operations of the project, public safety can be a concern when trucks, equipment and construction materials are brought to the sites near these sensitive receptors. Disturbances

may occur during class hours and treatment period and traffic safety may be concern with hazards to children as they walk or commute to and from schools.

#### 9. Baseline Measurements

- 114. Baseline measurements in water quality, air quality and noise/vibration were obtained in selected spots. Water quality measurements were obtained where construction will impact river quality. Air quality and noise/vibration measurements were done in likely receptor areas. These results shall be used as reference parameters in monitoring the impacts of construction and operations of the project. International standards were also presented herewith for comparison with Kyrgyz standards; subsequently the more stringent standards shall be used as monitoring requirements.
- 115. Water quality and air quality measurement were done by the Ecological Monitoring Department of the SAEPF. While noise and vibration measurements were done by the Department of the sanitary protection of the Ministry of Health.

### 9.1 Water Quality Measurements

116. As baseline data in water quality, it was decided that measurements would be done for the most relevant parameters: Turbidity and Total Petroleum Hydrocarbons (TPH). Therefore, the contracted laboratory was instructed to obtain the measurement in bodies of water adjacent to or being crossed by the project road. Within the section Kochkor (km 64) to Epkin (Km 89), water body crossing the road is Zhon-Aryk River. Water quality measurement of Zhon-Aryk River was taken within Kok-Zhar village. It was observed that the downstream areas of Zhon-Aryk River utilize water from the river mainly for agriculture and domestic uses. Drinking water is obtained from installed wells and from springs. The results of such water quality testing are shown in Table 16.

No	No Locations Km in Road		Turbidity cm	Oil Products, mg/l			
Maxim	Maximum Permissible Concentrations (MPC)						
Accor	ding to national requa	rements	Not less than 20	0.3			
According to EC legislation			Not less than 100 cm/depth	Not visible in the form of a film			
	3		·····				

#### **Table 16: Water Quality Measurement Parameters**

Note: Measurements done in Nov. 30 - Dec. 3, 2015, Annex E.

As shown, the turbidity of water samples taken from Zhon-Aryk River are found to be not satisfying the standard. However, there is no factories at all in the upstream and this turbidity is considered as nature origin, unable to control.

## 9.2 Air Quality Measurements

- 117. Measurement results will serve as reference values for monitoring during the construction phase. Air quality was measured at 3 points along the road, which were identified as areas sensitive to air pollution due to the proximity of schools, street markets and other special facilities.
- 118. In the project area, there is no large industrial source of pollution affecting the air quality, but it is influenced by dust from cars. The nearest station air quality monitoring from the project area is located quite far away in Tokmok (Chui valley) and Cholpon-Ata (Lake Issyk Kul). Naryn region has no air quality monitoring stations.
- 119. Most of roads are located along foothill and mountain areas with the perimeter surrounded by mountain ranges. The height of the terrain within 700-3615 m above sea level. Within the territory dominated by wetlands are dotted with sparse vegetation.
- 120. The only source of dust, noise and vibration is road transport. The content of inorganic dust in the air due both to climatic conditions of the region and with the movement of vehicles. For air

quality, the most relevant parameters to be measured would be Dust, SO2, and NO2. Accordingly, the contracted laboratory was instructed to obtain the measurement in populated areas along the project road. The results of such air quality testing are shown in Table 17.

N.	Management Dated Landiana	Chainana	Air Quality Parameters (mg/m <sup>3</sup> )			
No.	Measurement Point Locations	Chainage	Dust	SO <sub>2</sub>	NO <sub>2</sub>	
Maxim	num Permissible Levels (KR standards)		0,5	0,5	0,085	
Maxim	num Permissible Levels (IFC)		-	0,02	0,04	
1	Kok – Zhar, near the Rakhat shop, LHS	71+600	<0.26	<0.05	<0.02	
2	Chekildek village, near the shop Ak Jol, RHS	71+600	0.28±0.07	<0.05	0.023±0.004	
3	Epkin village, near the mosque, LHS	88+100	0.28±0.07	<0.05	0.017±0.003	

## Table 17: Air Quality Measurement Results

Note: Measurements done in Nov. 30 - Dec. 3, 2015, Annex E.

Dust concentration satisfies local standard. Concentration of SO<sub>2</sub> is <0.05 which satisfies local standard of 0.5 while it is not sure if it satisfies the IFC standard of 0.02 or not. The monitoring equioment was chosen to know if the concentration satisfies local standard of 0.05 or not.. When higher accuracy, such as 0.02, is required, the monitoring equipment cannot be used. However, based on the experienxes, SO<sub>2</sub> concentration due to the emitted amount of SO<sub>2</sub> form predicted car volume will be less than 0.005 mg/m<sup>3</sup> which are completely negligible. NO<sub>2</sub> satisfies both of IFC and local standards.

#### 9.3 Noise Measurement

121. In Section 2A, baseline noise levels at dwellings in the villages alongside the road are dominated by road traffic noise but progressively further away from the road traffic on local roads and day to day activities at dwellings will contribute to overall noise levels.

An initial baseline noise survey was carried out in 2015, however there was no supporting documentation of the procedures (duration, equipment etc) used, and it was therefore deemed necessary to carry out additional monitoring as part of this study. Noise monitoring was carried out by JOC in May 2018, using equipment and methodology in compliance with the procedures set out in ISO 1996-2 2017, equivalent to BS 7445-1:2003 by the requirement of ADB policy to choose most strict international procedure. Short term monitoring comprised two non-contiguous one hour measurements at each chosen site. In addition, monitoring over a 24hr period was carried out at a site in. The results of the short term and 24hr monitoring are shown in Tables 18 and 19 below.

The results of the short term monitoring, when corrected from freefield to façade (+3dB) show good agreement with calculated road traffic noise levels, though the results of the 24 hour monitoring show calculated day and night time road traffic noise levels to be higher than measured levels.

Rec No.	Distance to road	Location	Date	Start time	LAeq,1h r	Model Output(dB)
		Admin Building,	3/5/18	10:55:05	55.0	
1	35	Kokjar	3/5/18	16:17:06	54.4	57.4
		House at Ak	3/5/18	08:18:47	39.6	
n/a	n/a 100	Uchuk	3/5/18	13:41:58	37.5	n/a
			1/5/18	16:38:41	54.1	
21	29	Mosque at Ak-	3/5/18	09:29:02	54.7	58.1
21		Uchuk		14:53:02	54.2	

Table 18: Results of Short term noise monitoring, Section 2A

### Table 19. Results of 24hr noise monitoring\*. Dwelling in Ak Uchuk Section 2A

	Day	Eve	Night
	07:00-19:00	19:00-23:00	23:00-07:00
Measured noise level (dB) (corr to fac.)	57.0	56.3	52.6
Calculated road traffic noise level (dB)	60.1	n/a	57.1
*Name of the noisempter used is Rion NI -52			•

\*Name of the noisemeter used is Rion NL-52

#### 9.4 Vibration Measurements

122. The results of vibration measurement done in 2015 was not quoted since the results seemed to be unrealisticly high, such as 90 dB far beyound the acceptable standard of 40-50 dB in spite of small numbers of cars were running. In addition, it was not confirmed that measurement was done as per most strict standard as per ADB, without detailed information of equipment and manner of measurement. Anyway, no baseline measurement was required since the vibration caused by the traffic in the present or future will not be the issue

## E. Environmental Impacts and Mitigation Measures

## **1. Impact in Project Phases**

- 123. For the Section Kochkor (km 64) to Epkin (Km 89), the construction entails a number of activities which are expected to introduce impacts and disturbances to the general environment, especially during the construction period. Most of these impacts are confined within the right-of-way, construction sites, and facility sites; while some activities can affect the outlying areas or even a wider area, especially if not properly mitigated.
- 124. The environmental impacts and mitigation measures presented in this IEE Report were based on the results of the conducted field surveys and numerical prediction. The Section Kochkor (Km 64) to Epkin (Km 89) will entail upgrading of road along its existing alignment. In some spots, road runs close to sensitive receptors such as schools, mosques, bazaars, historical and archaeological sites or others. It is anticipated that main impact categories will be due to the following activities: (i) construction works within or close to settlements result in noise, vibration impacts, emission of pollutants to air and vibration which is especially of concern when the project road comes close to sensitive receptors, (ii) site clearance activities result in loss of top soil and vegetation structures, (iii) aggregate sourcing, crushing of aggregates and asphalt plant operation may have severe impacts in case of unsuitable site selection or management. Additional impacts refer to (iv) impacts from bridge rehabilitation/construction, (v) potential impacts on surface waters and potential impacts on natural habitats and biodiversity. The main impacts from reconstruction of the road are described below.

# Air pollution

- 125. During construction concentration of toxic substances in air depends on a type of automobile engines (carburetor, diesel), on engine power, traffic density and possibility of distribution of these substances in air. Concentration of harmful products in the air decreases as the distance from the carriageway grows and it depends on wind speed and direction and on value of incoming solar radiation. As is same for the case of noise and vibration basically. Upon the start of reconstruction of the road, air might be polluted by exhaust emissions of construction equipment and dust. Both factors will be of short duration and will have minimal impact on people (excluding builders, who must wear protective masks)
- 126. Construction machines and machinery are sources of emission of pollutants during construction: exhaust gases (CO, NOx, SOx, etc.) coming from trucks, construction machinery; dust generated due to vehicular traffic, operation of equipment, upon excavation and welding.
- 127. During the construction, those equipment and machinery emit the following pollutants into air:
  - Carbon Monoxide;
  - Hydrocarbons;
  - Nitrogen Dioxide;
  - Soot;
  - Sulfur Dioxide;
  - Inorganic Dust
  - Benzopyrene
- 128. Dust will be generated due to vehicular traffic, operation of equipment, upon excavation and welding. To determine hazard category of the facility, it is necessary to estimate the hazard category in accordance with the KR Law "General technical regulations to ensure environmental safety in the Kyrgyz Republic".

While studying and considering similar construction work during reconstruction of roads, hazard category of the facility was determined as II, III.

The II hazard category requires calculation of pollutants' dispersion in ambient air to determine dispersion distance and maximum ground level concentration of harmful substances. For the category III, hazards defined by calculation of emissions mass are design values and used as maximum permissible emissions. Short-term impact is expected.

129. Air pollution along the road, 6m away from the road centerline was predicted during operations and construction respectively as in Table 20:

Year	Stage	Hourly traffic Monitored no. day time		Traveling speed	NO <sub>2</sub>	Dust	SO <sub>2</sub>
Tear	Stage	or predicted	No.		$\mu~{ m g/m3}$	$\mu~{ m g/m3}$	$\mu { m g/m3}$
IFC Standard		40	-	20			
National Envi	ronmental standard	ł			85	500	500
2016	Before	Monitored	-	-	(20-30)	(280)	(<50)
2010	construction		240	60	31.6	<280	50.3
2019	During construction	Predicted	263	60	128.1	<280	<50
After construction		Predicted	277	95	36.6	<280	50.3
2034	After construction	Predicted	508	95	44.5	<280	50.8

Table 20: Prediction of Air Pollution along Project Road

() monitored figures by Kocks at the distance 3m from road edge 15m is the minimum ROW width from road centerline "Dust" was included in "SPM" in the table.

Prediction was made based on the monitored figures in 2016. NO<sub>2</sub> is considered to be out of acceptable limit of 40  $\mu$  g/m<sup>3</sup> by IFC during operation except construction. Detecting limit of It is unknown if SO<sub>2</sub> is less than 20 or not as per IFC. However, the ambient air concentration of SO<sub>2</sub> emitted from vehicle is calculated usually as less than 5  $\mu$  g/m<sup>3</sup> and, at least, there is no SO<sub>2</sub> pollution from vehicles in the future. Higher values are due to high background (coal burning) assumed.

- 130. Dust is generated by unpaved road mostly and the portion emitted from vehicles is negligible to this 280 ppm. Therefore, no additional mitigation measures are required since dust concentration will be reduced even if vehicle number increases after paved in the future.
- 131. "Falling dust" generated by earth work and lorry passing over unpaved road at the location 20 away from car lane edge is 2 ton/km2 and no prevention measure is required.
- 132. Other than at along road, air can be polluted at the locations of asphalt/concrete batching plants, rock crushing plant, soil/rock borrow pits and material stock piles by their activities such as mixing of aggregate, crushing stones, sieving sand, heating bitumen and excavation of soil/rock etc., although these shall be located sufficiently away from settlements.
- **133.** Concentration of NO<sub>2</sub> during construction was estimated vs distance from road, taking into account of equipment, trucks and public traffic, using safety side assumption, and plotted versus distance from the edge of car lane as Figure 6.

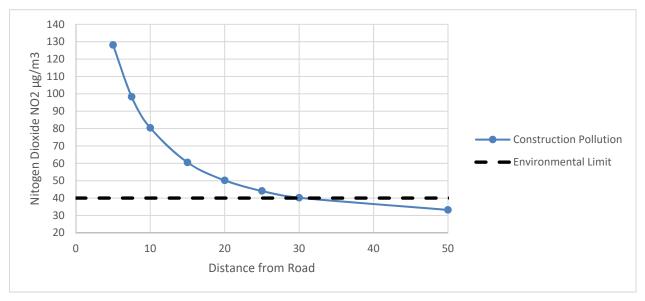


Figure 6: Simulation of NO<sub>2</sub> concentration during Construction

As shown in the above, concentration of  $NO_2$  is not accepted within the area nearer than 30m from the edge of the car lane during construction by heavy equipment. The NO2 pollution in 2034 is predicted depending on the vehicle speeds as Figure 7.

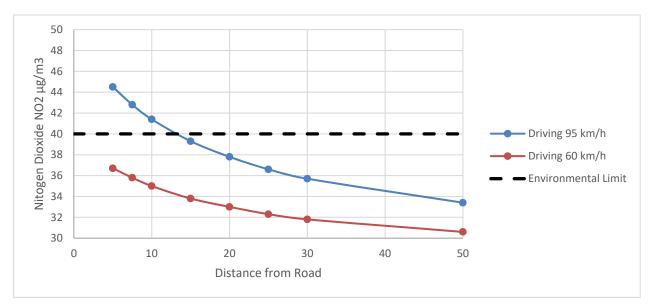


Figure 7: Simulation of NO<sub>2</sub> concentration after Operation 2034

As shown above, residents, closely located less than 15m from the road will suffer higher  $NO_2$  pollution than standard when the vehicles drive at 95 km/h while it is acceptable range if they run 60 km/h. Driving speed shall be limited to less than 60km/h near the settlement.

# Noise impact

- 134. This section presents a summary of the results of the modelling study undertaken for noise during construction stage detailed report presented as part of Annex H.
- 135. The results of construction noise calculations are presented in Table 21 overleaf.

The first column gives the receptor number (abbr.'rec') followed by, in column 2, the village name or type of receptor e.g. shop or house (hse.). The location of the receptor number within the village is shown on the noise contour mapping in Appendix I of Noise Assessment Report for Section 2A which should be referred to in conjunction with the Tables.

The construction noise tables then give in column 3 the daytime baseline noise levels  $L_{Aeq,12hr(dB)}$  taken from the road traffic noise calculations. This level is used to calculate the noise increase for the four construction activities presented in the Table 20.

Details of construction noise effects are set out below for each of the villages in Section 2A including Kokjar, Chekildek, Cholpon and Ak Uchuk.

The level of baseline (existing ambient) noise levels in each village are compared with IFC Guidelines, and for non-residential properties internal noise levels (with windows open) are compared with the relevant criterion for each building. This is followed by the discussion of construction noise impacts.

#### Kokjar

#### **Baseline Noise Levels**

In Kokjar existing ambient noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 5dB during the daytime.

#### **Construction Noise Effects**

The majority of the houses in Kojkar are set back from the road and though there will be major noise impacts when construction activities are close by, with windows closed internal levels will be below the threshold at which speech interference would occur. At the Administration building, with windows closed, internal noise levels will still meet internal noise criterion for office working.

#### Chekildek

#### **Baseline Noise Levels**

In Chekildek existing ambient noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 8dB during the daytime.

#### **Construction Noise Effects**

Construction of the road will give rise to major noise impacts at dwellings in Chekildek and as houses in this village are closer to the road, internal noise levels will be correspondingly higher and may give rise to speech interference effects when activities are directly adjacent to individual houses.

## Cholpon

The village of Cholpon lies at sufficient distance from the road that significant construction noise effects would not occur. However, there are village amenities potentially affected by the scheme lying adjacent to a junction (at which the road is to be widened) leading to the village. These include 2 shops and a café to the north of and almost adjacent to the road, and to the south of the road a further shop and a petrol station c. 13m from the road.

#### **Baseline Noise Levels**

At the junction to Cholpon existing internal noise levels within the shops alongside the road are below internal noise criterion however internal noise levels in the café of c. 47dB already exceed the relevant criterion.

#### Construction Noise Effects

The shops and café at the junction are at the roadside and internal noise levels during construction may cause problems with speech interference when work is taking place directly outside the buildings. It may be possible to erect temporary hoardings immediately outside the buildings at the junction to provide noise mitigation during construction works.

## Ak Uchuk

#### **Baseline Noise Levels**

In Ak Uchukk existing ambient noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 7dB during the daytime.

Internal noise levels in the Mosque which is c.30m from the road will also exceed the internal noise criterion for a place of worship.

### **Construction Noise Effects**

Construction of the road will give rise to major noise impacts at houses in Ak Uchuk and may also cause speech interference effects when activities are directly adjacent to individual houses. The use of the Mosque may also be impaired during working on the road immediately adjacent, however it may be possible to mitigate this effect by arranging work breaks to coincide with prayer times.

## **Mitigation of Construction Noise**

Noise effects arising from construction of road schemes are transient and it is not normal practice to provide mitigation in the form of barriers. Recommendation of Noise Assessment Report for Section 2A in 2018 shall be followed.

Good communication with affected communities is often the most effective way to manage potential construction noise impacts. Therefore, the Contractor should keep local residents informed of the progress of the works, including when and where the noisiest activities will be taking place and how long they are expected to last. All noise complaints should be effectively recorded, investigated and addressed Account should be taken of the needs of residents in choice of working hours and where possible these should be chosen to

- Avoid night time and weekend working;
- Avoid working near mosques during prayer time; and to
- Carry out works near schools during holiday periods

In addition, the Contractor should consider general good working practices including the following which are particularly relevant to road construction:

- Modern, silenced and well-maintained plant and construction equipment should be used;
- All vehicles and plant should be fitted with effective exhaust silencers which should be maintained in good and efficient working order.
- Fitted acoustic covers should be kept in a good state of repair and should be kept closed when plant is in use.
- vehicles should not wait or queue on the road with engines running and plant in intermittent use should be shut down when not in use or where this is impracticable, throttled down to a minimum.
- If a site compound, or materials storage area is to be used, both it and any static plant within it should be sited as far as is practicable from noise sensitive buildings.
- Where activities, including delivery of material to site, cannot take place during normal working hours they should be carried out as close to normal working hours as is reasonably practicable.
- Concrete mixers should not be cleaned by hammering the drums.
- When handling materials, care should be taken not to drop materials from excessive heights.

		Baseline	Activity Construction Noise Levels and Noise Increase							
		Noise	Preparation	•	Asphalt	•	Sub-base an	d base	Asphalt	•
Rec.		2018			Breaking				Laying	
No.	Location	L <sub>Aeq,12hr (dB)</sub>	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$
1	Kokjar Admin	57.4	62.7	5.3	66.0	8.6	62.8	5.4	57.8	0.4
3	Kokjar Hse	55.8	72.0	16.2	75.3	19.5	72.2	16.4	67.1	11.3
4	Kokjar Hse	55.3	69.4	14.1	72.6	17.3	69.5	14.2	64.4	9.1
5	Kokjar Hse	59.7	75.0	15.3	78.3	18.6	75.1	15.4	70.0	10.3
6	Kokjar Hse	54.7	69.3	14.6	72.6	17.9	69.4	14.7	64.4	9.7
7	Chekildek Hse	58.1	68.9	10.8	72.2	14.1	69.0	10.9	63.9	5.8
8	Chekildek Hse	59.8	77.7	17.9	81.0	21.2	77.9	18.1	72.8	13.0
9	Chekildek Hse	58.6	73.6	15.0	76.9	18.3	73.8	15.2	68.7	10.1
10	Chekildek Hse	57.5	74.5	17.0	77.8	20.3	74.7	17.2	69.6	12.1
11	Chekildek Hse	62.2	80.8	18.6	84.1	21.9	81.0	18.8	75.9	13.7
12	Chekildek Hse	59.8	77.5	17.7	80.8	21.0	77.7	17.9	72.6	12.8
13	Chekildek Hse	59.2	77.3	18.1	80.6	21.4	77.5	18.3	72.4	13.2
14	Cholpon Shop	64.8	83.7	18.9	87.0	22.2	83.8	19.0	78.8	14.0
15	Cholpon Cafe	62.1	80.4	18.3	83.7	21.6	80.5	18.4	75.5	13.4
16	Ak Uchuk Hse	57.7	74.9	17.2	78.2	20.5	75.0	17.3	69.9	12.2
17	Ak Uchuk Hse	61.1	79.8	18.7	83.1	22.0	80.0	18.9	74.9	13.8
18	Ak Uchuk Hse	60.1	77.6	17.5	80.9	20.8	77.7	17.6	72.7	12.6
19	Ak Uchuk Hse	60.2	75.5	15.3	78.8	18.6	75.6	15.4	70.6	10.4
20	Ak Uchuk Hse	61.8	80.0	18.2	83.3	21.5	80.1	18.3	75.1	13.3
21	Mosque	58.1	75.2	17.1	78.5	20.4	75.3	17.2	70.2	12.1
22	Ak Uchuk Hse	58.5	73.8	15.3	77.1	18.6	74.0	15.5	68.9	10.4

# Table 21: Results of Construction Noise Calculations

### **Operational Noise**

The results of operational noise calculations are presented in Table 22. The left hand side of the Tables is in a similar format to the construction noise results Table, with the first column giving the receptor number followed by, in column 2, the village name or type of receptor e.g. shop or house (hse.). The location of the receptor number within the village is shown on the noise contour mapping in Appendix I of Noise Assessment Report for Section 2A in 2018 (N-2A) which should be referred to in conjunction with the Tables.

Day and night time noise levels and changes in road traffic noise level are presented for short term effects (Post Scheme 2019) and long term (Post Scheme 2034) noise effects, presented to an accuracy of 0.1 dB.

The noise contour maps give an estimate of the spatial extent of the daytime long-term noise change from the year 2019 without the scheme to 2034. The estimate is based on the assumption that there is no additional screening beyond that provided by the first row of houses, as marked on mapping, and that the existing ambient noise level without traffic on the road i.e. noise generated during the daytime by traffic on local roads and daily activities at dwellings is 40dB. This figure is typical of daytime noise levels in a rural village with few local traffic movements and is below the lowest level measured in the initial baseline survey of this Section. Note: the grid shown on the maps is at 500m spacing.

Further mapping is provided in Appendix 1 of N-2A, Figures A5-A8 of N-2A, illustrating the zones, coloured in red, in which occupants of buildings may experience increased risk of sleep disturbance, resulting from operation of the scheme in 2034.

Figure A9 (N-2A) gives an example of the zone in which dwellings which were previously below the IFC guidelines during the day time period (55dB LAeq,T) would exceed this level in 2034. Figure A10 (N-2A) shows an example of the equivalent zone for the night time period. It can be seen that the zone during the daytime period is small and hence this is not discussed in detail, however the night time zone is larger and hence the number of affected dwellings within the zone are reported for each village, based on the identification of dwellings in aerial photography (Google Earth).

A detailed discussion of the results of the operational noise assessment is given below for each of the villages in Section 2A including Kokjar, Chekildek, Cholpon and Ak Uchuk. The level of day and night time baseline noise levels in each village are compared with IFC Guidelines, and for non-residential properties internal noise levels (with windows open) are compared with the relevant criterion for each building. This is followed by a discussion of operational noise impacts, including short and long-term effects, and potential options for mitigation.

Rec. No.	Location	(0	e Level dB)	Noise Le Pre Sche	vel (dB)	Noise Le Post Sch	vel (dB)	Noise (dB) Post-pre	change scheme		vel (dB)	Noise (dB) relative t	change o 2019	Noise Le using 40	. ,	Noise (dB) using 40	change Ikph
		Bas L <sub>Aeq,12</sub>	eline L <sub>Aeq,8hr</sub>	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	ΔdΒ	∆dB	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	ΔdΒ	∆ dB	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	ΔdΒ	ΔdΒ
		hr 2018	2018	2019	2019	2019	2019	2019	2019	2034	2034	2034	2034	2034	2034	2034	2034
		Day	Night	Day	Night	Day	Night	Day	Night	Day	Night	Day	Night	Day	Night	Day	Night
1	Kokjar Admin	57.4	54.4	57.7	54.7	57.5	54.5	-0.2	-0.2	60.1	57.1	2.4	2.4	59.2	56.1	1.5	1.4
3	Kokjar Hse	55.8	52.8	56.0	53.0	55.6	52.6	-0.2	-0.2	58.2	55.2	2.4	2.4	57.3	54.3	1.3	1.4
	-																
4	Kokjar Hse	55.3	52.3	55.5	52.5	55.8	52.8	0.3	0.3	58.5	55.5	3.0	3.0	57.5	54.5	2.0	2.0
5	Kokjar Hse	59.7	56.7	59.9	56.9	59.8	56.8	-0.1	-0.1	62.5	59.4	2.6	2.5	61.5	58.5	1.6	1.6
6	Kokjar Hse	54.7	51.7	54.9	51.9	54.4	51.4	-0.5	-0.5	57.1	54.1	2.2	2.2	56.1	53.1	1.2	1.2
7	Chekildek Hse	58.1	55.1	58.3	55.3	58.3	55.3	0.0	0.0	60.9	57.9	2.6	2.6	59.9	56.9	1.6	1.6
8	Chekildek Hse	59.8	56.8	60.1	57.1	59.9	56.9	-0.2	-0.2	62.5	59.5	2.4	2.4	61.5	58.5	1.4	1.4
9	Chekildek Hse	58.6	55.6	58.8	55.8	58.7	55.7	-0.1	-0.1	61.3	58.3	2.5	2.5	60.3	57.3	1.5	1.5
10	Chekildek Hse	57.5	54.5	57.7	54.7	57.8	54.8	0.1	0.1	60.4	57.4	2.7	2.7	59.5	56.5	1.8	1.8
11	Chekildek Hse	62.2	59.2	62.4	59.4	62.3	59.3	-0.1	-0.1	64.9	61.9	2.5	2.5	63.9	60.9	1.5	1.5
12	Chekildek Hse	59.8	56.8	60.1	57.0	59.9	56.9	-0.2	-0.1	62.5	59.5	2.4	2.5	61.5	58.5	1.4	1.5
13	Chekildek Hse	59.2	56.2	59.4	56.4	59.4	56.4	0.0	0.0	62.1	59.1	2.7	2.7	61.1	58.1	1.7	1.7
14	Cholpon Shop	64.8	61.8	65.0	62.0	64.7	61.7	-0.3	-0.3	67.3	64.3	2.3	2.3	66.4	63.4	1.4	1.4
15	Cholpon Cafe	62.1	59.1	62.4	59.4	62.2	59.2	-0.2	-0.2	64.8	61.8	2.4	2.4	63.9	60.9	1.5	1.5
16	Ak Uchuk Hse	57.7	54.7	58.0	55.0	58.0	55.0	0.0	0.0	60.6	57.6	2.6	2.6	59.7	56.7	1.7	1.7
17	Ak Uchuk Hse	61.1	58.1	61.3	58.3	60.9	57.9	-0.4	-0.4	63.5	60.5	2.2	2.2	62.5	59.5	1.2	1.2
18	Ak Uchuk Hse	60.1	57.1	60.3	57.3	60.3	57.3	0.0	0.0	62.9	59.9	2.6	2.6	61.9	58.9	1.6	1.6
19	Ak Uchuk Hse	60.2	57.2	60.4	57.4	60.3	57.3	-0.1	-0.1	62.9	59.9	2.5	2.5	61.9	58.9	1.5	1.5
20	Ak Uchuk Hse	61.8	58.8	62.0	59.0	61.9	58.9	-0.1	-0.1	64.6	61.6	2.6	2.6	63.6	60.6	1.6	1.6
21	Mosque	58.1	55.1	58.3	55.3	58.3	55.3	0.0	0.0	60.9	57.9	2.6	2.6	60.0	57.0	1.7	1.7
22	Ak Uchuk Hse	58.5	55.5	58.7	55.7	58.7	55.7	0.0	0.0	61.3	58.3	2.6	2.6	60.3	57.3	1.6	1.6
~~~		50.5	00.0	00.7	55.1	50.7	55.1	0.0	0.0	01.0	00.0	2.0	2.0	00.0	01.0	1.0	1.0

Table 22: Results of Operational Noise alculations

## Kokjar

With the exception of one isolated property (rec 4), dwellings in the village of Kojkar lie to the south of the road. It is a large village and extends up to c.0.5 km away from the road. Along the greater proportion of its length where the road runs past the village the additional lanes will be added on the north side i.e. away from the village.

#### **Baseline Noise Levels**

In Kokjar existing road traffic noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 5dB during the daytime and 12dB during the night time.

#### **Operational Noise Effects**

#### Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.5dB to +0.3dB which is negligible noise impact.

#### Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will give rise to minor noise impacts of c. 3dB at c. 2 dwellings alongside the road (recs. 4) during both day and night time periods.

The extent of the daytime noise changes is illustrated in Appendix I, Figure A1.

Internal noise levels within the village Administration (rec.1) building would continue to meet the internal noise criterion for office areas.

#### Chekildek

#### **Baseline Noise Levels**

In Chekildek existing road traffic noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 8dB during the daytime and 15dB during the night time.

#### **Operational Noise Effects**

## Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.2dB to +0.1dB which is a negligible noise impact.

#### Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will not give rise to noise impacts. The extent of the daytime noise change is illustrated in Appendix I, Figure A2.

#### Cholpon

The village of Cholpon lies at sufficient distance from the road that significant noise effects would not occur. However, there are village amenities potentially affected by the scheme lying adjacent to a junction (at which the road is to be widened) leading to the village. These include 2 shops and a café to the north of and almost adjacent to the road, and to the south of the road a further shop and a petrol station c. 13m from the road.

#### **Baseline Noise Levels**

At the junction to Cholpon existing internal noise levels within the shops alongside the road would be below internal noise criteria however internal noise levels in the café of c. 48dB would exceed the internal noise criterion of 45dB  $L_{Aeq,T}$ .

#### **Operational Noise Effects**

Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.3dB to -0.2 dB which is a negligible noise impact.

Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will give rise to increases of between c.2.3-2.4 dB at the shops, cafe and petrol station, however internal noise levels within the shops would remain below internal noise criteria, assuming windows open. This would be a negligible noise impact.

#### Ak Uchuk

#### **Baseline Noise Levels**

In Ak Uchukk existing road traffic noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 7dB during the daytime and up to 14dB during the night time period. Internal noise levels in the Mosque (assuming windows open), which is c.30m from the road will also exceed internal noise criterion for a place of worship.

#### **Operational Noise Effects**

Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.2dB to +0.1dB which is a negligible noise impact.

Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will give rise to increases of between c.2.2-2.6 dB during both day and night time periods. The extent of the daytime noise change is illustrated in Appendix I, Figures A3 and A4 in Noise Assessment Report for Section 2A.

## **Prediction of Construction Vibration**

136. Table 23 is estimated for the damages to buildongs.

## Table 23: Minimum Distance of Rollers to Sensitive Houses not to cause Damages

Type of Vibratian Ballon	Type of Damage			
Type of Vibration Roller	Cosmetic Damage	Minor Structural Damage		
No Vibration	No damage	No damage		
Low Vibration	16	9		
High Vibration	22	13		

Based on the above table, following damages are predicted as in Table 24.

## Table 24: Type of Damage Structures Suffer by High Vibration Rollers

	Kilo post	Side	Disstance from Road, m	Object	Damage predicted
1	66+130	LHS	7.05	Odobe house	Minor structural damage
2	69+450	RHS	13.46	Odobe house	Cosmetic damage
3	69+530	RHS	15.11	Odobe house	Cosmetic damage
4	69+730	RHS	14.55	Odobe house	Cosmetic damage
5	69+930	RHS	15.62	Odobe house	Cosmetic damage
6	70+110	RHS	14.98	Odobe house	Cosmetic damage
7	70+210	LHS	9.16	Odobe house	Cosmetic damage
8	70+240	RHS	13.03	Odobe house	Cosmetic damage
9	70+430	LHS	14.75	Odobe house	Cosmetic damage

	Kilo post	Side	Disstance from Road, m	Object	Damage predicted
10	70+440	RHS	7.52	Odobe house	Minor structural damage
11	81+300	LHS	7.02	Fuel station	Minor structural damage
13	81+360	RHS	0.00	Café	Minor structural damage
14	81+365	LHS	2.37	Bus stop and shop	Minor structural damage
15	86+560	LHS	12.10	Mosque	Cosmetic damage
16	86+930	LHS	13.88	Odobe house	Cosmetic damage
17	87+010	LHS	11.95	Odobe house	Cosmetic damage
18	87+595	LHS	14.63	Odobe house	Cosmetic damage
19	87+600	RHS	12.43	Odobe house	Cosmetic damage
20	88+480	LHS	16.00	Odobe house	Cosmetic damage
21	88+560	LHS	10.00	Odobe house	Cosmetic damage
22	88+620	LHS	9.00	Odobe house	Cosmetic damage
23	88+660	LHS	15.80	Odobe house	Cosmetic damage

6 houses are to suffer minor structural damages while 16 objects face cosmetic damage by the use of high vibration rollers during construction.

# **Prediction of Operation Vibration**

137. No vibration damage is predicted after operation by passing vehicles

#### Surface water

- 138. During construction period, surface waters may be polluted due to discharging of runoff water over untreated embankment and domestic wastewater, flowing of chemical and mechanical pollutants from the road into water. Some pollution of surface water may result from spills of fuel and lubricants from equipment and containers to streams by washing in the river. It may also be polluted during construction and reconstruction of bridges.
- 139. Out of common pollutants of water bodies, the biggest concern may cause penetration oil productions into water. First signs as individual colored spots appear already when upon spilling of 4 ml/m<sup>2</sup>. Maximum permissible concentration for oil products is 0.1 mg/l 0.3 mg/l according to Kyrgyz standards while it is "not visible in the form of film" according to IFC Standars. To prevent contamination of surface and groundwater, it is necessary to provide mitigation measures, which will be described in the Environmental Management Plan (EMP).
- 140. During the construction period, water bodies will be affected upon repair, widening of 4 bridges and 35 culverts will be replaced. This impact will be expressed in possible contamination by soil, remaining parts of pipes, concrete debris, oil products, oils and by debris. During operation period surface water will not be polluted, except for extraordinary emergencies.

## Contamination and erosion of soil

- 141. During the construction period, asset of work processes associated with construction of roadbed usually causes the damage to environment. Soil contamination is first observed on lands temporarily used as borrow pit, construction site as well as on the road being reconstructed. Soil might be also contaminated by installation and operation of asphalt concrete mixing plant.
- 142. Soil is mainly contaminated due to precipitation of solid and fine silt fractions of particles to pavement from air. Such particles are brought by car wheels from roads and driveways with unimproved pavement, partial loss of transported loose soils, tire and pavement abrasion, as well as by toxic components of exhaust gases of cars.

- 143. Soil might be contaminated by oil coming from construction equipment. Such impact might be reduced, if machinery is maintained in good condition by proper disposing of used oil. Soil shall be slightly eroded in the roadside due to road reconstruction, since major work is executed on the existing road with protective works in the drainage system.
- 144. During the construction period, impact will be generated in the form of loss of topsoil in areas adjacent to the road, garbage, spills of oil products and oils. During the operation period, the soil will be contaminated by engines exhaust emissions containing lead compounds.

## Impact of Reconstruction of Culverts

145. Upgrading of existing culvert is one of the scope of the project. There are 44 road crossing culverts and 5 road side culverts as summarized in Annex A3. Their roles are quite important to ensure the local irrigation system for agricultural land and drainage system in the settlements area. Due to recent increase of demand from agricultural area, the importance of these drainage system has been increased. It is necessary to upgrading the capacities of these drainage system to meet the today's demand. However, to replace/upgrade these outdated culverts, many debris of concrete/metal wastes can be generated at demolishing them. Treatment of these debris is an environmental issue.

#### Impact to Existing Powerline/Pylons

146. Powerlines are running along and, sometimes, crossing the road overhead as summarized in Annex A4. It was noted that some pylons are located inside ROW, the road rehabilitated range, and they have to be moved out. Even if outside of ROW, some pylons that are erected on the shoulder of hill, where the foot of the hill is designed to be cut carelessly for road enlargement, then the stability of remained slope are risked to collapse, together with pylons on the remained slope.

#### **Groundwater Contamination**

147. Groundwater is vulnerable to the oil pollution. Gasoline/diesel can percolate through soil into groundwater more easily than water if oil has been leak on the ground surface. Once groundwater has been polluted by gasoline for example, gasoline persists there very long time and, for the worse, the contaminated plume expands to the direction of groundwater flow. Simulation of expansion of the contamination plumes presented in Figure 8 as per ASTM E1735 Standard Guide for Risk-based Corrective Action at Petroleum Released Site. Above was simulated assuming groundwater has been contaminated as 1 ppm only spilled location and groundwater flows 0.5m/day after 1 year of contamination.

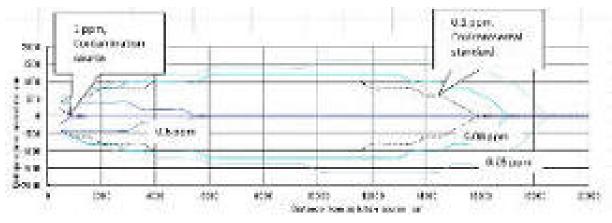


Figure 8: Simulation of Gasoline Contaminated Plume in Groundwater

As shown in the figure, although the groundwater at the source of contamination is 1 ppm only, contamination plume of groundwater, greater than 0.1 ppm of KR standard, expands as far as 150m from the source of spill. In another word, hand pump well water cannot be use for drinking until 150m from the source at least. Again, it is emphasized that, although the

contamination of groundwater as 1ppm (1cc gasoline per 1m<sup>3</sup> water) seems nothing, the influence is disastrous. Any percolation of gasoline into ground shall be prevented first of all.

# Flora and Fauna

- 148. During the construction period, reconstruction of road may cause insignificant impact on flora and fauna due to accident going of construction machinery beyond the construction site. There might be observed the following factors as well:
  - Factors that prevent natural migration of species to temporary and permanent habitats, exchange of gene pool, reproduction, etc. They are road construction elements slopes, embankments, excavation, grading, fence and roadbed.
  - Anxiety factors that frighten animals and violate their habitat are noise, vibration, light from the traffic flow. As we know animal's reaction to disturbance factor may differ according to species. Collision with oncoming traffic can cause death of fauna representatives on roads. All these factors lead to decrease in number of populations.
- 149. Given that the road had existed for a long time before reconstruction, established way of wildlife habitation in adjacent territory, we can assume little additional impact on flora and fauna, which will be caused by road reconstruction.

Within the alignment, there are trees that will be affected. In the preliminary assessment, the estimated number of trees to be affected is 38. Impact on flora and fauna will be minor during operation period.

## **Social environment**

150. During construction, the most dangerous type of transport pollution is emission of exhaust gases into air, noise, vibration, and electromagnetic radiation. When mitigation activities are properly carried out, this negative impact will be reduced. Impact of construction process will last for relatively short time, though there may occur accidents due to the poor state of the road. In general, the effect on the social environment of the road reconstruction project will only be positive. During construction period, there will be creation of many jobs, by which, particularly local residents can participate in reconstruction of the road and increase road shall radically improve movement conditions, travel time on the road and increase road safety. This, in turn, shall result in improvement of social situation of population in the project area. During operation period, despite existing negative impacts of the road on the human habitat, flora and fauna, the road has well-defined value in socioeconomic development of society and livelihoods of population. With improvement of transport - operating characteristics of the road due to its rehabilitation, the quality of services to public will be significantly improved.

## Cultural and historical sites

- 151. During construction, the impact to cultural sites will be in the form of physical abuse and vibration exposure. Physical disturbance of these sites by construction workers. During construction, the impact to the following objects below.
  - 1) Archaeological objects
    - Eight (8) objects presumably stone-earth mounds of early nomad, 62,700-63,400m
    - Burial ground Chekildek 1, 74,900 75,250 meters,
    - Burial mound Chekildek 2, 75,700 76,800m,
    - Burial mound Chekildek 3, 75,700 76,800m,
    - Burial mound Chekildek 4, 75,700 76,800m,
    - Five burial mounds of the Saks burial ground of the royal type Buguchu 1 at 77,930 79,300 meters near the village of Buguchu.
    - Burial mound Cholpon at 81,400 81,600m
    - Cholpon 2 burial ground at 83,000 83,600 meters
  - 2) Cemeteries:
  - 68 km Cemetery on the (RHS) 2-3 m away from the road.

- 70 km Cemetery (LHS) 2-3 m away from the road.
- 83 km Cemetery (LHS) 50-70 m from the road.
- 152. The expansion of the road may be affecting this site of cultural heritage, but it is necessary to take mitigation measures from physical impact of machinery and equipment, as well as construction workers. It is necessary to determine the protection zone of these objects, and coordinate with MoCIT KR and local authorities and during construction to ensure their fencing. During operation period, no significant impact is expected.

## **Traffic safety**

153. During construction period, construction and road building machinery shall influence on traffic resulting in impeded movement, possible crowding of cars and machinery, violation of traffic rules and possible emergencies. During operation period, of the impact on traffic will be minimal due to arrangement of road signs and markings.

## **Construction camps**

154. During construction period, construction camps will be established outside the territory of villages. Works schedule will be controlled in these camps. There may be formed of solid domestic waste, bad housekeeping, soil contamination may take place, local flora and fauna might be impacted on the territory and thereby cause concern of local population. The Environmental Management Plan includes measures focused on mitigation of such impact. During operation period, this issue is not considered.

#### 2. Mitigation measures

#### 2.1. Construction Phase

- 155. A specific environmental section shall be included within the main Bid Documents indicating that the Contractor shall be responsible for conforming to the requirements of the EMP. As such this EMP shall be included as an annex to the Contract Bid Documents
- 156. Consistent with ADB's SPS 2009, the implementation of measures prioritizes on avoidance; followed by reduction; then mitigation; and finally, if all else fails, replacement of what was impacted or compensation to the impacted parties. Under the guidance of CSC, the contractor will have to submit general Site-Specific Environmental Management Plans (SSEMP)) on the basis EMP prior to commencing operations.
- 157. The general SSEMP, which will contain the method statement for construction, should contain the following 12 annexes:
  - (i) Dust Suppression Plan
  - (ii) Construction Noise Management Plan
  - (iii) Vibration Management and Monitoring Plan
  - (iv) Surface Water Contamination Prevention Plan
  - (v) Borrow Pits Management Plan
  - (vi) Batching Plant/ Cement Plant Management Plan
  - (vii) Soil Management Plant
  - (viii) Solid and Liquid Waste Management Plan
  - (ix) Cultural & historical sites Management Plan
  - (x) Safety Management Plan
  - (xi) Camp and Workshop Management Plan
  - (xii) Material Processing Plants/Equipment and Storage Facilities Plan

**Method Statement** of **Construction** – Contractor shall submit the construction method of statement, detailing the work process, area required and duration of the process. The typical construction process will entail,

**Firstly**, the closure or restriction of existing traffic at the work sites and establishment of detour road. The provision of the new detour road will entail, stripping and clearing of vegetation, excavation, filling and leveling of the area, provision of embankment fill and necessary surfacing for the existing traffic.

**Secondly,** road widening will entail earthwork and breaking of rocks, which need to be hauled to some designated stockpiles. These works by themselves disturb the natural surroundings, and affect vegetation. It is important that measures for proper maintenance of the detour road be established to respond to traffic and community safety, control of dust, noise and emissions. Replanting of affected trees should be done as soon as possible and schemes for detour roads and soil stockpiles should favor tree preservations. Waterways should be respected and contamination should be prevented.

**Thirdly**, the bridge construction will start with the substructure such as the foundation systems and piers. This will be followed by the superstructure elements of girders, deck slab and railing. The construction of the superstructure components such as the girder and deck slab will involve installation of form works, casting of concrete and in some instances, post tensioning of tendons when necessary. The important guideline to be brought forward is the use of precast elements to minimize pouring and casting of superstructure elements over water to minimize contamination. Concrete batch plants will provide the necessary concrete for these structural elements from approved sites with operational guidelines in accordance with environmental protocols and industry standards.

**Fourthly,** demolition of existing pavement and bridges. This will involve scarifying old pavement structure, and earthworks to conform to design requirements. For the bridges, it will be breaking the structures at the existing connections and removal of deck and girder elements by use of heavy equipment. These old bridge components will be placed in designated areas, which will not impact the natural environment, impede traffic and cause safety concerns to the general public. The bridge abutments and underlying foundations will be excavated and removed to give way for replacement structures. This breaking, demolition and removal of old elements will generate considerable noise and dust and chunks of debris will drop into the existing waterway. To minimize the risk of water contamination, the demolition and construction activities will be highly advisable in the summer months.

**Fifthly, c**onstruction of the new pavement and bridges. The pavement construction will entail embankment filling, subbase, base course and asphalt pavement layer construction. In the end the final wearing course will be laid along all throughout from the existing road, on to the approach roads, and onto the deck slab in such a manner to have smooth layer of road and bridge pavement. Embankment works will entail transport of approved fill materials from borrow pits or from cuts. The suitable materials for subbase and base course will come from quarries or borrow pits of approved properties. These pavement substructures will be engineered and compacted to desired degrees with the use of graders, and compactors in accordance with designs and specifications. The asphalt pavement layers will be provided by asphalt plants with crushed stones and rocks for the aggregate requirements. It will be the responsibility of the Contractor that asphalt plant would produce the necessary required bituminous mix in conformance to environmental requirements for asphalt plant siting and operations.

- 158. **Environmental specialist of CSC** inspects of Environmental Management Activity by the Contractor and submit monitoring reports quarterly and twice a year to IPIG.
- 159. **Committee of Grievance Redressing** shall be functioning to resolve disputes, if any, between Locals and Contractor.
- 160. For the Section "Kochkor (Km 64) to Epkin (Km 89)", the primary relevant issues consist of air and noise emissions, proper management of earthworks, waste materials and contractor good-housekeeping practices associated with fuel and lubricant management, work camp waste disposal, and occupation health and safety practices for the contractor's workforce. The following is a discussion of highlights of the details provided in the EMP.
- 161. **Air quality** impacts may be expected to be generated by construction activities, such as, construction machinery exhausts, emissions from asphalt plants, dry exposed soils and

material stockpiles, dust from haul roads and construction activities, as well as aggregate crushers, but will be temporary and local. Good communication with locals is unavoidable.

To reduce emission levels of exhausted gases, together with noise and vibration as well, in general, the contractor must implement the following mitigating measures; (i) keep construction equipment in good condition (ii) prevent idling of engines by shutting off machineries not in use for more than 3 minutes (iii) prohibit use of machinery or equipment that cause excessive smoke emissions (iv) utilize low- emission type machineries and (v) install tentative noise (air pollution) barrier, if necessary.

To minimize dust, the contractor shall develop a Dust Suppression Plan and have it approved by the CSC. The Program will ensure:

- Unpaved haul routes leading to settlements are water-sprayed regularly to suppress dust.
- Trucks hauling earth/materials be covered when transporting materials, especially through settlements.
- Spraying water over hauling route, stock pile, borrow pit
- Introduction of low pollutant emission equipment, attached with proper muffler attached and regular maintenance
- Installation of barrier after monitoring if necessary
- Air quality measurements at receptor sites (primarily those specified in the baseline measurements) are done as prescribed in the Environmental Monitoring Plan.
- 162. **Material Transport Route –** Estimates from the preliminary design for the section show that 163,170 cubic meters will be the cut volume and 78,500 cubic meters for fill volume for the road section. Truck traffic will considerably impact local roads as well as the communities they traverse. CSC in coordination with IPIG and local administrations determines the haul routes with sufficient maintenance to minimize dust, noise generation and disturbance to residents by restricting the hauling time between 07:00 and 18:00. During the field investigations by the material specialist, suitable construction materials were located and inspected. Table 9 represents the possible borrow areas for this road for Section 2A.
- 163. **Noise** effects arising from construction of road schemes are transient and it is not normal practice to provide mitigation in the form of barriers. Recommendation of Noise Assessment Report for Section 2A in 2018 (see Annex H shall be followed).

Good communication with affected communities is often the most effective way to manage potential construction noise effects. Therefore, the Contractor should keep local residents informed of the progress of the works, including when and where the noisiest activities will be taking place and how long they are expected to last. All noise complaints should be effectively recorded, investigated and addressed. Account should be taken of the needs of residents in choice of working hours and where possible these should be chosen to:

- Avoid night time and weekend working;
- Avoid working near mosques during prayer time; and to
- Carry out works near schools during holiday periods

In addition, the Contractor should consider general good working practices including the following which are particularly relevant to road construction:

- Modern, silenced and well-maintained plant and construction equipment should be used;
- All vehicles and plant should be fitted with effective exhaust silencers which should be maintained in good and efficient working order.
- Fitted acoustic covers should be kept in a good state of repair and should be kept closed when plant is in use.
- vehicles should not wait or queue on the road with engines running and plant in intermittent use should be shut down when not in use or where this is impracticable, throttled down to a minimum.
- If a site compound, or materials storage area is to be used, both it and any static plant within it should be sited as far as is practicable from noise sensitive buildings.

- Where activities, including delivery of material to site, cannot take place during normal working hours they should be carried out as close to normal working hours as is reasonably practicable.
- Concrete mixers should not be cleaned by hammering the drums.
- When handling materials, care should be taken not to drop materials from excessive heights.
- 164. **Prevention of Vibration Damage** A vibration study has been undertaken to ascertain the level of impact vibration can occur to the nearest receptors (i.e. houses and structures). This section presents a summary of the mitigation measures and the detailed Vibration Modelling and Assessment Report for Section 2A is presented as part of Annex I.

Damges caused by vibration roller includes (1) minor structural damege and (2) cosmetic damage, both of which, depending on the distance from the source of vibration, nearer to the vibrating roller, more severe the damages are.

As a result of the study the following options to mitigate vibration effects are being proposed as in Table 25. IPIG will work with the Engineer and design team to know which options from the below to take forward. In case Options 2 and 3 are taken forward, a Vibration Management and Monitoring Plan must be prepared by the Contractor and approved by IPIG.

	OPTION 1	No Vibration
	OPTION 2	OPTION 3
	reas with houses within a 9m corridor – use of ollers with no vibration	<ul> <li>Areas with houses within a 16m corridor – use of rollers with no vibration</li> </ul>
• Ar • Ar • Ar • Ar • Ar • Ar • Ar • Ar	reas with houses between 9m and 22m prridor : use of rollers with minimum vibration use of ditches to reduce vibration at the houses monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration reas with houses at a distance of more than 2m. Use of high vibration use of ditches to reduce vibration at the houses monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration reas with sensitive archaeological/ ancient bonuments within a 22m corridor – use of ollers with no vibration. When areas with ensitive archaeological/ ancient monuments re over 22m and low vibration is used, monitor the monuments and ensure vibration does not acceed 2mm/s	<ul> <li>Areas with houses between 16m and 36m corridor :         <ul> <li>use of rollers with minimum vibration</li> <li>no ditches</li> <li>monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration</li> </ul> </li> <li>Areas with houses at a distance of more than 36m:         <ul> <li>use of high vibration</li> <li>no ditches</li> <li>monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration at the houses does not go over 3mm/s. If vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration</li> </ul> </li> <li>Areas with sensitive archaeological/ ancient monuments within a 22m corridor – use of rollers with no vibration. When areas with sensitive archaeological/ ancient monuments are over 22m and low vibration is used, monitor at the monuments and ensure vibration does not exceed 2mm/s</li> </ul>

# Table 25: Options of Mitigation Measures for Vibration

165. **Surface water** – Several types of waterways are found to be crossed by the project road. These are either man-made such as irrigation canals and flood control ditches, as well as naturally occurring rivers. Discussion with controllers of lake and river is required before construction.

These waterways will become receptors of potential negative environmental impacts such as pollution from construction area runoff, and change in surface hydrology due to increased sediment load. Total number of culverts will be 35, and 4 bridges. In order to mitigate negative impacts on the waterways, the following must be implemented: (i) store stockpiles of topsoil

and other such materials at a safe distance from surface waters; (ii) long term stockpiles must be covered with grass or other suitable coverings; (iii) create settlement ponds where construction activities are near natural waterways.

Unsustainable construction practices such as improper handling and storage of construction materials (e.g., concrete, asphalt, lubricants, fuels, and solvents etc.) can pose risk of contaminating the waterways crossed by the project road. Embankments and construction materials like fill, sand and gravel can be washed out by rainwater into watercourses during downpours. Oil and grease from leaks in engines can also accumulate in surface waters and should be properly controlled. To prevent these, appropriate mitigation measures must be taken such as (i) regular maintenance of all construction equipment, (ii) chemicals and oil must be properly stored into impermeable and bounded areas away from surface waters (not less than 75 m).

Within the section, the spot are Zhon-Aryk River and streams crossing the road. The Contractor should be extra careful in this spot as construction activities can directly contaminate the surface water and consequently affect the biological species in this area. Contamination should be avoided and disturbance to biota be minimized. Water quality measurements should be done during actual periods of construction at these sites.

166. **Bridge-** During the construction of bridges construction site dimensions shall be the minimum necessary. Construction site should be placed at levels that exclude them flooding. The discharge of polluted water, landfills, parking cars and the construction of temporary facilities within the water protection zones on the river banks. Construction sites should provide capacity for the collection of sewage and garbage. Discussion with controllers and users of river is required before construction.

In the water protection zones (not less than 75 m) of rivers it prohibits contamination of the earth surface, including the garbage dump, waste generation, as well as parking, cleaning and repair of motor vehicles and road construction machinery, fueling.

It is prohibited extraction of local building materials in the water protection zones without permits of environmental authorities.

The project documentation should include the restoration work after the bridge construction: the removal of the bed of the river banks, backfilled during the construction of supporting structures; cleaning of the river bed and the flood plain from cluttering of the objects, extracting and hauling piles of scaffolding and temporary supports; dismantling of temporary facilities on the construction site and land reclamation, including borrow area and access roads.

The contractor shall submit a method statement or plan for the execution of bridge construction works including measures that will be undertaken to address adverse environmental impacts such as erosion of river embankment and siltation of watercourses that may result from such activities. The plan shall be submitted to the Construction Supervision and IPIG, which include: (i) installing of water diversion structures upslope for reducing gully erosion, (ii) installation of retention structures (e.g. shallow basins) during construction activities near river for capturing of sediments, and (iii) the watering of stockpiles during dry season to avoid wind erosion

- 167. **Culvert** The environmental impacts associated with this work can be minimized if culverts are rebuilt properly, i.e., properly sized and with the correct slope and downstream erosion/scour protection measures applied. If possible culvert work should take place during the dry season, since otherwise temporary bypasses will be necessary. However, a number of culverts convey irrigation water, which flows, based on a prescribed irrigation schedule. Contractors will need to liaise closely with farmers to establish times when work can take place and not harm crop development. Nearly all structures will be concrete box culverts, precast, with each section set in place and sealed with a special commercially available gasket/sealant material.
- 168. **Borrow Areas** When planning to open a new borrow site, the contractor, within the purview of this IEE, should have the extraction permit, approval of a development plan, and later on approval of borrow pit restoration plan. The Contractor shall obtain all required permits for use of borrow pits and disposal areas from local authorities, get approval from regional

departments of SAEPF under the Government of KR, prepare a "Borrow Pits Development and Restoration Plan" and submit all necessary documents to MoTR of KR to obtain a license to extract aggregate materials from the State Agency for Geology and Mineral Resources. These requirements do not apply to existing borrow areas or aggregated facilities. When using private borrow pit, all permits (licenses, approvals from local authorities, regional departments of SAEPF under the Government of KR, etc.) are responsibility of the owner of borrow pit which should be indicated in the agreements signed between the contractor and the borrow pit owner. The contractor will need to prepare a site development plan which must provide the following information:

- Capacity and operation hours of a borrow pit;
- Development and extraction sequence of borrow pit;
- Technique and mechanisms for stripping and excavation operations;
- Operation and time schedule for borrow pit development;
- Extraction method and transport plan, including route(s);
- Safety rules and hours of operation;
- Expected quality of extracted materials;
- Topsoil storage/protection and environment protection steps; and,
- Rehabilitation of disturbed lands when site is decommissioned.
- Calculation of mobile sources' emission charge.
- 169. **Soil Management Plan -** Excavation or cuts of soil materials along will require temporary or permanent areas for deposition. This should be done with proper arrangement with the landowner on which the excess soil will have to be deposited. Permanent spoil soil deposit areas should be coordinated with local officials and proper permit obtained accordingly. Soil Management Plan detailing measures to be undertaken to minimize effects of wind and water erosion on stockpiles, measures to minimize loss of fertility of topsoil, timeframes, haul routes and disposal site.
- 170. **Solid and Liquid Management Plan** For treatment of solid construction waste such as hacked concrete debris and liquid waste such as excavated mud, the Contractor shall establish solid and liquid wastes management plan covering provision of garbage bins, regular collection and disposal in a hygienic manner, as well as proposed disposal sites for various types of wastes (e.g., domestic waste, used tires, etc.) consistent with appropriate local and national regulations.
- 171. **Cultural & Historical Site Management Plan** shall be prepared. Recommendation of Archaeological Survey and Assessment Report and Proposed Plan for Section 2A (see Annex J) shall be followed.
- 172. On the road section at 62,700 63,400 m the road will pass through the agricultural land and before the start of construction, it is necessary to conduct field excavation work by laying test pits along the branch line with a double line or zigzag every 50 meters in order to determine the presence or absence of cultural layer/layers and other people traces in the past. Until the trial hand ecavation has been completed, the land is not handovered to the Contractor.
- 173. No vibration roller shall be employed at least within 22m from Archaeologically important objects. During construction the contractor must apply in writing to the local authorities in defining the protection zones around these sites. Before starting the work, the Contractor together with the consultant will mark the guard zone (the boundaries of the protected area) by installing a belt guard and, if necessary, establish appropriate warning signs. Physical cordon around identified sites should be installed to minimize construction impact and alert workers/people from disturbing archaeological sites.

The cemeteries are located in 2-3 meters from the road right of way (Figures 9 and 10). According to the design of the road, widening of the road section will have no impact on these objects, on the issue of resettlement or land acquisition. The expansion of the road is planned within the existing right of way and will be held on the opposite site from cemetery.



Figure 9: Cemetery, RHS



Figure 10: Cemetery, LHS

# Measures to mitigate the impact on the cultural monuments

- During the work, it is necessary to inform the local authorities on the construction works around these sites.
- It is necessary that local authorities carried out the control and monitoring of these areas, during the construction works.
- To protect these cultural sites, it is necessary to arrange physical barriers (fencing).
- During the construction works, it is necessary to assign an expert on traffic management, to prevent causing of physical damage by the machines and mechanisms to the cultural objects.
- It is necessary to conduct outreach to workers on the strict prohibition of physical destruction, desecration and pollution data objects.
- It is necessary to post warning signs and information signs for the workers.
- Limiting the operation of machines and mechanisms, which create high levels of noise and vibration.
- Dust suppression works.
- All road equipment must be used within the territory allotted for construction site.

The Contractor should strictly instruct its workers on disturbance of these sites. In accordance with the Law of the Kyrgyz Republic on historical cultural heritage, in the event of cultural monuments found, all construction works must be stopped and report the findings to the local executive authorities or any other competent organization (Institute of History and Cultural Heritage, National Academy of Sciences; Department of History, Kyrgyz National University after Balasagyn) and MoCIT KR.

174. **Traffic Safety** for Workers and Local People, especially around the sensitive receptors - The Contractor shall install necessary safety measures specified in the design or in the Technical Specifications to ensure that community and traffic safety issues shall be responded to during the construction phase of the Project, including incorporation of: (i) Safety barriers; (ii) Traffic signs; (iii) Road crossings; (iv) Speed bumps; and (v) Speed limits. Social impacts along the vicinity of the road during construction, such as impairment of the usual access, community

health and safety concerns, plus socio-economic conflicts. If any traffic re-routing needs to be done, sufficient advisory and notification should be provided to the people and motorists. Dust and noise nuisances should be minimized during construction. Protective barriers and fencing should be provided to prevent people and animals from loitering at the project site for safety purposes. During the construction phase, it may be inevitable that existing traffic will be disrupted and local accessibility will be impaired, which can cause problems with the local community. To mitigate this situation the Contractor should: (1) Submit a traffic management plan to local traffic authorities prior to mobilization; (2) Provide information to the public about the scope and schedule of construction activities and expected disruptions and access restrictions; (3) Allow for adequate traffic flow around construction areas; (4) Provide adequate signalization, appropriate lighting, well-designed traffic safety signs, barriers and flag persons for traffic control; and (5) Provide temporary access where accessibility is temporarily restricted due to civil works.

- 175. Occupational Health and Safety. For health and safety protection of workers and adjacent communities, the following shall be provided: (i) Adequate health care facilities (including first aid facilities) within construction sites; (ii) Training of all construction workers in basic sanitation and health care issues, general health and safety matters, and on the specific hazards of their work; (iii) Personal protection equipment for workers, such as safety boots, helmets, gloves, protective clothing, goggles, and ear protection in accordance with KR legislation; (iv) Clean drinking water to all workers; (v) Adequate protection to the general public, including safety barriers and marking of hazardous areas; (vi) Safe access across the construction site to people whose settlements and access are temporarily severed by road construction; (vii) Adequate drainage throughout the camps so that stagnant water bodies and puddles do not form; (viii) Sanitary latrines and garbage bins in construction site, which will be cleared when reaching capacity by the contractors to prevent outbreak of diseases.
- 176. **Maintenance of Access during Construction –** Construction of bridges and culverts over water necessitates detour roads to be temporarily arranged. In so doing, normal traffic will be impaired and cause access issues to motorists. These detour roads need to be maintained for connectivity and safety purposes. Traffic plan incorporating these detour roads should be formulated by the contractor. During the design stage, the designers should also anticipate the need for detour and to include this issue as part of the work requirements. Adequate local assessment and consultation should be done to avoid this social issue during the actual construction.
- 177. **Asphalt, Concrete and Crushing Plant Pollution -** During the selection of a site for bitumen plant, concrete plant, stone crusher equipment, which emit pollutants, noise and transmits vibrations, the contractor will need to comply with SanPiN 2.2.1/2.1.1 and SanPiN 2.2.1/2.1.1.006-03, and establish a specific buffer zone around any such facility. In the KR, this is referred to as a sanitary-hygienic zone, and is a mandatory element of any facility that affects habitats and human health. The sanitary-protection zone (SPZ) separates the area of an industrial site from residential areas, landscape and recreation areas, parks, and health resorts with mandatory demarcation of boundaries by using specialized information signs. The boundaries are as follows:
  - Class II SPZ 500m.
    - $\checkmark$  Production of asphalt-concrete at fixed plants.
    - $\checkmark$  Production of asphalt-concrete at mobile plants.
  - Class III SPZ 300m.
    - $\checkmark$  Production of crushed stone, gravel and sand, milling of quartz sand.
  - Class III SPZ 300m.
    - $\checkmark$  Borrow pits of gravel, sand, and clay.
      - ✓ Bitumen plants
  - Class IV SPZ 100m.
    - Concrete solution plants.
- 178. **Camp and Workshop Management -** Garbage and sewage and solid and liquid waste from equipment maintenance can be serious pollutants and disease vectors. The contractor will therefore need to practice good worksite and construction camp management. Inspections by

the CSC environmental specialist will take place monthly and any compliance issues such as strewn garbage, open waste pits, oil soaked ground and unsanitary washing facilities for workers, the contractor will be subject to an immediate fine and a stop-work order will be issued if clean up is not underway within 12 hours of detection. If the contractor does not act, the CSC will retain an outside firm to clean up the area and this amount will be deducted from the contract total.

Where feasible the contractor will arrange the temporary integration of waste collection from work sites into existing waste collection systems and disposal facilities of nearby communities. This shall be taken into consideration when deciding the place for the camp. The contractor will arrange for extra payment if community services are to be used. Basically, the contractor is obliged to comply with ADB's requirements for providing "good" living conditions for its workers. Also, the contractor must strive to improve and maintain the living conditions of its employees and strive to adhere to the International Standards in the framework of its contract

- 179. The contractor shall hire a qualified health and safety expert who will provide safety training to the staff according to the requirements of the individual work place. Prior to the commencement of works, the work site personnel shall be instructed about safety rules for the handling and storage of hazardous substances (fuel, oil, lubricants, bitumen, paint etc.) and the cleaning of the equipment. In preparation of this the contractor shall establish a short list of materials to be used (by quality and quantity) and provide a rough concept explaining the training / briefing that shall be provided for the construction personnel. The contractor shall provide information to workers, encouraging changes in individual's personal behavior and encouraging the use of preventive measures. The goal of the information is to reduce the risk of HIV / STD transmission among construction workers, camp support staff and local communities.
- 180. **Powerline/Pylons** shall be relocated backward as per predetermined by SSEMP.
- 181. **Fauna and Flora** Contrator shall follow:

A maximum compaction of the soil on a tree stern, for more than 30cm, can damage the tree. Fill up material in the tree stem area has to be organic soil.

In this case cutting can't be prevented and a new tree is to be planted as a compensation measure at the respective location within the existing road.

Species to be planted are walnuts, maple ash tree, elm tree, white poplars, white willow, white acacia. Plantings shall be conducted after technical works have been completed. Planting time shall be restricted to spring (March till April) and/or autumn (September till October). Qualit

Installation of a temporary vegetation protection fence during construction activities is required.

- 182. **Disturbance of agricultural lands** can occur when trucks and equipment roll over them during construction activities. During construction, it can occur that equipment and trucks have to maneuver over agricultural lands and in so doing introduce compaction to these areas and render the soil unfit for agriculture. The Contractor should prevent these unnecessary disturbances on agricultural lands.
- 183. **Existing infrastructures** Construction activities in close vicinity to existing infrastructure such as water supply pipes and other facilities, waste water discharge facilities, electricity lines, etc. may give damages to them, or directly destroy existing pavement, bridge, power line system.
- 184. Unmanaged Utilization of Waste Asphalt-ConcreteTemporary Storage and Processing Areas – Old asphalt pavement will be removed and be replaced in the new pavement. Storage or stockpile areas of old asphalt should be situated where they pose no risk of contamination to the environment. In coordination with local authorities, location of old asphalt stockpile areas will be identified, with a minimal distance of 500m from any settlement. Preferably, storage areas should be in state-owned land. If private lands will be used, a negotiated rent on the property should be established with the land owner. All temporary asphalt pavement storage and processing areas shall be agreed upon with the regional departments of SAEPF of KR

under the Government of KR. Old asphalt should be trucked away in blocks and stockpiles should be no higher than 2.5 m.

There are two ways of using old asphalt:

- The transfer of old asphalt to Local RMU of MoTR for upfilling of secondary roads;
- Use the old asphalt to strengthen the top coating of the road shoulders by adding the gravel-sand mixture with 15cm thickness.

## 2.2. Operations and Maintenance Phase

- 185. After the Handing-Over to the Client, a one-year defects liability period ensues, in which the Contractor will still be responsible in remedying any deficiency or flaws in the overall works. After the defect liability period, the Operation and Maintenance Phase follows, in which the Client takes over with full responsibility for the operations and maintenance of the road. Impacts on the environment shall be on the usage of the road by vehicular traffic and subsequent maintenance activities to retain the service level of the infrastructure.
- 186. The projected service life of the road is 20 years and over this operations period, the impacts related to traffic on the environment are rather viewed as cumulative on account of the functions of the road components and can be in conjunction with other activities. These timewise impacts can also be long-term as they may manifest after construction and continue to persist for the entire usage and operation of the road. The perceived impacts and corresponding mitigation measures during the operation of the road will be on:
- 187. **Air Quality** After computations, the maximum traffic can be around 508 vehicles hourly in day time in 2034. If the vehicle drives with 60km/h, concentration NO<sub>2</sub> emitted from cars can clear the standard while, if they run 95km/h, receptors staying within 15 m from road may suffer. However, with this growth, it will come the elimination of older more polluting vehicles from the fleet, fewer stops and starts due to better road conditions and traffic management, better engine technology and vastly more fuel efficient vehicles. Further, KR will receive better refined fuels with lower emission factors per liter used. A high graded road, properly signed, with good lane markings and careful intersection management, will allow the traffic to move more smoothly thus reducing the high emission due to frequent acceleration and deceleration.
- 188. **Noise** In operation period, level of noise and vibration impact shall depend on road traffic intensity, road pavement quality and distance to the receptors. Traffic noise estimated with driving velocity of even 60 km/h in the year 2034 is exceeding the allowable limit. However the increase is less than 3 dB from 2018 and the noise impact is considered as no significant. To prevent this noise pollution, the driving speed around residential areas shall be suppressed to 60 km/h or less (Figure 15 in N-2A).
- 189. **Vibration** at Operation Stage is negligible and no mitigation measure is required.
- 190. **Soils and erosion control** If the contractor properly implements the measures defined in the EMP for the construction period and CSC's environmental specialist completes a post-construction safeguards audit of to confirm all mitigative measures were implemented and remain operational, erosion issues associated with the road should be negligible. Confirming that topsoil and planting were put in place as the work was being completed (not after the construction is completed) the tree planting was done and trees are healthy and being maintained will be essential. On the engineering site inspection of the culverts will be critical since their placement at too steep slope will result in serious and chronic downstream (exit) scouring. To avoid this, design slope should be at the same grade as the natural waterbody and concrete pads or preferably energy dissipation installation such as large rocks and rock gabions, installed.
- 191. **Culverts** need to be inspected to ensure that all debris and construction materials have been removed and any stream diversion structures have been completely removed. For that, CSC and IPIG will prepare a culvert inventory that will provide a photo of each culvert and its condition during each inspection, which should be annually and submitted to MoTR of KR. Two photos will be required, one at the upstream and a second at downstream end of each culvert.

MoTR will assign this work will be assigned to the contractor during the one-year warranty period, after road becomes fully operational; and after that period, taken over by MoTR's maintenance unit.

- 192. **Ecological Environment -** The only ecological issue that could arise during the operating period is a failure to properly maintain the large tree plantations, and also the noise attenuation berms (if these are to be built) landscaping. The local ecosystem will be significantly altered by the cutting of the trees and therefore the replanting and tree maintenance program, until the trees are at least 9-10 years old will be critical to reestablishing the pre-cutting conditions of roadside shade during the summer and windbreaks during the winter. Estimated number of trees for cut is 38; the planned number of trees for planting is 76. It is also important to note that, during the construction, tree planting should take place in the autumn and spring at a distance of not less than 1 m from the outer edge of the ditch or drainage of the projected road. Planting should be done as soon as will be completed earthworks on sections of roads. It is necessary to monitor and control of the planted trees, both during construction and during operation.
- 193. Livestock and Pedestrian Crossings Since the road section traverses residential areas, farmlands and pasture grounds, the need to provide pedestrian and livestock crossing becomes important. Category II Road allows design speed of 95 km/hour in outside settlement (60 km/hour in settlement) for vehicular traffic, such that crossing people (especially children) and livestock (sheep, cattle, horses, etc.) pose real danger. Also on the road need to install the road signs indicating the places of transition of people and livestock. The crossing of people in the residential areas will be installed through every 200-250 m. Road safety features such as, streetlights, traffic lights pedestrian crossings, livestock crossings and other visual means to reduce accidents will be installed along the road.

# 3. Climate Change Impacts on the Project Road

- 194. In this PPTA a Climate Change Study of the Project Road was included as a separate sector. This study focused on the following impacts to the project road:
  - River floods and water logging in spring, due to more intense rainfall. This will mainly affect lower altitudes and areas susceptible to flooding;
  - Heat stress in the summer, especially at lower altitudes;
  - Mudslides related to more intense rainfall in the spring at medium altitudes (and in a lesser degree also high altitudes);
  - Flush floods in the summer especially at higher altitudes, related to higher temperatures together with the increase in winter, spring and autumn rainfall (snow at higher altitudes).
- 195. The study made reference to the climate simulations done by the International Fund for Agricultural Development (IFAD) for Kyrgyzstan in which it indicated that the "Section "Kochkor (km 64) to Epkin (Km 89)" located at an area with low or very low vulnerability risk as compared with the north of Chuy Oblast and other high altitude areas. Moreover, as per IFAD the vulnerabilities identified are mainly related to increased heat stress at the project areas with low altitudes and mudslides at medium altitudes. Very limited information on the occurrence of extreme rainfall was found, but with relation to emergency situations, there is a tendency of reduction of rainstorms.
- 196. The hazards related to flooding have been studied using UNEP's Global Risk Data Platform which entails hazards modeling was developed by the World Meteorological Organization (WMO) and the United Nations Education and Scientific Cultural Organization (UNESCO). As per data in the Platform, the flood hazard will increase along major rivers in the Central Asia region, but Kyrgyzstan and the project area is less influenced by this than the neighboring countries. The project area is located in areas of low risk, whereas the risk increases at higher altitudes.
- 197. The values of seasonal temperature changes by year 2100 anticipate a greater increase of summer temperature in comparison to other seasons, and the minimum increase is predicted for the winter period. On the positive side, warmer winters due to climate change can alleviate the clearing snow; which would mean less maintenance cost during the winter months.

## F. Analysis of Alternatives

- 198. Two alternatives were considered in this section:
  - 1. Zero option the «Inaction»/ do nothing alternative
  - 2. The road reconstruction project

## 1. Zero option - the «Inaction»/ do nothing alternative

- 199. Within the framework of ADB's SPS 2009, an important consideration the alternative "Zero option" is being devoted on. The alternative "Zero option" presents case scenario in which the project is not to be done at all. By comparative evaluation, it can be inferred whether the project is necessary at all or provide some insights on how to properly proceed should the project be fully implemented.
- 200. **Atmospheric air.** The existing road surface does not meet the requirements of III road category. In some places, there is no "cold asphalt" road pavement. Due to unevenness of the road, vehicle engines run unevenly by releasing large amount of exhaust gases. Dust formation is most likely to happen on places where there is gravel surface, which also affects atmosphere.
- 201. **Noise and vibration**. Noise and vibration are a major factor of concern people day and night. Lack of coverage of the road, spreading the sound waves at great distances from the road creating a high noise and vibration impact on the population at night and in the daytime. The most sensitive recipients are residents of nearby houses to the road, kindergarten, hospital, private facilities and cultural sites.
- 202. **Surface water**. In places, where the road crosses channels and bridges, we can observe destruction of given structures and erosion of banks. In case of accidental destruction of some culverts and erosion of banks, we may observe pollution of water body. Runoff from the road surface flows to channel and river by causing water bodies' pollution with oil products and oils. This impact will be expressed in possible soil contamination with oil products, oils and waste. This Section has a river Zhon-Aryk.
- 203. **Soil.** Impact on soil is expressed in soil disturbance due to destruction of roadbed and going of vehicles beyond the right of way on nearby areas. Erosion due to concentration of water flows by artificial structures, ditches and channels. Soil and water might be contaminated by oils, gasoline of vehicles.
- 204. **Rehabilitation of Culverts** Continued to be as present poor condition. However, no debris is generated.
- 205. **Powerlines/Pylons** No powerline/pylons are affected.
- 206. **Groundwater** are exposed to risk of contamination by the spill-oil of broken cars by traffic accident which risk may be reduced after project.
- 207. **Flora and fauna-** Impact on flora and fauna will be negligible, as the road is existing road and has already caused anthropogenic impact.
- 208. **LARP and social issues** Economic relocation and resettlement is not applicable. Social aspect is expressed in violation of communication routes of local residents, increase in time spent on the road to places of work and leisure. Poor traffic conditions for agricultural machinery, animal-drawn transport, cyclists and cattle driving. High accident risk might be created on the road and intersections with other roads. Moving vehicle causes vibration of buildings and structures. Dust pollution and gas contamination.
- 209. **Safety-** The road is not equipped with traffic indicators, signs, markings, which create prerequisites for accidents among population and vehicles crash. Violation of speed limits results in collisions and runs over people, animals and vehicles. There is no established road crossing places for people and cattle
- 210. If zero option is implemented, the benefit will be less traffic density and few road accidents.
- 211. The negative side is increased noise and vibration, lack of proper road pavement, negative social aspect, and impossibility to develop the region's economy

# 2. Alternative – the road reconstruction project

212. This Alternative is considering the reconstruction existing road of the section Kochkor (km 64) to Epkin (Km 89).

The Road section will be reconstructed and the total distance will be 25 km. Main specifications of the projected road are given in Section C the Project description. During the pre-construction stage, reconstruction of the road will not have any environmental and social impacts. This period, the work will be associated with the design and proper planning of works, as well as informing the public and other stakeholders about the proposed work.

- 213. During the construction period, air quality will be affected by vehicles, operation of road equipment and machinery, excavation works, soil, sandy gravel, crushed stone and operation of asphalt mixing plant. The impact will be provided by pollutant emissions from the operation of machinery and mechanisms and formation of dust. The impact will be exerted on the water bodies (irrigation channels, Zhon-Aryk River) from operation of the machinery, construction camps, and possible contamination of water by oil and oil products, soil, residues of construction and household waste products. The impact on soil and land resources expressed by extraction of soil, ground, temporary diversion of land, and contamination by oil products, construction and household waste, as well as disturbance of topsoil by its misuse and stockpiling. The impact on the historical and cultural heritage will be expressed in the physical impact (vibration and possible disturbance of construction workers) to the cemeteries and burial grounds located in the vicinity of the road.
- 214. During the operation, the main impact will be on air, physical factors as noise and vibration will have an impact, especially in the settlements. More detailed analysis of the alternatives of the environmental and social impacts is given in Section E. Environmental Impacts and Mitigation Measures.

Given that the reconstruction of the road will be carried out on the existing road and the environment has already formed anthropogenic ecosystem, it can be concluded that the impact of the projected road on the environment will be insignificant, but in social terms the impact will be positive.

# G. Information Disclosure, Consultation, and Participation

215. Formal and informal public consultations were done for the project during the study period. During the site visits some informal discussions were done with the villagers and some village heads as field information were being gathered. The IPIG organized a formal public consultation and it was arranged with the district heads to invite people of affected villages to present and discuss with them environmental and social issues relevant to the rehabilitation of the road.

# 1. Public Consultations and Participation

- 216. For Section "Kochkor (Km 64) to Epkin (Km 89)", in accordance with ADB's Public Communications Policy (2011) and SPS (2009), Public Consultation meeting on the environmental aspects was undertaken on 17 March 2016 in Kochkor District Office (see Photo below). This was organized by the IPIG-MoTR through official communication to the local leaders inviting stakeholders in the surrounding villages. The sheets of the recorder and the attendance are presented in Annex B, C.
- 217. During the public consultation (Figure 11), the Consultant (Kocks Consult, GmbH), prepared PowerPoint presentation regarding the technical features of the project and explained the potential environmental and social impacts with corresponding mitigation measures. This event was organized by IPIG-MoTR representatives with PPTA Consultants assistance. The representatives of the MoTR-IPIG answered questions and clarify any issues that were raised. In addition, the participants also were provided a sheet of paper on which the can write their questions and comments. Printed hand-outs of the presentation were prepared and distributed to the people for their information and as a way of disseminating the environmental concerns of the project to the public. Below is a photo of the public consultation.



Figure 11: Public Consultation in Kochkor (17 March 2016)

- 218. The questions raised verbally during the forum were responded right away. As mentioned above, the people who attended were provided with a sheet of paper on which to write their questions and comments on the project. The recorded questions and corresponding responses by the IPIG-MoTR were captured in a video with the transcript shown in Annex C. The verbal and written comments and questions that were raised were compiled and presented as follows and in Table 26.
- 219. Comments/Recommendations:

Traffic Safety:

- Possibility of bypassing the villages/schools
- Need to provide roundabout crossings
- Underpass near Epkin village school
- Road signs

Additional Infrastructure:

- Need for irrigation ditch crossing the roads
- For Contractor transfer old removed structures/pipes to the village authority
- Need for water supply pipes
- Improvement of bridges
- Underpass connection between markets
- Need for street lighting and sidewalks along the road
- Coat secondary roads with asphalt out of the old coating removed from the highway
- Arrangement of sidewalks in Chekildek, Epkin and Ak-Chiy villages and build bus stops.
- Need to connect market to road

Environmental Concern:

Protection of cemetery structures

**Relocation and Compensation:** 

• To check property boundaries

## Table 26: Summary Table on Public Consultation for Kochkor – Epkin

Questions	Answers
School in our village is located on the other side of the road. Almost 90% of residents are living on the opposite side. Children are passing the road. It would be good if you will construct the underground pass.	Firstly, you have to decide which one is appropriate for you: road signal or under pass. Then you need to make a request to MOTR. Your requests should be stated in written format, verbal requests will not be considered.
Where you are considering the footways, along the road?	Design is included the footways in residential areas.
What are the road construction standards for swamp areas?	In accordance with the National legislation for road construction.
Please tell us the time for construction, since people need time for treating the tillage areas.	Construction is planned to be started on 2017. Period for construction is 2 years and 1 year for technical guarantee. We will inform you beforehand. Contractor will not trample your land plots.
How water pipes will be used?	Pipes will be handed over to RMD 24. Please indicate in written format, in which sections irrigation pipes should be installed. Considered in IEE.
We would like to know about hiring locals?	Contractor will hire locals as per the personal labor agreement. Percentage in the agreement to be as follows: near 70% of locals will be hired for performing the earthworks, depending on the difficulty of the road section and 30% will be internationally hired specialists.
How the cost for the dismantling structures will be determined?	The team of specialists will study in detail the structures for demolition, measure them, determine the cost through an independent evaluation, submit an opinion to the State Construction Department for an expert examination, which will be further submitted to the Government by our management (MOTR). The government will ratify / approve it, after the money will be allocated.
How will the deforested trees and damaged green spaces be restored?	If the vegetation on the edge of the road is damaged, it will be restored in a year. If this does not happen, the Contractor must plant grant seeds to restore the natural appearance of any place. No matter how many trees are cut down, they will be replaced by new seedlings; The number is much larger than the felled trees. The contractor will plant the seedlings during the road reconstruction, and will water them. At the end of the Project, the trees will already get accustomed. Under no circumstances will the Contractor plant trees after the completion of the Project and flee without caring for them.

#### Attendants from Central Government/Authority:

Asylbek Abdygulov – Safeguards Specialist, IPIG, Motr Ruslan Satybaldiev – Regional Project Coordinator Sam Sapuey - International Safeguard Specialist, Kocks Consult Lola Shatirishvili, Resettlement Specialist. Local Residents, total 21 persons, including: Beishenaliev K.M. –Head of RMD-955, Saburjanov J.S.- Head of Kok-Jar Ayil Okmotu, Dairov E. – Head of Architect of the Project – architecture, Abdukasymov M – Chief Architect, Israilov R. – Head of RUAF, Sydygaliev S – Land Specialist of Semiz-Bel Ayil Okmotu, Bukarov K.B. – Head of Cholpon Ayil Okmotu. **Full list of Local Authority/Villagers is shown in Appendix B** 

- 220. Generally, the comments were minor with the following recommendations possibility of bypass route, provision of roundabout crossings, irrigation ditches, water supply pipes, improvement of bridges, underpass connection between markets, street lighting, protection of cemetery structures, and confirmation of property boundaries with road corridor. The questions on the other hand were on construction standards on swampy areas, provision of sidewalks, timing of construction, and usage of recovered pipe culverts.
- 221. Several of the comments were already incorporated in this IEE/EMP such as concerns on damage to infrastructure and reconstruction of utilities. On the impact to infrastructure, provisions in the EMP were included to undertake good planning to enable infrastructure service not to be disrupted.
- 222. In order to inform a larger number of population of the villages along the road on the environmental and social issues of the project, IPIG/MoTR KR sent information letters with the results of the conclusions of IEEs to Rayon authorities, heads of village municipalities, and village elders for greater public awareness on possible types of environmental and social impacts during implementation of the road reconstruction project. This information letter is attached in Annex G.
- 223. In order to more effectively engage local population in the process of informing on social and environmental impacts of the project, additional public consultation will be required. It is necessary to hold a public consultation at the stage of detailed design for a representative stakeholder interaction. Also for more effective engagement with stakeholders, it is necessary to conduct public consultations in every village along the 25 km road.
- 224. The organization of public consultation is necessary to register participants, by indicating name, position, address and telephone number. Provide information for feedback to direct suggestions and comments.

## 2. Information Disclosure

- 225. ADB endorses the IEE it is made available as information to the public, both in English and in Russian languages.
- 226. The procedure for public hearings in Kyrgyz Republic includes the following steps:
  - 1. Public notification on public discussions;
  - Providing public access to the EIA documentation from the project initiator and / or in other accessible locations (local authorities, the territorial bodies of environmental protection), as well as disclosure of EIA report on the website of the proponent (if website exists);
  - 3. The general public familiarizes with the EIA documentation;
  - 4. In case of public interest:
    - Public notice on the date and place of the meeting to discuss the EIA documentation;
    - Collection and analysis of comments and suggestions, summarizing the results of additional information should be required at any time about the project, the public may

visit the IPIG-MoTR or interact with the future construction supervision consultant who will be selected for the project. On-site consultations will be held for clarifications and provision of necessary information to the public and the stakeholders on as need basis.

# H. Grievance Redress Mechanism

# 1. Objectives

- 227. The Grievance Redress Mechanism (GRM) is a process through which the affected people need a trusted way to voice and resolve concerns about the project and the project also finds an effective way to address affected people's concerns. In this project, the grievance mechanism will be in place by which the affected people will be fully informed of their rights and procedures for addressing complaints whether verbally or in writing during consultation, survey, time of compensation and implementation of the project. Care will always be taken to prevent grievances rather than going through long redress process.
- 228. The GRM will cover issues related to social, environmental and other safeguard issues under ADB safeguard covenants and Kyrgyz Law.

# 2. Grievance Redress Group (RG)

229. The GRG will be established for the duration of project implementation. The GRG is tasked with all activities needed to discuss a grievance, assess its validity, assess the scope of eventual impacts, decide eventual compensation needed and instruct/facilitate the functioning of the Grievance redress mechanism.

# 2.1 Functioning of the GRG within the Grievance Redress Mechanism

230. The Grievance redress mechanism (GRM) involves the following 2 stages appeals:

# Stage 1, Local (Village) Level

The grievances will first be lodged at the level of the complainant's village community. The complainant will report his case to the Local Point of Contact (LPC) The LPC will trigger the action of the Grievance Redress Group (GRG) which will assess the situation and seek a solution through consultation with complainants, local Roads Maintenance Unit (RMU) the oblast Ombudsman, and the selected AP representative.

## Stage 2, Central Level

In case within additional 15 days the grievance is still not resolved at local level the complainant will further raise the issue to MoTR's headquarters in Bishkek again with the support of the LPC, AP representatives, and the oblast Ombudsman. The GRG will decide on the eligibility and on the complaint case and prepare the resolution, subject to IPIG/MoTR consent.

- 231. GRM proceedings will entail one or more meetings for each complain and may require field investigations by specific technical or valuation experts. Grievance cases shared by more than one complainant may be held together as a single case.
- 232. For deliberations at the local level, the meetings will be held in the village of the complainant. For appeals at central level, the meetings will be carried out at in MoTR office in Bishkek with field trips of GRG members to the village of the complainant.

## 2.2 Composition of GRG

233. GRG will be established by the order of MoTR. The GRG is composed at different levels of appeal by the following individuals/officers.

## Local level GRG

234. Local level GRG will be established at each Ayil-Okmotu along the project roads with the provision of members of the composition in Table 26:

GRG Member	Position held
Head of Ayil-Okmotu	Chairman
Representative of RMU	Member
Female and Male APs	Members (2)
Local Point of Contact	Member
Ombudsman of the Oblast	Observer
Consultant	Invited Expert

Table 2	27: L	ocal	Level	GRG
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# Central level GRG

235. The central level GRG will be represented by 5-7 members of the composition in Table 28.

GRG Member	Position held
Head of IPIG of MoTR	Chairman
Project Coordinator at IPIG	Member
IPIG safeguards unit representative	Member
Representative of the RMU	Member
Local Point of Contact	Liaison between Local & Central GRG
Ombudsman of the Oblast	Observer
Representatives of APs (Male & Female)	Additional Observers

## Table 28: Central Level GRG

- 236. At each level of appeal, the GRG will be assisted as needed by the professional capacity needed to solve each specific case. This will include among others:
  - Representatives of State Rayon Administration
  - Representatives of the Rayon Branch of the State Agency for Architecture and Construction
  - State Registration Services of the Rayon
  - Ministry of Agricultural
  - State Agency for Environment and Forestry
  - Ministry of State Property
  - Ministry of Emergency
  - Technical expertise from professional engineers, and Consultants with relevant experience in environmental safeguards.

## 2.3 Duties of GRG Members

## Local Point of Contact

- 237. Once AP files a complaint, the LPC is to undertake and complete the following tasks:
  - screen the complaint for eligibility and, if found eligible register it the Complaints Log;
  - draft a complaint memo to be signed by the complainant, indicating the name of complainant, date and place the case of complaint occurred, apply the date and place of complaint submission, and attach supporting documents, as necessary;
  - send the complaint memo to all members of GRG, agree the date of GRG meeting;
  - request the rural administration authorities to organize the meeting;
  - facilitate the GRG meeting by providing a storyline for the complaint and provide factual details and relevant documents obtained;
  - communicate request and queries of the complaints to the members of GRG (on central level to GRG/IPIG/ADB);
  - maintain the records of the meetings and communications between GRG and complainants
  - ensure administrative and organizational support to GRG members;
  - raise awareness of project stakeholders, including CBOs, NGOs AHs and local authorities on the GRM, it functions and objectives.
  - Liaise between local and central GRGs to convey the information of the case of complaint that was not resolved on local level and became the case to be reviewed on a Central Level.

# Chairman of GRG / Head of Ayil-Okmotu

- 238. Once the GRG Chairman is informed about the meeting date and schedule he/she is responsible to:
  - a. review the complaint(s) and supporting materials if any ahead of the GRG meeting;

- b. manage to obtain any additional information prior to GRG meeting date;
- c. involve relevant task expert if such need is obvious after review of the complaint(s);
- d. ensure members attendance and chair GRG meeting;
- e. ensure simple complaints (like notification of when construction starts or a copy of the entitlement brochure etc.) are handled /resolved at the local level during the meeting;
- f. ensure that records (of each meeting, communication between GRG and complainant(s)) is accurately recorder by assigned member (Meeting Secretary) and saved in the GRG files;
- g. convey requests and enquiries of the complainants to GRG members on Central Level if not resolved on Local Level.

# RMU Representative

- 239. Once notified of a complaint and summoned by the LPC to a grievance meeting the RMU representative will:
  - 1. Review all relevant recording of complaints and submitted documents of proof;
  - 2. Participate to all grievance meetings, provide opinions and analysis, take minutes of the discussions (Secretary of the Meeting);
  - 3. Accompany eventual assessment/valuation specialists in the field;
  - 4. Ensure that claims from damages due to construction works are reviewed by the RMU and technical experts and assess the damages /losses incurred;
  - 5. Based on the position reports of GRG members and on his/her understanding of the case prepare the final grievance report and recommendations to be sent to complainant, other members of the GRG and if needed to IPIG as well. The summary report should determine, whether the case is:
    - (i) solved without further action; or
    - (ii) solvable but requires compensation or other action; or

(iii) not resolved and requires pending actions, such as forwarding the complaint for review on the higher-Central Level, to the Court, or to investigation to prosecutor's office.

- 6. If the complaint is considered valid and the needed compensation/action is to be approved by IPIG the case is forwarded to GRG on Central Level with the request to proceed the review and ensure execution of the redress action; and
- 7. When the complaint remains unresolved by Local Level GRG, and a complainant offered to lodge claim on the Central Level agree to act so, RMU representative coordinates with LPC and GRG Chairman to assists the complainant in lodging the complaint at a higher appeal level;
- 8. In parallel inform IPIG/MoTR and proceed with the organization of the central level appeal meeting.

## Representatives of the APs

- 240. Two representatives of the APs, male and female persons from the affected community will participate in all GRG meetings to:
  - 1. act as the full right member of GRG;
  - 2. provide relevant information related to the submitted complaints; and
  - 3. provide other GRG members as relevant with a position note to be reflected in the final meeting report.

# Invited Consultant /Field expert

- 241. Once notified of Meeting time and location the Consultant will:
  - a. Review all relevant recording of complaints and submitted documents of proof;

- b. If feasible visit the place of complaint to visually observe the spot and be fully aware of important details to share with GRG members during the meeting;
- c. assist the GRG members to get into the insight of the complaint and assist them in finding feasible, reasonable, mutually agreeable and doable solutions.

# IPIG Project Coordinator

- 242. Once notified that a complainant has lodged an appeal case at the Central level IPIG project coordinator will:
  - 1. contact the complainant(s) and draft a note with his/her understanding of the complaint;
  - 2. participate to the appeal meeting, provide opinions and analysis, take minutes of the discussions;
  - 3. if needed summon again assessment/valuation specialists and accompany them in the field;
  - 4. request the chairperson to organize meetings, as necessary;
  - 5. maintain communication between GRG and the complainants; and
  - 6. Complaint Register is kept with IPIG and a copy shared with the Consultant.

# **Representatives of IPIG Safeguards Unit**

- 243. Once notified that a complainant has lodged at central:
  - 1. participate to all grievance meetings, provide opinions and analysis;
  - 2. accompany eventual assessment/valuation specialists in the field, and
  - 3. provide other GRG members as relevant with a position note to be reflected in the final meeting report.

# Ombudsman

- 244. Once notified of a complaint and a summoned by the LPC to a grievance meeting is submitted the Ombudsman will:
  - 1. monitor complaint handling process and ensure that decisions made by the GRP are equitable and objective;
  - 2. provide independent opinions and recommendations related to the decision made on the case by the GRP team;
  - 3. advise the complainant(s) on their rights and entitlements, as necessary;
  - 4. participate to all GRG meetings and site visits;
  - 5. participate in eventual assessment/valuation in the field; and
  - 6. prepare a position memo at the end of the meeting(s) and forward it to LPC/chairperson of the GRG.

# GRG Chairperson/Head of IPIG of MoTR

- 245. Once notified that a complainant has lodged an appeal case at central level, the GRG chairperson will:
  - 1. contact the complainant(s) and draft a note with his/her understanding of the complaint;
  - 2. trigger the GRG members through a letter of invitation;
  - 3. chair the GRG meetings and ensure that minutes of the meeting are shared with all relevant parties;
  - 4. review the content of each response prepared after deliberations to ensure accuracy as well as consistency of answers provided to the complainants;
  - 5. ensure the administrative and organizational support for GRG members to work; and
  - 6. support the decision made by the GRG and ensure that the follow-up actions are taken.

# **IPIG Project Coordinator**

- 246. Once notified that a complainant has lodged an appeal case at central level project coordinator will:
  - 1. contact the complainant(s) and draft a note with his/her understanding of the complaint;
  - 2. participate to the appeal meeting, provide opinions and analysis, take minutes of the discussions;
  - 3. if needed summon again assessment/valuation specialists and accompany them in the field;
  - 4. request the chairperson to organize meetings, as necessary;
  - 5. maintain communication between GRG and the complainants; and
  - 6. Complaint Register is kept with IPIG and a copy shared with the Consultant.

# Representatives of IPIG Safeguards Unit

- 247. Once notified that a complainant has lodged at central level, the representatives of IPIG safeguard and technical unit will:
  - 1. prepare the chronology of events to understand sequence of developments prompting the complaint;
  - 2. provide environmental and resettlement opinion on impacts claimed by the claimant;
  - 3. examine large claims over USD\$10,000 with financial expert at Ministry and involve a qualified evaluator;
  - 4. request the chairperson to organize meetings, as necessary; and
  - 5. maintain communication between GRG and the complainants.

## **Technical Experts**

- 248. Once summoned to provide expert advice for the assessment or valuation of an impact claimed by a complainant the relevant technical expert will carry out the needed investigations and prepare a report to be handed to the complainant and the other members of the GRG. The tasks will include:
  - 1. provision of relevant technical opinion for the case reviewed;
  - 2. carry out the needed investigations relevant to their expertise; and
  - 3. provide recommendation when the legal opinion from the relevant state agencies is necessary.

## 2.4. Grievance Resolution Process

249. The LPC of GRGs will be regularly available and accessible for APs to address concerns and grievances. He will assist the aggrieved APs in formally lodging their claims to the GRG. The complaints and grievances from the APs will be addressed through the process described in Table 29.

# **Table 29: Grievance Resolution Process Steps**

	Action level	Process	Timeline
Step 1	Resolution	At initial stage, the LPC will give hearing to the aggrieved person and try to give acceptable solutions. If any aggrieved AP is not satisfied with the solutions, then the aggrieved AP will lodge grievances in written to the concerned local GRG within 3 days.	3 days
Step 2	GRG Resolution	After receiving written complaints of AP, the LFP will review and prepare a Case File for GRG hearing and resolution. A formal hearing will be held with the GRG at a date fixed by the LPC in consultation and the aggrieved APs. On the date of hearing, the aggrieved AP will appear before the GRG at the office of concerned Ayil-Okmotu and produce proof in support of his/her claim. The LPC will note down the statements of the complainant and document all proof. The decisions from majority of the members will be considered final from the GRG and will be issued by the LPC and signed by other members of the GRG. The case record will be updated and the decision will be communicated to the complainant AP by the LPC within 14 days of submission. If any aggrieved AP is not satisfied with the solutions, then the LPC will lodge grievances in written to the central GRG at MoTR with conclusion and supporting documents prepared at local level.	14 days
Step 3	Resolution of GRG Central	After receiving written complaints of AP, the GRG Chairperson of the central GRG will review and prepare a Case File for GRG hearing and resolution. A formal hearing will be held with the GRG at a date fixed by the GRG Chairperson and the aggrieved APs. GRG members will contact the complainant and visit his village. The IPIG Project Coordinator will note down the statements of the complainant and document all proof. The decisions from majority of the members will be considered final from the GRG and will be issued by the GRG Chairperson and signed by other members of the GRG. The case record will be updated and the decision will be communicated to the complainant AP by the IPIG Project Coordinator within 15 days of submission.	15 days
Step 4	Court of law	The court of law will be the last resort before the AP. Project Affected Persons can appeal to court should s/he disagrees with the decision of the Control Authority.	N/A

# 3. Additional Mechanisms Available for Grievance Redress

- 250. Any physical and legal person, any appellant can communicate his/her concern to the Court at any stage of grievance redress. The GRC will not restrict or influence the AP from applying to court for legal remedies.
- 251. If the complaint is found invalid, the GRG formulates a response and sends a written letter to the complainant, explaining the reasons of rejection. The complainant can appeal the decision of the local Court and bring the case to the ADB Accountability Mechanism. The project level GRG does not in any way impede APs access to the ADB Accountability Mechanism (AM3) or to the judicial or administrative remedies the Kyrgyz Republic.
- 252. The Information Pamphlet and Grievance Redress Form will carry the contact information for the Office of the Special Office Facilitator to be readily available once any AP may wish to register a complaint with the ADB AM.

Complaint Receiving Officer Accountability Mechanism Asian Development Bank 6ADB Avenue, Mandaluyong City 1550 Metro Manila, Philippines Tel: +632 632 4444 ext 70309 Fax: +632 636 2086Email contact form

<sup>&</sup>lt;sup>3</sup> Link to access relevant web page: www.adb.org/site/accountability-mechanisn/contacts

# I. Environmental Management Plan

# 1. EMP

- 253. The EMP describes the various measures proposed under this Project, which were designed to avoid, mitigate, or compensate the adverse environmental impacts that may result from the Project. As such the EMP considers all phases of the Project cycle, namely the detailed design, construction and operational phases of the Project.
- 254. To ensure that the proposed mitigation measures will be carried out by the contractors during the construction stage, the design consultant will clearly set out in the tender and contract documents the contractor's obligation to undertake the respective environmental mitigation measures.
- 255. The EMP consists of two tables. Table 30 summarizes the environmental mitigation measures, while Table 30 provides an overview of the environmental monitoring. At the end is a statement which includes the timeframes and responsibilities for carrying out the environmental monitoring.

	MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION								
Area/	Activity Mitigation measures								
Component	Activity	Impact		Implement	Monitor				
			CONSTRUCTION PHASE						
General	Submittal of applications/ site specific management plans before commencem ent of work	Project impacts will be minimized	To submit General Site Specific Management Plan, which will present the method statement for construction, including following 12 annexes:(i)Dust Suppression Plan(ii)Construction Noise Management Plan(iii)Vibration Management and Monitoring Plan(iv)Surface Water Contamination Prevention Plan(v)Borrow Pits Management Plan(vi)Batching Plant/ Cement Plant Management Plan(vii)Soil Management Plant(viii)Soil dand Liquid Waste Management Plan(xii)Cultural & historical sites Management Plan(xi)Camp and Workshop Management Plan(xii)Material Processing Plants/Equipment and Storage Facilities PlanSSEMP shall comply all standards from the general and toll roads WB Group EHS Guidelines	Contractor	CSC, SETI, IPIG of MOTR				
Environmental specialist	Supervising of Contractor's environmental activity and reporting to IPIG	To follow the EMP	Mandatory half year report on monitoring of the environment should be prepared and submitted to IPIG/MOTR. Data for this report will be collected by the results of the quarterly reports of environmental specialist of CSC. Once a year International environmental specialist will conduct the complex control	Contractor	MoTR				
Committee of Grievance Redressing	Establishment and organizing the CGR Complains	Solve disputes immediately Establishme nt and organizing the CGR	GRM to be mandatory implemented in this project, where affected people can be fully informed about the rights and procedures of grievance redress mechanism, during consultation, survey, date of compensation and project implementation.	CSC	CSC, SETI, IPIG/MORT				
Method of statements	Consrution of bridges, culverts, road etc.	Clarifying what are the possible risk/environ mental impacts to be caused	Descript construction details such as sequences, material used, size, duration etc.	Contractor	CSC, SETI, IPIG of MOTR				

# Table 30: Environmental Management Plan

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION		
Area/	Activity	Potential	Mitigation measures	Institutional R	· · ·
Component		Impact		Implement	Monitor
	P	1	CONSTRUCTION PHASE		1
Air pollution	Operation of construction machinery	Air pollution due to exhausted gases from the operation of construction machinery	the air quality, noise/vibration. To reduce emission levels of both of noise and vibration together with exhausted gases in general, the contractor must implement the following mitigating measures (i) keep construction equipment in good condition (ii) prevent idling of engines by shutting off machineries not in use for more than 3 minutes (iii) prohibit use of machinery or equipment that cause excessive smoke emissions (iv) utilize low- emission type machineries and (v) install tentative noise (air pollution) barrier, if necessary.	Contractor	CSC
		onstruction	Spray water over the surface of unpaved road every 2 hours around sensitive receptors area when it is dry and wind is strong, based on the Site- Specific Dust Suppression Plan to be submitted before construction. Hauling truck shall be covered always. Material transport route shall be planned properily incorporating to Dust Suppression Plan. Estimates from the preliminary design for the section show those 668,000 cubic meters will be the cut volume and 135,600 cubic meters for fill volume for the road section. Truck traffic will considerably impact local roads as well as the communities they traverse. Haul routes should be planned with CSC in coordination with IPIG and local authorities, providing sufficient maintenance to minimize dust, noise generation and disturbance to residents by restricting the hauling time between 07:00 and 18:00.	Contractor	CSC
Noise	Rehabilitation works within villages and along sensitive receptors	Disturbance of adjacent settlements due to elevated noise and vibration levels.	<ul> <li>Construction Noise Suppression Plan shall be submitted based on recommendation of Noise Assessment Report for Section 2A in 2018.</li> <li>Good communication with affected communities is often the most effective way to manage potential construction noise effects. Therefore, the Contractor should keep local residents informed of the progress of the works, including when and where the noisiest activities will be taking place and how long they are expected to last. All noise complaints should be effectively recorded, investigated and addressed. Account should be taken of the needs of residents in choice of working hours and where possible these should be chosen to:</li> <li>Avoid night time and weekend working;</li> <li>Avoid working near mosques during prayer time; and to</li> <li>Carry out works near schools during holiday periods</li> <li>In addition, the Contractor should consider general good working practices including the following which are particularly relevant to road construction:</li> <li>Modern, silenced and well-maintained plant and construction equipment should be used;</li> <li>All vehicles and plant should be fitted with effective exhaust silencers which should be maintained in good and efficient working order.</li> <li>Fitted acoustic covers should be kept in a good state of repair and should be kept closed when plant is in use.</li> <li>vehicles should not wait or queue on the road with engines running and plant in intermittent use should be shut down when not in use or where this is impracticable, throttled down to a minimum.</li> <li>If a site compound, or materials storage area is to be used, both it and any static plant within it should be sited as far as is practicable from noise ensitive buildings.</li> </ul>	Contractor	CSC; IPIG of MoTR, Traffic police service of the Ministry of home affairs

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION		
Area/			Mitigation measures	Institutional Respons	
Component	Activity	Impact	Miligation measures	Implement	Monitor
			CONSTRUCTION PHASE		
			<ul> <li>Where activities, including delivery of material to site, cannot take place during normal working hours they should be carried out as close to normal working hours as is reasonably practicable.</li> <li>Concrete mixers should not be cleaned by hammering the drums.</li> <li>When handling materials, care should be taken not to drop materials from excessive heights.</li> <li>Vibration suppressing plan shall be submitted based on the recommendation of vibration assessment report for Section 2A in 2018.</li> </ul>		
			<ul> <li>Following mode shall be chose for vibration roller to prevent any damage to buildings:</li> <li>Option 1 – No Vibration</li> <li>Option 2</li> </ul>		
			<ul> <li>Areas with houses within a 9m corridor – use of rollers with no vibration</li> <li>Areas with houses between 9m and 22m corridor:</li> <li>use of rollers with minimum vibration</li> </ul>		
			<ul> <li>use of ditches to reduce vibration at the houses</li> <li>monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration</li> </ul>		
Vibration	Compaction	Structural damage/cos metic damage	<ul> <li>Areas with houses at a distance of more than 22m:</li> <li>Use of high vibration</li> <li>use of ditches to reduce vibration at the houses</li> <li>monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration</li> <li>Areas with sensitive archaeological/ ancient monuments within a 22m corridor – use of rollers with no vibration. When areas with sensitive archaeological/ ancient monuments are over 22m and low vibration is used, monitor at the monuments and ensure vibration does not exceed 2mm/s</li> </ul>	Contractor	CSC; IPIG o MoTR,
			<ul> <li>Option 3</li> <li>✓ Areas with houses within a 16m corridor – use of rollers with no vibration</li> <li>✓ Areas with houses between 16m and 36m corridor :</li> <li>o use of rollers with minimum vibration</li> <li>o no ditches</li> <li>o monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration</li> </ul>		

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION			
Area/	<b>A</b> - 41, 14, 1	Potential		Institutional Responsibili		
Component	Activity	Impact	Mitigation measures	Implement	Monitor	
			CONSTRUCTION PHASE			
			<ul> <li>Areas with houses at a distance of more than 36m:         <ul> <li>use of high vibration</li> <li>no ditches</li> <li>monitoring at the houses to ensure vibration at the houses does not go over 3mm/s. If vibration exceeds 3mm/s work to stop and continue with no vibration</li> </ul> </li> <li>Areas with sensitive archaeological/ ancient monuments within a 22m corridor – use of rollers with no vibration. When areas with sensitive archaeological/ ancient monuments are over 22m and low vibration is used, monitor at the monuments and ensure vibration does not exceed 2mm/s</li> </ul>			
	Rehabilitation works	Competition for water resources	Conduct consultation with local authorities to identify sources of water (for spraying and other construction requirements) that will not compete with the local population.	Contractor	CSC	
Surface water	Culverts and 4 Watercourses as: (i) Zhon-Aryk (km 64.4) (ii) Mukan (Km 68.0) (iii) Ak-Uchuk (Km 86.5)	Alteration of surface water hydrology resulting in increased sediment by increased soil erosion at construction site.	Installation of settlement ponds at locations where construction site comes close to natural watercourses to retain sediments and mitigate possible impacts on water hydrology. Oil and solid waste management need to be described in the SSEMP and consider these sensitive receptors (rivers and their floodplains). No campsite is allowed near river floodplains.	Contractor	CSC, SETI, IPIG of MoTR	

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION		
Area/ Component	Activity	Potential Impact	Mitigation measures	Institutional R	· · ·
Component		impact	CONSTRUCTION PHASE	Implement	Monitor
	(vi) Zharkoomdu (km 88.8)	Pollution surface water	<ul> <li>During the construction of bridges construction site dimensions shall be the minimum necessary. Construction site should be placed at levels that exclude them flooding.</li> <li>The contractor shall submit a method statement or plan for the execution of bridge construction works including measures that will be undertaken to address adverse environmental impacts such as erosion of river embankment and siltation of watercourses that may result from such activities. The plan shall be submitted to the Construction Supervision and IPIG, which include: (i) installing of water diversion structures upslope for reducing gully erosion, (ii) installation of retention structures (e.g. shallow basins) during construction activities near river for capturing of sediments, and (iii) the watering of stockpiles during dry season to avoid wind erosion</li> <li>The discharge of polluted water, landfills, parking cars and the construction of temporary facilities within the water protection zones on the banks of rivers. On construction sites should provide capacity for the collection of sewage and garbage. The roads within the water protection zones should include the collection of water from the roadway surface with its subsequent treatment or sewage in into place, eliminating the pollution of water sources. The quality of discharges into water bodies must meet the established requirements.</li> <li>In the water protection zones (not less than 75m) of river it prohibits contamination of the surface of the earth, including the garbage dump, waste production, as well as parking, cleaning and repair of motor vehicles and road construction machinery, fueling. All works in water protection zone must be carried out based of permission from local authorities.</li> <li>The water protection zone is prohibited production of local building materials without permits and approvals of environmental authorities.</li> <li>The project documentation should include the restoration work after the construction of the bridge: the removal of the</li></ul>	Contractor	CSC, SETI IPIG o' MoTR
Borrow Area	Exploitation of material such as sand, gravel and clay,	Loss of fauna, water/air contaminatio n,	<ul> <li>Should the Contractor be sourcing the materials from existing and operational quarry site, the contractor should exert influence on the operator that all required permits from local authorities, get approval from territorial departments of SAEPF are obtained and proper operational and management measures be instituted to minimize impacts to the general environment.</li> <li>On the other hand, should the Contractor open a new borrow site, government permits are also required and borrow pit management plan will be developed as SSEMP. The guidelines indicated below should be followed to minimize impacts associated with the operation of borrow areas: <ul> <li>Location of the borrow pit</li> <li>Capacity and operation hours of a borrow pit;</li> <li>Development and extraction sequence of borrow pit;</li> <li>Technique and mechanisms for stripping and excavation operations;</li> <li>Operation and time schedule for borrow pit development;</li> <li>Extraction method and transport plan, including route(s);</li> <li>Safety rules and hours of operation;</li> </ul> </li> </ul>	Design Consultant	IPIG o' MoTR

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION		
Area/	Activity	Potential	Mitigation measures	Institutional R	esponsibility
Component	, iounty	Impact		Implement	Monitor
			CONSTRUCTION PHASE		
			<ul> <li>Expected quality of extracted materials;</li> <li>Topsoil storage/protection and environment protection steps; and,</li> <li>Rehabilitation of disturbed lands when site is decommissioned.</li> <li>Calculation of mobile sources' emission charge.</li> <li>Dust suspension plan during excavation and transporting</li> <li>Prior to start material extraction the contractor shall submit above plan through the CSC to the Safeguard Department of the IPIG of the MoTR.</li> </ul>		
Soil Management Plan	Top soil preservation	Loss of top soil	Removing of top soil occurring within site clearing corridor. Topsoil shall be removed and stored for reuse. Long-term stockpiles of topsoil will immediately be protected to prevent erosion or loss of fertility. For erosion protection, it will be sawn with a fast-growing vegetation, e. g. grass Topsoil on the sections to be used as a stockpile for surplus construction material shall be removed and stockpiled to reuse them to cover these areas upon completion of works. Topsoil on the sites to be used as back-up sites for storage of surplus building materials must be removed and stockpiled to use them to cover these areas after the completion of the work. In addition, a soil management plan shall be provided detailing measures to be undertaken to minimize effects of wind and water erosion on stockpiles, measures to minimize loss of fertility of top soil, timeframes, haul routes and disposal sites.	Contractor	CSC, SETI, IPIG of MoTR
Solid and Liquid Wastes Management	Siltation of surface waters and/or impact on soils due to improper disposal of excess materials	Contaminati on of water and soil	Prevention of dumping of waste into river/open spaces Solid and liquid wastes generated during construction shall be properly treated as per SSEMP prepared. Any material including excess soil excavated, chemical, liquid waste, construction rubbishes shall not be dumped into river all time. Only the runoff water, after removal of muddy particles, can be released into river.	Contractor	csc
Cultural and Historical site	Cultural and historical sites protection. Cemeteries • located at km 68 • located at km 70	Potential Construction works impacts on cultural and historical sites and monuments finding chance.	To prepare Cultural & Historic Site Management Plan considering: Recommendation of Archaeological Survey and Assessment Report and Proposed Plan for Section 2A in 2018 shall be followed (see Annex J). Specify the following as a requirement for the Contractor Objects of historical and cultural heritage are the objects of study and protection of the Ministry of Culture and Tourism of the Kyrgyz Republic (MCT of KR). In accordance with the Law of the Kyrgyz Republic on historical cultural heritage in the event of cultural monuments found, Contractor must stop all construction works and report the findings to the local executive authorities or any other competent organization (Institute of History and Cultural Heritage, National Academy of Sciences; Department of History, Kyrgyz National University after Balasagyn), MoCIT KR. Also, Contractor should employ techniques during construction works (vibration) with minimal or no impact to any cultural, historical or archeological structures along the road. Physical cordon around identified sites should be installed to minimize construction impact and alert workers/people from disturbing archaeological sites	Contractor	CSC, IPIG of MoTR, MoCIT KR

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION		
Area/	Activity Mitigation measures	Mitigation measures	Institutional R	esponsibility	
Component	Activity	Impact		Implement	Monitor
			CONSTRUCTION PHASE		
			The cemeteries are in 2-3 meters from the road right of way. According to the design of the road, widening of the road section will have no impact on these objects, on the issue of resettlement or land acquisition. The expansion of the road is planned within the existing right of way and will be held on the opposite site from cemeteries.		
			Measures to mitigate the impact on the cultural monuments (cemetery):		
			• During the work, it is necessary to inform the local authorities on the construction works around these sites.		
			• It is necessary that local authorities carried out the control and monitoring of these areas, during the construction works.		
			To protect these cultural sites, it is necessary to arrange physical barriers (fencing).		
			• During the construction works, it is necessary to assign an expert on traffic management, to prevent causing of physical damage by the machines and mechanisms to the cultural objects.		
			• It is necessary to conduct outreach to workers on the strict prohibition of physical destruction, desecration and pollution data objects.		
			<ul> <li>It is necessary to post warning signs and information signs for the workers.</li> </ul>		
			• Limiting the operation of machines and mechanisms, which create high levels of noise and vibration.		
			Dust suppression works.		
			All road equipment must be used within the territory allotted for construction site.		
			Traffic safety program for especially around the sensitive receptors by installing necessary safety measures specified in the design or in the Technical Specifications to ensure that community and traffic safety issues during the construction phase of the Project, including incorporation of: (i) Safety barriers;		CSC. IPIG of
	Traffic	To improve traffic safety	(ii) Traffic signs;		MoTR, loca
	Traffic safety management	for pedestrians	(iii) Road crossings;	Contractor	health units of the
		and vehicles	(iv) Speed bumps,		Ministry c Health
Safety and health			(v) Speed limits and (vi) Flagman when necessary.		Tiourit
			(vi) ragman when necessary. (vii) information to the public about the scope and schedule of construction activities and expected disruptions and access restrictions		
		For health	For occupational safety, following shall be provided:		
	Occupational safety management	and safety protection of workers and	(i) Adequate health care facilities (including first aid facilities) within construction sites with a nurse shall be stationed while a doctor who shall visit regularly and when necessary.;	Contractor	CSC;
		adjacent communities	(ii) Training of all construction workers in basic sanitation and health care issues, general health and safety matters, and on the specific hazards of their work;		

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION					
Area/	Activity	Potential	Mitigation measures	Institutional R				
Component		Impact		Implement	Monitor			
	CONSTRUCTION PHASE							
			(iii) Personal protection equipment for workers, such as safety boots, helmets, gloves, protective clothing, goggles, and ear protection in accordance with KR legislation;					
			(iv) Clean drinking water to all workers; (v) Adequate protection to the general public, including safety barriers and marking of hazardous areas;					
			(vi) Safe access across the construction site to people whose settlements and access are temporarily severed by road construction;					
			(vii) Adequate drainage throughout the camps so that stagnant water bodies and puddles do not form;					
			(viii) Sanitary latrines and garbage bins in construction site, which will be cleared when reaching capacity by the contractors to prevent outbreak of diseases.					
Maintenance of Access during Construction	Construction of bridges/culvert	Interference of public traffic	Detour shall be constructed and be properly maintained.	Contractor	CSC;			
			The contractor shall submit documents for approval (short statement and site plan in appropriate scale) which indicate:					
			Site location, surface area required and layout of the work camp. The layout plan shall also contain details of the proposed measures to address adverse environmental impacts resulting from its installation.					
		Surface	Sewage management plan for provision of sanitary latrines and proper sewage collection and disposal system to prevent pollution of watercourses;					
Camp,		water contaminatio n, disease transmission	Waste management plan covering regular collection and disposal in a hygienic manner, as well as proposed disposal sites for various types of wastes (e.g., domestic waste, used tires, etc.) consistent with appropriate regulations; discharge of wastewater into the surface water is prohibited and septic tanks to be located at least 100m from surface water. Drainage system to be designed with soakway to avoid contaminated road runoff to enter any surface water.	Contractor	CSC			
operation and construction sites	Installation of camp/workshop		Description and layout of equipment maintenance areas and lubricant and fuel storage facilities including distance from water sources and irrigation facilities. Storage facilities for fuels and chemicals will be located away from watercourses. Such facilities will be bounded and provided with impermeable lining to contain spillage and prevent soil and water contamination. Prior to the commencement of works the site installations shall be inspected for approval. The selected site will not be on top of ground water area or near surface waters.					
		Enhance the safety and health of workers	To provide an Environmental and Safety Officer (ESO), under which an Environmental Officer (EO) and a Safety Officer (SO) also be provided. Their roles are to provide environmental and safety training to the employees and surrounding residents according to the requirements of the individual work place. Prior to the commencement of works, the work site personnel shall be instructed about safety rules for the handling and storage of hazardous substances (fuel, oil, lubricants, bitumen, paint etc.) and the cleaning of the equipment. In preparation of this the contractor shall establish a short list of materials to be used (by quality and quantity) and provide a rough concept explaining the training / briefing that shall be provided for the construction personnel. The contractor shall provide information to workers, encouraging changes in individual's personal behavior and encouraging the use of preventive	Contractor	CSC, IPIG of MoTR, local health units of the Ministry of Health			

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION		
Area/	Activity	Potential	Mitigation measures	Institutional R	esponsibility
Component	Adding	Impact		Implement	Monitor
			CONSTRUCTION PHASE		
			measures. The goal of the information is to reduce the risk of HIV / STD transmission among construction workers, camp support staff and local communities.		
Asphalt, Concrete and	Site selection. operation of aggregate crusher	Increased dust emission and noise emission	Careful site selection of aggregate crusher in order not to interfere with any sensitive receptor. Distance to next settlement and residential houses at least 500 m downwind. Site selection for aggregate crusher has to be approved by the Safeguard Department in the IPIG of the MoTR.	Contractor	CSC, IPIG of MoTR
Crushing Plant	Site selection. operation of asphalt plant	Odor emission and safety risks	Asphalt plants shall be 500 m downwind from any settlements and residential houses. Provide spill and fire protection equipment and submit an emergency response plan (in case of spills, accidents, fires and the like) to the authority in responsibility prior to operation of the plant. Secure official approval for installation and operation of asphalt plants from MoTR.	Contractor	CSC, IPIG of MoTR
Powerline	Cut of slope	Falling down of pylon on the slope	Implement slop cutting carefully as per predetermined.	Contractor	CSC, IPIG of MoTR, Electricity Department
Flora and fauna	Road alignment in areas of tree plantations. Embankment filling of the tree stem area.	Tree losses due to embankmen t fill.	A maximum fill up of the tree stem area of 30 cm can be accepted. Fill up material in the tree stem area has to be organic soil. A filling up of more than 30 cm will damage the tree. In this case cutting can't be prevented and a new tree is to be planted as a compensation measure at the respective location within the existing RoW. Species to be planted are walnuts, maple ash tree, elm tree, white poplars, white willow, white acacia. Plantings shall be conducted after technical works have been completed. Planting time shall be restricted to spring (March till April) and/or autumn (September till October). Quality of newly to be planted trees shall be 16 to 18 cm of stem circumference at least in 1,5 m height.	Contractor	CSC, SETI, IPIG of MoTR
	Bottom of embankment of designed road lying very close to tree rows	Potential damaging of trees during construction activities	Implementation of a temporary vegetation protection fence during construction activities.	Contractor	CSC, SETI, IPIG of MoTR
Disturbance of Farm Land	Construction activity near farm land	Farm land soil compaction due to operation of heavy equipment	Confine operation of heavy equipment within the corridor that is necessary for the road construction to avoid soil compaction and agricultural used land close to the road.	Contractor	CSC

			MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION		
Area/	Activity	Potential	Potential Mitigation measures		esponsibility
Component	Addivity	Impact		Implement	Monitor
			CONSTRUCTION PHASE		
Existing infrastructures	Construction activities in close vicinity to existing infrastructure such as water supply pipes and other facilities, waste water discharge facilities, electricity lines, etc. or directly destroy existing pavement, bridge, power line system and culverts	Damage to infrastructur e, supply cuts of infrastructur e services.	Measures will be ensured to avoid any disturbance to the existing infrastructure. Prior to construction start the respective service agencies shall be informed about the construction work. Coordinate with respective agencies and provide prior information to the public in case of any required disruption in services during construction	Contractor	CSC; IPIG of MoTR
Utilization of Wasted Asphalt	Removal of asphalt	Water/soil contaminatio n	Old asphalt pavement will be removed and be replaced in the new pavement. Storage or stockpile areas of old asphalt should be situated where they pose no risk of contamination to the environment. In coordination with local authorities, location of old asphalt stockpile areas will be identified, with a minimal distance of 500m from any settlement. Preferably, storage areas should be in state-owned land. If private lands will be used, a negotiated rent on the property should be established with the land owner. All temporary asphalt pavement storage and processing areas shall be agreed upon with the regional departments of SAEPF under the Government of KR. Old asphalt should be trucked away in blocks and stockpiles should be no higher than 2.5 m. Using old asphalt – The hacked asphalt old asphalt waste shall be transferred to Local RMU of MoTR tentatively. Then the old asphalt is used to strengthen the surface of existing second road in the villages. The top coating of the shoulders with the addition of gravel-sand mixture with 15 cm thickness is recommended.	Contractor	CSC/IPIG

	MITIGATION MEASURES DURING DESIGN, CONSTRUCTION AND OPERATION								
Area/Compon		Potential		Institutional Re		esponsibility			
ent	Activity	Impact	Mitigation measures	Implement	t	Monitor			
			OPERATION PHASE						
Air quality	Increase of traffic volume	Air pollution	Although no traffic air pollution is estimated until 2034, Some residents will suffer higher NO <sub>2</sub> pollution than standard when the vehicles drive at 95 km/h while it is acceptable range if they run 60 km/h. Driving speed shall be limited to less than 60km/h near the settlement.	RMU-10 MoTR	of	MoTR, SETI			
Noise	Increase of traffic volume	Noise nuisance	Limit driving speed to 60 km/h just around the settlements. To be enforced by traffic police. Monitoring may be necessary if residents complain about traffic noise. Based on the monitoring results and consultation with residents, mitigation measure such as installation of noise barrier shall be studied.	RMU-10 MoTR	of	MoTR, SETI			
Soil and surface water	Increased traffic volumes and higher vehicle speeds	Increased risk of accidents with possible spills of harmful substances	Spill-contingency plan, contingency plan or emergency response plan is a set of procedures to be followed to minimize the effects of an abnormal event on the Project roads, such as a spill of oil, fuel or other substances that may harm agricultural land and drinking/irrigation water resources or have adverse effects on the natural balance of sensitive areas. Additional measures to mitigate risk of accidents and spill of harmful substances are speed control.	RMU-10 MoTR	of	MoTR, SETI			
	Damaged drainage or uncontrolled erosion.	Uncontrolled erosion.	Routine monitoring of drainage and erosion control at least twice a year.	RMU-10 MoTR	of	MoTR, SETI			
Flora/Trees	Tree maintenance along the road	Loss of trees	Maintenance of newly planted trees	RMU-10 MoTR	of	MoTR, SETI			
Safety	Increased traffic flow	Increased pedestrian vs. vehicle accidents due to increment of traffic volume and higher speed as a result of improved road design	Integrate in the engineering design safety features such as speed control signs, proper road markings, streetlights, pedestrian crossing, livestock crossing and other visual means.	RMU-10 MoTR, Tra police service		MoTR			
	Road crossing	Traffic accident with Livestock	Need to install the road sign indicating the places of transition of people and livestock. The crossing of people in the residential areas will be installed through every 200-250 m.	RMU-10 MoTR	of	MoTR, SETI			

- 256. Prior to construction works, the contractor shall provide a comprehensive general SSEMP covering the following aspects (as Appendixes):
  - (i) Dust management which shall include schedule for spraying on hauling and access roads to construction site and details of the equipment to be used. The contractor shall pay a special attention to water spraying in settlements and at repair and construction sites.
  - (ii) Construction noise suspension plan indicating locations of sensitive receptors, type, size and material of tentative noise barrier to be installed
  - (iii) Layout of the work camp and details of the proposed measures to address adverse environmental impacts resulting from its installation
  - Sewage management including provision of sanitary latrines and proper sewage collection and disposal system to prevent pollution of watercourses
     Waste management covering provision of garbage bins, regular collection and disposal in a hygienic manner, as well as proposed disposal sites for various types of wastes (e.g., domestic waste, used tires, etc.) consistent with appropriate regulations
  - (v) Description and layout of equipment maintenance areas and lubricant and fuel storage facilities including distance from water sources and irrigation facilities. Storage facilities for fuels and chemicals will be located away from watercourses. Such facilities will be bounded and provided with impermeable lining to contain spillage and prevent soil and water contamination
  - (vi) Soil Management Plan detailing measures to be undertaken to minimize effects of wind and water erosion on stockpiles of topsoil and excess materials, measures to minimize loss of fertility of top soil, timeframes, haul routes and disposal sites for excess materials.
  - (vii) Borrow Pits Material and Source Management and Reinstatement Management Plans
  - (viii) Method statement or plan for the execution of bridge construction works including measures that will be undertaken to address adverse environmental impacts such as erosion of river embankment and siltation of watercourses that may result from such activities
  - (ix) Cultural and historical management plan
  - (x) Emergency response plan (in case of spills, accidents, fires and the like) prior to operation of the asphalt plant

The SSEMP shall be submitted by the contractor for review of Construction Supervision Consultants (CSC) and ADB and, then, for the approval of IPIG.

# 2. Monitoring

# 2.1. Monitoring plan

257. Environmental monitoring is an important aspect of environmental management during construction and operation stages of the project to safeguard the protection of environment. During construction, environmental monitoring will ensure the protection of embankment from potential soil erosion; borrow pits restoration, quarry activities, location of work sites, material storages, asphalt plants, community relations, and safety provisions. During operation, air, noise, and surface water quality monitoring will be important parameter of the monitoring program. Environmental Monitoring Plan is shown in Table 31.

Issue	What parameter is to be monitored?	is to be parameter to be		When is the parameter to be monitored? Frequency	Institutional responsibility					
Construction stage										
	Dust, SO <sub>2</sub> , NO <sub>2</sub>	At sensitive receptors within settlement. (i) Kok – Zhar, near the Rakhat shop, LHS (ii) Chekildek village, near the shop Ak Jol, RHS (iii) Epkin village, near the mosque, LHS	By means of suitable portable measurement device.	Just before construction start. and every 2 monthly basis	CSC					
Air Quality	Check certificate of vehicles and equipment	At asphalt and crushing plants.	Visual inspection	Unannounced inspections during construction works	CSC					
	Are the truck loads covered or wetted?; Compliance with SSEMP	Material transport route in front of sensitive receptors	Visual inspection Supervision spot checks	Unannounced inspections during work	CSC					
Noise	Noise       Noise Level       At sensitive receptors within settlements         Noise       Noise Level       Kok-Zhar village, near the school of the road, LHS;         • Chekildek village, near the school of LHS;       • Chekildek village, near the school of LHS;         • Epkin village, near the mosque, LHS       Cultural sites (cemeteries, vibration only)		By means of portable noise / vibration measurement device	Second round of baseline monitoring measurements to be conducted before construction start. Than a monthly basis during construction stage.	CSC					
Vibration (when vibration will be part of construction)	Vibration (when vibration will be part of       At sensitive receptors within settlement (i.e. houses and any		Threshold not to exceed 3mm/s at the receptors (i.e. houses and structures. Threshold not to exceed 2mm/s at the archaeology sites/ assets	Throughout entire construction stage simultaneous with vibration occurring on the site	CSC					
Water quality in surface waters (rivers) Oil products, Turbidity, pH, DO, TSS, Ec and Temperature Zhon-Aryk (67+000) and other bridges points Mukan, Epkin, Zharkoomdu.		Measurement either directly in river water with a suitable measurement device or sample taking and measurement in a certified laboratory	Second round of baseline monitoring measurements to be conducted before construction start. Than on a monthly basis during construction stage	CSC						
Equipment servicing and fuelling	Prevention of spilling of oil and fuel	Contractor's yard	Inspections; observations	Unannounced inspections during construction	CSC control by IPIG of MoTR					

# Table 31: Environmental Monitoring Plan

Issue	What parameter Where is the is to be parameter to be monitored? monitored		How Is the parameter to be monitored?	When is the parameter to be monitored? Frequency	Institutional responsibility					
Construction stage										
Borrow areas	Possession of official approval or valid operation license	<ul> <li>Sand and gravel borrow pit and / or quarry</li> </ul>	Inspection	Before work begins	CSC control by IPIG of MoTR					
Top soil preservation	Stockpiling and means of protection	Stock pile yardJob site	Inspections's ; observation	Once a month	CSC control by IPIG of MoTR					
Physical damage of the Cultural sites (cemeteries)	Cuitural sites (cemeteries)	Cultural sites (cemeteries): • 68 km Cemetery on the (RHS) 2-3 m away from the road. • 70 km Cemetery (LHS) 2-3 m away from the road. 83 km Cemetery (LHS) 50-70 m from the road.	<ul> <li>construction period</li> <li>visual observation</li> <li>visual observation</li> <li>visual observation</li> <li>construction period</li> <li>where the cemeteriod</li> <li>are indicated (in the km).</li> <li>Document the cond</li> <li>of the cemeteries are</li> <li>mausoleums before</li> </ul>		CSC					
Worker's safety and health	Availability of a semporizona with		interviews; comparisons with the Contractor's	Weekly site visits by the hired Health and safety expert. Unannounced inspections during construction and upon complaint.	Contractor, CSC					
Worker's education on AIDS and STD	Has relevant education been provided?	Record (minutes of seminar, attendance list) and photos of attendances of training, awareness campaign of prevention of HIV/AID	To be determined by assigned Construction Supervision	After beginning of works and at appropriate intervals throughout construction	CSC, local health units of the Ministry of health					
Asphalt plant	Possession of official approval or valid operation license	Asphalt plant	Inspection	Before work begins	Construction Supervision (CS)					
Potential tree losses	Status of trees. Thickness of fill at the root of trees	At respective tree locations.	Inspections; observation. An embankment fill of up to 30 cm at the bottom of the tree stem area can be accepted. A filling up of more than 30 cm will damage the tree and cutting will be necessary.	During construction phase.	CSC control by IPIG of MoTR					

Issue	What parameter is to be monitored?	Where is the parameter to be monitored	How Is the parameter to be monitored?	When is the parameter to be monitored? Frequency	Institutional responsibility
		Operation	al stage		
Traffic noise	Equivalent Noise Level	Sensitive receptors	Handy type level meter	Once a year and when requested	Local MoTR departments
	Number of injury and death of animals	Along the new road	Interview to police	Once a Year	Regional Departments of State Road Administration (UAD, LUAD, and GDAD BO)
Traffic accident	Accidents that cause spills of harmful substances	Along the new road	Counting of accidents	Once a Year	MoTR jointly with Road police service of the KR Ministry of home affairs and KR Ministry of emergency situations
Damaged drainage or uncontrolled erosion	Leakages in drainage system and damages due to erosion	Location of culverts and drainage facilities	Visual inspection	Once a Year	Local MoTR departments
Tree maintenance along the road	Status of trees	In locations of newly planted trees	Visual inspection	Throughout theYear	Local MoTR departments joint with local authorities

# 2.2 Budget on Mitigation Measures

- 258. Most of the mitigation measures require the contractors to adopt good site practice, which should be part of their normal construction contract, so there will be no additional costs to be included in the EMP. Costs of design-related mitigation measures are included in the budgets for the civil works.
- 259. The primary impact that needs to be mitigated in the overall implementation of the project will be on the affected trees which were due to widening of the carriageway. These trees are mainly common trees such elm, poplar and black locust.
- 260. In order to have a higher degree of success for replacement of affected trees in the section, 2 saplings of the same or similar species is proposed to be planted. Accordingly, the estimated number of trees and cost for the affected trees to be substituted is shown in Table 32.

#	Item	Unit	QTY	Remarks
1	Affected trees due to widening	Each	38	Indicated in field inspection for Cutting
2	For 1:2 Ratio of Replacement	Each	76	Estimated Trees to be Planted
3	Average cost of Replacement	Som	500	Cost of Sapling & Planting
	Total Cost	Som	38,000	Budgetary Estimate
	69 Som/ 1 USD	USD	\$ 550.72	Budgetary Estimate

 Table 32: Number and Cost for Mitigation of Affected Trees

### 2.3 Budget on Monitoring Activities

261. The estimated cost for the environmental management and monitoring on the consultancy for the entire project construction period of two (2) years and one month. This will include fees and other associated cost for management and monitoring of the construction sites and affected areas in the project road. In addition, the main Contractor shall undertake periodic parametric measurements as basis for action to improve their performance on the

implementation of measures. Hence, a budget for periodic parametric measurements is hereby included in the Tables 33 and 34.

Item	Quantity	Unit Cost	Total Cost
Implementation of EMP		US \$	US \$
International Environmental Specialist (IES)	4 months / 2 years, 1 month* third year	15,400	69,300
National Environmental Specialist (NES)	14 months/2 years, 1 month* third year	2,750	41,250
Others (travel, per diem, surveys/interviews, reporting, etc.)	LS	22,000	22,000
Total			132,550

# Table 33: Budgetary Cost for Environmental Monitoring Specialists

\* Period of construction work estimated 2 year and 1 year a technical survey (measurements 1 month a year) and physical engagement of Environmental Specialists can be only 7 month a year, without winter break period

# Table 34: Budgetary Cost for Environmental Monitoring Requirements

Item	Quantity	Unit Cost	Total Cost
Implementation of EMP		US \$	US \$
Periodic Parametric Measurements	78		10,400
6 month a year x 3* point (air) x 2 (years) 1** month	39	150***	4,350
6 month a year x 8* point (water) x 2 (years) 1** month	104	100***	10,400
6 month a year x 3* point (noise - vibration) x 2 (years) 1** month	39	150***	4,350
Total			29,500

\* - the number of points and measurements may vary

\*\* - 2 years a physical work and 1 year a technical survey (measurements 1 month a year)

\*\* \*- the cost of laboratory services may vary

### 3. Mechanisms for implementation

### **3.1 Institutional Framework**

- 262. The relevant institutional entities for the project include the KR's Ministry of Finance (MOF), Ministry of Transport and Roads (the EA), Investment Projects Implementation Group (IPIG) under MoTR, the State Agency of Environment Protection and Forestry (SAEPF), the State Inspection on Ecological and Technical Safety under the Government of the Kyrgyz Republic (SIETS), the Department for Disease Prevention and State Sanitation and Epidemic Control of the Ministry of Health Protection of the Kyrgyz Republic.
- 263. MoTR is responsible for transport sector development and is the EA for the project. IPIG is working under MoTR and will carry out the responsibilities assigned to MoTR.
- 264. MOF is the responsible government body for coordination with ADB and other donors for foreign assistance.
- 265. SAEPF is a leading state environmental agency responsible for the environmental policy of the country and coordination of environmental activities of other state bodies. Its functions include:
  - Development of environmental policy and its implementation;
  - Carrying out a state environmental expertise;
  - Issuance of environmental licenses;
  - Environmental monitoring;
  - Delivery of environment information services.
- 266. SIETS carries out its activity in accordance with the Law "On Procedure for inspection of business entities". SIETS exercises control over compliance in established order of:

- environmental legislation, set rules, limits and standards of environmental management, standards for emissions and discharges of pollutants and waste disposal in the environment;
- requirements of industrial safety in the construction, expansion, reconstruction, modernization, operation, conservation and liquidation of hazardous production facilities;
- requirements of land legislation;
- requirements for safe operation of equipment and facilities for storage and distribution of petrochemicals and gas, cranes;
- requirements of safe use rules in the construction, assembling and commissioning of electrical networks and electrical equipment.
- 267. The Department for Disease Prevention and State Sanitation and Epidemic Center (DDPSSEC) of the Ministry of Health supervises sanitary and epidemiological welfare of the population, safety of goods and products, environmental compartments and conditions, prevention of harmful impacts of environmental factors on human health. DDPSSEC establishes MPC of chemicals in the environment with regard to the human health safety.
- 268. The following measures will be taken by the Consultant and by IPIG to perform environmental compliance with the EMP and Monitoring Plan during Project implementation:
  - The tender and contract documents will clearly set out the contractor's obligations to undertake environmental mitigation measures set out in the Environmental Management Plan.
  - The recommended environmental mitigation costs are included as separate items in the Bills of Quantities. This will ensure that there is specific environmental mitigation budget which will be implemented as required. During the procurement, contractors will be encouraged to include these costs in their rates and present the mitigation cost as a line item in the Bill of Quantities. There will be an identified extra payment in the contract to ensure measures are coasted and carried out.
  - The contractor will recruit an environmental, health and safety manager, who will be responsible for implementing the contractors' environmental responsibilities. The manager will also be responsible for health and safety aspects of work sites. Before commencing physical construction, Contractor will prepare site-specific EMPs (SSEMPs), submit to Construction Supervision Consultant (CSC) and ADB for endorsement and IPIG for approval.
  - CSC will conduct environmental monitoring and assist IPIG in implementing EMP and supervising the implementation of mitigation measures by the contractors. Environment Monitoring Plan is shown on Table 20.

# 3.2 Reporting Requirements

269. MoTR will monitor and measure the progress of implementation of the EMP. In this regard, semiannual monitoring reports during construction stage will be prepared by IPIG with assistance of Construction Supervision Consultant and then disclosed at ADB and MoTR websites. This report is owned by MOTR. Contractor submits to CSC monthly reports and reports on compliance with mitigation measures and other corrective actions. CSC submits to IPIG quarterly reports containing a section on safeguard performance.

### J. Conclusions and Recommendations

### 1. Conclusions

- 270. The IEE/EMP-EMoP as part of the contract documents shall be adhered to by the Contractor. Accordingly, the Contractor shall require all his Sub-Contractors should follow also the EMP and such stipulations be shown in Sub-contracting agreements to be verified by the Engineer (or the CS Consultants).
- 271. The proposed Environmental Management and Monitoring Plans in this IEE will ensure that the good quality for surface water, air and noise in the general area is maintained, primarily during the construction phase. The focus of the assessment is to avoid (especially during design phase), reduce (during construction) and mitigate or compensate (also during construction) the impacts to physical and/or social environment. Adequate public consultations were done in introducing the project as well as presentation of environmental and community impacts and the stakeholder concerns were incorporated into the IEE. The IEE will be disclosed to the public and can be viewed on ADB websites.
- 272. With a proposed alignment to the hillside of the middle portion of the Bypass Road, the project is maintained at Environmental Category B, since the predicted impacts are "site-specific, few if any of them are irreversible, and in most cases mitigation measures can be readily designed (SPS 2009) and to be incorporated in the detailed designs.
- 273. As per the Kyrgyz Law, the proposed project will require permits from the government regulatory agencies: The Environmental Permit will be processed by the IPIG with the State Agency on Environment Protection and Forestry after the IEE is cleared with the ADB.

### 2. Findings and Recommendations

- 274. The environmental impacts of the Project Road have been assessed and described in the previous sections of this document. Potential negative impacts were identified in relation to design, location, construction and operation of the improved road. Mitigation measures have been developed for finalization in the detailed design phase, for implementation in the construction phase and subsequently for the operations phase to reduce all negative impacts to acceptable levels.
- 275. As per assessment in this IEE, the proposed Road Project is unlikely to cause significant environmental impacts because:
  - (i) The proposed project activities are focused on the improvement and reconstruction of the road restricting the works along the Right-of-Way with the main intent to improve the quality of life and quality of environment of the impacted districts;
  - (ii) The potential negative impacts associated with the design, construction and operation of the proposed Project activities will be temporary, and localized in extent and can be mitigated to acceptable levels;
  - (iii) Sources of materials can be adequately investigated at the project sites and the projected excess cut materials will be sufficient to cover for the fill requirements. The materials can be stockpiled and stabilized in nearby areas without posing environmental issue, however subject to permission by legitimate owners;
  - (iv) There will be no Project activities that will involve permanent or temporary loss of income and/or livelihood but rather redound to possible improvement of household earnings due to possible employment of local people in the construction;
  - (v) The institutional framework has been developed to specify the procedural requirements and responsibilities to ensure environmentally sustainable implementation, i.e. involving IPIG (Client), CSC and Contractor; and
  - (vi) All construction and operation activities will be monitored and reported by IPIG (by employing CSC) in accordance with the Environmental Monitoring Plan.

- 276. To ensure environmental and social safeguards, the IEE presents the following recommendations:
  - (i) The EMP will be followed carefully and required reporting completed in a timely fashion.
  - (ii) The tree management and maintenance function should be passed tolocal communities or RMD, until trees have reached 8+ years and do not need careful maintenance.
  - (iii) CSC and IPIG will deliver the training to all active project participants and concentrate giving sound advice to the contractor, especially on the preparation and implementation of the CEWP.
  - (iv) Shortly after the operating period starts, the CSC and contractor will conduct a safeguards compliance check to be sure that all measures required of the contractor have been met.
  - (v) This IEE is "living" document and if required, it will be updated taking into account all environmental requirements, and any significant changes will be discussed and agreed to with ADB.

It is important that the Contractor and his Subcontractor that successful implementation entails not only provision of the infrastructure but also preservation of the environment within the framework of Sustainable Development.

### **ANNEXES A: Outline Tables of Project**

### **Annex A1: Alignment Sheet**

The result of the site visits by the international and local environmental specialists are summarized in an Alignment Sheet. This shows relevant environmental features which can be of concern during the implementation of the road. For the section "Kochkor (km 64) to Epkin (km 89)", the Alignment Sheet is shown below.

	Alignment Sheet Information										
Nº	Section	Description	Parameter	Comments							
		Section: Kochkor (64 km) – Epkin (	km 89)	To be verified with the							
1.	66 km	Six (6) trees may be cut down at RHS located 7.47 m from the center of the road.		design							
2.	66 km + 900	One (1) tree may be cut down at RHS located 7.7 m from the center of the road.		To be verified with the design							
		Highway bridge over Zhon-Aryk river will be repaired. This is a sampling point for water quality analysis.	Analysis of the quality of water in the oil, turbidity	Physical and chemical analysis.							
3.	67 km, Kok-Djar village, Zhon-Aryk River	Kok-Zhar village has local health post, school, and local council – "Ayil Okmotu". There is a cemetery along the road (68 km). There is a 10 thousand kW power line along the road and 500 thousand kW power line called Datka-Kemin.		Possible extra measures for social impacts/concern							
4.	67 km +700 – 67 km + 900	Center village: shop "Rakhat» (LHS), administration building (LHS), Park (RHS).	Air sampling, measurement of noise and vibration	Physical analysis and instrumental measurements Possible extra measures for social impacts/concern							

### **Alignment Sheet Information**

Nº	Section	Description Section: Kochkor (64 km) – Epkin (k	Comments		
5.	68 km	Cemetery on the (RHS) 2-3 m away from the road		Special measures should be in place to protect structures	
6.	68 km + 400	One (1) tree may be cut down at RHS and RHS located 6.5 m from the center of the road. Eagles were spotted in the area		To be verified with the design	
		Chekildek village has a local health post, school that is not along the road.		Possible extra measures for social impacts/ concerns	
7.	70 km, Chekildek village	Adjacent to the road, a local the cemetery (LHS) was located. Distance from the center of the road to the border of the cemetery is 11.1 m; 2-3 m from the side of the road.		Special measures should be in place to protect structures	
		Existence of an old borrow pit. (LHS)		Potential Material	
		Village Chekildek: Shop "Ak Jol".		source to be vermed	
8.	71km +600		Air sampling, measurement of noise and vibration	Physical analysis and instrumental measurements	
	71 km +700	One (1) tree may be cut down at RHS located 6.5 m from the center of the road; a row of tree may be cut down at LHS located 6.7 m from the center of the road. Distance to the residential buildings is more than 20m from the edge of car lane.		To be verified with the design	
9.	72 km +800	There are sand thorn bushes along the road to be cut down, farmlands on the sides (barley)		Special measures to protect farms	
10.	77 km+300	One (1) tree may be cut down at RHS located 6.7 m from the center of the road; one (1) tree may be cut down at LHS located 5.25 m from the center of the road.		To be verified with the design	
		The route crosses an irrigation ditch, from left to right. On either side of the road agricultural field.		May require special measures	
11.	81 km	There is an old borrow pit with sandy-gravel materials (LHS).		Potential Material source to be verified	
		There are poplars along the road.		Protection of trees	
12.	81 km+500	One (1) tree may be cut down at LHS located 6.44 m from the center of the road.		To be verified with the design	
		The irrigation ditch on either side of the road agricultural field.		Special measures to protect farms	
13.	82 km +800, Cholpon village	Cholpon village is situated on right hand side, 1.5 kilometer away from the road.		Village access should be maintained	

N⁰	Section	Description	Parameter	Comments
		Section: Kochkor (64 km) – Epkin (		
		One (1) tree may be cut down at RHS located 6.8 m from the center of the road.		To be verified with the design
14.	83 km, Cholpon village, cemetery	Cafe (LHS), shop (RHS) located along the road. Along the road is a cemetery (LHS) at a distance of 50-70 meters from the road.		Possible extra measures for social impacts/concern
	84 km + 400	One (1) tree may be cut down at LHS located 6.8 m from the center of the road.		
	84 km + 500	Three (3) trees may be cut down at RHS located 6.7 m from the center of the road.		To be verified with the
15.	84 km + 700	One (1) tree may be cut down at RHS located 6.7 m from the center of the road.		design
	84 km + 800	One (1) tree may be cut down at RHS located 7.6 m from the center of the road.		
16.	85 km +500	An old borrow pit with sandy-gravel material (LHS) was found		Potential Material source to be verified
17.	86 km +500	Row of eight (8) trees may be cut down at RHS located 7.6 m from the center of the road		To be verified with the design
18.	87 km + 300	Row of six (6) trees may be cut down at RHS located 7.1 m from the center of the road		To be verified with the design
10.	87 km + 700	Row of eight (8) trees may be cut down at RHS located 6.6 m from the center of the road		To be verified with the design
19.	89 km	Within the section (89 km) the significant archaeological resources consist of eight (8) objects presumably stone-earth mounds of early nomads made up of mainly of small size gravel with a height from 0.2 to 0.7 meters and a diameter of 4 to 11 meters. These artifacts are located about 80-100 meters south side of the road south-west of the village of Cholpon (or LHS from the road) in Kochkor district (coordinates 42.18314 E75.45456 of N) between arable agricultural lands (see Photos below). Due to its distance, it would not be directly affected by construction activities. Detected by archeological study.		Strict recommendation for workers.

# Annex A2: Main Earth Work Proposed

No.	Km from	Km to	Type of earth work	Side	Length, m	Maximum width, m	Maximum height, m	
1	62.500	65.200	Fill	Full width	2,700	30	3	
2	66.700	69.240		Information not available				
3	69.260	69.300	Fill	Full width	40	20	3	
4	66.260	69.300	Cut	LHS	40	10	3	
5	71.420	71.500	Fill	Full width	80	20	3	
6	71.780	71.500	Cut	Both sides	20	20	3	
7	81.100	81.160	Fill	LHS	60	20	8	
8	88.700	89.040	Fill	Full width	340	30	5	

### On the main road length, Gradient opening/ direction location kind of intersection with requirements N⁰ of of diam. for repairing waterway angle portal culverts waterway m km + walls, m 7 3 4 5 6 8 9 1 2 0+153 concrete box from right new culvert (on 1a along the culvert irrigator 90° 18.92 0.007 to the left the ring) roundabout 0.5x0.5 from left replacing of 1 62+526 90° 29 15 0.005 r.c. pipe d=1.5 irrigator existing culvert to the right from left 2 62+751 90° 27.13 0.010 new culvert r.c. pipe d=1.5 irrigator to the right from right 3 63+279 0.006 r.c. pipe d=1.0 bypass 90° 18.99 new culvert to the left from right replacing of 4 63+695 r.c. pipe d=1.0 irrigator 86° 17.98 0.006 to the left existing culvert from left replacing of 5 64+333 r.c. pipe d=1.0 irrigator 90° 18.99 0.005 to the right existing culvert from left replacing of 6 64+854 r.c. pipe d=1.0 90° 17.98 0.005 irrigator to the right existing culvert replacing of from right 7 64+955 r.c. pipe d=1.0 bypass 75° 18.99 0.015 to the left existing culvert from left replacing of permanent 8 65+514 90° 0.028 r.c. pipe d=1.5 26.12 waterway to the right existing culvert concrete box enclosure for from left 9 65+734 90° 25.37 0.005 culvert new culvert the water pipe to the right 0.5x0.5 from left replacing of 10 65+856 r.c. pipe d=1.5 irrigator 84° 22.04 0.005 to the right existing culvert concrete box enclosure for from left 66+080 90° 0.005 11 culvert 24.36 new culvert the water pipe to the right 0.5x0.5 from left replacing of 12 66+144 r.c. pipe d=1.0 90° 22.04 0.037 irrigator to the right existing culvert concrete box from left replacing of culvert 0.005 13 66+232 irrigator 70° 24.03 to the right existing culvert 1.0x1.0 concrete box enclosure for from left 14 66+305 culvert 90° 26.38 0.005 new culvert the water pipe to the right 0.5x0.5 replacing of from left 15 66+582 r.c. pipe d=1.0 irrigator 90° 21.03 0.005 to the right existing culvert permanent from left replacing of 16 67+219 90° 17.98 0.007 r.c. pipe d=1.0 to the right existing culvert waterway replacing of from left 17 67+768 r.c. pipe d=1.0 88° 17.98 0.008 irrigator to the right existing culvert from left replacing of 18 68+216 90° 17 98 0.014 r.c. pipe d=1.0 irrigator to the right existing culvert from left replacing of 69+032 r.c. pipe d=1.0 90° 0.032 19 18 99 irrigator existing culvert to the right from right 20 69+440 r.c. pipe d=1.0 irrigator 90° 20.00 0.005 new culvert to the left from right replacing of permanent 21 70+857 20.02 0.007 r.c. pipe d=1.0 90° waterway to the left existing culvert from right replacing of 90° 0.011 22 71+139 r.c. pipe d=1.0 irrigator 18.99 to the left existing culvert opening/ length, kind of intersection Gradient direction of requirements for N⁰ location diam. with portal waterway angle of culverts waterway repairing walls, m m

# Annex A3: Outline of Culverts in Section 2A

	On the main road										
Nº	location km +	opening/ diam, m	kind of waterway	intersection angle	length, with portal walls, m	Gradient of culverts	direction of waterway	requirements for repairing			
1	2	3	4	5	6	7	8	9			
23	72+309	r.c. pipe d=1.0	bypass	90°	17.98	0.012	from left to the right	replacing of existing culvert			
24	72+686	r.c. pipe d=1.5	bypass	90°	20.02	0.068	from left to the right	replacing of existing culvert			
25	73+270	r.c. pipe d=1.0	bypass	90°	17.98	0.019	from left to the right	replacing of existing culvert			
26	73+974	r.c. pipe d=1.0	bypass	90°	17.98	0.032	from left to the right	replacing of existing culvert			
27	74+199	r.c. pipe d=1.0	bypass	90°	18.99	0.041	from left to the right	replacing of existing culvert			
28	74+552	r.c. pipe d=1.0	bypass	86°	23.05	0.005	from left to the right	replacing of existing culvert			
29	74+947	concrete box culvert 1.0x1.0	bypass	90°	19.66	0.008	from left to the right	replacing of existing culvert			
30	75+179	r.c. pipe d=1.0	bypass	89°	18.99	0.033	from left to the right	replacing of existing culvert			
31	75+259	r.c. pipe d=1.0	bypass	90°	17.98	0.005	from left to the right	replacing of existing culvert			
32	75+658	r.c. pipe d=1.0	bypass	90°	18.99	0.006	from left to the right	replacing of existing culvert			
33	77+760	r.c. pipe d=1.0	irrigator	57°	21.03	0.008	from left to the right	replacing of existing culvert			
34	77+771	r.c. pipe d=1.0	bypass	41°	27.13	0.005	from right to the left	replacing of existing culvert			
35	78+772	r.c. pipe d=1.5	bypass	90°	17.98	0.005	from right to the left	replacing of existing culvert			
36	79+138	r.c. pipe d=1.0	irrigator	90°	18.99	0.005	from right to the left	replacing of existing culvert			
37	80+144	r.c. pipe d=1.0	irrigator	55°	22.04	0.005	from right to the left	replacing of existing culvert			
38	80+782	r.c. pipe d=1.0	bypass	61°	22.04	0.011	from left to the right	replacing of existing culvert			
39	81+455	r.c. pipe d=1.0	bypass	84°	18.99	0.005	from left to the right	replacing of existing culvert			
40	85+699	r.c. pipe d=1.0	bypass	90°	17.98	0.010	from left to the right	replacing of existing culvert			
41	89+069	r.c. pipe d=1.0	bypass	90°	17.98	0.005	from left to the right	replacing of existing culvert			
			On ra	mps							
1	2	5	6	7	8	9	10	11			
1	64+434	concrete box culvert 1.0x1.0	irrigator	90°	12.55	0.005	from left to the right	new culvert			
2	65+173	concrete box culvert 1.0x1.0	irrigator	82°	11.52	0.006	from left to the right	replacing			
3	75+666	concrete box culvert 1.0x1.0	irrigator	90°	11.52	0.005	from left to the right	replacing of existing culvert			

# On the main road

	On the sidewalk									
No	location	on on ing/diam	kind of	Interception	length,	nitab of				
№. п/п	km +	opening/diam, m.	waterway	Intersection angle	(with headwalls), m	pitch of culverts	requirements			
1	2	3	4	5	6	7	8			

on the left								
1	65+840	concrete box culvert 1.0x1.0	irrigator	90°	5.42	0.005	replacing of existing culvert	
2	65+873	concrete box culvert 0.5x0.5	irrigator	90°	5.42	0.005	replacing of existing culvert	
3	66+227	concrete box culvert 1.0x1.0	irrigator	90°	5.42	0.005	new culvert	
4	70+857	concrete box culvert 1.0x1.0	permanent water stream	90°	4.02	0.007	new culvert	
on the right								
5	70+857	concrete box culvert 1.0x1.0	permanent water stream	90°	4.02	0.007	new culvert	

No.	km	
1	65.400	Overhead
2	67.980	Overhead
3	68.040	Overhead
4	69.090	Overhead
5	69.120 - 69.180	LHS
6	69.370	Overhead
7	69.920	Overhead
8	69.930	Overhead
9	70.150	Overhead
10	70.380	Overhead
11	70.770	Overhead
12	71200	Overhead
13	81340	Overhead
14	81.350	Overhead
15	81.390	Overhead
16	81.460	Overhead

# Annex A4: Location of affected Power lines

# Annex B - List of Attendees in the Public Consultation in Kochkor

17 Mar. 2016

### Attendance Sheet

No.	Full name	Position	Place of residence / Telephone	Signature
1	Beishenaliev K. M.	Head DEP N-955	Kynyr village/ 0556004723	/signed/
2	Saburjanov J. S.	Head of Kok-Jar v/a	Kok-Jar village, Isagaly street	/signed/
3	Shukuraliev T. A.	Kok-Jar village	Kok-Jar village, A. Beisheev street	/signed/
4	Daiyrov E.	GAP-Architecture	Kochkor village, Isakeev street	/signed/
5	Abdykasumov M.	Head architect	Kochkor village, Isakeev street 46	/signed/
6	Israilov R.	Head of RUAF Kochkor village, Komurchieva 12 /s		/signed/
7	Israilov J.S.	Farm member	Kok-Jar village, Isagaly street	/signed/
8	Jusupov B.E.	v B.E. Farm member Kok-Jar village, Altyn-Bulak street		/signed/
9	Samudin u. Azat	Farm member	Kok-Jar village, Isagaly street	/signed/
10	Musaev K.K. Farm member Kok-Jar village, Isagaly street		/signed/	
11	Monkoev E.	Semiz-Bel	Kara-Too village / 0555952868	/signed/
12	Sydykov A.	Kok-Jar village	Kok-Jar village, Chorgo street	/signed/
13	Jusupov SH. Kok-Jar village H		Kok-Jar village, Isagaly street	/signed/
14	Turdakulov B.	Kok-Jar village	Kok-Jar village, Altyn-Bulak street	/signed/
15	Asanova A.		Epkin village, Suiunduk village	/signed/
16	Akmatova K.	Akmatova K. Kok-Jar village Kok-Jar village, Isagaly street		/signed/
17	Karabaev K. Chekildek village Chekildek village, Taabaldiev street		/signed/	
18	Sydygaliev S.	Land specialist, Semiz-Bel v/a	Aret village	/signed/
19	Kurmanbek U. T.	Chekildek village	Chekildek village, Toktokadyrov street	/signed/
20	Bukarov K.B.	Cholpon village authority	Cholpon village	/signed/
21	Isabaev Rysdalat	Kochkor v/a, Tendik village	Tendik village 0778 717806	/signed/

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## Annex C – Written Comments, Recommendations and Questions

Name: Israilov Rahatbek

Residential address: 12 Komurchiev Street, Kochkor village

Proposals concerning the road rehabilitation project:

Please compile a plan in advance on utilization of old asphalt

Please replace old trees with new plants

Questions related the road rehabilitation project:

### Name: Karabaev Kanat

Residential address: Chekildek village, Semizbel village authority

Proposals concerning the road rehabilitation project:

Please make sure the Contractor lays a pipe for drinking water in the Kok-Cholok section of Chekildek village

Questions related the road rehabilitation project:

Will the Contractor arrange pedestrian crossing for children to cross the highway in Kok-Cholok rural community?

### Name: Aigul Asanova

Residential address: Epkin village

Proposals concerning the road rehabilitation project:

We request you to provide for an underpass so the Epkin village's children could be able to cross the highway when they go to school.

Questions related the road rehabilitation project:

In what extent will the properties of Epkin village dwellers be destroyed or removed because of road construction?

### Name: Bukarov Kanatbek

Residential address: 22 Tashy Street, Cholpon village

Proposals concerning the road rehabilitation project:

We request you to provide for an underpass so the Epkin village's children could be able to cross the highway when they go to school. In addition, about 90% of village dwellers cross the street on that place.

Questions related the road rehabilitation project:

Please make sure the Contractor lays a pipe for drinking water in Cholpon village, as water supply system will cross the highway to be rehabilitated

Name: Shukuraliev Torobai

Residential address: Kok-Zhar village authority

Proposals concerning the road rehabilitation project:

There is a ditch in the village used to water the farmland, which may cross the highway. Therefore, please make sure that you lay a pipe of appropriate diameter under the highway.

Please, arrange for road hump (sleeping policeman) on the highway to limit vehicles speed, so farm people would be able to cross the highway in safety

Questions related the road rehabilitation project:

If a part of property is in the highway area, will the Contractor pay a compensation for that?

Will our local people be hired by the Contractor?

Is it possible to move the channel located close to the road shoulder? If "yes", will the standpipe be affected by that?

Name: Sabyrzhanov Zhyldyzbek

Residential address: 2 Isagaly Street, Kok-Zhar village

Proposals concerning the road rehabilitation project:

- 1) Install lighting
- 2) Install road parapets on the northern part of the road
- 3) Lay 3 sleeve pipes for drinking water
- 4) Repair the sidewalk
- 5) Repair bridges used for water transmission
- 6) Install road signs
- 7) Coat secondary roads with asphalt out of the old coating removed from the highway

### Name: Eshimbek Monkoev

Residential address: Kara-Too village, Semiz-Bel village authority

Proposals concerning the road rehabilitation project:

Make sure that Contractor arranges pedestrian crossing for children in Kok-Cholok rural community of Chekildek village to cross the highway.

Arrange sidewalk.

Lay sleeve pipes for drinking water

Lay irrigation pipe in Chekildek village, on the place of the road close to the cemetery

Questions related the road rehabilitation project:

- 1) Will you build two bus-stops in Kok-Cholok village
- 2) Install electricity lighting
- 3) Prevent graves from being destroyed during the construction of the road
- 4) Will the Contractor hire local people

Name: Kubanychbek Beishenaliev

Residential address: Koshor village

Proposals concerning the road rehabilitation project:

Make sure that Contractor arranges sidewalks in Chekildek, Ak-Uchkun and Ak-Chiy villages and builds bus stops

Name: Aibek Sydykov

Residential address: 31 Chorgo Street, Kok-Zhar village

Proposals concerning the road rehabilitation project:

Access roads to houses

Name: Samudin uulu Azat

Residential address: 26 Isagaly Street, Kok-Zhar village

Proposals concerning the road rehabilitation project:

Please, lay old removed asphalt on secondary roads and repair 4 bridges.

Name: Koshatbek Musaev Residential address: 11 Isagaly Street, Kok-Zhar village Proposals concerning the road rehabilitation project: Contractor should build access roads to villages, and repair bridges. Questions related the road rehabilitation project: Will the Contractor repair or build bridges over the ditches/channels used for water transmission?

Name: Bekboo Zhusupov

Residential address: 11 Isagaly Street, Kok-Zhar village

Proposals concerning the road rehabilitation project:

Sleeve pipes, and access roads

Name: Tynchtykbek Sabyrbekovich Israilov

Residential address: 31 Isagaly Street, Kok-Zhar village

Proposals concerning the road rehabilitation project:

Please install sleeve pipes for drinking water, and parapets, electric lighting and access roads towards the village. Build bridges over the ditches/channels.

Name: Bazarbek Turdakunov

Residential address: 36 Altyb-Bulak Street, Kok-Zhar village

Proposals concerning the road rehabilitation project:

Please install sleeve pipes on two places for drinking water and parapets in 400 m distance. Please install electric lighting and arrange for 1 km alley towards the village. Build bridges over the ditches/channels used for water transmission

### Name: Shailoo Zhusupov

Residential address: Kok-Zhar village, Kochkor region

Proposals concerning the road rehabilitation project:

Please install electricity lighting, sleeve pipes on three places for drinking water. Lay pipes under the road so people would be able to use it for irrigation water transmission. Build sidewalks.

Questions related the road rehabilitation project:

My house is located close to the road. After rehabilitation of the road, there might be huge traffic, which might disturb me.

# Annex D – Transcript of the video recording: in Kochkor District

### Mr. Ruslan, IPIG/MoTR:

Currently the feasibility study is being made. Therefore, prepare the list of irrigation pipes etc. to be laid under the road inside your village.

As for structures to be removed, we shall go through such matters next time when the principal groups come together with land specialist, representative of village authority and architect. Now we shall touch upon environmental issues, therefore if you have any environment related questions you may ask them during today's meeting.

### Person wearing a dark hat:

You are conducting feasibility study right now. When will the road construction start? I am asking because people need to take their time to process arable lands.

### Mr. Ruslan, IPIG/MoTR:

Approximately in a year. We shall inform about the start of road construction as early as possible. In no way, the Contractor shall trample your arable lands.

Make sure that you have specified in your requests the places where people often cross the street (schools, kindergartens), so the Contractor could provide for traffic lights etc.

### Representative of Epkin village:

We have school in our village, which is located on the other side of the road. About 90% of dwellers live on the opposite side. Consequently, many children will have to cross the street. It would be good if you have arranged for an underpass.

### Mr. Ruslan, IPIG/MoTR:

Please decide at first, which is better for you – traffic light or underpass; and then include it into your official request addressed to the MoTR. Nevertheless, be aware that it will be checked. Specialists will come and check how many people cross the street at that place and what time they do it most.

If you fail to specify the structures needed for your village in your written request, Contractor will consider your requests for a long time and will have less chance to solve your problem, because he will not have enough funds to do any work unspecified in the project. Therefore, specify in detail on what places irrigation pipes should be laid, traffic lights should be installed, embankments should be arranged against mudflows. Once again, I repeat make sure that you are applying in written, oral/verbal requests will not be considered.

As for structures/parts of structures that will possibly be removed, there is another group dealing with it, which is in Chaek village now. The group will study a structure to be removed in detail, measure it, identify its cost through independent estimator, submit the conclusion to the State Construction Department for examination, which will further be submitted to the Government by our office (MoTR). The Government will ratify/approve it, after which money will be allocated.

Currently we shall consider environment related matters. You may remember the case happened in Kochkor, when Contractor coated one secondary road using the asphalt removed from the highway being reconstructed. At that time, one environmental specialist claimed that the Contractor caused negative impact to environment by doing so and fined the Contractor. In response, dwellers of the village attacked that environmental specialist and requested him to leave the village forever. That was unpleasant case.

Therefore, in order to avoid repeating that incident, the Contractor will render every secondary help only under the permit of the environmental authority. Nevertheless, be aware that the Contractor will deliver old asphalt to the distance of 3 km at most.

Please, be aware that the road will not be commercial road.

As for the question whether local people will be hired, I would like to say that the Contractor would hire local people under a personal labor agreement. The MoTR or any other organization cannot instruct the Contractor to hire this or that person.

Percentage ratio in the Contract says that about 70% of local people will be hired for roadwork depending on the difficulty of the section and 30% of specialists will be foreign ones.

The road is currently undergoing feasibility study. The road is divided into 4 sections. The feasibility study shall identify the cost of each section. Those sections might be financed by different donors.

Every section might be covered by 4 different donors or one donor may take two sections. Now ADB is conducting feasibility study through the KOCKS Company. Soon Japanese Company shall come in the middle of April or at the beginning of May to prepare detailed project.

If everything goes smooth, the construction will begin next year.

If vegetation on the edge of the road is damaged, I assure you that it will recover in a year by itself. If it does not happen, then the Contractor shall plant grant seeds to recover natural look of any place. No matter how many trees will be cut, they will be replaced by planting new ones; maybe much more than those that were cut. Moreover, I think it is better to plant small trees instead of poplar, which grow high and cause problems on the road (create shadow in winter enabling ice formation).

The Contractor will plant new trees while it is reconstructing the road and will water them. When the project is completed, trees will turn into green and high trees. In no way, the Contractor shall plant trees after completion of the project and run away without taking care of them.

### Mr. Ruslan, IPIG/MoTR:

If irrigation channel is to be removed, then it will be identified during the feasibility study. Consequently, cost of channel removal from one place to another will be included in the project and Contractor shall take adequate measures.

As for walls to be removed, owners will receive compensation being equal to the cost of work related to removing of wall from one place to another. As for land, they will not be compensated, because many people illegally expanded their land plots.

Road embankment will be arranged according to the height of the road. The higher the road, the larger embankment will be. In addition, I would like to inform you that the Government adopted a Resolution, under which no land plot will be leased, sold or occupied by any structure within 32 m area away from the center of the road until the road has been completely reconstructed. If heads of village authorities fail to comply with that Resolution, they will personally bear the responsibility. Any construction that has been already started within specified area should be immediately stopped. We will be keeping in touch with heads of village authorities to share any information with them.

# Annex E – Results of laboratory analysis

a) Air quality

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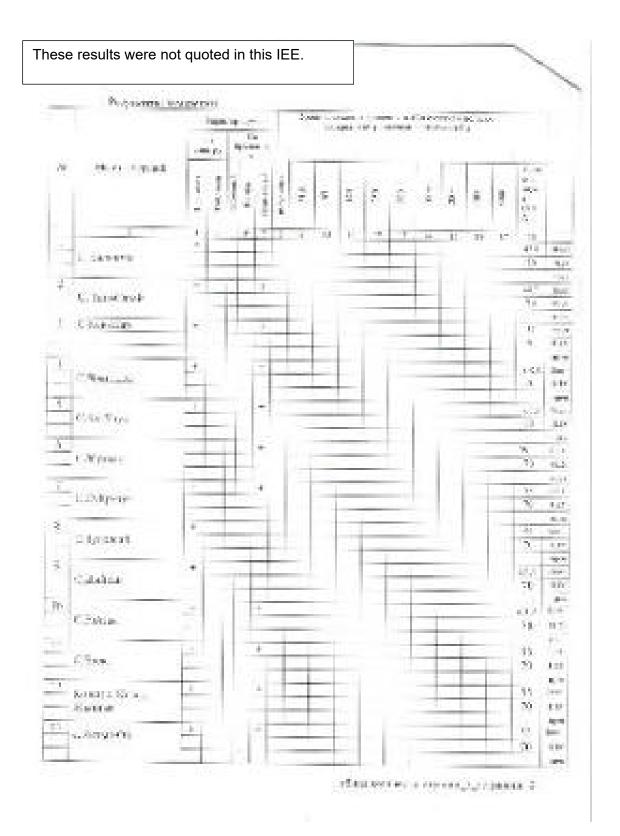
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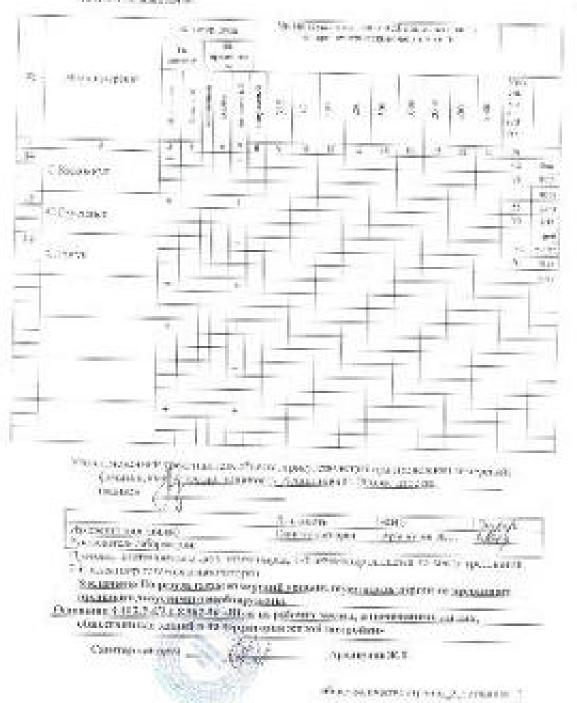
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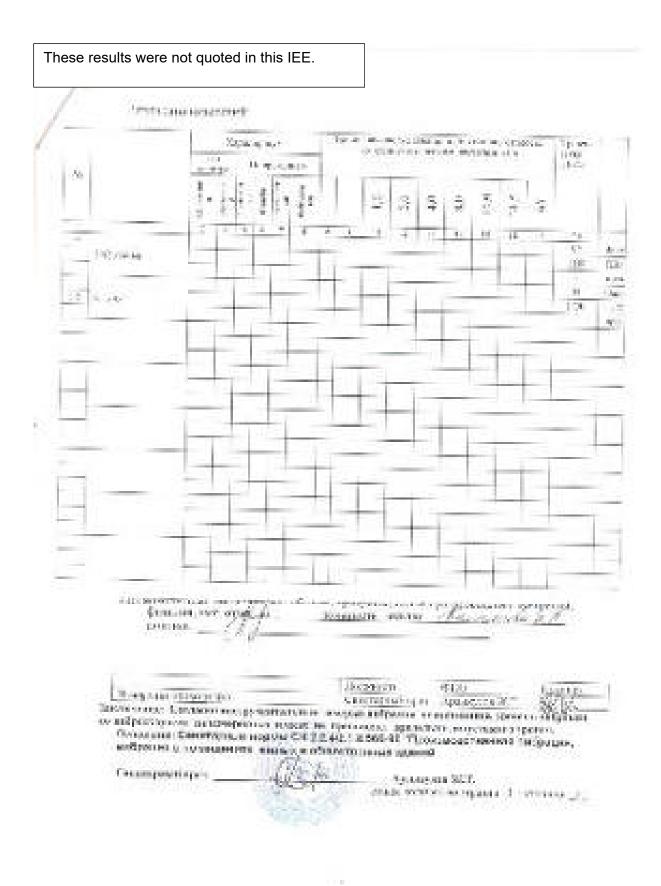
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#### Annex F. Conclusion of the Ministry of Culture, Information and Tourism, KR

These results were not quoted in this IEE.

#### REAPTING PECHNYKURK SCHOLAR MALADIRAT, MAA, TEINAT ZARA TYPETIN MIRTHCEP, 2021 0

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Министерство расскотрев отчет о'Арсплитического обследования на перритория солланических дарок - Альторналаная дорога Сокер-Юк, нервляры ЦАРЭС 1 и 3, общей противленности 200 км на территорнах. Тексевого района Иссон-Кульствой общети. Ботворенски и Жумгамыкого районка: Наразской областя, Жайыского района Чуйский общети Кыргызский Республисти запосносникай Чергиновым Т. - лисским Кыргызский Республисти запосносникай Чергиновым Т. - лисским Кыргызский Республисти запосносникай Чергиновым Т. - лисским Кыргызский Республисти уникарскитета консын Ж. Балистатана, полького Открытово засти формы № 3 и малогирован Ж. Балистатана, полького Открытово засти формы № 3 и малогирования консерссия ст 23 апреля 2016 исла образованиято трананово Малистарства культуры, неформалан в турнова Кыргызский Республики № 164 от 21 шрая 2016 тоги, сообщет систровает.

Зналовку состално заковедистветно Кыргызской Реплублики в офъре историко-культурного воспеция асобъедного процести прогоснитические ранковки и документарования ока спосо с приклечением аппозителен-ормессиона на интегституровата исданатиках объектах аспоратосткультурного пакладах, расположенных в зона произгоруемоно строительства аноходороги:

 - secondate Kydpyrtys 1 (N4P58\*40.0" £074\*50\*56.0") (79-60 rot, no adv supports anticoports of Kernoga a Alacity);

 - мотнование Кодушая 1 (N41952'24.2" £054'19'45.3") (5,5 км. не вонирота на трано, ност через року Кономерота;  - METRIALES Kaptan 2 (N41952'59.4" E054'19'20.3") (x 6-m es. or nonoputa na upano, soci super pory Konoscepto);

 - метелляна между селини Кърчан и Къпъсъ-ОБ (N4P54'46.8" E074°15'15.5") (в 14-ги ем. от понерога на призка мост через реку Консонтрев).

Также Заказчику обеспечних сокранность инжеследновнох нализиятсях объектно эктерико-культурного пастадов с изначанным нарадруга проскторуютого строительство антосороти в радоуст из менес 59 матров от москлышко и органований работ по разработее их осранных зая в представить оп сестествания. При не зозможности полатиения запраугаланных преболатой необходамо провести прогологические раскопах и докуматирование или своем с приказением оприментовые раскопах и докуматирование и и констроемограмоваторованием оприментовые раскопах расположением в тома проексирусмого строительство вызодороги:

 объекты казатико землиной насатию (N42.13314 К75.45456) (27-ей) им. англирски ит Комори и Чассу);

 - хняжнаях (N42'96'21.9" Е075'12'00.5") (44-ый ня, визодорога от Кочкора к Часку (перемал Казару));

 SUCHMARK KATOPI (N42'95'39.7" E 975'98'13.4") (30-ast KM INTERSPORT OF KOTHERE & NAINY).

 - метылание Кулручук (N4L98436 Е74.79124) (86-он не. автокороги от Консери к Часкуй

 метяльная (N41°51°39,5" Е074°20'00,4") (в 2-х км. от поверота на право, когт мерет реку Кокомерска).

Закамые разработать срести дареги в облат на тех территорах, гля располением в постатита под рассои разрушения видослодновано подзаканные общном наторная-культурских наластия. (осслогия коссисная средникаетовая в тихорафолестике поребанако-гоманскимые скоружения) с праводчением представиталий органов мостного самоупровления в оксаждающих совъзрасовотаях

 Стры Synyncosti наражие сприй (N42.490564 E76.899144) (8-ой км. ят т. Басилская на паправления» Комарії.

 комплания манисован (N4E.97764 Е74.91014) (75-ый хм. патедорагия ат Комкора в Чанку);

 комплекс мажноски (N40.99129 Е34.64144) (100-м см. питедороги ат Кочкора к Чакку между сезами Байзак и Дьёлан);

 Кумбоз Коночкуля у выска в село Коллонул со сторона онла Кылал-ОА.

Крана точо, Талазчиту организалать повторное аръссовническое абстедавание на нашимие ним смертетите объякося испорако культурного пастедня на окретте ангодороги от сели Кономкул до автодороги Баллев-Оц.

В связа, с маностличенным с учетом зыполнения нашередницым нероздовный будет рассмотров топрос провотрустное страктетатова «Соядинизациях дорог – Альтерскопным порога Север-Юг, корядоры ПАРЖ 1 в 3. общей простоявляется 260 км на территорния. Тепенторабова Иссан-Кульской общети, Котворского в Жузгального рабоват Нараленой общети, Жийкосского рабова Чуйской ізбласти Кыргазской Роспубликан.

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#### Annex G: Information letter from MoTR

КЫРТЫТ РЕСПУКЛІКАСЫНЫН ТРАНСПОРТ ЖАКІА ЖОЛДОР МИНИКСТРАНІМ	МВЯНСТКРСТВО ПРАВСПОРТА 19.509/07 КМРТЫКСКОЙ РЕЗПУКЛЯКА
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Танных – Энектралария канона Карал-Тарунар, неконалу (Таныс эле — Конар 3. Арм 3. Суусланар ой маже корногратоные імраг прукуть спалат рабы, на , мого наболерна кара в Теханого на заказникацые алекана карала 1993. Але Онергудор Стака пристака экспустка каралария каралария стака спаларузация исследных боло оберетурор упри Алек Остак у рубовано пробледато Каралариа исследная на остака стака исследника

Сурчистика на как со бытр Задется Смерінскійский развологрому, лесенску, оказа уменения стоток рерокцистиров сакамуют

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Техноловического солост не състоят завругителя возначала «ХЗССКа солучалия ная констранования властира тарабала» и ТаКМ 2-история ная завбороград нике асторуу с.Кутан осущеру венан бирость с "Курман тарина майрата сполутория Зависе осущура" соно "Кулуруу зави эксперат муу пользи" дограст боления актор нестала

Бул рикомантар малот стакрания укумперия акринска, турчая терта онкрытр королет бластнатия ПР ченизальнующих тепаторына соловая всем АСБ Корсон за влары бочной сексоголизи галантарын розе кауу менен гозраляли.

Азыров убыла. Технона созвения илинована запрод Соован порспла катаказана покрыти вакосреда такарна избали нуклуг, редактивности су усколакт узаствууча жата на акантик, кортонос участкая прожата вогослоти забокре базликатуу каказитета запратууга такшетуу Акан Осустуур. Бакентан набок макуу керак.

Устеруат берентердеро с матонор. КР "КР экономитик эрискирский или метиналуу се адматик башкаруу арганизикан эрискиучуна тушти заанакалыка эклуу мунеркер у ветерет" чай конступ орок текеруу, констал" он баш Опритуру Блассиян Кересо таралара бастта аксетитика нак тарот саяток мака наса. Сицирала дабордагы куракун бастугу такара солуна: чактарын жегер какар аксар аксермана саралана уу и и аркесонун консулар чактарата жеректүнүнү какар аксар аксермана саралана үү и и аркесонун консулар чактарата жеректүнүнү какар аксар аксермана сарагана уу и и аркесонун консулар аксартара жеректүнүнү какар аксар аксермана сарагана уу и и аркесонун топурарууну консулар экскер аксар аксертетинүнүнө окар аксартара барууну аксартара аксартара жаруыну консертетинин Экскер Он актоносун башкер баруунунуна аксартара аксартар консертетинүнө араган барагыруучу жай ураба аксартара кабару экскерү аксартар консертетинүнүнү касартаратар

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Client: Japan Overseas Consultants Co. Ltd Bishkek Performer: A. Abdykanova

## **APPROVED**

Ministry of Culture, Information and Tourism of the Kyrgyz Republic

#\_\_\_\_\_2018 г.

# Archaeological Survey and Assessment Report and Proposed Plan, Section 2A

## **ARCHEOLOGICAL EXPERTISE**

of archaeological sites, according to the results of archaeological reconnaissance carried out in Section 2A of the alternative North-South Road (CAREC Corridors 1 and 3 Connector Road) between Balykchy-Kochkor-Epkin over a length from 62,400 to 89,500m.

Bishkek - 2018

## CONTENTS

## 1. ANNOTATION

- 2. INTRODUCTION
- 3. HISTORY OF ARCHEOLOGICAL SURVEYS
- 4. SURVEY METHODOLOGY
- 5. RESULTS OF 2018 ARCHEOLOGICAL RECONNAISSANCE
- 6. CONCLUSIONS

## BIBLIOGRAPHY

## APPENDIX

## **1. ANNOTATION**

This report contains the results of archaeological reconnaissance work done in Section 1 of the Alternative North-South Road (CAREC Corridors 1 and 3 Connector Road) in the of Balykchy-Kochkor-Epkin stretch over the length from 62,400-89,500m in order to determine the presence or absence of monuments of historical and cultural significance in the immediate vicinity of the existing road (within at least 50 metres) and the drawing up of a plan for protection zones around the discovered monuments.

As a result of the archaeological reconnaissance, such historical and cultural heritage sites as ethnographical period burial mound (XVIII-XIX), burial grounds from the early Iron Age (Saks Period VIII-III BC), as well as artifacts in the form of ceramics found on the surface. Of these, burial mound Chekildek 4 and Buguchu 1 are located within distances of 50 metres from the proposed road reconstruction zone.

#### 2. INTRODUCTION

The purpose of this work was to conduct an archaeological study of Section 2A of Balykchy-Kochkor-Epkin road for a length from 62,400-89,500 m, in view of the proposed reconstruction of the Alternative North-South Road, by means of archaeological examination of the site to establish the presence or absence of archeological monuments/objects of historical and cultural heritage.

The archaeological survey was a result of a request by Japan Overseas Consultants Co. Ltd. in Bishkek.

For the survey the Section 1 of the road (CAREC Corridors 1 and 3), between Balykchy and Kochkor was studied over a total length of 43 km - the area is shown in Figure 1 below.

To ascertain the presence or absence of objects of historical and cultural heritage in the territory of the sections of the contours, a visual walking tour inspection of the ground adjacent to the existing road was carried out using handheld GPS instruments to fix the location of any objects with photo documentation of items discovered.

## 3. HISTORY OF ARCHEOLOGICAL SURVEYS

As per the State list, on the territory of Kochkor Rayon of Naryn Oblast there are 20 objects of historical and cultural heritage of state meaning under numbers 309-329 (Resolution 2015). Burial mound Cholpon is one of them, under number 324 is located along the surveyed section of the road.

Archaeological monuments, which are located in this region are Karakhanid Period settlement Kochkor-Bash, which is marked on medieval map by Maghmud Kashgary (Bartold, 1964; Asanov, 2002; Tabaldiev, 2011;). At this moment modern villasge Kum-Dobo is located on this settlement.

Moreover, in southern part of Kum-Dobo the part of Kyrk-Choro grave complex is located, which is also the monument of state meaning under number 308 (Resolution, 2015).

The region is also famous for the Saks burial mound of the royal type Uch-Dobo, which is located between the villages of Ak-Jar and Kum-Dobo to the north of them, near the underground mausoleum of Kok-Tash of Karakhanid time, excavation of which was started in 2017 under the guidance of archaeologist K.T. Tabaldieva (Abdykanova, 2017).

In the northern part of village Cholpon in 2017, during the reconnaissance, burial grounds of the Bronze Age, the Saks-Usun period and the era of the great migration of people were also discovered.

## 4. 2018 SURVEY METHODOLOGY

In the course of the archaeological reconnaissance, methods of visual inspection of the territory were used. Zigzag routes were laid out to cover the largest possible area with GPS fixation of the route, the outlines of the sites of identified objects, as well as other features of the relief. Photographic records were taken and inspections made of relevant information material in the form of maps, books and articles devoted to the previous archaeological studies of the area.

## 5. RESULTS OF 2018 ARCHEOLOGICAL RECONNAISSANCE

Section 2A of the road in the direction of Kochkor-Epkin begins with the crossing of the bypass road Balykchy-Kochkor and the old road passing through the village Kochkor and ends in village Epkin.

On the road section at 62,700 - 63,400 m the road will pass through the agricultural land (fields). During the survey of this section the ceramic fragments were identified. The coordinates are as follows:

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1 ceramic fragments 42°11'14.65"N 75°41'30.90"E;

2 ceramic fragments 42°11'20.00"N 75°41'22.85"E;

3 ceramic fragments 42°11'20.94"N 75°41'23.89"E.

Also on the map of the Google Earth program were found quite large ring structures, visible as color changes in the soil (Fig. 2-9). General coordinates of the location: 42°11'16.92"N 75°41'23.13"E.

Presence of artifacts on the surface in forms of ceramics and color changes of the soil is the evidence of people's activities in the past.

Before the start of construction on this site, it is necessary to conduct field excavation work by laying test pits along the branch line with a double line or zigzag every 50 meters in order to determine the cultural layer or layers and their borders.

On the stretch of road **74,900** – **75,250 meters** from the south-west side of the road at 115 meters on Google Earth program in the Chekildek village on the hill was found the burial ground **Chekildek 1**, consisting of more than 10 large and small mounds, apparently related to the Saks time (VIII-III centuries BC) (Fig. 10). The general coordinates of the repository are as follows: 42°11'30.91"N 75°32'54.61"E.

On this section of the road at 75,700 – 76,800m, on both sides of the road were identified 3 different graves – Chekildek 2, Chekildek 3 and Chekildek 4.

The burial mound Chekildek 2 is completely located to the north side of the road and consists of 22 large round mounds with stone-soil fill. The height of the embankment is about 0.20-0.30 cm, diameter 5-7 meters. One of the mounds is located 48 meters from the road. One or two mounds are destroyed by the construction of the house. Apparently, the site is privately owned. Stones from the mound were used to build the foundation of the house. On the site the cemetery passes drainage. The mounds are located chaotically, but along a narrow strip from west to east (Fig.12-17).

Coordinates of the graves:

- #1 42°11'41.28"N 75°32'23.96"E (to north-east from the house)
- #2 42°11'41.32"N 75°32'23.43"E (to north from the house)
- #3 42°11'41.91"N 75°32'22.99"E
- #4 42°11'41.75"N 75°32'21.16"E
- #5 42°11'40.94"N 75°32'21.88"E

#6 42°11'40.28"N 75°32'21.57"E (in 48m to north of the road)

#7 42°11'41.50"N 75°32'14.11"E #8 42°11'42.02"N 75°32'14.06"E

#9 42°11'42.47"N 75°32'12.14"E

#10 42°11'42.00"N 75°32'10.54"E

#11 42°11'41.63"N 75°32'8.75"E

#12 42°11'41.56"N 75°32'6.33"E

#13 42°11'41.84"N 75°32'5.00"E

#14 42°11'41.41"N 75°32'4.85"E

#15 42°11'41.59"N 75°32'3.77"E

#16 42°11'41.89"N 75°32'2.63"E

#17 42°11'41.63"N 75°32'0.44"E

#18 42°11'41.19"N 75°32'0.53"E

#19 42°11'41.19"N 75°32'0.53"E

#20 42°11'40.58"N 75°32'0.40"E

#21 42°11'41.16"N 75°31'58.40"E

#22 42°11'40.81"N 75°31'58.57"E.

The burial mound Chekildek 3 also dates from the Saks time (VIII-III BC), refers to the royal type and has 3 large mounds. The diameter of the mounds is 10-12 meters; the height is up to 1.5 meters. The fill is made from soil. The mounds are located north of the road at a distance of 100 meters (Figure 18-19). The coordinates of the mounds are as follows:

#1 42°11'38.84"N 75°31'44.20"E;

#2 42°11'39.67"N 75°31'45.92"E;

#3 42°11'39.67"N 75°31'45.92"E.

**Burial mound Chekildek 4** on the south side of the road consists of 28 mounds lying in a chain from west to east. Almost all mounds of small size, round shaped, 3 meters in diameter, a mound is from stone soil, but a shell coating, consists of pebbles of medium size. The fill in almost all cases are partially destroyed, the height is from 0.10 to 0.50 cm. The two mounds have a diameter of up to 7 meters and a higher ray retained mound. Previously, the burial mounds belong to the Saks time (VIII-III BC). All the mounds are located further than 50

meters south of the road. From the road the cemetery is separated by a strip of irrigated fields (Fig.20-21).

Coordinates of the mounds:

#1 42°11'36.31"N 75°32'31.37"E #2 42°11'36.11"N 75°32'30.13"E #3 42°11'36.12"N 75°32'29.02"E #4 42°11'35.99"N 75°32'27.87"E #5 42°11'35.88"N 75°32'26.39"E #6 42°11'35.73"N 75°32'25.79"E #7 42°11'35.79"N 75°32'24.86"E #8 42°11'35.73"N 75°32'24.70"E #9 42°11'35.81"N 75°32'23.94"E #10 42°11'35.81"N 75°32'23.65"E #11 42°11'35.84"N 75°32'23.38"E #12 42°11'35.81"N 75°32'23.00"E #13 42°11'35.72"N 75°32'22.73"E #14 42°11'35.70"N 75°32'22.50"E #15 42°11'35.12"N 75°32'12.06"E #16 42°11'35.20"N 75°32'10.78"E #17 42°11'34.75"N 75°32'6.99"E #18 42°11'34.66"N 75°32'4.98"E #19 42°11'34.40"N 75°32'3.86"E #20 42°11'34.77"N 75°32'2.89"E #21 42°11'34.44"N 75°32'2.82"E #22 42°11'35.38"N 75°32'14.45"E #23 42°11'34.37"N 75°32'1.28"E #24 42°11'33.92"N 75°31'58.39"E #25 42°11'33.42"N 75°31'55.16"E #26 42°11'32.59"N 75°31'50.63"E #27 42°11'32.29"N 75°31'48.52"E.

#28 42°11'32.97"N 75°31'47.93"E.

At section of the road at 77,930 – 79,300 meters near the village of Buguchu there are three burial mounds and a cemetery of ethnographic time (Fig.22-23). Five burial mounds of the Saks burial ground of the royal type Buguchu 1 are located along the road on the site at 77,030 – 78,270 meters. The mounds have a round shape and a stone-soil fill. Mound No. 1 (the remaining diameter of 20 m, height 1.5 m) is located on the north side, close to the main road, on the north side of the road. Partially the mound was demolished earlier during the construction of old road. The diameter of the mound, judging by the well-preserved mound No. 11, could reach to 35 meters. In general, the internal preservation of the mound is satisfactory (Fig. 24). Coordinates of the mound No. 1: 42°11'31.37"N  $75^{\circ}30'55.72''E$ . Mound # 2 is also located on the north side of the road close to the road 15 meters to the west of the mound No. 1. Its dimensions are smaller, the remaining diameter is 8 meters, the height is 0.5 m (Fig.25). Coordinates of the mound No. 2: 42°11'31.07"N 75°30'54.12"E. **Mound # 3** is located on the north side of the main road, close to the road and on the east side of the road at 9 meters east of the mound No. 1. Its dimensions are smaller, the remaining diameter is 7 meters, the height is 0.5 meters. Coordinates of the mound No. 3: 42°11'31.35"N 75°30'57.22"E. Preservation of mounds #2 and 3 is worst.

Next **burial mound #4** is located in 15 meters to the north of the road and in 165 meters west to mound #2. Diameter of the mound is 18 meters; height to 1 meter (Fig. 26). The coordinates of the mound #4: 42°11'30.73"N 75°30'45.79"E.

**Burial mound #5** is located in 30 meters to south of the road. The embankment of the mound is totally leveled during the agricultural works. But the place of location is well seen with bushes. The coordinates of the mound:  $42^{\circ}11'29.50"N~75^{\circ}30'56.97"E$ .

The embankments of burial mound #6 and 12 located further to south of mound #5 were also damaged.

Burial mound by itself consists of 7 large and 4 small mounds; large mounds (#1, 5, 6, 7, 9, 11, 12) are located in chain order from north to south. Small ones are located nearby the large ones.

Coordinates of the remaining burial mounds:

#6 42°11'23.35"N 75°30'54.31"E #7 42°11'21.05"N 75°30'53.93"E #8 42°11'20.25"N 75°30'53.75"E

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#9 42°11'18.09"N 75°30'53.18"E #10 42°11'35.76"N 75°30'58.89"E #11 42°11'35.80"N 75°30'56.64"E #12 42°11'27.51"N 75°30'56.89"E.

The next burial mound **Buguchu 2** is located at **78,370-79,100m** to north of the road at the distance more than 50 meters (Fig.27). On the eastern part of the mound the graves are located densely. The diameter of mounds up 6-9m, height to 0.6m. Embankment is made of stone-soil, round shaped. Approximate quantity is about 30 burial mounds (Fig. 28-29). Not all burial mounds were traced with gps navigator. The coordinates of several mounds are:

#1 42°11'32.52"N 75°30'38.41"E #2 42°11'32.75"N 75°30'38.94"E #3 42°11'33.31"N 75°30'38.87"E #4 42°11'33.74"N 75°30'38.72"E #5 42°11'34.15"N 75°30'38.59"E #6 42°11'33.61"N 75°30'37.82"E #7 42°11'34.14"N 75°30'37.64"E #8 42°11'32.99"N 75°30'37.04"E #9 42°11'33.42"N 75°30'37.04"E #10 42°11'33.73"N 75°30'37.01"E #11 42°11'34.10"N 75°30'37.01"E #12 42°11'34.48"N 75°30'37.35"E #13 42°11'34.87"N 75°30'37.54"E.

Six large burial mounds are located separately and chaotically. Diameter to 18-20m, height to 0.60m. A distinctive feature of one mound is the circular layout around the mound.

The coordinates of the mounds are:

#15 42°11'31.67"N 75°30'33.67"E #16 42°11'31.01"N 75°30'32.88"E #17 42°11'20 58"N 75°20'25 02"E #18 42°11'34.11"N 75°30'21.38"E (with circular layout)

#19 42°11'29.53"N 75°30'12.82"E

# 20 42°11'32.99"N 75°30'8.26"E.

**Buguchu 3 burial mound** is located to the north of the road at **79,150** – **79,300m.** Graves are round shaped, diameter from 5 to 9 meters, stone-soil fill is not so high: to 0.20cm. Mounds are located compactly. Totally 8 burial mound were identified (Fig 30). Coordinates are as follows:

#1 42°11'30.13"N 75°30'2.95"E #2 42°11'29.99"N 75°30'2.65"E #3 42°11'29.74"N 75°30'2.55"E #4 42°11'29.63"N 75°30'2.48"E #5 42°11'29.77"N 75°29'59.90"E #6 42°11'28.89"N 75°29'58.83"E #7 42°11'28.22"N 75°29'58.59"E #8 42°11'27.93"N 75°29'59.40"E.

At the same location within **230m** to the north of the road the **burial mound** of ethnographic period was identified, which is fenced with clay wall. The cemetery has an irregular rectangular shape stretched from north to south, about ten burial places are marked inside (Fig.31). The coordinates of the cemetery are as follows: 42°11'34.43"N 75°29'58.44"E.

Further at **81,400** – **81,600m**, after the junction to village Cholpon, within 50m to the south of the road there is a **burial mound Cholpon** (monument of State significance), which is also spotted in previous report by T. Chargynov (Cahrgynov, 2016) (Fig 32-34).

Large mounds (from 10 to 13 meters, mound height to 1 meter) and small ones (diameter 5 to 7 meters, embankment height to 0.5 meters) are located on the first (5 mounds) and the second (8 mound) terraced protrusions of the Aigyrzhal mountain range (Fig.35-37). Below are the coordinates of the mounds.

On first terraced protrusion:

#1 42°11'13.38"N 75°28'12.64"E #2 42°11'13.10"N 75°28'12.10"E #3 42°11'13.17"N 75°28'11.23"E #4 42°11'13.33"N 75°28'10.52"E

11

#5 42°11'12.61"N 75°28'10.83"E.
On second terraced protrusion:
#1 42°11'10.96"N 75°28'12.79"E
#2 42°11'10.24"N 75°28'13.08"E
#3 42°11'9.70"N 75°28'13.97"E
#4 42°11'9.43"N 75°28'12.37"E
#5 42°11'9.81"N 75°28'11.96"E
#6 42°11'9.69"N 75°28'11.52"E
#7 42°11'9.74"N 75°28'11.10"E
#8 42°11'9.48"N 75°28'10.49"E.

From the south-east to the burial ground adjoins with the territory of a fenced landfill and borrow-pit works are being carried out.

At **83,000** – **83,600 meters** 240 m to the west of the modern cemetery, another **Cholpon 2** burial ground was discovered, the mounds of which are fixed along the line from the south side of the road at a distance of more than 50 meters (Fig.38-39). Mounds are round shaped, stone-soil fill, mound height from 0,5 to 1 m. Totally 13 burial mounds were identified. Three of them are located separately (Fig.39-41). Diameter of mounds is from 5 to 10 meters. The coordinates of the mounds are as follows:

#1 42°10'59.90"N 75°27'15.90"E #2 42°10'58.95"N 75°27'16.06"E #3 42°10'58.84"N 75°27'17.08"E #4 42°10'58.42"N 75°27'17.22"E #5 42°10'57.86"N 75°27'16.89"E #6 42°10'58.02"N 75°27'16.43"E #7 42°10'58.18"N 75°27'16.05"E #8 42°10'58.80"N 75°27'12.41"E #9 42°10'58.80"N 75°27'11.93"E #10 42°10'58.56"N 75°27'9.53"E Separately located mounds: #1 42°10'55.08"N 75°27'0.05"E

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#2 42°10'54.84"N 75°27'0.05"E

#3 42°10'54.24"N 75°26'57.10"E.

Based on the results of the reconnaissance, it can be said that a visual inspection of the lands adjacent to the road in Section 2A [Kochkor-Epkin road] revealed two archeological objects, located at the distance less than 50 meters from the road – Burial mound Chekildek 2 and burial mound Bugushu 1 and archeological monuments, located at the distance more than 50 meters from the road – burial mounds Chekildek1, Chekildek 3, Chekildek 4, Buguchu 2, Cholpon and Cholpon 2, and also the fenced Ethnographic Period graveyard.

Since monuments located at a distance of more than 50 meters from the road fall into zones of regulated construction and a protected landscape, a single action plan for them (procedures, proposals and measures for the protection and monitoring of objects of historical and cultural heritage during the construction of the road) will be presented in a comprehensive manner for Sections 1, 2A and 2B as a separate appendix.

## 11. CONCLUSIONS

## April 10, 2018.

Thes conclusions of the archaeological examination were compiled by A. Abdykanova according to the terms of the contract for archaeological expertise from March 30, 2018, commissioned by Japan Overseas Consultants Co. Ltd, in Bishkek (hereinafter referred to as the Client).

Archaeological examination (hereinafter - Expertise) was carried out on the basis of the:

- Law of the Kyrgyz Republic No. 91 of 26.07.1999 "On protection and use of historical and cultural heritage";

- Law of the Kyrgyz Republic No. 65 of 20.03.2015 "On Amendments and Additions to the Law" On Protection and Use of Historical and Cultural Heritage ";

- The Land Code of the Kyrgyz Republic of 02.06.1999, No. 45;

- Instruction on the organization of protection zones for immovable objects of the historical and cultural heritage of the Kyrgyz Republic dated July 27, 2015

#### **Basis for the Examination:**

Road reconstruction.

#### **Purpose of the work:**

Determination of the presence or absence of objects of historical and cultural heritage in the area of the proposed road reconstruction and the development of modifications needed to protect identified objects of historical and cultural heritage.

## Area of examination:

Section 2A, Alternative South-North Road (CAREC Corridors 1 and 3), Balykchy-Kochkor-Epkin, starting from 62,400 to 89,500m.

## **Methodology:**

Expertise was carried out on the basis of information received from the Client, according to the methodology of archaeological expertise. This consisted of preliminary work to research archival and bibliographic data, analysis of Google Earth satellite imagery, reviews of topographic maps, GPS coordinates to fix the location of objects found, photo records and general visual inspection of the immediately surrounding terrain for objects of historical and cultural heritage.

## **Conclusion:**

As a result of the study of Section 2A of the construction of an Alternative North-South Road (CAREC Corridors 1 and 3 Connector Road), Balykchy-Kochkor-Epkin starting from 62,400 to 89,500 m, the following monuments and

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other traces were revealed in the territory of the Kochkor District of Naryn Oblast in terms of historical and cultural heritage:

A) Objects of Historical and Cultural Heritage (monuments of archaeology) located at a distance of less than 50 meters from the shoulder of the existing road:

- 1. On the road section at **62,700 63,400 m** the road will pass through the agricultural land (fields). During the survey of this section the ceramic fragments were identified and on the map of the Google Earth program were found quite large ring structures, visible as color changes in the soil;
- 2. At 75,700-76,800 the burial mound Chekildek 2 is completely located to the north side of the road and consists of 22 large round mounds with stone-soil fill. The height of the embankment is about 0.20-0.30 cm, diameter 5-7 meters. One of the mounds is located 48 meters from the road. Several mounds were destroyed by the construction of the house. Apparently, the site is privately owned. Stones from the mound were used to build the foundation of the house. On the site the cemetery passes drainage. The mounds are located chaotically, but along a narrow strip from west to east;
- 3. Five burial mounds of the Saks burial ground of the royal type Buguchu 1 are located along the road at 77,030 – 78,270 meters. Three mounds are located close to the road, two of them at the distance 15 and 30 meters accordingly. Mound No. 1 (the remaining diameter of 20 m, height 1.5 m) is located on the north side, close to the main road, on the west side of the road. Partially the mound was demolished earlier during the construction of the road. The diameter of the mound, judging by the well-preserved mound No. 11, could reach to 35 meters. But in general, the safety of the mound is satisfactory (Fig. 24). Coordinates of the mound No. 1:  $42^{\circ}11'31.37''N 75^{\circ}30'55.72''E$ . Mound # 2 is also located on the north side of the road close to the road 15 meters to the west of the mound No. 1. Its dimensions are smaller, the remaining diameter is 8 meters, the height is 0.5 m (Fig.25). Coordinates of the mound No. 2: 42°11'31.07"N  $75^{\circ}30'54.12''E$ . Mound # 3 is located on the north side of the main road, close to the road and on the east side of the road at 9 meters east of the mound No. 1. Its dimensions are smaller, the remaining diameter is 7 meters, the height is 0.5 meters. Coordinates of the mound No. 3: 42°11'31.35"N 75°30'57.22"E. Next burial mound #4 is located in 15 meters to the north of the road and in 165 meters west to mound #2. Diameter of the mound is 18 meters; height to 1 meter (Fig. 26). The

coordinates of the mound #4:  $42^{\circ}11'30.73"N 75^{\circ}30'45.79"E$ . Burial mound #5 is located in 30 meters to south of the road. The embankment of the mound is totally leveled during the agricultural works. But the place of location is well seen with bushes. The coordinates of the mound:  $42^{\circ}11'29.50"N 75^{\circ}30'56.97"E$ .

Also, there were found other objects of historical and cultural heritage:

B) Objects of Historical and Cultural Heritage (monuments of archaeology) located at a distance of more than 50 meters from the shoulder of the existing road:

- 1. On the stretch of road 74,900 75,250 meters from the south-west side of the road at 115 meters on Google Earth program in the Chekildek village on the hill was found the burial ground Chekildek 1;
- 2. On this section of the road at 75,700 76,800m, on both sides of the road were identified 3 different graves Chekildek 2, Chekildek 3. Chekildek 2 on the south side of the road consists of 28 mounds lying in a chain from west to east. All mounds of small size, round shaped, 3 meters in diameter, the height of the fill up to 0.30cm. Preliminary they belong to Saks Period (VIII-III BC). All the mounds are located further than 50 meters south of the road. From the road the cemetery is separated by a strip of irrigated fields;
- At road section of 77,930 79,300 meters near the village of Buguchu there are three burial mounds and a cemetery of ethnographic time. Buguchu 1 burial mound is located along the road at 77,030 78,270 meters. Buguchu 2 is located at 78 370-79 100m to north of the road. Buguchu 3 burial mound is located to the north of the road at 79,150 79,300m.
- At 79,150 79,300 m within 230m to the north of the road the burial mound of ethnographic period (XVIII-XIX BC) was identified, which is fenced with clay wall.
- 5. At **81,400 81,600m**, after the junction to village Cholpon, within 50m to the south of the road there is a **burial mound Cholpon** (monument of State significance);
- 6. At **83,000 83,600 meters** 240 m to the west of the modern cemetery, another **Cholpon 2** burial ground was discovered.

## **Recommendations:**

- 1. On the road section at 62,700 63,400 m the road will pass through the agricultural land and before the start of construction, it is necessary to conduct field excavation work by laying test pits along the branch line with a double line or zigzag every 50 meters in order to determine the presence or absence of cultural layer/layers and other people traces in the past;
- 2. At 75,700-76,800 it is required to create the protection zone of the burial mound Chekildek 2, as distance not less than 50m of the border of each grave. It is also necessary to take the following actions with regard to the administration of the Cholpon Aiyl Aymak: to make a request about the status of the land on which the burial mound is located, to stop the construction process on this site and to input to state register list the protection zone of the burial mound Chekildek 2 as an object of historical and cultural heritage;
- 3. Five burial mounds of the Saks burial ground of the royal type Buguchu 1 are located along the road on the site at 77,030 78,270 meters. Thre mounds are located close to the road, two are at the distance of 15 and 30 m, accordingly. It is necessary to create a general protection zone for the burial mound Buguchu 1 (8 burial mounds on both sides of the road) based on a distance of at least 50 meters from the borders of each grave. The zone of allotment for construction, which is 33-32 meters in this area, should be reduced to 23 meters. Burial mounds #1, 2 and 3 are the subject of detailed survey by conducting the archeological excavation works.
- 4. At 81,400 81,600m after the junction to village Cholpon, at a distance more than 50m to the south of the road there is burial mound Cholpon (monument state significance). Graveyard borders with unfenced waste area (east) and borrow pit (south-east). Urgently recommend the administration of Cholpon Ayil Aymak to register the protection zone Cholpon to the list of state property as an object of historical and cultural heritage and provide fences for waste area;
- 5. During the construction and other works and / or development of the land of Section 2A of construction of the alternative North-South Road (CAREC Corridors 1 and 3 Connector Road), Balykchy-Kochko-Epkin, starting from 62,400 to 89,500m, in the territory of Kochkor District in Naryn Oblast, it is necessary to exercise vigilance and caution during the road reconstruction work. Any work on the sites where archaeological sites were discovered should be carried out under the supervision of an archaeologist, according to

the Action Plan in relation to the identified objects of historical and cultural heritage;

6. When developing the adjacent zones from the territory of Section 2A of construction of the alternative North-South Road (CAREC Corridors 1 and 3 Connector Road), Balykchy-Kochko-Epkin, starting from 62,400 to 89,500m, in the territory of Kochkor District in Naryn Oblast, it will be necessary to conduct additional archaeological examinations for the presence of monuments of historical and cultural heritage.

**Performer:** 

# A. Abdykanova

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# APPENDIX



Fig. 1. Section 2A (CAREC 1 and 3), Balykchy-Kochkor-Epkin, (highlighted with blue line)

Fig. 2. Location map of the findings of ceramics and circled shaped structures (rid line indicates the new road alignment, right of way is 32-33 meters)

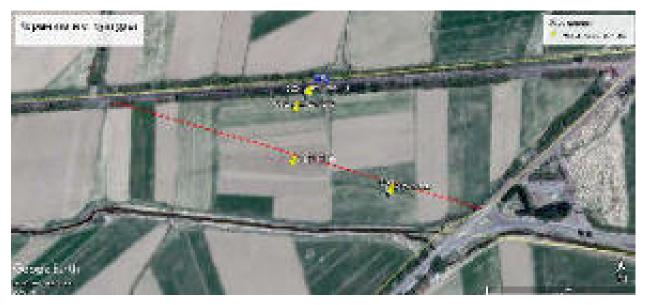
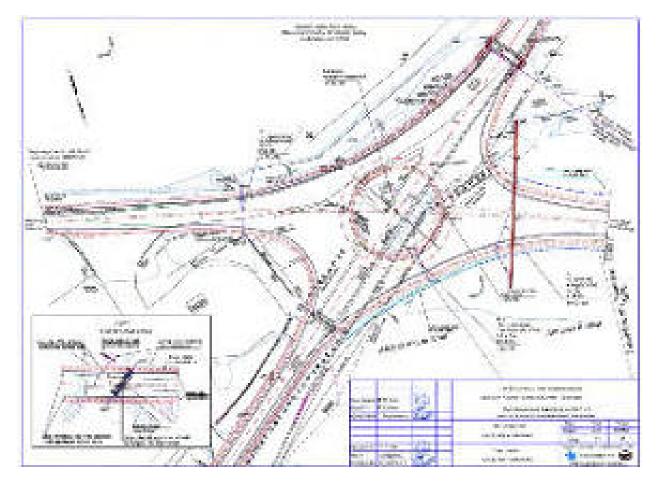
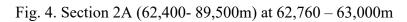


Fig.3. Section 2A (62,400- 89,500m) at 62,400-62,760m





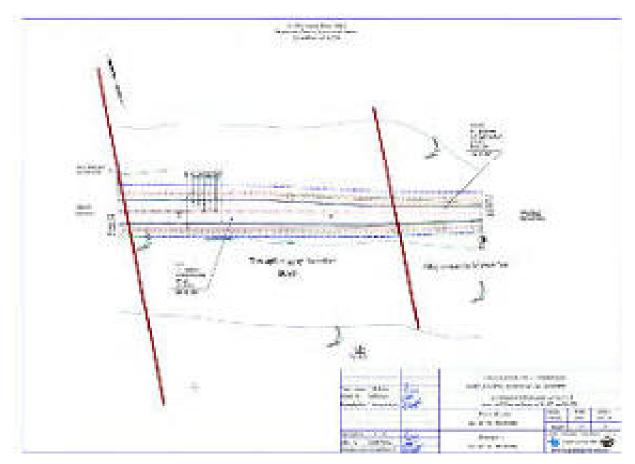
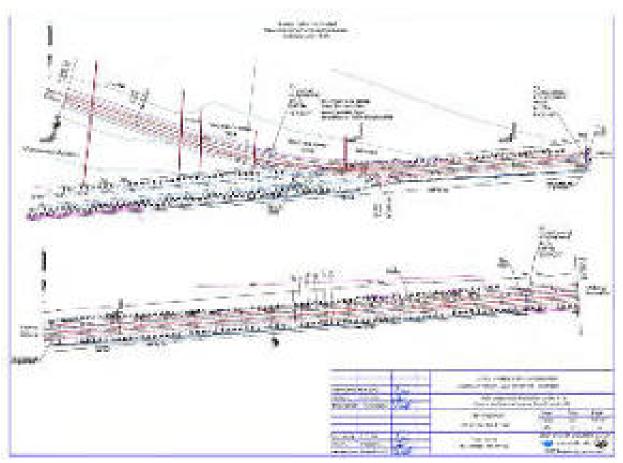


Fig. 5. Section 2A (62,400- 89,500m) at 63,000 - 63,700m (upper scheme)



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Fig.6. Section at 62,700 - 63,400m, view from south-east



Fig.7. Detected ceramic



Fig.8. Detected ceramic



Fig.9. Detected ceramic



Fig.10. Burial mound Chekildek 1 on Google Earth program



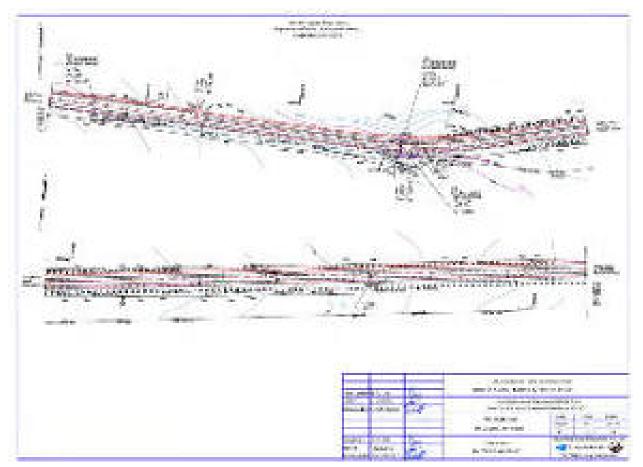
Fig.11. Burial mounds Chekildek 2, Chekildek 3 and Chekildek 4



Fig.12. Burial mound Chekildek 2



Fig.13. Section 2A (62,400- 89,500m) at 75,200 - 76,600m



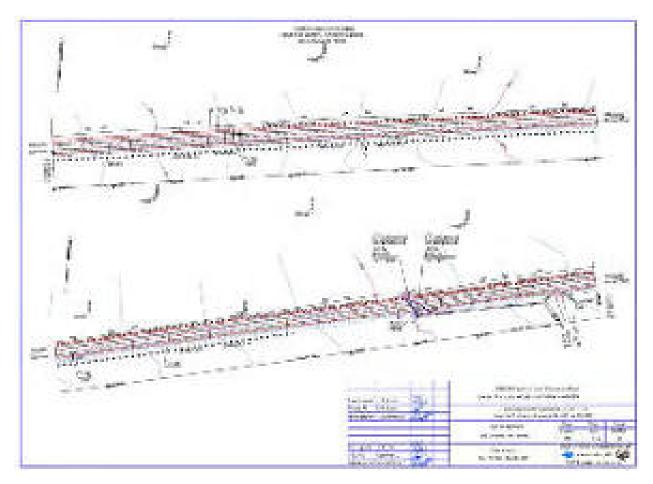


Fig.14. Section 2A (62,400- 89,500m) at 76,200 – 78,000m (upper scheme)

Fig.15. Burial mound Chekildek 2, house on the grave.





Fig.17. Burial mound Chekildek 2, graves that are close to the road



# Fig.18. Burial mound Cekildek 3, view from south-west



Fig.19. Burial mound Chekildek 3, view from south-west



# Fig.20. Burial mound Chekildek 4, view from west



Fig.21. Burial mound Chekildek 4, vie from south-east



Fig.22. Location map of burial mound Buguchu 1 and 2, general view

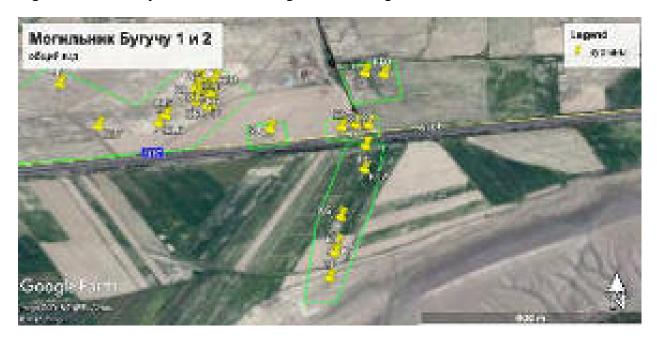


Fig.23. Burial mound of Buguchu 1, which are located close to the road (5 graves)



Fig.24. Mound #1of Buguchu 1, view from south-east



Fig.25. Mound #3 at Buguchu 1



Fig.26. Mound #4 at Buguchu 1, view from north-west



Fig.27. Burial mound Buguchu 2 and 3 and ethnographic graveyard

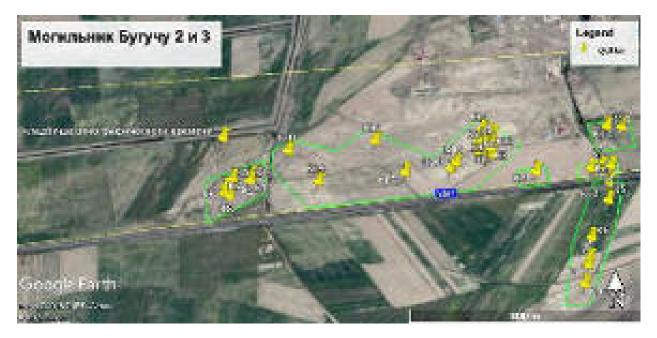


Fig.28. Accumulation of Buguchu 2 mounds, view from west



Fig.29. Graveyard of Buguchu 2 burial mounds



Fig.30. Burial mound Buguchu 3, view from north-west



Fig.31. Burial mound of Ethnographic Period



Fig.32. Burial mound Cholpon (not fenced waste area and borrow pit)

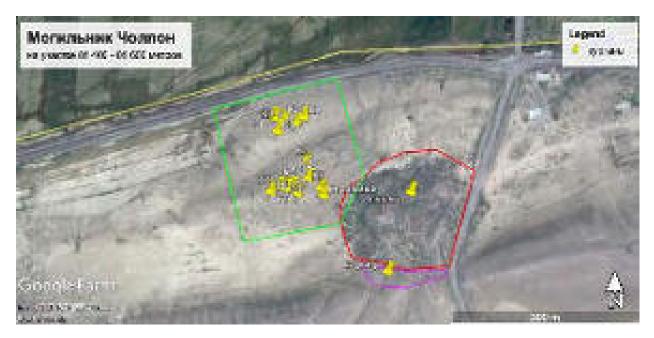
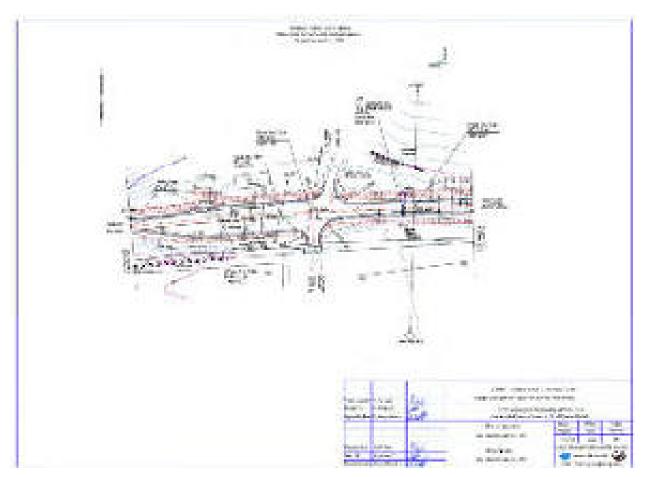


Fig.33. Section 2A (62,400- 89,500m) at 81,280 - 81,500m



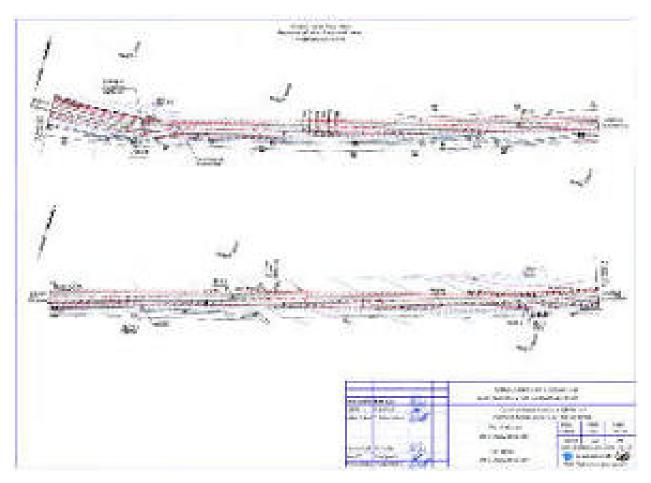


Fig.34. Section 2A (62,400- 89,500m) at 81,500 – 82,900m (upper scheme)

Fig.35. Burial mound Cholpon, mound at first terraced protrusions, view from east



Fig.36. Burial mound Cholpon, mound at second terraced protrusions, view from north



Fig.37. Choplon burial mound, view from east



Fig.38. Location map of Cholpon 2 burial mound



Fig.39. Section 2A (62,400- 89,500m) at 82,900 – 84,300m (upper scheme)

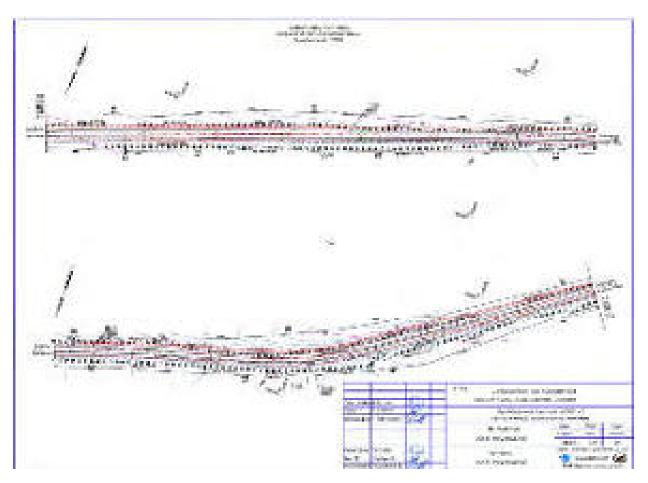


Fig.40. Burial mound Cholpon 2, view from north



Fig.41. Burial mound Cholpon 2, view from east



Plan of archaeological management of objects of historical and cultural heritage "CAREC 1 and 3 Connector Road Project, Section 2A, Kochkor-Epkin, 62+400-89+500km"

Prepared by: Aida Abdykanova, Archaeologist

## Contents

Introduction

Legislative framework

**Previous surveys** 

Procedures for the protection of historical and cultural heritage sites Proposals for the protection of historical and cultural heritage objects

#### Introduction

In this "Plan for the protection of historical and cultural heritage sites within the CAREC 1 and 3 Connector Road Project, Kochkor-Epkin km 62+400 - km 89+500" (hereinafter referred to as the "Plan" and the "Project"), procedures are established to execution of the Company and its Contractor (hereinafter "Company" and "Contractor") of the obligations for the protection of historical and cultural heritage sites that are susceptible to potential impact during construction and other works.

Objects of historical and cultural heritage (hereinafter referred to as "OHCH") within this Plan, means archaeological and paleontological monuments of historical and/or cultural significance objects/structures/artifacts, as well as objects and places of religious/spiritual significance.

This Plan is designed to ensure the fulfillment of obligations by the Contractor in accordance with the legislation of the Kyrgyz Republic with respect to OHCH. The Ministry of Culture, Information and Tourism of the Kyrgyz Republic (hereinafter referred to as MCIT KR) is responsible for observing the laws of the Kyrgyz Republic concerning the protection of OHCH. The Company/Contractor coordinates the actions specified in this Plan with the authorized department of the MCIT of the Kyrgyz Republic.

The Plan ensures the safety of OHCH in the course of the project. It establishes procedures aimed at avoiding the impact of the road on this site and related to construction activities to OHCH, to the extent that it is possible. These procedures covers the protection of OHCH in the course of construction works and actions to be taken for OHCH, which are located in close proximity to the road and other Project objects, as well as in the event of the possible detection of previously unknown OHCH.

The Plan describes the following activities related to actions with reference to archaeological and historical monuments:

- mandatory notification procedures and data transfer protocols;
- actions in relation to OHCH in case of emergencies;
- monitoring of OHCH during the construction works.

The representative of the Company (hereinafter referred to as the "Coordinator") shall coordinate the implementation of this Plan throughout the Project.

### Legislative framework

All activities related to OHCH are carried out in accordance with the legislative acts and regulations of the Kyrgyz Republic. The legislative framework includes:

- Law of the Kyrgyz Republic No. 91 of 26.07.1999 "On protection and use of historical and cultural heritage";

- The Land Code of the Kyrgyz Republic of 02.06.1999, No. 45;

- Instruction on the organization of protection zones for immovable objects of the historical and cultural heritage of the Kyrgyz Republic dated July 27, 2015.

The Company/Contractor must accept all obligations to the public authorities of the Kyrgyz Republic regarding the use and protection of OHCH. The Company/Contractor is obliged to follow the legislation, inform its employees and ensure its implementation.

In accordance with the Law of the Kyrgyz Republic No. 91 of 26.07.1999 "On protection and use of historical and cultural heritage" all OHCHs are exclusive state property and are under its protection. Archaeological sites discovered during the

archaeological reconnaissance of Section 1 belong to OHCH of local significance, and also fall into the category "identified" OHCH. At the same time, "All kinds of archaeological monuments have historical and cultural and scientific value and the status of monuments of history and culture" (Article 6.).

According to Article 31 of the Law, individuals and legal entities that carry out economic and other activities in the territory where OHCH are located or found are obliged to comply with the regime for the use of this territory, established by law. The main idea of these norms with regard to OHCH is their protection from any potential harm. According to Article 39, for violation of this Law, officials, individuals and legal entities bear criminal, administrative and other legal responsibility. Persons who harmed OHCH are obliged to reimburse the cost of measures necessary to preserve it, which does not exempt those persons from administrative and criminal liability provided for such actions.

According to Article 84 of the Land Code of the Kyrgyz Republic, the land on which OHCH are located falls under the category of "Specially Protected Natural Territories".

Each OHCH must have its own protection zone at least 50 meters from the boundaries of the monument. Also, monuments should have a buffer zone in the form of a regulation zone for buildings and areas of the historical landscape.

#### **Previous surveys**

During the preparation stage of the Project in 2016, the archaeological survey was carried for Section 1 (Chargynov T., 2016). As a result of the survey, in the immediate vicinity of the site, one archaeological site of local significance was discovered: burial mound Cholpon, according to the results of 2018 survey was designated as burial mound Cholpon 2.

In 2018, prior to the start of the construction works, a repeated archaeological examination of the site along the road was carried out, where it is planned to be reconstructed. During archaeological reconnaissance, 11 complexes of OHCH, mostly archaeological monuments, dating from the Saks Period ((VIII-III BC) to the Ethnographic Age, were discovered on the stretch of the right-of-way and in close vicinity to the road. These include the possible settlements, burial mounds and cemeteries of ethnographic period.

#### Procedures for the protection of OHCH

During the implementation of the project, OHCH will be exposed to a direct and potential danger. Any activity related to the disturbance of top soil, including clearing from vegetation, planning and development of soil, digging trenches, leveling the surface, the passage of heavy equipment can damage archaeological monuments.

To ensure the safety of OHCH located in the zone of direct or indirect impact of the works the following actions are proposed:

- establishment of protection zones detected OHCH according to the legislation before the start of works with the establishment of information boards/signs;

- conducting the archaeological test pitting works for specific OHCH;

- periodic visual monitoring of OHCH for the whole period of works;

- application of the procedures described in this Plan throughout the entire period of works.

Establishment of protection zones is carried out on the basis of the results of archaeological expertise, confirmed by the conclusion of MCIT KR and through the

scientific and design organization under the MCIT KR - "Kyrgyzrestoration". The established protection zones are approved by MCIT KR. Information boards/signs to be installed at identified protection zones near OHCH. Archaeological expertise is carried out by a specialist archaeologist, who is hired by the Company as an expert.

<u>Archaeological test pitting works</u> are carried out on the basis of the results of archaeological expertise, confirmed by the conclusion of MCIT KR. Test pitting works are carried out by a specialist archaeologist. The results of archaeological excavation works and further recommendations in the form of a scientific report are agreed with the Company/Contractor and to be submitted to MCIT KR for consideration.

<u>Monitoring</u> should cover OHCH located near the right-of-way to verify the boundaries of the OHCH protection zone for security purposes and for the presence of information boards/signs. Initial monitoring of OHCH should be carried out during construction at the sites where OHCH are located. Secondary monitoring is carried out after completion of work. Secondary monitoring to be carried out by the Coordinator and Archaeologist.

The procedure for conducting work at the sites where OHCH is located is as follows:

1) The Project Personnel and the Contractor should be aware that vehicles, especially heavy equipment, should be avoided entering the OHCH protection zone. It can cause irreparable damage;

2) The works are restricted at the territory of OHCH protection zone, this can lead to a permanent loss of the OHCH;

3) In areas where OHCH are located closer than 30 meters from the right-of-way, the rightof-way to be narrowed from 32-33 meters to 20-23 meters. In this case, the right-of-way will be separated from the OHCH protection zone by concrete blocks. Any work that causes vibration in these areas is prohibited;

4) In areas where OHCH are located at the distance less than 30 meters, it is required to carry out the archaeological works and survey the objects/cultural layers/other traces of OHCH;

4) In case of project drainage and other additional facilities are located in the OHCH protection zone, they must be changed.

## The procedure applicable when detecting potential OHCH (random findings)

The Contractor must be familiar with the procedures before commencing work. The procedures are as follows:

1) Suspend any work related to the disturbance of the top soil, including surface clearing, digging trenches, etc., within a radius of 100 m from the detected object;

2) Within 24 hours, notify the Coordinator, which in turn should notify the specialist archaeologist and the MCIT KR;

3) Mark the location of the object in order to ensure its safety and not conduct any construction works on the territory of the detected object before the arrival of the Coordinator;

4) Information about the detection of the object must be registered using the approved document (Appendix 1);

5) Earthworks outside the radius of 100 meters can be resumed subject after a survey by a specialist archaeologist, and in consultation with the MCIT KR, after they decide that the resumption of work will not have a negative impact on potentially important facilities. The

coordinator must continue to supervise earthworks in the area. Full resumption of work is possible after taking all the required measures for the protection, preservation or rescue of the detected object under the supervision of the Coordinator on the basis of the report and recommendations of the archaeologist and the conclusion of the MCIT KR.

<u>Procedures of protection of OHCH during emergencies.</u> In case of emergencies, the actions for the protection of OHCH are as follows:

1) The officer responsible for this site should, in the shortest possible time after receiving information about the emergency, notify the Coordinator about the nature and exact location of the emergency situation, as well as the measures to be taken to eliminate it;

2) The coordinator provides information on the presence or absence of OHCH in the zone of direct or indirect impact of an emergency;

3) In case of OHCH is located in the emergency zone, the Coordinator involves an archaeologist, who proposes and discusses with the members of the emergency management team their recommendations for protecting this OHCH. The coordinated measures are included in the "Operational Emergency Plan";

4) In the event of a threat of damage or accidental damage to OHCH, the Coordinator involves an archaeologist and provides the information to MCIT KR;

5) The coordinator and the archaeologist carry out control over the emergency response, after which the archaeologist examines and documents the current status of OHCH and reports to the MCIT KR;

6) In case of damage of OHCH, as a result of an emergency or work on its elimination, special measures should be developed and implemented to minimize the damage (for example, surface collections of artifacts, archaeological excavations, installation of warning signs, restoration works, etc.) compliance with the special "OHCH restoration plan";

7) Archaeologist should develop and submit to the Company "OHCH restoration plan" within 5 working days from the moment of emergency occurrence. The Contractor reviews and coordinates this plan within 5 days from the date of its submission by an archaeologist. The "OHCH restoration plan" should be approved by the MCIT KR. The Contractor must pay the costs for implementation of the plan;

8) In case of damage to the archaeological site, archaeological excavations may be required. An archaeologist will coordinate the scope of work and excavate in accordance with the Regulations on the Archaeological Field Committee, the Procedure for Archaeological Field Research and Report Scientific Documentation of the National Science Academy of the KR. The contractor incurs financial expenses for excavation, processing of the received material and preparation of the final report. The report to be submitted to MCIT KR;

9) If any findings are made during or after the emergency response by a person, this person must ensure that the findings are kept in a safe place until the handing-over given to the Coordinator or archaeologist. Archaeologist is responsible for accurate collection of findings, collection and registration of necessary data, as well as the maintenance of artifacts intact and safe and their transfer to the state museum.

## **Responsibilities**

The Company/Contractor is responsible for the safety of all OHCH located in the area of direct or indirect impact of the work under the Project. In case of damage to the cultural object due to the fault of the Company's employees and Contractor, or for other reasons related to the Project's work, the Company/Contractor undertakes to carry out restoration works on this site or financing such works.

The Company/Contractor shall not be liable for damage to OHCH located in the zone of direct or indirect impact of works under the Project that occurred as a result of actions of a third party not related to the Project activities (for example, local residents), but can provide voluntary assistance in carrying out the necessary restoration works.

## Proposal for the protection of OHCH

Priority of OHCH, subject to protection during the construction of the road, is determined on the basis of the following criteria: the location of the monument to the right-of-way, the size of the monument, historical value.

Proposals for the protection of OHCH discovered during the archaeological examination in Section 2A are set in the Table. It lists OHCH located in the zone of direct and indirect influence of the Project activities and requires certain protective measures. The table includes the name and a brief description of the OHCH and the recommendation of an archaeologist for protection and further monitoring. This information can be specified and supplemented as necessary.

<u>Table. Proposal for the protection of OHCH, detected during the archaeological survey</u> <u>of Section 2A.</u>

#	Name and brief description on OHCH	Coordinates/Section of the road	Distance from the road	Additional design measures	Protection measures
1	Possible (potential) settlement (Karakhanid Period (?))	On this section, ring spots on the soil are also fixed. 1 ceramic fragment 42°11'14.65"N 75°41'30.90"E; 2 ceramic fragment 42°11'20.00"N 75°41'22.85"E; 3 ceramic fragment42°11'20.94"N 75°41'23.89"E.	Ceramic fragments were found on the new alignment section at km 62+700 – 63+400.	Measures will be determined as per the results of the archaeological test pits.	Carry out archaeological test pitting in order to determine the cultural layer of layers and determining the boundaries of the monument by archaeologist
2	Burial mound Chekildek	General coordinates of the mound: 42°11'30.91"N 75°32'54.61"E.	115m to south of the road at km 74+900 – 75+250	Company and the Contractor should be aware about their locations on work map.	Ensure the monitoring during the construction of the road. Burial mound should also enter to the zone of a single historical and cultural landscape of section Chekildek- Buguchu.
3	Burial mound Chekildek 2 (Saks Period VIII-III BC) consists of 27 mounds.	Coordinates of the graves: #1 42°11'41.28"N 75°32'23.96"E (to north-east from the house) #2 42°11'41.32"N 75°32'23.43"E (to	48m to north of the road at km 75+850- 76+700	Company and the Contractor should be aware about their locations on work map. Location zone of the OHCH should be fenced and provided with	Ensure the creation of protection zone (at least 50 meters from the borders of each OHCH), except mound #16, which is located in 48m from the road. In this case the protection zone of

		north from the house) #3 42°11'41.91"N		informational signs.	the OHCH should be 48m from road side.
		75°32'22.99"E			
		#4 42°11'41.75"N 75°32'21.16"E			Burial mound should also enter to the
		#5 42°11'40.94"N 75°32'21.88"E			zone of a single historical and cultural landscape of
		#6 42°11'40.28"N 75°32'21.57"E (in 48m to north of the road)			section Chekildek- Buguchu. Ensure the
		#7 42°11'41.50"N 75°32'14.11"E			monitoring during the construction of
		#8 42°11'42.02"N 75°32'14.06"E			the road.
		#9 42°11'42.47"N 75°32'12.14"E			
		#10 42°11'42.00"N 75°32'10.54"E			
		#11 42°11'41.63"N 75°32'8.75"E			
		#12 42°11'41.56"N 75°32'6.33"E			
		#13 42°11'41.84"N 75°32'5.00"E			
		#14 42°11'41.41"N 75°32'4.85"E			
		#15 42°11'41.59"N 75°32'3.77"E			
		#16 42°11'41.89"N 75°32'2.63"E			
		#17 42°11'41.63"N 75°32'0.44"E			
		#18 42°11'41.19"N 75°32'0.53"E			
		#19 42°11'41.19"N 75°32'0.53"E			
		#20 42°11'40.58"N 75°32'0.40"E			
		#21 42°11'41.16"N 75°31'58.40"E			
		#22 42°11'40.81"N 75°31'58.57"E.			
4	Burial mound Chekildek 3	The coordinates of the mounds are as follows:	100m to north of the	Company and the Contractor	Ensure the creation of protection zone
	(Saks Period VIII-III BC)	#1 42°11'38.84"N 75°31'44.20"E;	road at km 76+750-	should be aware about their	(at least 50 meters from the borders of
	consists of 3 royal type	#2 42°11'39.67"N	77+100	locations on work map.	each OHCH). The complex should
	mounds.	75°31'45.92"E;		Location zone of	also enter to the

		#3 42°11'39.67"N 75°31'45.92"E.		the OHCH should be fenced and provided with informational signs.	zone of a single historical and cultural landscape of section Chekildek- Buguchu. Conduct monitoring during the road
5	Burial mound Chekildek 4 (Saks Period VIII-III BC) consists of 22 mounds.	Coordinates are as follows: #1 42°11'36.31"N 75°32'31.37"E #2 42°11'36.11"N 75°32'30.13"E #3 42°11'36.12"N 75°32'29.02"E #4 42°11'35.99"N 75°32'27.87"E #5 42°11'35.88"N 75°32'26.39"E #6 42°11'35.73"N 75°32'25.79"E #7 42°11'35.73"N 75°32'24.86"E #8 42°11'35.73"N 75°32'24.86"E #8 42°11'35.81"N 75°32'23.94"E #10 42°11'35.81"N 75°32'23.94"E #11 42°11'35.81"N 75°32'23.94"E #11 42°11'35.81"N 75°32'23.00"E #11 42°11'35.81"N 75°32'23.00"E #13 42°11'35.72"N 75°32'22.73"E #14 42°11'35.72"N 75°32'22.50"E #15 42°11'35.70"N 75°32'22.50"E #15 42°11'35.70"N 75°32'22.50"E #16 42°11'35.70"N 75°32'12.06"E #16 42°11'35.20"N 75°32'12.06"E #16 42°11'35.20"N 75°32'10.78"E #17 42°11'34.40"N 75°32'10.78"E #19 42°11'34.40"N 75°32'3.86"E #20 42°11'34.44"N 75°32'2.89"E #19 42°11'34.44"N 75°32'2.82"E #21 42°11'34.44"N 75°32'1.28"E #22 42°11'34.37"N 75°32'1.28"E #24 42°11'33.92"N 75°32'1.28"E #24 42°11'33.42"N	50 meters and further at km 75+700- 76+850	Company and the Contractor should be aware about their locations on work map. Location zone of the OHCH should be fenced and provided with informational signs.	construction. Ensure the creation of protection zone (at least 50 meters from the borders of each OHCH). Burial mound should also enter to the zone of a single historical and cultural landscape of section Chekildek- Buguchu. Conduct monitoring during the road construction.

6	Burial mound Buguchu (Saks Period VIII-III BC), consists of 5 large royal type mounds and 5 small mounds.	#26       42°11'32.59"N         75°31'50.63"E       #27         #27       42°11'32.29"N         75°31'48.52"E.       #28         #28       42°11'32.97"N         75°31'47.93"E.       3         Coordinates       are       as         follows:       are       as         #1: 42°11'31.37"N       75°30'55.72"E         #2: 42°11'31.07"N       75°30'54.12"E         #3: 42°11'30.73"N       75°30'57.22"E         #4 42°11'30.73"N       75°30'56.97"E         #5 42°11'29.50"N       75°30'56.97"E         #6 42°11'23.35"N       75°30'54.31"E         #7 42°11'21.05"N       75°30'53.93"E         #8 42°11'20.25"N       75°30'53.75"E         #9 42°11'18.09"N       75°30'53.18"E         #10 42°11'35.76"N       75°30'58.89"E         #11 42°11'35.80"N       75°30'56.64"E         #12       42°11'27.51"N         75°30'56.64"E       #12	Close to the road (3 mounds), the fill of the mounds were damaged during the construction of the existing road. Mound #4 is located in 15m to north of the road. Mound #5 is located in 30m to south of the road at km 77+930- 78+270	It is necessary to conduct complete archaeological excavations in order to obtain scientific data and archaeological material and then provide the adjacent to the road site for the construction of the road. Company and the Contractor should be aware about their locations on work map. Location zone of the OHCH should be fenced and provided with informational signs.	Ensure the creation of protection zone (at least 50 meters from the borders of each OHCH). Burial mound should also enter to the zone of a single historical and cultural landscape of section Chekildek- Buguchu. Conduct monitoring during the road construction.
7	Burial mound Buguchu 2 (Saks Period VIII-III BC), consists of 6 large royal type mounds and about 30 medium and small mounds.	Coordinates of some mounds: #1 42°11'32.52"N 75°30'38.41"E #2 42°11'32.75"N 75°30'38.94"E #3 42°11'33.31"N 75°30'38.87"E #4 42°11'33.74"N 75°30'38.72"E #5 42°11'33.74"N 75°30'38.59"E #6 42°11'33.61"N 75°30'37.82"E #7 42°11'33.61"N 75°30'37.64"E #8 42°11'32.99"N 75°30'37.04"E #9 42°11'33.42"N 75°30'37.17"E #10 42°11'33.73"N 75°30'37.22"E	50m and further to north of the road at km 78+370- 79+100	Company and the Contractor should be aware about their locations on work map. Location zone of the OHCH should be fenced and provided with informational signs.	Ensure the creation of protection zone (at least 50 meters from the borders of each OHCH). Burial mound should also enter to the zone of a single historical and cultural landscape of section Chekildek- Buguchu. Conduct monitoring during the road construction.

8	Burial mound	#11 42°11'34.10"N 75°30'37.01"E #12 42°11'34.48"N 75°30'37.35"E #13 42°11'34.87"N 75°30'39.16"E #14 42°11'35.40"N 75°30'37.54"E. #15 42°11'31.67"N 75°30'33.67"E #16 42°11'31.01"N 75°30'32.88"E #17 42°11'30.58"N 75°30'25.92"E #18 42°11'34.11"N 75°30'21.38"E (с кольцевой выкладкой) #19 42°11'29.53"N 75°30'12.82"E # 20 42°11'32.99"N 75°30'8.26"E.	60m and	Company and the	Ensure the creation
8	Buriai mound Buguchu 2 (Saks Period VIII-III BC), consists of 8 mounds.	Coordinates       of       the         mounds are as follows:         #1       42°11'30.13"N         75°30'2.95"E         #2       42°11'29.99"N         75°30'2.65"E         #3       42°11'29.74"N         75°30'2.55"E         #4       42°11'29.63"N         75°30'2.48"E         #5       42°11'29.77"N         75°29'59.90"E         #6       42°11'28.89"N         75°29'58.83"E         #7       42°11'28.22"N         75°29'58.59"E         #8       42°11'27.93"N         75°29'59.40"E.	further to north of the road at km 79+150- 79+300	Company and the Contractor should be aware about their locations on work map. Location zone of the OHCH should be fenced and provided with informational signs.	<ul> <li>Ensure the creation of protection zone (at least 50 meters from the borders of each OHCH).</li> <li>Burial mound should also enter to the zone of a single historical and cultural landscape of section Chekildek-Buguchu.</li> <li>Conduct monitoring during the road construction.</li> </ul>
9	Ethnographic Period burial mound (18-19 centuries)	Coordinates of the cemetery is as follows: 42°11'34.43"N 75°29'58.44"E.	230m to north of the road at km 79+150- 79+300	Company and the Contractor should be aware about their locations on work map.	Conduct monitoring during the road construction.
10	Burial mound Cholpon (Saks Period VIII-III BC), consists of 13 large and small mounds.	On         first         terraced           protrusion:         #1         42°11'13.38"N           75°28'12.64"E         #2         42°11'13.10"N           75°28'12.10"E         #3         42°11'13.17"N           75°28'11.23"E         #4         42°11'13.33"N           75°28'10.52"E         #5         42°11'12.61"N	50m and further to south of the road at km 81+400- 81+600	Company and the Contractor should be aware about their locations on work map. Location zone of the OHCH should be fenced and provided with informational signs.	Ensure the creation of protection zone (at least 50 meters from the borders of each OHCH). Burial mound should also enter to the zone of a single historical and cultural landscape of section Cholpon. Conduct monitoring

11	Burial mound	75°28'10.83"E. On second terraced protrusion: #1 42°11'10.96"N 75°28'12.79"E #2 42°11'10.24"N 75°28'13.08"E #3 42°11'9.70"N 75°28'13.97"E #4 42°11'9.43"N 75°28'12.37"E #5 42°11'9.81"N 75°28'11.96"E #6 42°11'9.69"N 75°28'11.52"E #7 42°11'9.74"N 75°28'11.10"E #8 42°11'9.48"N 75°28'10.49"E.	At km		during the road construction.
	Cholpon 2 (Saks Period VIII-III BC), consists of 13 mounds.	mounds are as follows: #1 42°10'59.90"N 75°27'15.90"E #2 42°10'58.95"N 75°27'16.06"E #3 42°10'58.84"N 75°27'17.08"E #4 42°10'58.42"N 75°27'17.22"E #5 42°10'57.86"N 75°27'16.89"E #6 42°10'58.02"N 75°27'16.43"E #7 42°10'58.18"N 75°27'16.05"E #8 42°10'58.80"N 75°27'11.93"E #10 42°10'58.80"N 75°27'11.93"E #10 42°10'58.56"N 75°27'9.53"E Separately located mounds. #1 42°10'54.84"N 75°27'0.05"E #3 42°10'54.24"N 75°26'57.10"E.	83+000- 83+600	Contractor should be aware about their locations on work map. Location zone of the OHCH should be fenced and provided with informational signs.	of protection zone (at least 50 meters from the borders of each OHCH). Burial mound should

For all discovered OHCH in the area of the Cholpon, it is necessary to create a single zone of historical and cultural landscape. Between burial mounds Cholpon and Cholpon 2 on a Google Earth program were detected other potential OHCH.

#### Protection measures

Main protection measures of archaeological monuments are:

1) The designation of the boundaries of the protection zone along its perimeter, from the side adjacent to the right-of-way (according to the belt-and-pillar principle) install on strong posts the information/warning signs: "Monument of Archeology. The border of protection zone. It is protected by the law of the Kyrgyz Republic. Earthworks and entry of vehicles are prohibited!";

2) Constant archaeological monitoring in areas of "heightened sensitivity of cultural objects", where objects are located close to the right-of-way (less than 50 meters) and where there is a risk of finding random OHCH;

3) Conducting an additional survey when changing the road alignment, design of drainage and other objects;

4) Conducting the full archaeological survey by archaeological excavations of OHCH which are located at the distance of 30 meters.

In case of damage, dilapidation, theft or other circumstances that led to the inoperability or lack of information/warning signs, the Company/Contractor to restore them.

The duration of the preservation of the proposed measures for the protection of OHCH is designed for the construction period of the Project. If necessary, the nature of the protection measures can be adjusted. In the event that new OHCH are identified, the table will be updated.

# REHABILITATION AND UPGRADING OF ADDITIONAL FINANCE ROAD SECTION 2A JAPAN OVERSEAS CONSULTANTS LTD

CONSTRUCTION AND OPERATIONAL NOISE ASSESSMENT

**FINAL REPORT** 

**MAY 2018** 

# CONTENTS

- 1. Introduction
- 2. Baseline Noise Levels
- 3. Construction Noise: Calculation and Assessment
- 4. Operational Noise: Calculation and Assessment
- 5. Summary

#### 1. INTRODUCTION

Japan Overseas Consultants Co. (JOC) has been appointed by the Ministry of Transport and Roads (MOTR) to conduct engineering design and environmental assessment for the rehabilitation of three sections of the A367 road in the Kyrgyz Republic. These are shown in Figure 1 below and include:

Section 1 which runs from the outskirts of Balykchy c.40km in a westerly direction, passing though the village of Tash Saray

Section 2A runs from Kochkor to Epkin c. 25km in a westerly direction through the villages of Kokjar, Chekildek, Cholpon and Akyuyk. This scheme and Section 1 are referred to as Additional Finance Roads.

Section 2B runs from Epkin c.70km to Bashkugandy passing through the villages of Jumgal, Kuiruchuk, and Tugot Say, ending just before the village of Dyikan. This Section of road is referred to as the connector road and finance is already in place for this scheme.

Initial Environmental Examinations (IEE) have been completed for each of the three road sections, however The Asian Development Bank (ADB), which is funding the rehabilitation, has requested that JOC update the IEE's to include an assessment of the potential noise and vibration effects which might arise from construction and operation of the three sections of road. JOC has in turn, retained specialist noise and vibration engineers to carry out this work as set out in the Terms of Reference (ToR) [1].

This study addresses the potential noise effects for Section 2A.



# Figure 1. Location of Additional Finance Section 2A

An initial site visit was made in December 2017 to identify potential noise sensitive receptors alongside the road. A report [2] was prepared setting out the findings of the visit, and an outline of the proposed scope of the study.

The principal elements of the study are to:

- review existing noise monitoring data obtained at dwellings alongside the road and if necessary to carry out additional monitoring. A description of these measurements is set out in Section 2 of this report;
- calculate and assess the potential noise effects arising from rehabilitation or construction of the road, at dwellings and community facilities alongside the road. The calculation method used and details of the plant are described in Section 3 of this report, followed by an explanation of the assessment criteria used, the results of the assessment and potential means of mitigating these effects; and
- calculate and assess the potential noise effects arising from operation of the road as a result of the rehabilitation, both in the year of opening (2019), and for future operation of the road in the year 2034. The calculation method used and working assumptions are described in Section 4, followed by a description of the assessment criteria and results of the assessment, supported in the form of noise contour mapping in Appendix I.

A summary of the findings of the study is set out in Section 5.

Throughout the report use is made of technical descriptions and these are described in the Glossary of Terms which is set out at the end of the report.

#### 2. BASELINE NOISE LEVELS

In Section 2A, baseline noise levels at dwellings in the villages alongside the road are dominated by road traffic noise but progressively further away from the road traffic on local roads and day to day activities at dwellings will contribute to overall noise levels.

An initial baseline noise survey was carried out in 2015, however there was no supporting documentation of the procedures (duration, equipment etc) used, and it was therefore deemed necessary to carry out additional monitoring as part of this study. Noise monitoring was carried out by JOC in May 2018, using equipment and methodology in compliance with the procedures set out in ISO 1996-2 2017. Short term monitoring comprised two non-contiguous one hour measurements at each chosen site. In addition, monitoring over a 24hr period was carried out at a site in. The results of the short term and 24hr monitoring are shown in Tables 1a and 1b below.

The results of the short term monitoring, when corrected from freefield to façade (+3dB) show good agreement with calculated road traffic noise levels, though the results of the 24 hour monitoring show calculated day and night time road traffic noise levels to be higher than measured levels.

Rec	Distance	Location	Date	Start Hour	L <sub>Aeq, 1hr</sub>	Model
No.	to road			noui		Output
					(dB)	(dB)
1	35	Admin Building	3/5/18	10:55:05	55.0	57.4
		Kokjar	3/5/18	16:17:06	54.4	
n/a	100	House	3/5/18	08:18:47	39.6	n/a
		Ak yuyk	3/5/18	13:41:58	37.5	
21	29	Mosque	1/5/18	16:38:41	54.1	58.1
			3/5/18	09:29:02	54.7	
			3/5/18	14:53:02	54.2	

Table 1a. Results of Short term noise monitoring Section 2A

	Day	Eve	Night
	07:00-19:00	19:00-23:00	23:00-07:00
Measured noise level (dB) (corr to fac.)	57.0	56.3	52.6
Calculated road traffic noise level (dB)	60.1	n/a	57.1

Table 1b. Results of 24hr monitoring noise monitoring. Dwelling in Ak yuyk Section 2A

# 3. CONSTRUCTION NOISE: CALCULATION AND ASSESSMENT

# 3.1 Calculation of Construction Noise

Noise levels from road construction have been calculated using the method set out in Part 1 of the British Standard BS 5228: 2009 'Code of Practice for Noise and Vibration Control on Construction and Open Sites' [3]. The procedures defined in the Standard are incorporated in the NoiseMap 5.2 computer software which has been used in this study. This is an Internationally recognised software package used for calculation of noise from transportation systems and construction noise and is used in the UK and world-wide.

The method takes account of factors including the sound power level and usage (percentage 'on-time') of construction plant, and the attenuation of noise with distance (including ground absorption) and as a result of screening provided by local topographical features. The Standard BS5228 also contains a schedule of noise source terms for construction plant, from which the sound power levels used in this study have been taken.

Noise calculations have been made at specific locations, termed 'receptors', placed at the façade of buildings. The results of these calculations represent noise levels both at those buildings and groups of nearby buildings at a similar distance from the road.

Daytime construction noise levels have been calculated to give the average level ( $L_{Aeq,12hr}$ ) over the working period. In order to give a worst-case assessment, noise levels have been calculated at the nearest position on the road to each receptor and it has been assumed that construction would be continuous over the working day.

#### Assumptions: Construction Noise Calculations

It has been assumed in the calculations that the rehabilitation of the road would comprise the following principal activities carried out during normal daytime working:

- Stage 1. Removal of earth on shoulders of widened sections/excavation and concreting (where applicable) of ditches
- Stage 2. Breaking up of existing road, loading spoil onto trucks and move off site
- Stage 3. Laying new subgrade and vibratory compaction (where applicable)
- Stage 4. Laying new asphalt with paving machine.

A schedule of sound power levels for construction plant, and percentage on times for the construction activities is set out in Table 2 overleaf.

Digital mapping of the existing and proposed road schemes has been supplied by JOC. Whilst the mapping is accurate, in places there is limited detail of topographical features once away from the road, and only those houses nearest to the road are marked. This has limited the accuracy of noise calculations and also the spatial scope of the assessment. Barrier effects beyond the first row of houses have been ignored and it is has not been possible to carry out noise calculations at buildings further away from the road as their location is not shown on the mapping.

Stage	Activity	Plant Description	L <sub>wA</sub> (dB)	% on time
1	ground preparation	40T dumper truck	107	50
		40T dumper truck	107	50
		21t excavator	106	90
		D4 bulldozer	106	50
		Concrete Mixer truck	103	20
2	Asphalt breaking	21t excavator	106	90
		21t excavator	106	90
		40T dumper truck	107	50
		front end loader	111	90
		40T dumper truck	107	50
3	sub base/	40T dumper truck	107	30
	preparation	18T vibration roller	107	50
		D4 bulldozer	106	50
		grader	111	30
		40T dumper truck	107	30
4	Asphalt laying	18T vibration roller	107	50
		Road Pavement Laying Machine	103	50

 Table 2. Plant Sound Power Levels and Usage

# **3.2 Assessment of Construction Noise**

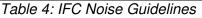
The Kyrgyz National Noise Standards are set out in Table 3. These take the form of design aims or noise limits, which are not sufficient for use for environmental impact assessment where the effects of change in noise levels need to be considered. In addition, the absolute noise levels provided in the Table do not provide specific internal noise levels for the community facilities identified within this study including for example shops or mosques.

Description of Activity / Category	L <sub>Aeq,T</sub>	L <sub>Amax,F</sub>
Areas immediately adjacent to hospitals and sanatoriums	Day 45	Day 60
· · · · · · · · · · · · · · · · · · ·	Night 35	Night 50
Areas immediately adjacent to dwellings, polyclinics, dispensaries, rest homes, holiday hotels, libraries,	Day 55	Day 70
schools, etc.	Night 45	Night 60
Areas immediately adjacent to hotels and dormitories	Day 60	Day 75
	Night 50	Night 65
Recreational areas in hospitals and sanatoriums	35	50
Rest areas at the territories of micro-districts and building estates, rest houses, sanatoriums, schools, homes for the aged, etc.	45	60

Table 3: Kyrgyz Noise Standards

The International Finance Corporation (IFC) Guidelines [4] are set out in Table 4 below. These are again in the form of design aims, which it states have been taken from WHO Guidelines and which should not be exceeded. The levels of 55dB and 45dB for day and night time for dwellings are identical to those included in the Kyrgyz Standards, however they are levels below which there are no demonstrable effects of noise on health, and not levels at which there would be considered to be significant noise impacts. The level of 70dB for industrial and commercial premises is not appropriate as an environmental noise standard and is based on a WHO hearing damage criterion.

Receptor	Noise Level Guideline L <sub>Aeq,1hr</sub> (dBA)	S
	Daytime (07:00 - 22:00)	Night time (22:00 - 07:00)
Residential; institutional; educational	55	45
Industrial; commercial	70	70



The IFC guidelines also state that noise increases should not exceed 3dB, but do not distinguish between the assessment of temporary effects e.g. construction activities, and permanent effects e.g. changes in road traffic noise. However, in order to remain compliant with IFC Guidelines a noise change of 3dB is used to identify a significant construction noise impact, which is then rated using the semantic descriptors in Table 5 below.

Noise change (dB)	Description of Impact	Effect
Increase of 0.1-2.9 dB	Negligible	No Effect
Increase of 3.0-9.9 dB	Low	
Increase of 10.0-14.9 dB	Moderate	Negative Effect
Increase of 15 dB or more	Major	

Table 5. Semantic Description of Construction Noise Impact

The Guidelines also recommend that WHO Guidelines (1999) should be referred to for indoor noise levels, however these do not specify noise levels for the particular building uses which are required in this study, for example shops, offices or places of worship. Therefore, in this study reference has been made to the British Standard BS 8233 (2014) [5] and relevant internal noise criteria from the Standard are set out in column 2 of Table 6. These are for the most part equivalent to the noise levels in the Kyrgyz Standards.

It is generally assumed that for transient short term noise, i.e. noise from construction activities, occupants of buildings will close windows and doors or use other areas within buildings whilst the activity is being carried out. Typically for a single glazed window, there will be a reduction of c.25dB between external and internal noise levels when windows are closed and thus external noise criteria for specific building types can be derived from the internal noise levels and these are included in column 3 of Table 6.

Many of the dwellings considered in this study have walls constructed from adobe which has a slightly lower sound reduction index ( $R_w$ =43dB) in comparison to a typical brick built wall ( $R_w$ =48). However, it is still sufficiently high that internal noise levels resulting from external environmental noise will be dominated by sound transmission through the windows (single glazed  $R_w$ =28dB) rather than the wall. Thus, the figures in Table 6 apply equally to buildings constructed from adobe.

Receptor	Noise Level LA	<sub>leq Т</sub> (dBA)
	Internal	External
School Classroom	40	65
Shop	55	80
Cafe	45	70
Office/ Village Administration Building	50	75
Mosque	35	60

Table 6: Internal and External Construction Noise Levels for Community Facilities

#### Summary of Construction Noise Assessment Criteria

In summary, the criteria by which construction noise has been assessed are as follows:

#### Dwellings

A significant noise impact has been identified where there is an increase in day or night time noise level of 3dB or more and the terms in Table 5 have been used to describe the noise impact.

Compliance with the noise limits set out in the IFC Guideline daytime noise levels in Table 4 will also be addressed.

#### Community Facilities

A significant noise impact has been identified where there is an increase in noise levels of 3dB or more and/or internal noise levels exceed those set out in Table 6.

#### 3.3 Results of Construction Noise Assessment

The results of construction noise calculations are presented in Table 7 overleaf.

The first column gives the receptor number (abbr.'rec') followed by, in column 2, the village name or type of receptor e.g. shop or house (hse.). The location of the receptor number within the village is shown on the noise contour mapping in Appendix I which should be referred to in conjunction with the Tables.

The construction noise tables then give in column 3 the daytime baseline noise levels  $L_{Aeq,12hr(dB)}$  taken from the road traffic noise calculations. This level is used to calculate the noise increase for the four construction activities presented in the Table.

Details of construction noise effects are set out below for each of the villages in Section 2A including Kokjar, Chekildek, Cholpon and Ak yuyk.

The level of baseline (existing ambient) noise levels in each village are compared with IFC Guidelines, and for non-residential properties internal noise levels (with windows open) are compared with the relevant criterion for each building. This is followed by the discussion of construction noise impacts.

		Baseline			Activity Co	nstruction No	oise Levels and	Noise Increa	ISE	
		Noise	Preparation		Asphalt		Sub-base ar	nd base	Asphalt	
Rec.		2018			Breaking				Laying	
No.	Location	L <sub>Aeq,12hr (dB)</sub>	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$	L <sub>Aeq,12hr (dB)</sub>	$\Delta  dB$
1	Kokjar Admin	57.4	62.7	5.3	66.0	8.6	62.8	5.4	57.8	0.4
3	Kokjar Hse	55.8	72.0	16.2	75.3	19.5	72.2	16.4	67.1	11.3
4	Kokjar Hse	55.3	69.4	14.1	72.6	17.3	69.5	14.2	64.4	9.1
5	Kokjar Hse	59.7	75.0	15.3	78.3	18.6	75.1	15.4	70.0	10.3
6	Kokjar Hse	54.7	69.3	14.6	72.6	17.9	69.4	14.7	64.4	9.7
7	Chekildek Hse	58.1	68.9	10.8	72.2	14.1	69.0	10.9	63.9	5.8
8	Chekildek Hse	59.8	77.7	17.9	81.0	21.2	77.9	18.1	72.8	13.0
9	Chekildek Hse	58.6	73.6	15.0	76.9	18.3	73.8	15.2	68.7	10.1
10	Chekildek Hse	57.5	74.5	17.0	77.8	20.3	74.7	17.2	69.6	12.1
11	Chekildek Hse	62.2	80.8	18.6	84.1	21.9	81.0	18.8	75.9	13.7
12	Chekildek Hse	59.8	77.5	17.7	80.8	21.0	77.7	17.9	72.6	12.8
13	Chekildek Hse	59.2	77.3	18.1	80.6	21.4	77.5	18.3	72.4	13.2
14	Cholpon Shop	64.8	83.7	18.9	87.0	22.2	83.8	19.0	78.8	14.0
15	Cholpon Cafe	62.1	80.4	18.3	83.7	21.6	80.5	18.4	75.5	13.4
16	Ak yuyk Hse	57.7	74.9	17.2	78.2	20.5	75.0	17.3	69.9	12.2
17	Ak yuyk Hse	61.1	79.8	18.7	83.1	22.0	80.0	18.9	74.9	13.8
18	Ak yuyk Hse	60.1	77.6	17.5	80.9	20.8	77.7	17.6	72.7	12.6
19	Ak yuyk Hse	60.2	75.5	15.3	78.8	18.6	75.6	15.4	70.6	10.4
20	Ak yuyk Hse	61.8	80.0	18.2	83.3	21.5	80.1	18.3	75.1	13.3
21	Mosque	58.1	75.2	17.1	78.5	20.4	75.3	17.2	70.2	12.1
22	Ak yuyk Hse	58.5	73.8	15.3	77.1	18.6	74.0	15.5	68.9	10.4

Table 7: Results of Construction Noise Calculations

# Kokjar

#### **Baseline Noise Levels**

In Kokjar existing ambient noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 5dB during the daytime.

#### **Construction Noise Effects**

The majority of the houses in Kojkar are set back from the road and though there will be major noise impacts when construction activities are close by, with windows closed internal levels will be below the threshold at which speech interference would occur. At the Administration building, with windows closed, internal noise levels will still meet internal noise criterion for office working.

#### Chekildek

#### **Baseline Noise Levels**

In Chekildek existing ambient noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 8dB during the daytime.

#### Construction Noise Effects

Construction of the road will give rise to major noise impacts at dwellings in Chekildek and as houses in this village are closer to the road, internal noise levels will be correspondingly higher and may give rise to speech interference effects when activities are directly adjacent to individual houses.

#### Cholpon

The village of Cholpon lies at sufficient distance from the road that significant construction noise effects would not occur. However, there are village amenities potentially affected by the scheme lying adjacent to a junction (at which the road is to be widened) leading to the village. These include 2 shops and a café to the north of and almost adjacent to the road, and to the south of the road a further shop and a petrol station c. 13m from the road.

#### **Baseline Noise Levels**

At the junction to Cholpon existing internal noise levels within the shops alongside the road are below internal noise criterion however internal noise levels in the café of c. 47dB already exceed the relevant criterion.

#### **Construction Noise Effects**

The shops and café at the junction are at the roadside and internal noise levels during construction may cause problems with speech interference when work is taking place directly outside the buildings. It may be possible to erect temporary hoardings immediately outside the buildings at the junction to provide noise mitigation during construction works.

# Ak yuyk

#### **Baseline Noise Levels**

In Ak yuyk existing ambient noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 7dB during the daytime.

Internal noise levels in the Mosque which is c.30m from the road will also exceed the internal noise criterion for a place of worship.

#### **Construction Noise Effects**

Construction of the road will give rise to major noise impacts at houses in Ak yuyk and may also cause speech interference effects when activities are directly adjacent to individual houses. The use of the Mosque may also be impaired during working on the road immediately adjacent, however it may be possible to mitigate this effect by arranging work breaks to coincide with prayer times.

#### **Mitigation of Construction Noise**

Noise effects arising from construction of road schemes are transient and it is not normal practice to provide mitigation in the form of barriers.

Good communication with affected communities is often the most effective way to manage potential construction noise impacts. Therefore, the Contractor should keep local residents informed of the progress of the works, including when and where the noisiest activities will be taking place and how long they are expected to last. All noise complaints should be effectively recorded, investigated and addressed Account should be taken of the needs of residents in choice of working hours and where possible these should be chosen to

- Avoid night time and weekend working;
- Avoid working near mosques during prayer time; and to
- Carry out works near schools during holiday periods

In addition, the Contractor should consider general good working practices including the following which are particularly relevant to road construction:

- Modern, silenced and well-maintained plant and construction equipment should be used;
- All vehicles and plant should be fitted with effective exhaust silencers which should be maintained in good and efficient working order.
- Fitted acoustic covers should be kept in a good state of repair and should be kept closed when plant is in use.
- vehicles should not wait or queue on the road with engines running and plant in intermittent use should be shut down when not in use or where this is impracticable, throttled down to a minimum.
- If a site compound, or materials storage area is to be used, both it and any static plant within it should be sited as far as is practicable from noise sensitive buildings.
- Where activities, including delivery of material to site, cannot take place during normal working hours they should be carried out as close to normal working hours as is reasonably practicable.

- Concrete mixers should not be cleaned by hammering the drums.
- When handling materials, care should be taken not to drop materials from excessive heights.

# 4. OPERATIONAL NOISE: CALCULATION AND ASSESSMENT

#### 4.1 Calculation of Road Traffic Noise

Road traffic noise levels from the existing and rehabilitated road have been calculated using the Noisemap 5.2 computer model incorporating the method set out in the 'Calculation of Road Traffic Noise' (CRTN) [6]. This method takes into account factors including the speed and number of vehicles, the traffic mix (i.e. the percentage of heavy goods vehicles), the distance between the road and dwellings, and local topographical features. Road traffic noise at dwellings and community facilities within the villages have been calculated for both the year of opening (2019), both with and without the scheme, and for fifteen years after opening (2034), again, both with and without the scheme. This will enable the assessment of both short and long-term effects arising from the scheme, and follows the procedure set out in the UK Design Manual for Roads and Bridges [7].

# Assumptions: Road traffic noise calculations

#### Road traffic flows

The following road traffic data have been provided by JOC:

- a. Road traffic counts for section 2A giving a breakdown of hourly road traffic flows by vehicle type
- b. 24-hour 2 way forecast road traffic flows for 2015 by vehicle type, taking into account diverted traffic. This has been assumed to be the baseline road traffic condition (as confirmed by JOC [8]).
- c. Forecast increase in traffic flows

The intensification in road traffic resulting from the scheme itself is predicted to be negligible, giving rise to a noise increase of c.0.04 dB in the year of opening. Day and night time road flows for the years 2018, 2019 and 2034 are set out in Table 8 below and were derived from these data in the following manner:

*2018 baseline*. Traffic flow (b) plus intensification (c) (2015-2018). Hourly breakdown of vehicle type taken from (a) but adjusted to take into account additional diverted traffic flows (b).

*2019 with and without scheme*. Traffic flow (b) plus intensification (c) (2015-2019). Hourly breakdown of vehicle type taken from (a) but adjusted to take into account additional diverted traffic flows (b).

2034 with scheme. 2019 traffic flows plus 15 years intensification

Road traffic speeds were also supplied by JOC and assumed to be 95kph outside the settlement areas and 60kph within the villages.

Scenario	Day		Evening		Night	
	Total	%HGV	Total	%HGV	Total	%HGV
	no.		no.		no.	
2018 Baseline flows	3158	15	821	23	481	33
2019 pre and post 2A	3324	15	864	23	506	33
2034 flows 2A	6100	15	1585	23	928	33

Table 8. Road Traffic Flow
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#### <u>Mapping</u>

Digital mapping of the existing and proposed road schemes has been supplied by JOC. Whilst the mapping is accurate, in places there is limited detail of topographical features once away from the road, and only the nearest houses to the road are marked. This limits the accuracy of noise calculations and also the spatial scope of the assessment. Barrier effects beyond the first row of houses have been ignored and it has not been possible to carry out noise calculations at buildings further away from the road as their location is not shown on the mapping. This has to some extent been remedied by the use of noise contour mapping which has enabled the extent of noise changes to be determined and hence, by referring to aerial photography in Google Earth, to estimate the number of dwellings which will experience a significant noise change arising from the scheme.

# 4.2 Assessment of Operational Noise

The Kyrgyz National Noise Standards and IFC Guidelines have been discussed in detail in Section 3.2 and hence only specific comments relating to road traffic noise will be included in this Section.

The assessment of community response to change in road traffic noise will be assessed by considering the change in noise levels  $(L_{Aeq,T})$  during the day and night time periods. In agreement with IFC Guidelines, a noise change of 3dB is used to identify a significant operational noise impact, which is then rated using the semantic descriptors in Table 9 below.

In addition, a significant risk of sleep disturbance will be identified for residents of dwellings at which night time road traffic noise levels are greater than or equal to 55 dB  $L_{Aeq,8hr}$  (2300-0700 hrs) (outdoors). This is the Interim Target set out in the WHO Night Noise Guidelines for Europe [9] and is based on the assessment of internal noise levels with windows assumed to be open.

The assessment will also take into account the IFC day and night time residential limits set out earlier in Table 4. In the case of non-residential buildings, the internal noise criteria proposed in Section 3 will be used. However, for permanent noise effects, i.e. road traffic noise from the scheme, windows should be assumed to be open. Typically, for single glazed windows, there will be a reduction of c.15dB between external and internal noise levels when windows are open. External noise criteria for specific building types can therefore be derived for operational noise and these are included in Table 10.

Noise change (dB)	Description of Impact	Effect				
Decrease of 3 dB or more	Significant decrease	Positive Effect				
Increase of 0.1-2.9 dB	Negligible	No Effect				
Increase of 3.0-4.9 dB	Minor					
Increase of 5.0-9.9 dB	Moderate	Negative Effect				
Increase of 10 dB or more	Major					

 Table 9. Semantic Description of Long Term Road Traffic Noise Impact

Receptor	Noise Level LAeq,T (dBA)							
	Internal	External						
		(windows open)						
School Classroom	40	55						
Shop	55	70						
Cafe	45	60						
Village Administration Building	50	65						
Mosque	35	50						

Table 10: Internal and External Noise Levels for Community Facilities

#### Summary of Operational Noise Assessment Criteria

In summary, the criteria by which operational noise have been assessed are as follows:

#### Dwellings

A significant noise impact has been identified where there is an increase in day or night time noise level of 3dB or more and the terms in Table 9 have been used to describe the noise impact.

In addition, a significant noise impact associated with sleep disturbance at residential receptors has been identified where there will be an increase in  $L_{Aeq,8hr}$  of greater than or equal to 1dB and night time road traffic noise levels are greater than or equal to 55 dB  $L_{Aeq,8hr}$ .

Compliance with the noise limits set out in the IFC Guideline day and night time noise levels in Table 4 will also be addressed.

#### Community Facilities

A significant noise impact has been identified where there is an increase in noise levels of 3dB or more and/or internal noise levels exceed those set out in Table 10.

# 4.3 Results of Operational Noise Assessment

# Preamble

The results of operational noise calculations are presented in Table 11 overleaf. The left hand side of the Tables is in a similar format to the construction noise results Table, with the first column giving the receptor number followed by, in column 2, the village name or type of receptor e.g. shop or house (hse.). The location of the receptor number within the village is shown on the noise contour mapping in Appendix I which should be referred to in conjunction with the Tables.

Day and night time noise levels and changes in road traffic noise level are presented for short term effects (Post Scheme 2019) and long term (Post Scheme 2034) noise effects, presented to an accuracy of 0.1 dB.

The noise contour maps give an estimate of the spatial extent of the daytime long-term noise change from the year 2019 without the scheme to 2034. The estimate is based on the assumption that there is no additional screening beyond that provided by the first row of houses, as marked on mapping, and that the existing ambient noise level without traffic on the road i.e. noise generated during the daytime by traffic on local roads and daily activities at dwellings is 40dB. This figure is typical of daytime noise levels in a rural village with few local traffic movements and is below the lowest level measured in the initial baseline survey of this Section. Note: the grid shown on the maps is at 500m spacing.

Further mapping is provided in Appendix 1, Figures A5-A8, illustrating the zones, coloured in red, in which occupants of buildings may experience increased risk of sleep disturbance, resulting from operation of the scheme in 2034.

Figure A9 gives an example of the zone in which dwellings which were previously below the IFC guidelines during the day time period (55dB  $L_{Aeq,T}$ ) would exceed this level in 2034. Figure A10 shows an example of the equivalent zone for the night time period. It can be seen that the zone during the daytime period is small and hence this is not discussed in detail, however the night time zone is larger and hence the number of affected dwellings within the zone are reported for each village, based on the identification of dwellings in aerial photography (Google Earth).

A detailed discussion of the results of the operational noise assessment is given below for each of the villages in Section 2A including Kokjar, Chekildek, Cholpon and Ak yuyk. The level of day and night time baseline noise levels in each village are compared with IFC Guidelines, and for non-residential properties internal noise levels (with windows open) are compared with the relevant criterion for each building. This is followed by a discussion of operational noise impacts, including short and long-term effects, and potential options for mitigation.

Rec. No.	Location	Noise Level (dB) Baseline		Noise Level (dB) Pre Scheme		Noise Level (dB) Post Scheme		Noise change (dB) Post-pre scheme		Noise Level (dB) Post Scheme		Noise change (dB) relative to 2019		Noise Level (dB) using 40kph		Noise change (dB) using 40kph	
		L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	$\Delta  dB$	$\Delta  dB$	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	$\Delta  dB$	$\Delta  \mathrm{d} \mathbf{B}$	L <sub>Aeq,12hr</sub>	L <sub>Aeq,8hr</sub>	$\Delta  dB$	$\Delta  dB$
		2018	2018	2019	2019	2019	2019	2019	2019	2034	2034	2034	2034	2034	2034	2034	2034
		Day	Night	Day	Night	Day	Night	Day	Night	Day	Night	Day	Night	Day	Night	Day	Night
1	Kokjar Admin	57.4	54.4	57.7	54.7	57.5	54.5	-0.2	-0.2	60.1	57.1	2.4	2.4	59.2	56.1	1.5	1.4
3	Kokjar Hse	55.8	52.8	56.0	53.0	55.6	52.6	-0.4	-0.4	58.2	55.2	2.2	2.2	57.3	54.3	1.3	1.3
4	Kokjar Hse	55.3	52.3	55.5	52.5	55.8	52.8	0.3	0.3	58.5	55.5	3.0	3.0	57.5	54.5	2.0	2.0
5	Kokjar Hse	59.7	56.7	59.9	56.9	59.8	56.8	-0.1	-0.1	62.5	59.4	2.6	2.5	61.5	58.5	1.6	1.6
6	Kokjar Hse	54.7	51.7	54.9	51.9	54.4	51.4	-0.5	-0.5	57.1	54.1	2.2	2.2	56.1	53.1	1.2	1.2
7	Chekildek Hse	58.1	55.1	58.3	55.3	58.3	55.3	0.0	0.0	60.9	57.9	2.6	2.6	59.9	56.9	1.6	1.6
8	Chekildek Hse	59.8	56.8	60.1	57.1	59.9	56.9	-0.2	-0.2	62.5	59.5	2.4	2.4	61.5	58.5	1.4	1.4
9	Chekildek Hse	58.6	55.6	58.8	55.8	58.7	55.7	-0.1	-0.1	61.3	58.3	2.5	2.5	60.3	57.3	1.5	1.5
10	Chekildek Hse	57.5	54.5	57.7	54.7	57.8	54.8	0.1	0.1	60.4	57.4	2.7	2.7	59.5	56.5	1.8	1.8
11	Chekildek Hse	62.2	59.2	62.4	59.4	62.3	59.3	-0.1	-0.1	64.9	61.9	2.5	2.5	63.9	60.9	1.5	1.5
12	Chekildek Hse	59.8	56.8	60.1	57.0	59.9	56.9	-0.2	-0.1	62.5	59.5	2.4	2.5	61.5	58.5	1.4	1.5
13	Chekildek Hse	59.2	56.2	59.4	56.4	59.4	56.4	0.0	0.0	62.1	59.1	2.7	2.7	61.1	58.1	1.7	1.7
14	Cholpon Shop	64.8	61.8	65.0	62.0	64.7	61.7	-0.3	-0.3	67.3	64.3	2.3	2.3	66.4	63.4	1.4	1.4
15	Cholpon Cafe	62.1	59.1	62.4	59.4	62.2	59.2	-0.2	-0.2	64.8	61.8	2.4	2.4	63.9	60.9	1.5	1.5
16	Ak yuyk Hse	57.7	54.7	58.0	55.0	58.0	55.0	0.0	0.0	60.6	57.6	2.6	2.6	59.7	56.7	1.7	1.7
17	Ak yuyk Hse	61.1	58.1	61.3	58.3	60.9	57.9	-0.4	-0.4	63.5	60.5	2.2	2.2	62.5	59.5	1.2	1.2
18	Ak yuyk Hse	60.1	57.1	60.3	57.3	60.3	57.3	0.0	0.0	62.9	59.9	2.6	2.6	61.9	58.9	1.6	1.6
19	Ak yuyk Hse	60.2	57.2	60.4	57.4	60.3	57.3	-0.1	-0.1	62.9	59.9	2.5	2.5	61.9	58.9	1.5	1.5
20	Ak yuyk Hse	61.8	58.8	62.0	59.0	61.9	58.9	-0.1	-0.1	64.6	61.6	2.6	2.6	63.6	60.6	1.6	1.6
21	Mosque	58.1	55.1	58.3	55.3	58.3	55.3	0.0	0.0	60.9	57.9	2.6	2.6	60.0	57.0	1.7	1.7
22	Ak yuyk Hse	58.5	55.5	58.7	55.7	58.7	55.7	0.0	0.0	61.3	58.3	2.6	2.6	60.3	57.3	1.6	1.6

Table 11: Results of Operational Noise Calculations

# Kokjar

With the exception of one isolated property (rec 4), dwellings in the village of Kojkar lie to the south of the road. It is a large village and extends up to c.0.5 km away from the road. Along the greater proportion of its length where the road runs past the village the additional lanes will be added on the north side i.e. away from the village.

#### **Baseline Noise Levels**

In Kokjar existing road traffic noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 5dB during the daytime and 12dB during the night time.

#### **Operational Noise Effects**

#### Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.5dB to +0.3dB which is negligible noise impact.

#### Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will give rise to minor noise impacts of c. 3dB at c. 2 dwellings alongside the road (recs. 4) during both day and night time periods.

The extent of the daytime noise changes is illustrated in Appendix I, Figure A1.

Internal noise levels within the village Administration (rec.1) building would continue to meet the internal noise criterion for office areas.

# Chekildek

#### **Baseline Noise Levels**

In Chekildek existing road traffic noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 8dB during the daytime and 15dB during the night time.

#### **Operational Noise Effects**

#### Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.2dB to +0.1dB which is a negligible noise impact.

#### Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will not give rise to noise impacts. The extent of the daytime noise change is illustrated in Appendix I, Figure A2.

# Cholpon

The village of Cholpon lies at sufficient distance from the road that significant noise effects would not occur. However, there are village amenities potentially affected by the scheme lying adjacent to a junction (at which the road is to be widened) leading to the village. These include 2 shops and a café to the north of and almost adjacent to the road, and to the south of the road a further shop and a petrol station c. 13m from the road.

#### **Baseline Noise Levels**

At the junction to Cholpon existing internal noise levels within the shops alongside the road would be below internal noise criteria however internal noise levels in the café of c. 48dB would exceed the internal noise criterion of 45dB  $L_{Aeq,T}$ .

#### **Operational Noise Effects**

#### Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.3dB to -0.2 dB which is a negligible noise impact.

#### Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will give rise to increases of between c.2.3-2.4 dB at the shops, cafe and petrol station, however internal noise levels within the shops would remain below internal noise criteria, assuming windows open. This would be a negligible noise impact.

#### Ak yuyk

#### **Baseline Noise Levels**

In Ak yuyk existing road traffic noise levels at houses alongside the road already exceed levels set out in the IFC Guidelines by up to 7dB during the daytime and up to 14dB during the night time period. Internal noise levels in the Mosque (assuming windows open), which is c.30m from the road will also exceed internal noise criterion for a place of worship.

#### **Operational Noise Effects**

#### Short term operational effects (2019)

The widening of the road from 2 to 4 lanes through the village will give rise to a change in noise levels of between - 0.2dB to +0.1dB which is a negligible noise impact.

#### Long term operational effects (2034)

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening will give rise to increases of between c.2.2-2.6 dB during both day and night time periods. The extent of the daytime noise change is illustrated in Appendix I, Figures A3 and A4.

#### 5. SUMMARY

An assessment has been carried out of potential noise effects arising from the rehabilitation and operation of a section of the of the A367 road. The stretch of road, referred to as Section 2A, runs from Kochkor to Epkin c. 25km in a westerly direction through the villages of Kokjar, Chekildek, Cholpon and Akyuyk.

Noise levels from road construction have been calculated using the procedures contained in the British Standard BS 5228: 2009 'Code of Practice for Noise and Vibration Control on Construction and Open Sites' as embodied in the NoiseMap 5.2 computer software which has been used in this study.

The same modelling software has been used to calculate road traffic noise levels from the existing and rehabilitated/upgraded road at dwellings and community facilities within the villages, based on the method set out in the UK Calculation of Road Traffic Noise. Noise levels have been calculated for both the year of opening (2019X), both with and without the scheme, and for the year fifteen years after opening (203X), again, both with and without the scheme, allowing both short and long term effects arising from the scheme to be assessed.

The Kyrgyz National Noise Standards and IFC Noise Guidelines were reviewed and appropriate noise assessment criteria taking into account these standards were adopted.

A baseline noise monitoring survey was carried out in 2018 and the results of this and the road traffic noise calculations showed that noise levels already exceed IFC guideline values at dwellings alongside the road.

The results of construction noise assessment indicated that there will be major daytime noise impacts at dwellings and community facilities alongside the road, however these are transient effects and occur only when construction is taking place nearby. This is considered to be an unavoidable consequence of construction of the scheme.

The results of road traffic noise assessments show that in 2019 the widening of the road from 2 to 4 lanes through the villages will give rise to changes in noise levels of between - 0.4 and +0.3 dB during the day and night time periods, which is a negligible noise impact.

The intensification of road traffic, which is largely independent of the scheme, forecast to occur in the 15 year period following opening of the scheme, combined with the effect of the road widening (negligible) will give rise to minor noise impacts of c.3dB dB at 2 dwellings alongside the road during both day and night time periods. The extent of noise changes within the villages has been illustrated using noise contour mapping.

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- 4. Environmental, Health, and Safety (EHS) Guidelines. Environmental Noise Management. International Finance Corporation. World Bank Group. April 30 2017
- 5. BS 8233 (2014) Sound Insulation and Noise Reduction for Buildings- Code of Practice
- 6. Calculation of Road Traffic Noise, Department of Transport, 1988
- 7. Design Manual for Roads and Bridges
- 8. Email from JOC. Road traffic flows. 4 Jan 2018
- 9. Night Noise Guidelines (NNGL) for Europe. WHO. 2007

#### NOISE UNITS AND INDICES

Noise can be defined as 'unwanted sound'. Sound is a fluctuation of air pressure and can be detected by the human ear when it occurs between 20 and 20,000 times per second. This is referred to as the frequency of the sound and is measured in Hertz (Hz). The ear is not equally sensitive to sound over the whole of this range and therefore when measuring sound this effect is allowed for by applying a frequency weighting, referred to as the A weighting, to the measured signal.

The loudness of the sound is dependent on the magnitude of the pressure fluctuation. The human ear has an approximately logarithmic response to this and therefore the sound pressure level (SPL) is expressed using logarithmic unit, the decibel, written (dB (A)), where the 'A' indicates that the sound has been A weighted.

Noise outdoors from industry and transportation is generally referred to as environmental noise and a typical feature is its continual change in level. In order to describe and take account of community response to this varying noise level additional noise indices are used. The most commonly used of these is the equivalent continuous 'A' weighted sound pressure level,  $(L_{Aeq,T})$ , which is defined as the steady sound pressure level which has the same energy as a varying noise level measured over a period (T). It takes account of both the number and level of noise events and is generally referred to as the ambient noise level. This index is used within this report for the description of construction and road traffic noise levels.

Statistical noise indices are also used to describe the noise environment, principally the  $L_{A10}$  and  $L_{A90}$ . The  $L_{A10}$  is the level of sound exceeded for 10% of the measurement period and is commonly used in the measurement of road traffic noise. The  $L_{A90}$  is the level of sound exceeded for 90 percent of the measurement period, and is referred to as the background noise level, as noise rarely drops below this level. A further index that is useful particularly for the description of night time noise events, such as the pass-by noise level of a train, is the maximum sound A weighted pressure level  $L_{Amax}$ , F. The 'F' denotes that the level has been measured using a fast averaging time (125 ms), which reflects the sensitivity of the human ear to rapidly varying noise events.

There are a number of simple rules of thumb that can be applied to noise. For example, a 10dB increase in noise level is equivalent to a subjective doubling in noise level. When two sources of the same sound pressure level are added together, the resultant sound pressure is approximately 3dB(A) higher than the individual sounds. Individuals can typically detect changes in environmental noise levels when the change is greater than 1-3dB.

Environmental noise levels are measured using a sound level meter, usually connected via a cable to a microphone mounted on a tripod or A Frame. When the microphone is positioned at the building façade, noise levels are referred to as 'façade' measurements, as distinct from those measured away from reflecting surfaces, which are referred to as 'free field'. Façade levels are generally taken to be 2.5dB higher than the equivalent free field measurement as a result of the effect of reflected noise from the building façade

# **APPENDIX I**

NOISE CONTOUR MAPPING

Figure A1. Noise Contour Plot: Long Term Daytime Noise Change (yr. 2034-2019) and Receptor Locations: Kokjar

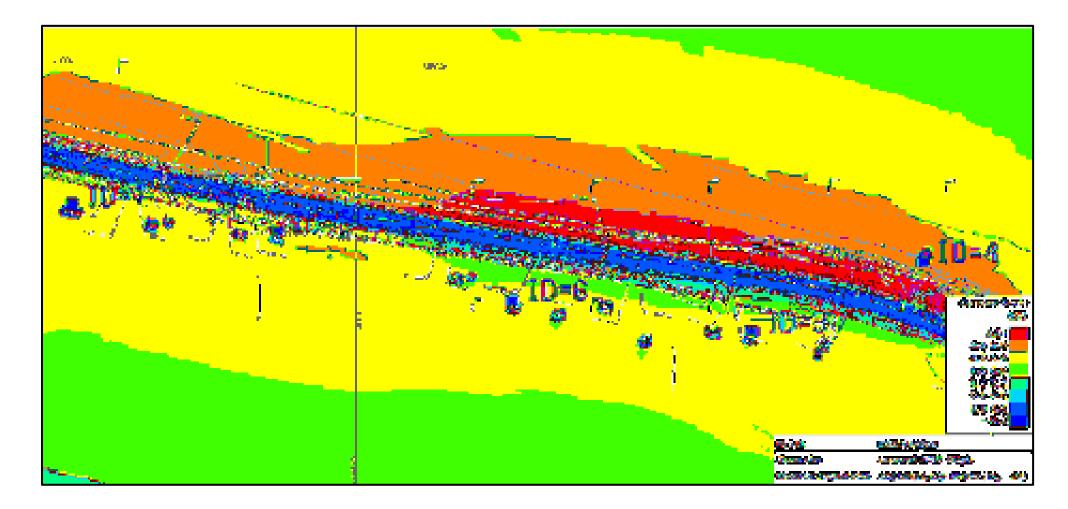


Figure A2. Noise Contour Plot: Long Term Daytime Noise Change (yr. 2034-2019) and Receptor Locations: Chekildek

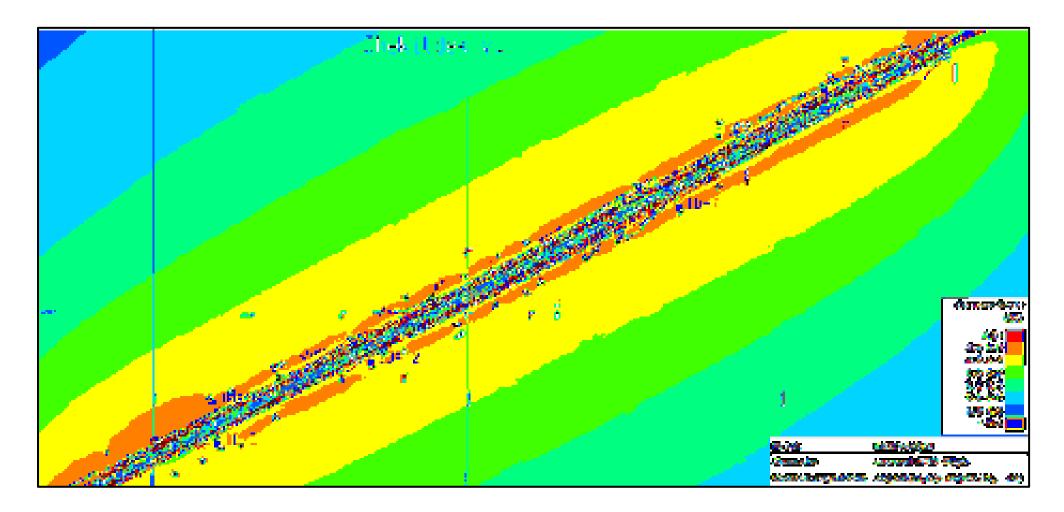


Figure A3. Noise Contour Plot: Long Term Daytime Noise Change (yr. 2034-2019) and Receptor Locations: Ak yuyuk

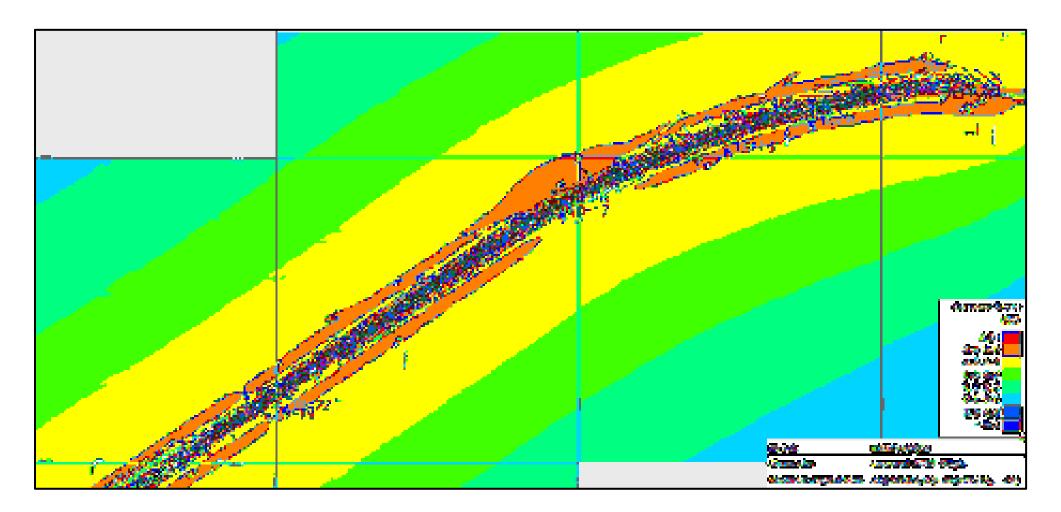


Figure A4. Noise Contour Plot: Long Term Daytime Noise Change (yr. 2034-2019) and Receptor Locations: Ak yuyuk (cont.)

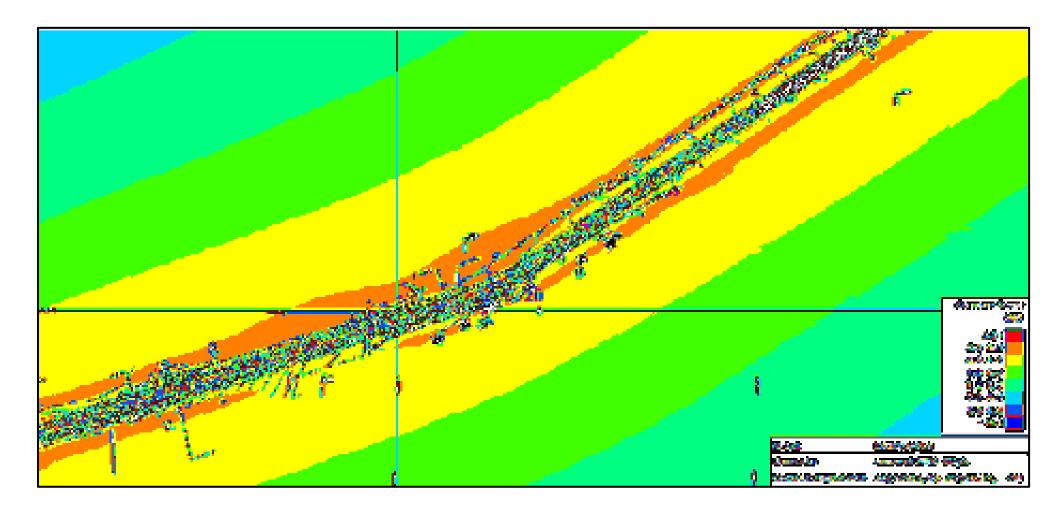
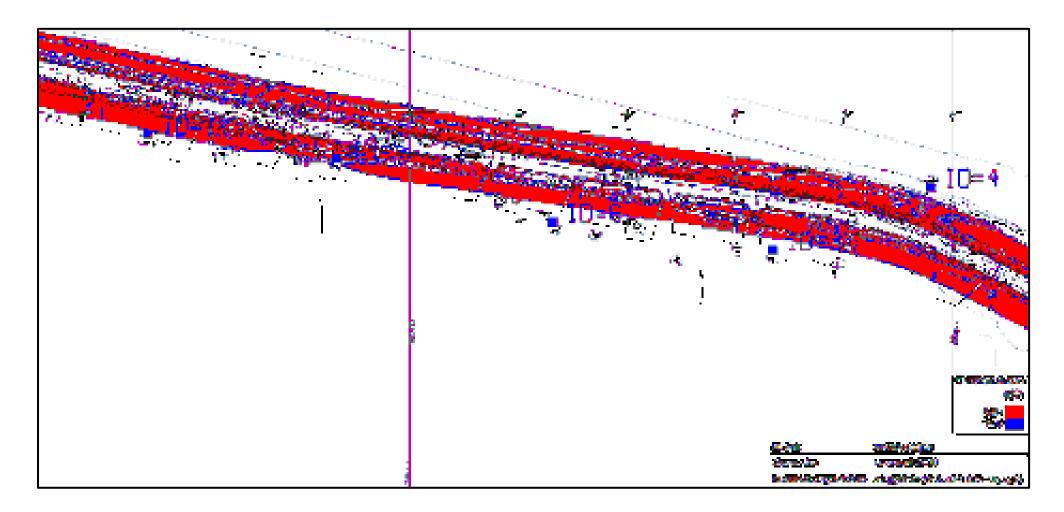


Figure A5. Noise Contour Plot: Zone of Increased Risk of Sleep Disturbance (yr. 2034-2019) : Kokjar



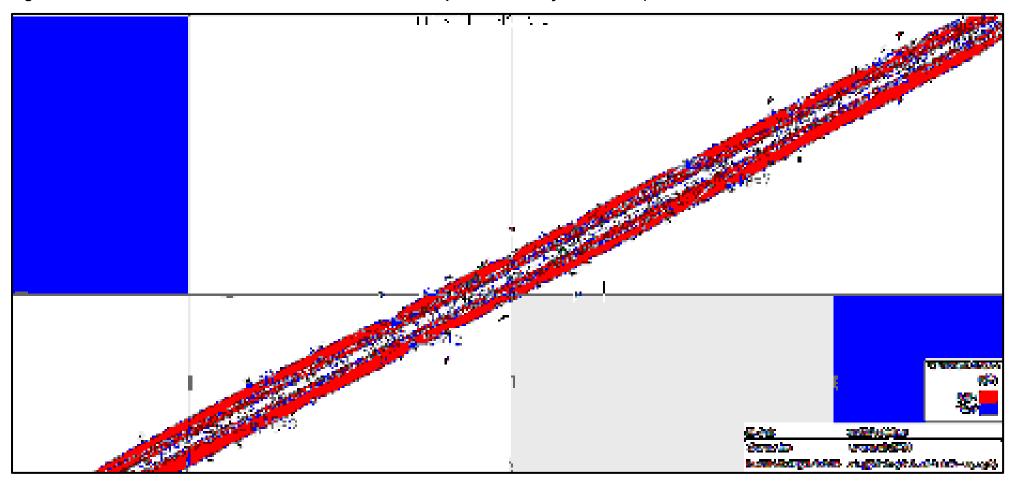


Figure A6. Noise Contour Plot: Zone of Increased Risk of Sleep Disturbance yr. 2034-2019) :Chekildek

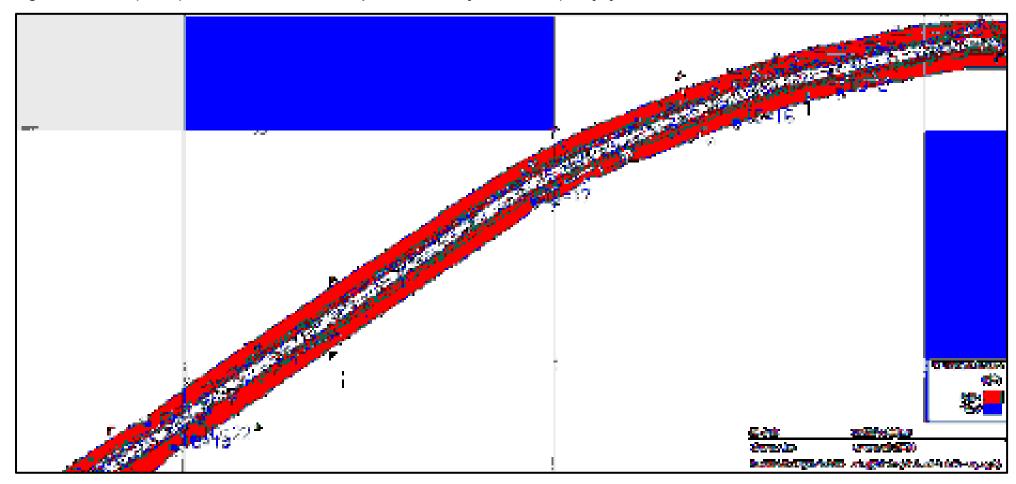
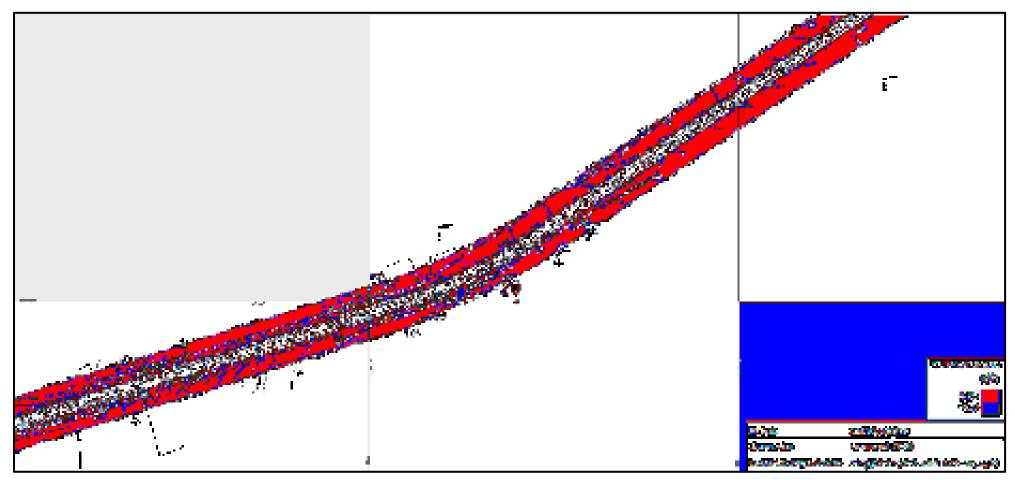


Figure A7. Zone (in red) of Increased Risk of Sleep Disturbance yr. 2034-2019):Ak yuyuk





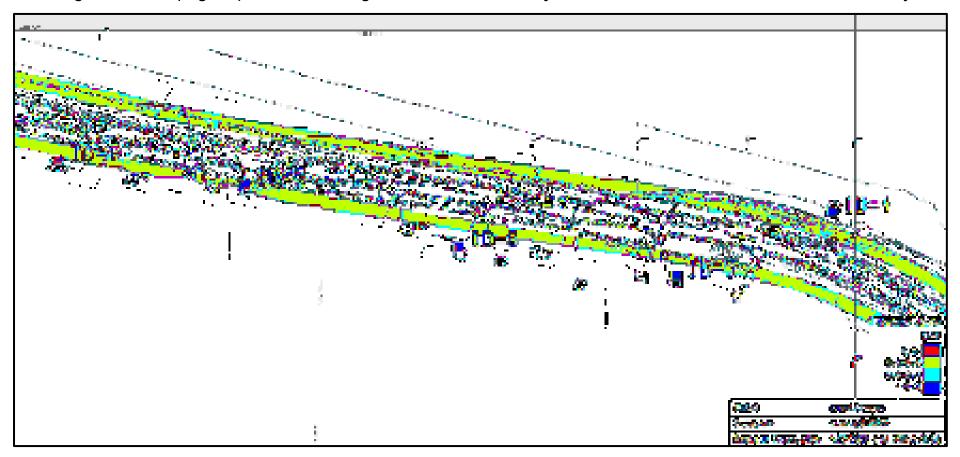


Figure A9. Zone (in green) in which Dwellings will now exceed IFC Daytime Criteria as result of increase road traffic : Kokjar

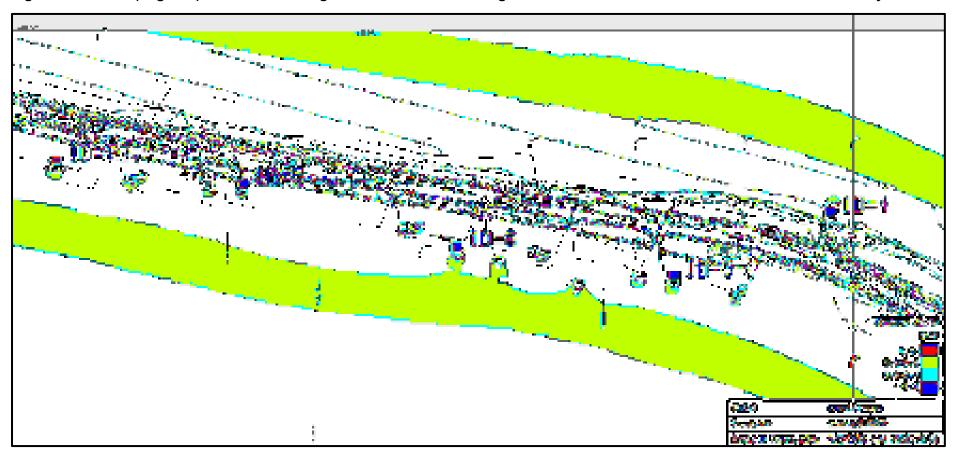


Figure A10. Zone (in green) in which Dwellings will now exceed IFC Night time Criteria as result of increase road traffic : Kokjar

### **VIBRATION ASSESSMENT**

### REHABILITATION AND UPGRADING OF ADDITIONAL FINANCE ROAD SECTION 2A JAPAN OVERSEAS CONSULTANTS LTD

**FINAL REPORT** 

**MAY 2018** 

#### CONTENTS

- 1. INTRODUCTION
- 2. CALCULATION OF CONSTRUCTION VIBRATION
- 3. ASSESSMENT OF VIBRATION
- 4. MITIGATION OF VIBRATION
- 5. RESULTS
- 6. CONCLUSIONS

Terminology

References

Appendix

#### 1. INTRODUCTION

Japan Overseas Consultants Co. (JOC) has been appointed by the Ministry of Transport and Roads (MOTR) to conduct engineering design and environmental assessment for the rehabilitation of three sections of the A367 road. These include:

Section 1 which runs from the outskirts of Balykchy c.40km in a westerly direction, passing though the village of Tash Saray

Section 2A runs from Kochkor to Epkin c. 25km in a westerly direction through the villages of Kokjar, Chekildek, Cholpon and Akyuyk. This scheme and Section 1 are referred to as Additional Finance Roads.

Section 2B runs from Epkin c.70km to Bashkugandy passing through the villages of Jumgal, Kuiruchuk, and Tugot Say, ending just before the village of Dyikan. This Section of road is referred to as the Connector Road and finance is already in place for this scheme.

Initial Environmental Examinations (IEE's) have been completed for each of the three road sections, however The Asian Development Bank (ADB) which is providing finance for the rehabilitation, has requested that JOC shall update the IEE's to include an assessment of the potential noise and vibration effects which might arise from construction and operation of the three sections of road. This study addresses the potential vibration effects during the construction period for road Section 2A.

JOC has in turn, retained specialist noise and vibration engineers to carry out a study to determine the potential effect of the vibration on nearby houses and potential means of mitigation to reduce the risk of damage.

Although the Terms of Reference (ToR) [1] suggest that an operational vibration assessment be carried out, this is considered unnecessary. Specifically, the UK Design Manual for Roads and Bridges [2] states that peak particle velocities (ppv's) in the structure of buildings close to heavily trafficked roads rarely exceed 2 mm/s and typically are below 1 mm/s. Normal use of a building such as closing doors, walking on suspended wooden floors and operating domestic appliances can generate similar levels of vibration to those from road traffic. Based on this statement, vibration arising from future operation of the road would be unlikely to give rise to cosmetic or structural damage, and therefore this has not been included in the study.

It follows that the that the preparation of a vibration baseline for the project area is also unnecessary because the existing vibration baseline alongside the road is dominated by vibration from road traffic. This is typically an order of magnitude lower that the levels that will result from the use of road construction plant i.e. rollers, excavators etc. and therefore should not affect the assessment of construction vibration.

The ToR also include a requirement to carry out site measurements on which to base construction vibration calculations. This cannot be expedited at present as construction activities have not started on these road sections and there is no plant in-situ to use as a vibration source.

Therefore, it is proposed to defer any vibration monitoring until the construction begins and at this stage to make use of existing calculation methods and relevant measured data. The principal elements of the present study are to:

- review existing methods for calculation of vibration from ground preparation and compaction. An accepted method for vibrating rollers is described in Section 2;
- identify the lithology over which the road in Section 2A runs, and compare with the lithologies in other studies in which vibration levels from rollers have been reported;
- set vibration damage threshold levels for low, medium, high risk building classes (as determined by the Project Proponent) and for fragile ancient monuments based on recognised International Standards. These are set out in Tabular form in Section 3;
- review and select appropriate criteria for the assessment of human response to vibration from construction activities. These are also set out in Section 3;
- review the effectiveness of potential methods of mitigation of ground borne vibration from vibratory compaction. The findings of the review are set out in Section 4;
- calculate for normal operation of the roller the distance from the edges of the new road to each vibration damage (cosmetic) contour for low, medium and high risk building classes. The results of this are given in Section 5;
- re-calculate these distances taking into account the effectiveness of potential mitigation including for example use of low roller vibration settings. These results are also included in Section 5.
- plot (JOC CAD team) cosmetic and minor structural vibration damage threshold contours for high risk buildings on mapping of the scheme thus enabling buildings exceeding the respective thresholds to be identified.

Technical terms relating to vibration are used throughout the report and to assist the reader these are explained in Terminology, following Section 6.

#### 2. CALCULATION OF CONSTRUCTION VIBRATION

#### Calculation of Vibration from Ground Compaction using Vibratory Roller

A review was carried out of available calculation methods, firstly those specifically aimed at calculation of vibration from ground compaction using vibratory rollers, and secondly more general methods for calculation of propagation of vibration in varying lithologies.

The most comprehensive method found was that set out by Hiller and Crabb [3] who derived an empirical relationship for the calculation of vibration from ground compaction based on an extensive measurement programme carried out by the UK Transport Research Laboratory (TRL). They found that for vibration from normal compaction passes the following empirical relationship could be used:

 $V_{res} = k_s n^{0.5} [A/(x+w)]^{1.5}$ 

where:

 $V_{res}$  is the resultant level of vibration measured on the ground  $k_s = 75$ , with a 50% probability of the vibration level being exceeded;  $k_s = 143$ , with a 33% probability of the vibration level being exceeded;  $k_s = 276$ , with a 5% probability of the vibration level being exceeded; n is the number of vibrating drums; A is the nominal amplitude of the vibrating roller (mm); x is the distance along the ground surface from the roller (m); and w is the width of the vibrating drum (m).

Note: In this study the statistical term 'prediction level' is used. The 33% probability given by the expression above is the upper bound of the 66% prediction level, and similarly the 5% probability is the upper bound of the 95% prediction level.

In this study the TRL method will be used to calculate vibration from vibratory compaction. A discussion of the assumptions made in the calculations, and the factors affecting the accuracy of those calculations is set out below.

#### Plant Data

For the purposes of this study it has been assumed that the roller used will have the operating characteristics of the SEM 520, manufactured by Shandong Engineering Machinery as described in Appendix I. This plant was chosen as it is typical of a large vibrating roller used in road construction schemes, has both high and low vibration operating mode and can also operate with no vibration. In addition, measured vibration data is available for this plant, which can give some confidence in the accuracy of the model as will be described later. It is also almost identical in design to one of the plant types which were used as the basis of the TRL model, i.e. the Ingersoll Rand SD-150D. The accuracy of the vibration calculations is dependent on the accuracy of manufacturers specified nominal amplitude of vibration of the roller, both high and low vibration operating modes.

#### Effect of Lithology

The transfer of vibration from the roller into the ground and its propagation away from the road will be affected by the stiffness of the sub base over which the roller is passing and the lithology. The empirical model developed by TRL takes into account these factors, however they are specific to the site at which the measurements on which the model is based were made. When applying the results to another site some consideration must be given to the differences in lithology and the effect this may have on the accuracy of calculations.

The measurements on which the TRL model is based were made on sub bases of hoggin and London clay, and the lithology comprised 'made ground', consisting of sands and gravels, over sands which become progressively firmer with depth.

The geological survey carried out along the length of the road between Balychy and Dyikan [5] showed the lithology in Section 2 to vary between gravelly sand, with the inclusion of gravel and pebbles and sandy loam. Though there is some question as to the variation of the depth of soil, it is considered that these lithologies are sufficiently similar to that the TRL model could be applied with a good level of confidence for the calculation of vibration levels at sites alongside Section 2A of the road.

Whilst there are no robust empirical or numerical methods of correcting for the changes in the lithology, there are some general guidelines. For example, it has been found soils have a characteristic frequency (see Table 1.) which if it were to coincide with the operating frequency of the roller (c.25Hz) can give rise to higher levels of vibration than might otherwise occur. It can be seen from Table 1 that the stiffer the soil type the higher the characteristic frequency, and lower the likelihood of this occurring.

Material	Frequency (Hz)
Very soft silts and clays	5-20
Soft clays and loose sands	10-25
Compact sands and gravels and stiff clays	15 - 40
Weak rocks	30 - 80
Strong rocks	>50

Table 1. Characteristic frequencies for soils and rocks

#### Effect of Height of Water Table

Bachman [6] suggests that a saturated soil may facilitate the propagation of vibration in comparison with the unsaturated condition. However, for construction operations such as ground preparation and excavation the bulk of the energy (c.67%) will propagate via surface waves, known as Rayleigh waves (see Terminology). The magnitude of the surface wave is therefore largely unaffected by changes in the height of the ground water level, provided it remains sufficiently below the surface (relative to the wavelength). The geological survey [5] made no mention of a high water table and taking to account the nature of the terrain this is not an issue for the rehabilitation of the road.

#### **Building Coupling Loss**

The building coupling loss is defined as the ratio between free-field vibration levels and those measured on the building foundation. For a lightly built structure with limited foundations, this would normally be approximately 1 whilst for a typical brick-built structure on a concrete foundation it would be approximately 0.5. i.e. the level of vibration on the foundation would be approximately half that measured free-field outside the building at the same distance from the source of vibration.

The predominance of buildings which are well coupled to the ground i.e. adobe construction with shallow foundations in the populated areas adjacent to the road increases the risk of vibration related damage during the rehabilitation of the road. The limited attenuation of ground-borne vibration as it enters the building means the level of structural vibration is already roughly double the level that would be found on an equivalent brick-built building (on concrete foundations). This is compounded by the fragile nature of the adobe clay/adobe construction, resulting in buildings that are much more vulnerable to vibration damage.

Levels of vibration during normal operation of the roller, both free-field (see Terminology) and measured on the foundation, are generally dominated by the vertical (z) component (see Terminology), and thus in this study only the vertical components have been considered

#### 3. ASSESSMENT OF VIBRATION

#### Vibration Related Building Damage Criteria

International Guidelines and Standards present criteria for vibration related building damage in the form of threshold levels of vibration (peak particle velocity), as either a value or range of values.

Some key factors which determine these levels are as follows:

- the nature of the building including its construction, its condition, and whether is of historic importance;
- the likely extent of damage i.e. cosmetic, minor structural or major structural; and
- whether the source of vibration is continuous or a single event and the dominant frequency (Hz)

A useful review of some of the Standards, largely of US origin, is presented in the Caltrans Guidance Manual [7], and this has been used as the basis of their own guideline values. On the whole these seem sensible, though they only set a threshold for cosmetic damage, do not specify a frequency range over which these limits apply, and appear to be overly conservative for industrial and framed buildings in comparison with European Standards.

Additional useful guidance is presented in the British and German Standards [8,9] both of which include a means of taking account of the variation of vibration damage threshold with frequency. In general, the threshold level at which vibration damage will occur increases with frequency. In common with the Caltrans guidance, both Standards differentiate between continuous and discrete vibration sources, with the threshold levels for continuous vibration being roughly half the equivalent level for single event vibration. Using both the Caltrans and BS definitions, vibrating rollers are classified as continuous sources of vibration.

The British Standard BS ISO 4866:2010 [10] offers a means of qualitatively assessing the sensitivity of the building taking into account structure, condition and soil but does not provide a means of taking these factors into account in determining vibration damage threshold levels.

The British and German standards also offer guidance on the vibration levels at which the onset of minor structural damage might occur with these being roughly a factor of two higher than those for cosmetic damage. The definitions of cosmetic and minor structural damage set out in BS 7385 [8] in are as follows:

Cosmetic. The formation of hairline cracks on drywall surfaces or the growth of existing cracks in plaster or drywall surfaces; in addition, the formation of hairline cracks in mortar joints of brick/concrete block construction

Minor Structural. The formation of large cracks or loosening and falling of plaster or drywall surfaces, or cracks through bricks/concrete blocks

The criteria which will be used in this study are a combination of the recommendations of the Standards and Guidelines thought most relevant and are set out in Table 2 below. Unless stated otherwise, they apply to the onset of cosmetic damage resulting from a continuous vibration source operating at a minimum frequency of 20Hz. Three classes of building are included as set out in the ToR, equivalent to low, medium and high risk of

vibration damage. A description of the classes was originally intended to be supplied by the Project Proponent, however in the absence of guidance, reference has been made to International Standards and Guidelines, taking into account the type of building seen alongside the road. The majority of these buildings fall into the High Risk Class as they are of adobe/clay construction, belonging to Class 9.5 in the Kyrgyz Standard SNiP 22-01-98KR and are regarded as highly vulnerable.

However, in the current study this Class is also considered to comprise two sub-classes, A with shallow footings (<1m), and B with concrete foundation/footings. Whilst the latter are likely to be less sensitive to ground borne vibration damage there is insufficient data in the literature on which to base a separate threshold for cosmetic damage and both must be classed as fragile buildings.

#### Human Response to Vibration: criteria

The British Standard BS 5228 [12] sets out guideline values in terms of peak particle velocity for human response to construction works and these are shown out in Table 3 below. Column three includes semantic descriptors of the scale of vibration impact which are equivalent to those commonly used in the assessment of construction vibration.

The overall results of the assessment are to be presented in the form of building vibration damage contours hence the human response to vibration must be considered in relation to these contours.

Building Vibration Damage Risk Level	Building Description	Cosmetic Damage Threshold ppv (mm/s)	Source Reference for Criteria	Assumed Building Coupling Loss
	Extremely fragile historic buildings, ruins, ancient monuments	2	Caltrans/BART	n/a
High Risk A	Fragile buildings of clay construction with shallow (<1m) rubble footings	3	Caltrans	1
High Risk B	Fragile buildings of clay construction with concrete foundations/footings	3	Caltrans	0.5
Medium Risk	Residential brick built on concrete foundations/footings and light commercial	10	BS 7385/DIN 4150	0.5
Low Risk	Heavy commercial, industrial and framed buildings	25	BS 7385/DIN 4150	0.5

Table 2. Building Vibration Damage Assessment Criteria

Vibration Level ppv (mms <sup>-1</sup> )	Description of Effect	Description of Impact
<0.3	Vibration unlikely to be perceptible	Negligible
0.3 to 1.0	Increasing likelihood of perceptible vibration in residential	Minor
1.0 to 10	Increasing likelihood of perceptible vibration in residential environments but can be tolerated at the lower end of the scale if prior warning and explanation has been given to residents	Moderate
>10	Vibration is likely to be intolerable for any more than a brief exposure to a level of 10mms <sup>-1</sup>	Major

Table 3. BS 5228 Vibration Assessment Criteria for Human Perception

#### 4. MITIGATION OF VIBRATION

#### **Roller Vibration Setting**

The calculation procedure described in Section 2 indicates that there is a clear reduction in vibration resulting from the use of a lower vibration setting on the roller, though more passes of the roller may be required to achieve the same level of ground compaction. In theory, it may also be possible to achieve some mitigation by increasing the operating frequency of the roller as the threshold of building damage generally increases with frequency between 20 and 50Hz, as described in BS 7385 and DIN 4150. However it is not clear whether the frequency relationships in these Standards can be applied robustly to the building classes under consideration in this study.

JOC have confirmed that on sections of the road adjacent to high risk buildings ground compaction can be carried out using a roller with no vibration. This would provide the most effective form of mitigation and would eliminate cosmetic damage resulting from vibration in the high risk buildings (within the measurement range).

A practical step which can be taken to mitigate vibration effects is to ensure that roller start up and shut down is carried out away from vibration sensitive properties as transient vibration levels during start up and shut down will generally exceed levels for steady state operation. Use of vibratory rollers directly atop the underlying soil adjacent to dwellings should also be avoided if possible. If compaction of the soil is required this should be done using a sheep foot type roller in non-vibratory mode or a non-vibratory roller.

#### **Use of Alternative Compaction Equipment**

Alternative means of compaction of the sidewalk sub-base and the sides of embankment could be adopted such as using a non-vibratory rubber tyre roller as shown in Appendix I. Selection of an alternative lower vibration roller by the contractor would also offer a means of providing additional mitigation.

#### Trench

The design of the Additional Finance Roads incorporates a drainage channel proposed to run alongside extensive sections of the road. The depth of the channel could be temporarily increased during the construction of the road. This would enable it to function as a trench providing vibration isolation to properties alongside the road from operation of the roller, and JOC consider that this solution can be suggested to the Contractor carrying out the works.

The results of experimental work examining the effectiveness of trenches agree that the degree of attenuation which can be achieved is a function of the depth of the trench in relation to the incident Rayleigh wavelength. The depth of the trench is sometimes expressed in these studies as a fraction of wavelength, thus in order to determine the depth an effective trench of it necessary to calculate the wavelength in the local soil conditions along the road. Assuming that the Rayleigh wave speed in the soil (of the type prevalent adjacent to the road) is about c. 140m/s and the main frequency of concern to be c. 20Hz, this would give a wavelength of c.7m.

Richart [13] reports studies showing that reductions of 50-75% were readily achievable using a trench with a depth of 0.6 times Rayleigh wavelength, which for the current study

would be c.4m. The studies showed that the highest levels of attenuation were achieved close to the trench, and that the screened area extended to a distance of at least ten wavelengths from the trench.

Barkan [14] suggested that the depth should not be less than 0.3 times the wavelength i.e. 2.1m, whilst in [15] Thompson reports experimental results showing a vibration reduction in the order of 10dB (c.65%) at frequencies of 16Hz and above using a trench of 3.5m in depth.

Some work has also been carried out by the Kyrgz State Agency of Anti-Seismic Construction and Engineering Design Institute [16]. The degree of attenuation of vibration (acceleration) from a roller (with identical characteristics to that described in the Appendix) was measured at a distance of c.6m from the trench using trench depths of 1.5m and 2.0m. With a depth of 1.5m they reported reduced levels of vibration of between 2-4 times the level without the trench.

Taking into account the review of the work above, it has been assumed in the calculations that it would be possible to achieve an attenuation in levels of ground-borne vibration of the order of c. 50% using a trench alongside the road. The depth of the trench would be likely to be between c.1.5-3m. However, this assumption will need to be confirmed by carrying out some additional vibration measurements prior to commencement of construction within populated areas.

#### Limitation of Design to Two Lanes

The limitation of the rehabilitation of the road within populated areas to two lanes rather than the proposed four lane configuration would provide mitigation in two ways. Firstly, there would be no requirement for ground improvement works (excavation or rolling) on the soil between the existing road and dwellings, which causes high levels of vibration in comparison to operation of the roller on the road formation. Secondly, the limiting of the widening will move the construction operations c. 7.5m further away from the housing hence providing a greater degree of attenuation of vibration with distance, resulting in lower levels at nearby dwellings.

#### Human Response

Adverse human response to construction vibration can be mitigated by good communication between the contractor and local residents. If occupiers of dwellings are informed of their nature, duration and potential vibration effects prior to the works, then adverse response will be less. Generally, the main concern relating to construction vibration is of damage to property and if this is not likely to occur, then this point should be made clear to residents.

#### 5. RESULTS

#### **Vibratory Compaction**

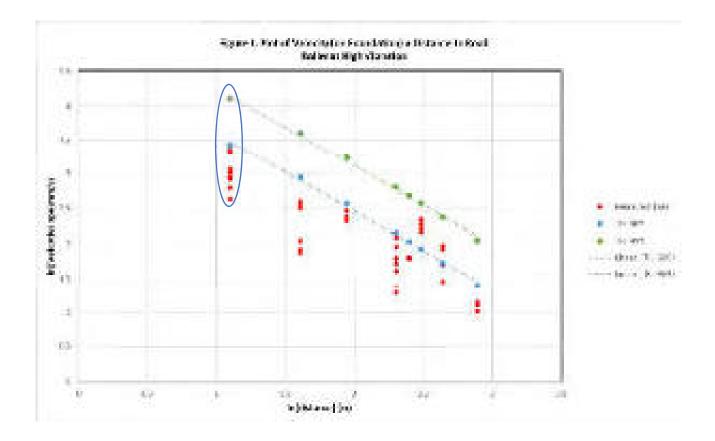
Figure 1 shows the variation in level of vibration with distance calculated using the TRL method described in Section 2, for both 95% and 66% prediction levels (an explanation of these terms is given in Terminology), based on the manufacturer's specification for the SEM 520 road roller described in Appendix I. Figure 2 shows the variation of vibration with distance for the same roller operating in low vibration mode.

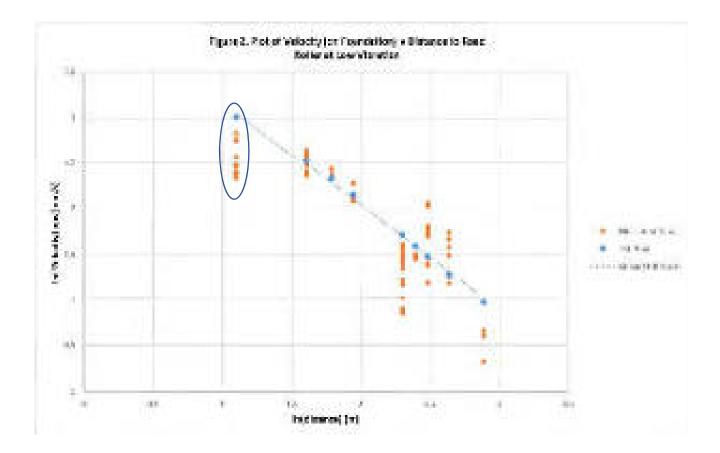
In order to give confidence in modelling of vibration it is good practice to compare, whenever possible, the calculated values with measured data. In this case vibration data is available from a previous project, measured during operation of the same model of roller i.e. an SEM 520.

The measured data for high and low vibration setting have been plotted on Figures 1 and 2 respectively. The data most relevant for comparison are those measured closest to the plant where the effects of variation in propagation at the measurement location are minimised, and on both Figures these data have been encircled.

For the roller operating in high vibration mode Figure 1 shows that the TRL 66% prediction level gave best agreement with the measured data, whilst for the low vibration mode the TRL 95% prediction level gives better agreement. The most likely cause of this discrepancy is that the TRL prediction method is over estimating levels of vibration at the higher roller setting. However, though highly unlikely, there is a possibility that the plant from which the measured data were obtained was not performing to the manufacturers specification. Therefore, in order to eliminate this factor, even though it is likely to overestimate the levels of vibration, the TRL 95% prediction level for high vibration mode is included in the assessment as well as the TRL 66% prediction level. In the case of the low vibration setting, only the TRL 95% prediction level has been considered.

Using these prediction levels, the TRL method has been used to calculate the distance between the road (on which rolling is being carried out) and the position at which buildings of the three vibration damage categories (high, low & medium) would be at risk of cosmetic or structural damage resulting from operation of the roller. The results are presented in the form of vibration damage contour distances, which are set out in detail overleaf.





#### Vibration Damage Contour Distances

Vibration damage contour distances are set out below in diagrammatic form (Figures 3-8) for both high and low vibration levels of the roller. These are the distances from the road beyond which the risk of vibration damage (cosmetic or minor structural) reduces below 5% (for 95% prediction level), or 33% (for the 66% prediction level).

Whilst these are included for building classes at high, medium and low risk of vibration damage, the discussion in each section is restricted to the high risk building class i.e. clay/adobe construction, as these constitute the majority of the buildings in the villages through which the road passes.

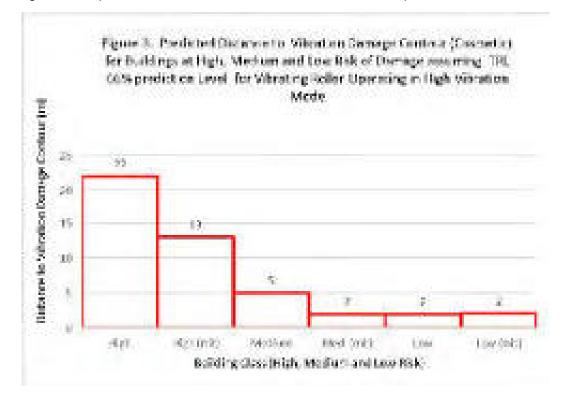
Predicted levels are also given assuming the use of an over excavated drainage channel to provide vibration mitigation.

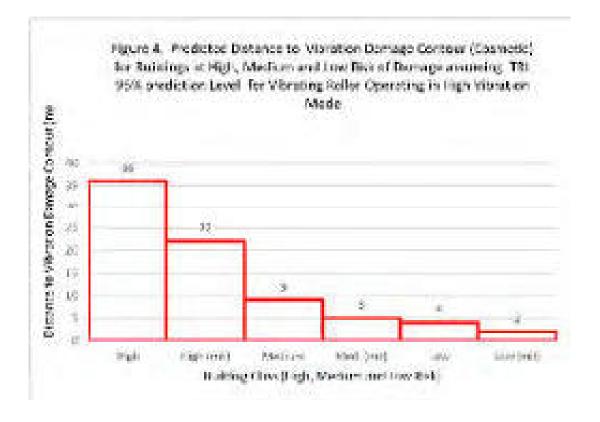
A summary of the contour distances specifically for high risk buildings is provided in Table 3.

#### High vibration mode

#### Cosmetic Damage (TRL 66% & 95% prediction levels)

Using the TRL vibration prediction level taken from Figure 1, and criterion taken from Table 2, the distance to the vibration damage (cosmetic) contour for high risk buildings is predicted to be 22m and 36m for the 66% and 95% prediction levels respectively, as shown in Figures 3 and 4 below. In areas where an over excavated drainage channel can be used as a trench it is predicted that this contour distance could be reduced to 13m for high risk buildings, assuming the 66% prediction level, or 22m in the case of the 95% prediction level.

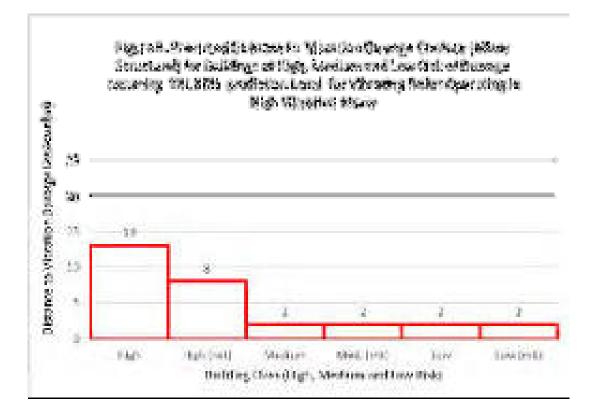


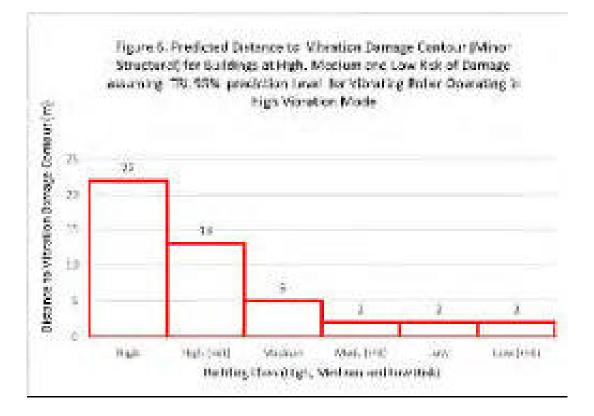


#### Minor Structural Damage (TRL 66% & 95% prediction levels)

Using the TRL 66% prediction level to identify risk of minor structural damage the distance to the vibration damage contour for high risk buildings would be 13m (see Figure 5 below) which would reduce to 8m, taking into account the addition of mitigation in the form of an over excavated drainage channel.

Taking the TRL 95% prediction level as the basis of prediction of minor structural damage the distance to the vibration damage contour for high risk buildings would be 22m (see Figure 6 below) which would reduce to 13m, taking into account the addition of mitigation in the form of an over excavated drainage channel.

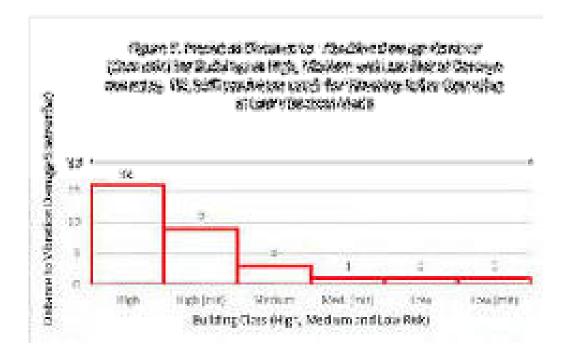




#### Roller Low vibration mode

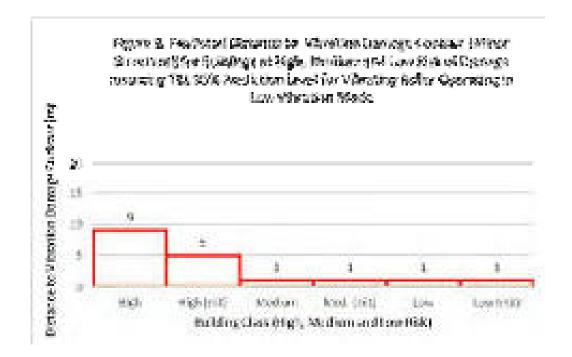
#### Cosmetic Damage (TRL 95% prediction level)

Using the TRL 95% prediction level taken from Figure 2 the distance to the vibration damage (cosmetic) contour for high risk buildings is predicted to be 16 m as shown in Figure 7 below. In areas where an over excavated drainage channel can be used as a trench it is predicted that this contour distance could be reduced to 9m.



#### Minor Structural Damage (TRL 95% prediction level)

Using the 95% prediction level as the basis of calculation of the vibration damage (minor structural) contour distance (for high risk buildings) would give a distance of 9m to the vibration damage contour as shown in Figure 8 below. The addition of mitigation in the form of an over excavated drainage channel would reduce the vibration damage (minor structural) contour distance to 5m.



### Summary of Vibration Damage Contour Distances for Operation of Vibrating Roller: High Risk Buildings

Table 3 below summarises the predicted vibration damage contour distances for cosmetic and minor structural damage to high risk building for high and low vibration settings of the roller. Predicted contour distances are also included taking account of the use of a trench.

Roller	Mitigation	Vibration Damage Contour Distance (m)					
Vibration	Option	Cosmetic Cosmetic		Minor			
Setting		Damage	amage Damage				
		66% prediction level	95% prediction level	95% prediction level			
High	No mitigation	22	36	22			
Low		n/a	16	9			
High	With Trench	13	22	13			
Low		n/a	9	5			

Table 4. Vibration Damage Contour Distances for High Risk Buildings

#### Plotting of Vibration Damage Contour Distances

For the final stage of this study the JOC CAD team have plotted vibration damage threshold contours on mapping of the scheme thus enabling buildings exceeding the respective thresholds to be identified. The Plans which are presented in Appendix II are based on the contour distances set out in Table 3. The Plans:

- only show contours for high risk buildings, as they are much more likely to suffer building damage and also because housing is mainly constructed from adobe and in practice it may be difficult to carry out selective rolling of the road (i.e. high/low vibration in an area of mixed building type;
- only show contours for low vibration operation of the roller as high vibration operation is impracticable in residential areas within the villages;
- show the effect of mitigation provided by a trench where this is practicable; and
- show contours for both cosmetic damage and minor structural damage.

In the preparation of the Plans, the contour distances have been taken from the outermost construction point assuming that ground preparation of the sidewalk and embankment will be carried out using a vibratory roller.

#### **Roller No Vibration Mode**

JOC have confirmed that it is possible to carry out ground compaction without vibration on sections of the road adjacent to high risk buildings. In a previous study, measurements were made of the road roller described in Appendix 1 operating in 'no vibration' mode over a prepared sub-base. The results indicated that vibration levels at distances of 3-5m from the roller were less than a third of the threshold level at which a risk of cosmetic damage would be identified at a high risk class building i.e. adobe/clay construction. These measurements were made on a lithology on which higher levels of vibration would be expected in comparison with that prevalent on Section 2A of the road, and hence the application of these findings is a worst case.

#### Vibration from Operation of Excavator

In a previous study, ground borne vibration velocities (ppv) were measured during operation of an excavator digging out a section of road sub base. The assessment of the results gave the distance to the high risk building class contour (3mm/s) of c.5m This indicates that where excavation e.g. of drainage channels, is carried out at distances any less than c.5m from a high risk building there may be a risk of cosmetic damage. The equivalent distance in order to reduce risk of minor structural damage would be c.2m.

#### **Fragile Ancient Monuments**

Assuming a low roller vibration setting, the 2mm/s vibration damage contour, (i.e. the threshold of potential damage to ancient monuments, for example mausoleums constructed of adobe) would be 22m from the edge of the road. This could be reduced to c.13m through use of a trench, should that be practicable. The use of the excavator at distances closer than c.9m may also give rise to damage.

#### **Additional Vibration Calculations and Monitoring**

Vibration damage contour distances have been presented above for operation of a vibrating roller and for operation of an excavator. These results are considered sufficiently robust for the purposes of updating the IEE as required by the ToR. However they are based on the use of a specific design of roller, and the future contractor must ensure that the vibration levels of the plant selected for use do not exceed those of the SEM 520. This can be verified initially through calculation, but once plant are on site, some preliminary vibration measurements should be made to validate the calculations and ensure predicted levels will not be exceeded.

It is also advisable to carry out vibration monitoring (including the provision of vibration threshold exceedance alarms) on selected dwellings during construction. This would limit the possibility of structural damage to buildings and provide a means of monitoring contractor working practices.

#### 6. CONCLUSIONS

A study has been made of construction vibration at dwellings alongside Section 2A of the Additional Finance roads in order to determine the potential effect of the vibration on nearby houses and potential means of mitigation to reduce the risk of damage.

The principal source of vibration is the operation of vibratory rollers during ground preparation, and a review has therefore been made of existing methods for calculation of vibration from ground preparation and compaction. Of these the TRL model was chosen to offer the best available methodology.

Buildings of the types found alongside the road have been classified, according to their sensitivity to vibration damage, with the categories including low, medium and high risk buildings. The high risk buildings are those constructed from adobe. Vibration damage criteria for each category have been set, based on recognised International Standards.

The effectiveness of potential methods of mitigation of ground borne vibration from vibratory compaction have been examined including the use of low vibration operation of the roller and the use of trenches, formed by over excavation of proposed drainage channels. It was concluded that both these options offered significant levels of mitigation, though further measurements would be needed prior to construction to confirm the effectiveness of a trench in the local geological conditions.

The vibration model developed by TRL has been used to calculate the variation of vibration with distance from the road, resulting from the operation of a typical large road roller (SEM 520). The method is based on a statistical approach and provides output in the form of vibration prediction levels, with for example the 95% prediction level being the level at which there is a 5% probability of the vibration level being exceeded. Following normal good practice, the calculated vibration levels were compared to measured vibration data, obtained during a previous study, for the same model of road roller. For high vibration setting good agreement was found between the TRL 66% prediction level and the measured data, however for the low vibration setting, the TRL 95% prediction level gave better agreement.

The TRL method was then used to calculate the distance between the roller and the position at which buildings of the three vibration damage categories (high, low & medium) would be at risk of cosmetic or structural damage resulting from operation of the roller. The results are presented in the form of vibration damage contour distances, which were set out in diagrammatic form for each of these roller operating modes and vibration prediction levels.

For the high vibration operating mode, the distance to the vibration damage contour for cosmetic damage to high risk buildings, assuming the TRL 95% prediction level, would be 36m. The addition of mitigation in the form of an over excavated drainage channel i.e. a trench, would reduce the vibration damage (cosmetic) contour distance to 22m. The distance to the vibration damage contour for minor structural damage to high risk buildings, assuming the TRL 95% prediction level would be 22m. This would reduce to 13m, taking into account the use of a trench as mitigation.

In the case of the low vibration operating mode, the distance to the vibration damage contour for cosmetic damage to high risk buildings, assuming the TRL 95% prediction levels, would be 16m. This would reduce to 9m, taking into account the use of a trench as

mitigation. The distance to the vibration damage contour for minor structural damage to high risk buildings, assuming the TRL 95% prediction level would be 9m. This would reduce to 5m, taking into account the use of a trench as mitigation.

The distance to the vibration damage contours was also calculated for fragile ancient monuments, for example graves constructed of adobe. Assuming low vibration operation of the roller, the 2mm/s contour, (i.e the threshold of potential damage) would be c.22m from the edge of the road. This could be reduced to c.13m through use of a trench, should that be practicable.

Predicted levels of vibration arising from operation of an excavator have also been presented. The results indicated that the distance to the high risk building class vibration damage contour (cosmetic damage) was c.5m. For example where excavation of drainage channels is carried out at distances any less than c.5m from a high risk building there may be a risk of cosmetic damage.

The vibration damage contour distances presented in the study for operation of a vibrating roller and for operation of an excavator are considered sufficiently robust for the purposes of updating the IEE. However they are based on the use of a specific design of roller, and the future contractor must ensure that the vibration levels of the plant selected for use do not exceed those of the SEM 520. This can be verified initially through calculation, but once plant are on site, some preliminary vibration measurements should be made to validate the calculations and ensure predicted levels will not be exceeded.

In the final stage of the study (to be completed) the JOC CAD team have plotted vibration damage threshold contours on mapping of the scheme produce Plans which illustrate the risk of cosmetic and minor structural damage to high risk buildings alongside the road. These are presented in Appendix II.

#### Terminology

#### Rayleigh Wave

A type of wave, discovered by Lord Rayleigh in 1885, that can propagate on the surface of the ground. The motion of the wave, also known as an R-wave, is confined to a zone near the surface and consists of horizontal and vertical components that attenuate rapidly with depth.

#### Peak Particle Velocity (ppv)

This measure of velocity is used to describe vibration in the ground and in structures in terms of the motion of a particle (i.e., a point in or on the ground or structure) and is the zero-to-peak amplitude of velocity of the particle. It is generally accepted as the most appropriate descriptor for evaluating the potential for building damage. However it can also be used to assess human response to vibration from construction. It is normally measured on three orthogonal axes which, for example at a point near a road would be, transverse (x), longitudinal (y) and vertical (z). Often vibration levels will dominated by the vertical component of velocity however in multistorey buildings, transverse vibration, resulting from rocking of the building may be important.

#### Free-field Vibration Level

This is the level of vibration measured on the ground using a geophone mounted on a slab, stake or embedded in the ground. It is generally higher than the equivalent level of vibration that would be measured on a building foundation.

The 95% prediction interval is the interval centred about the vibration levels calculated using the derived expression, within which there is a probability of 95% that the vibration data will occur. The upper boundary (or upper bound) of this interval is referred to as the 95% prediction level and there is a 5% probability that vibration levels will lie outside the interval.

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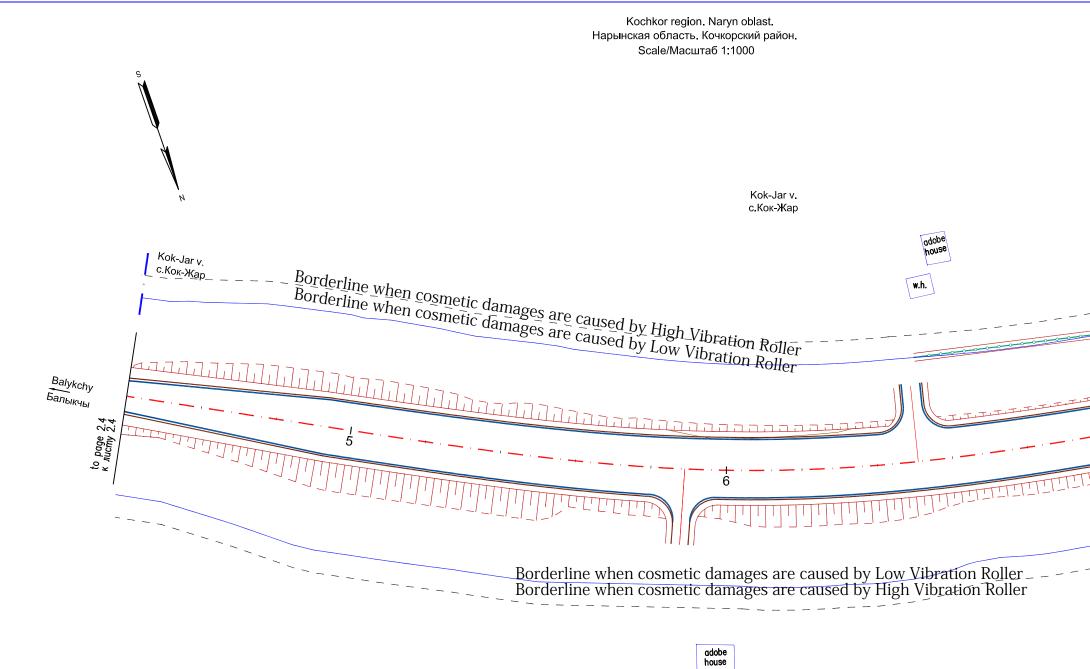
#### **APPENDIX I. DETAILS OF VIBRATING ROLLER**

Model: Shandong Engineering Machinery SEM 520 All-wheel hydraulic drive, Working weight :20000kg. Load on the front drum: 13500kg. Linear load 612 N/cm Max. speed 10 km/h Vibration frequency (min/max) 28/33 Amplitude of vibrations (max/min) 1,86/0,93 mm Vibration strength (max/min) 370/255 kN Diameter of the drum :1600 mm. Width of the drum: 2130 mm.



### XCMG XP303K Pneumatic Tyred Road Roller

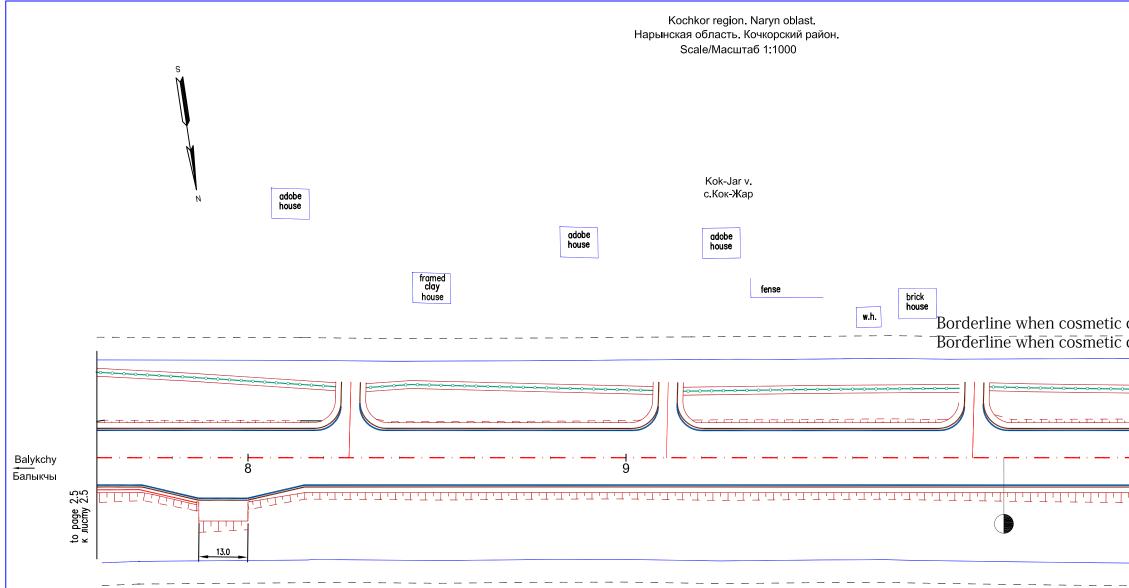




# Figure S2A–1: Boderlines indicating the limit of Cosmotic Damages depending on the Vibration Mode

Cosmatic Damage with high Vibration Roller

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## Figure S2A-2: Boderlines indicating the limit of Cosmotic Damages depending on the Vibration Mode

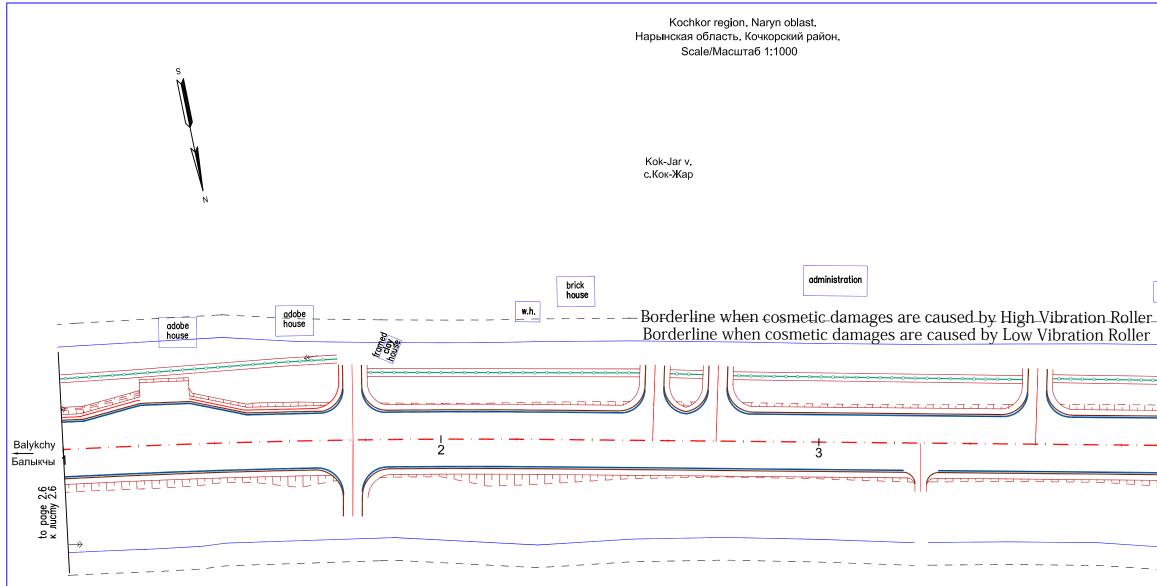
Cosmatic Damage with low Vibration Roller

Cosmatic Damage with high Vibration Roller

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### Borderline when cosmetic damages are caused by High Vibration Roller Borderline when cosmetic damages are caused by Low Vibration Roller

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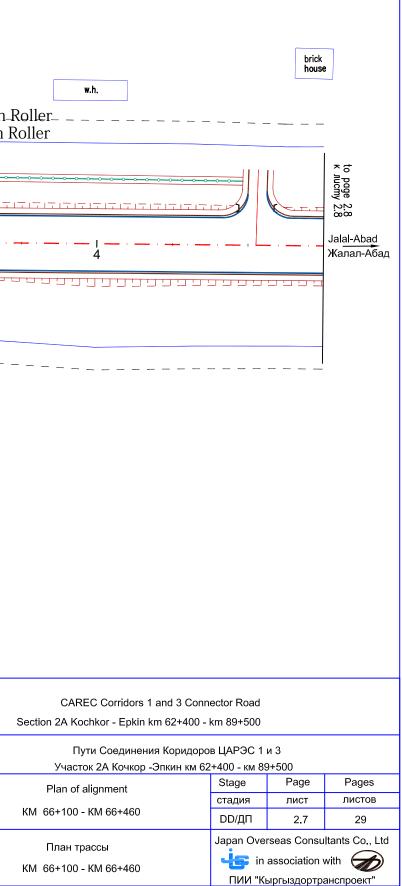


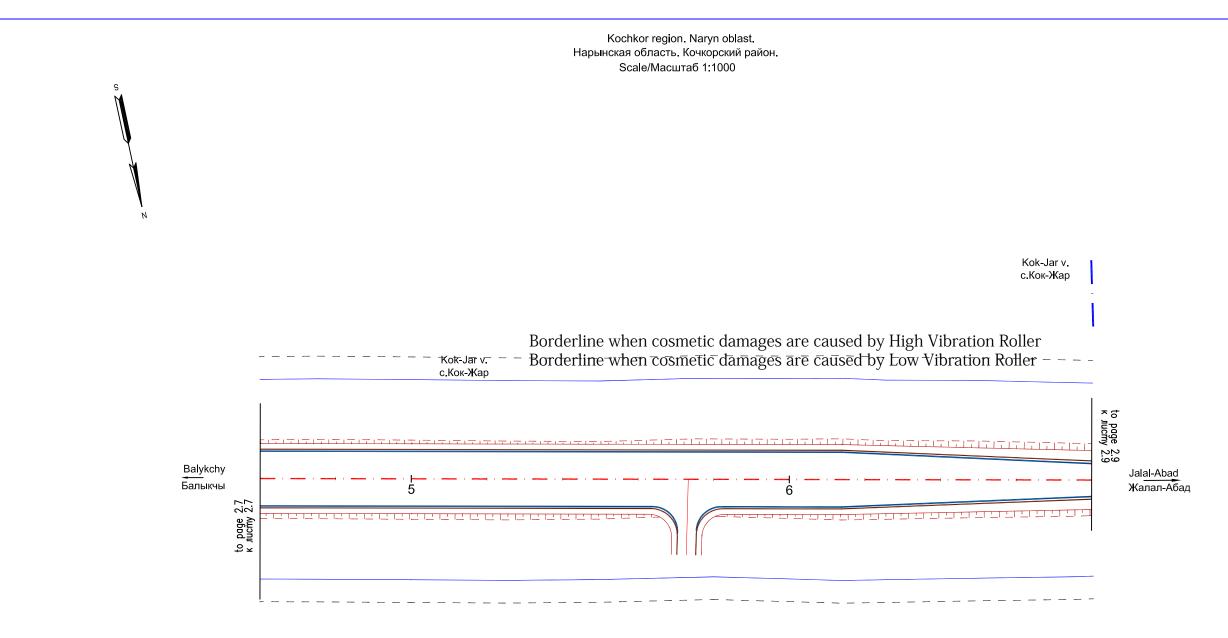
# Figure S2A-. : Boderlines indicating the limit of Cosmotic Damages depending on the Vibration Mode

Cosmatic Damage with low Vibration Roller

Cosmatic Damage with high Vibration Roller

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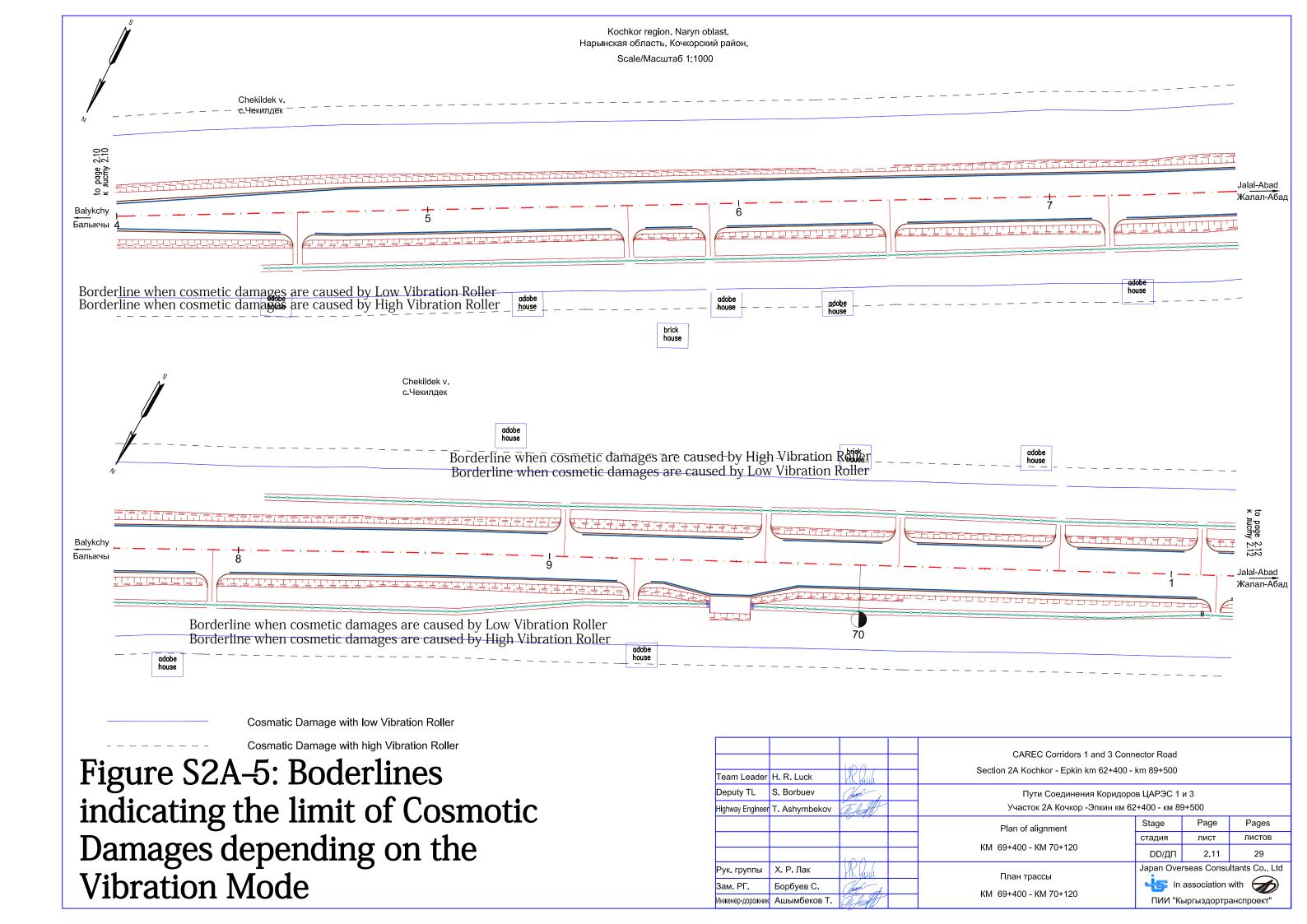


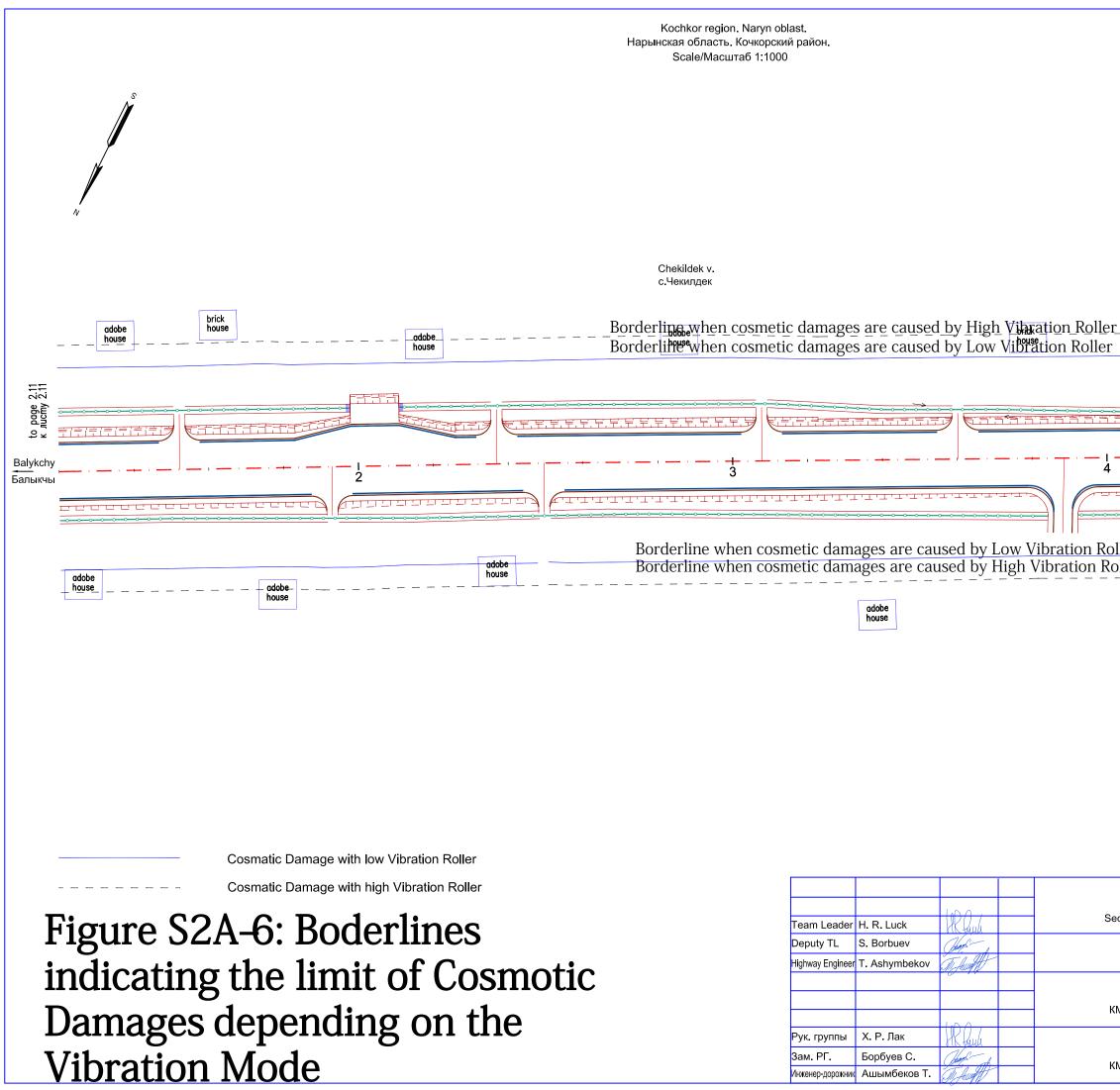
# Figure S2A-4: Boderlines indicating the limit of Cosmotic Damages depending on the Vibration Mode

Cosmatic Damage with low Vibration Roller

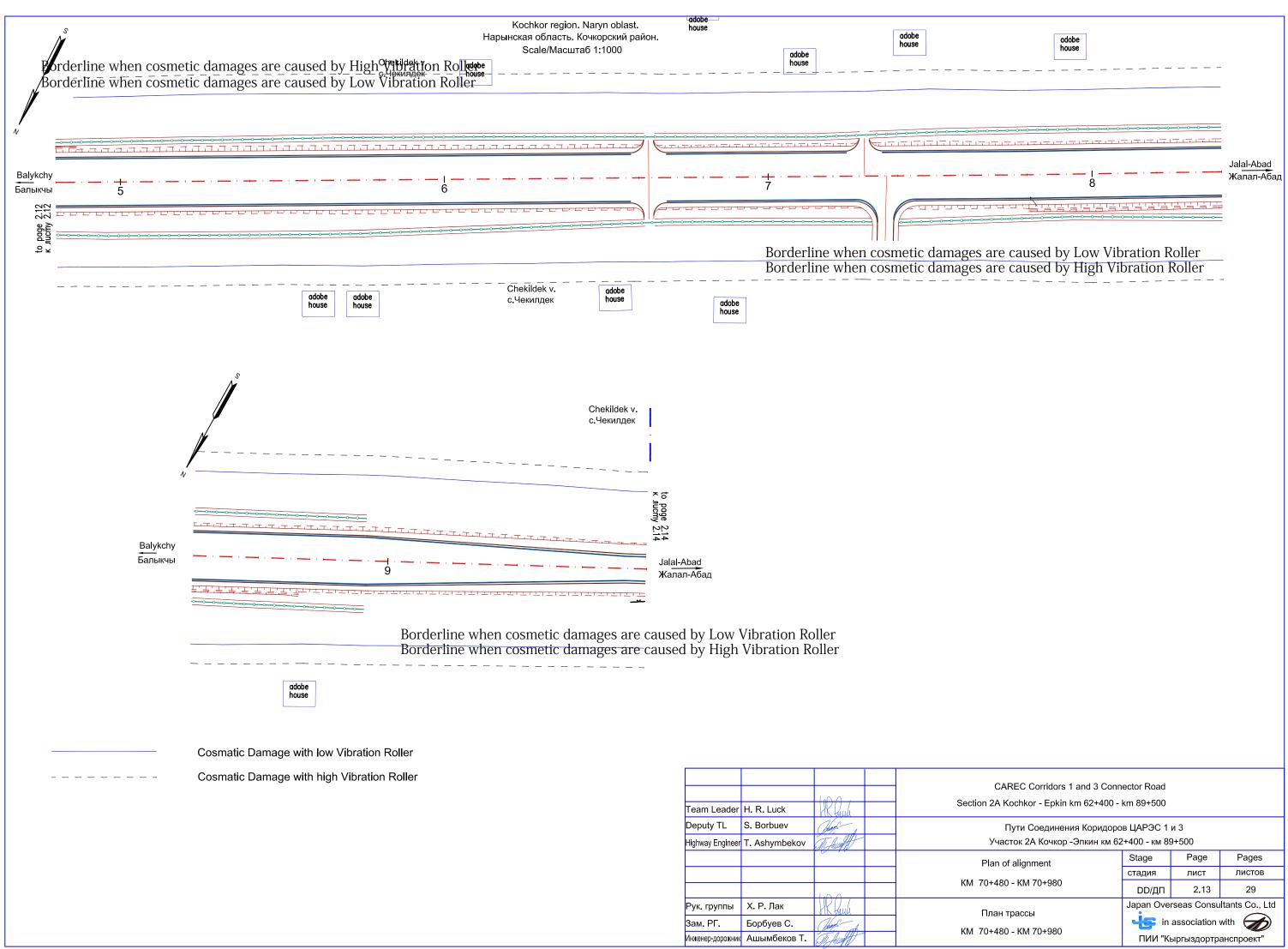
Cosmatic Damage with high Vibration Roller

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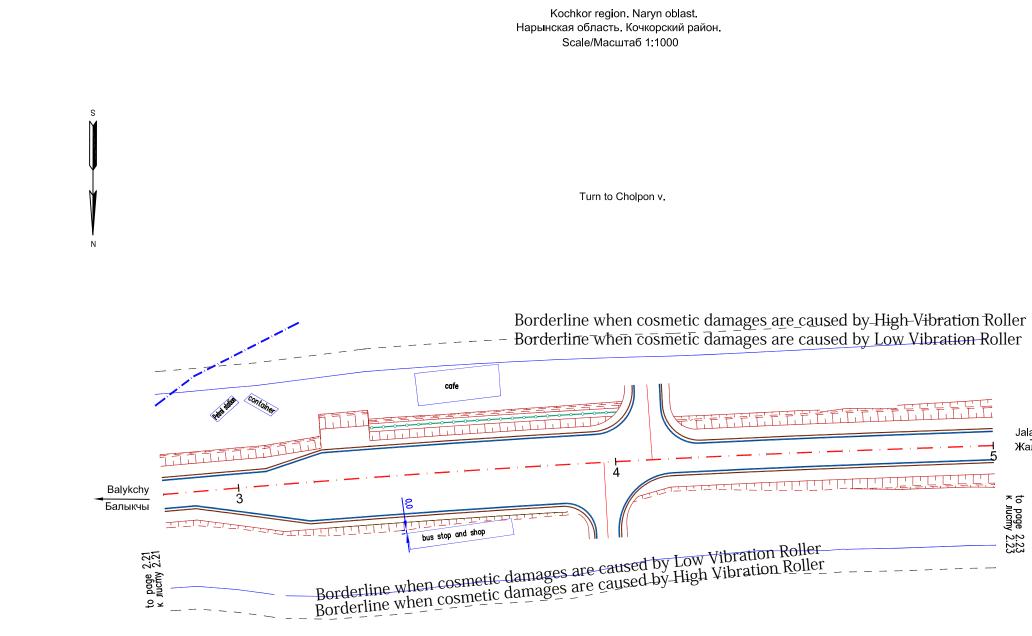




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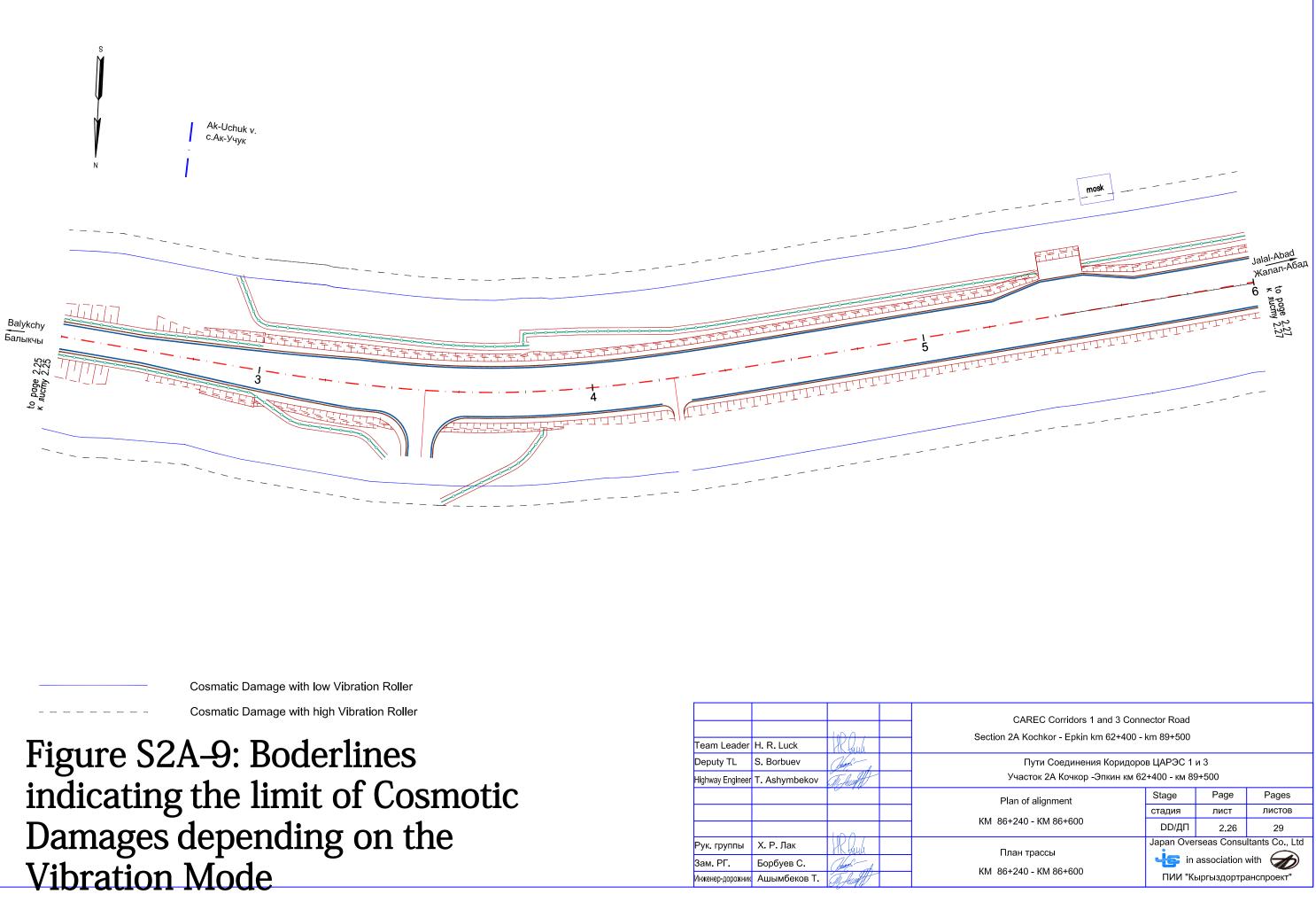
Cosmatic Damage with low Vibration Roller

Cosmatic Damage with high Vibration Roller

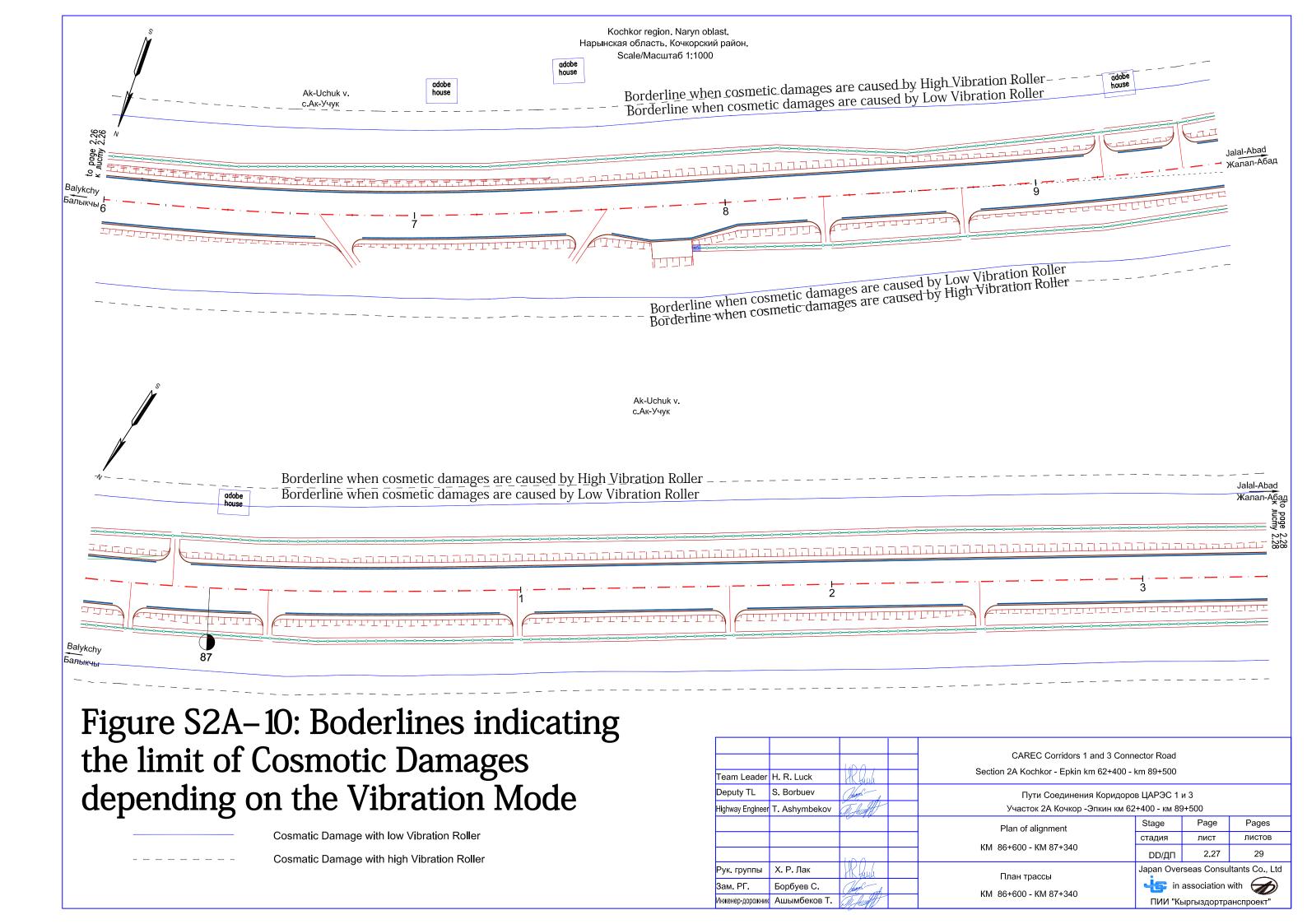
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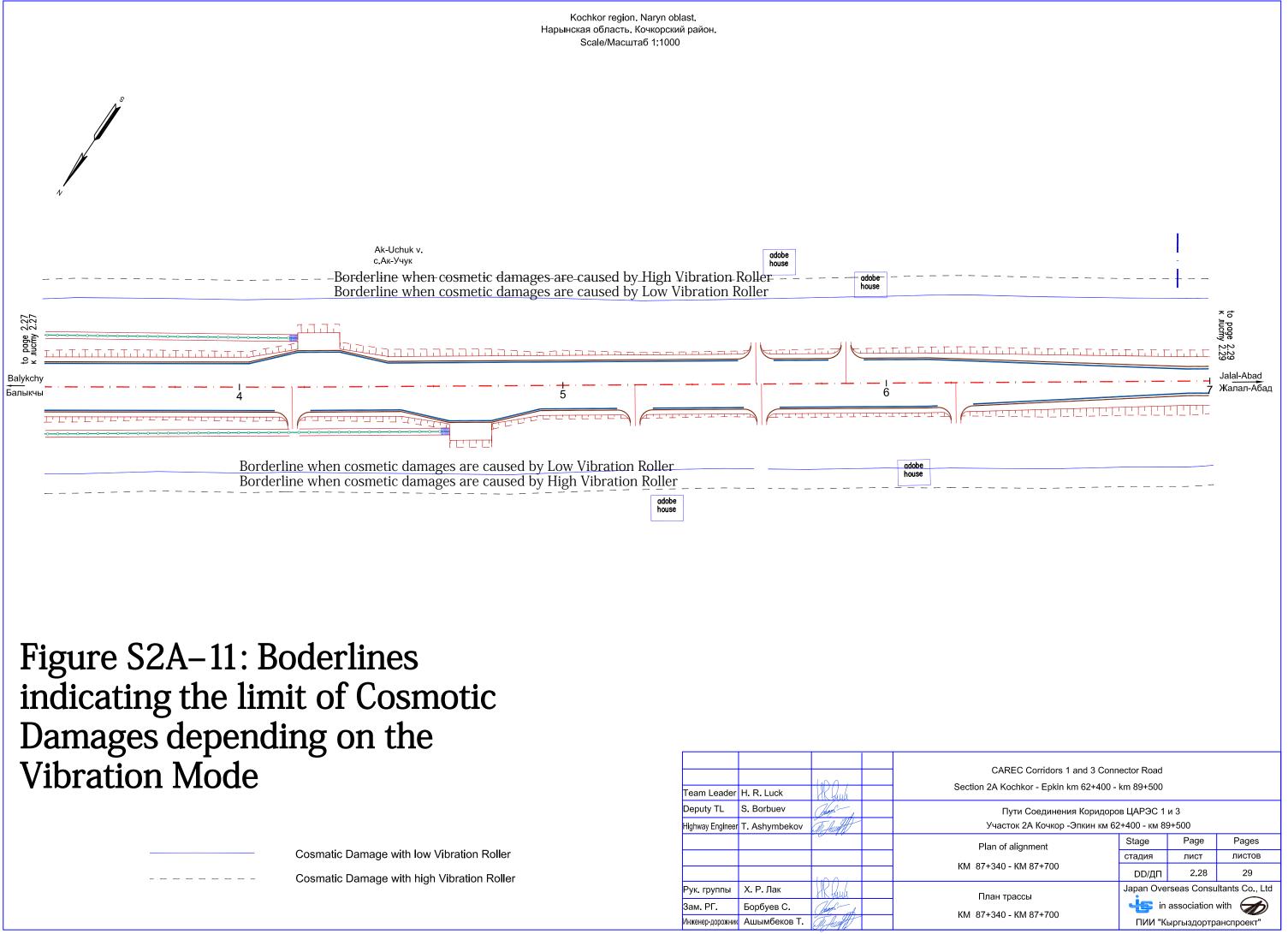
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Highway Engineer	T. Ashymbekov	THUR HU	
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