

**INTEGRATED SAFEGUARDS DATA SHEET**  
**APPRAISAL STAGE**

Report No.: **89154**

Date ISDS Prepared/Updated: 4 June 2014

**I. BASIC INFORMATION**

**1. Basic Project Data**

<b>Country:</b>	Solomon Islands	<b>Project ID:</b> P147005	
<b>Project Name:</b>	Community Governance and Grievance Management Project		
<b>Task Team Leader:</b>	Daniel Marcus Evans		
<b>Estimated Board Date:</b>	N/A		
<b>Managing Unit:</b>	LEGJR		
<b>Sector(s):</b>	Public Administration, Law and Justice		
<b>Theme(s):</b>	Judicial and other dispute resolution mechanisms; access to law and justice; conflict prevention and post-conflict reconstruction; gender.		
<b>Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)?</b>			No
<b>Project Financing Data (in USD Million)</b>			
<b>Total Project Cost:</b>	3.08	<b>Total Bank Financing:</b>	3.08
<b>Financing Gap:</b>			
<b>Financing Source</b>			<b>Amount</b>
BORROWER/RECIPIENT			0.00
IDA Grant			0.00
Total			3.08
<b>Environmental Category:</b>	B		
<b>Is this a Repeater project?</b>	No		
<b>Is this a Transferred project?</b>	Yes		

**2. Project Development Objective(s)**

The Project Development Objective (PDO) is to strengthen community grievance management capabilities and enhance the effectiveness of linkages with government in targeted communities.

### 3. Project Description

The project will initially begin in two provinces, and will be extended to a further two provinces by the end of the project as indicated in the table below. The additional two provinces will be selected by the implementing agency, the Ministry of Provincial Government and Institutional Strengthening (MPGIS), based on agreed criteria including capacity (staffing and the ability to meet recurrent allowance/salary costs) and willingness to engage. Within each province, it is anticipated that ten communities will be selected in the first year of participation and an additional ten communities will be selected in the second year of participation, in which Community Officers (COs) will be recruited. Communities will be selected by participating provincial governments based on factors including accessibility, willingness of communities to host COs, existing community institutions with which the COs would work, population coverage and similar ongoing assistance from other donor-financed programs etc.

**Table 1: Intended Coverage and Phasing**

Financial year	2014/15	2015/16	2016/17	2017/18	Total
Participating provinces	2	2	4	4	4
Community Officers	20	40	60	80	80

#### A. Project Components

The components of the Community Governance and Grievance Management Project are as follows.

##### *Component 1: Revitalizing Government-Community Linkages (US\$889,414.00)*

The objective of this component is to revitalize linkages between government and target communities. Both provincial and central governments regard COs as valuable in supporting and strengthening their outreach and extension activities. Necessarily, given the role of COs, this will be achieved primarily through working with relevant departments of participating provinces, but linkages will also be fostered with central government agencies responsible for policing, land and natural resources and local development financing. This objective will also be achieved by assisting participating provincial government to fulfill key responsibilities associated with the selection, contracting, remuneration, coordination, reporting, performance management and supervision of COs.

A feature of this component will be a facilitated process, led by participating provincial governments, to engage with communities in order to reach a common understanding around how COs work, tailored to the local context, and how they will interact with relevant community institutions and actors and with government authorities. Through this process, it is expected that provincial government staff, assisted by the project, will work with communities to assess the most significant problems facing communities (including the nature of disputes and gender-specific issues) and how well existing grievance management mechanisms are responding to these issues. Agreement would then be reached on how COs operate within their host community, i.e. who they will interact with locally, what disputes and grievances they would focus on, how they will be expected to behave and what kinds of accountability, locally, and with government would be defined, so as to tailor COs activities to particular contexts. As part of this process, provincial government staff, assisted by the project, will collect baseline data on the use of and satisfaction with existing community grievance management mechanisms as well as satisfaction with current government-community linkages.

This component would also support the provinces to raise public awareness of the roles and responsibilities of COs in the context of existing community governance arrangements so as to ensure

that COs, local interlocutors and provinces are held accountable for their actions, including mechanisms for community feedback and grievance redress. Under this component, the relevant provincial department will be provided with the necessary office and communication equipment to enable them to effectively carry out their roles.

***Component 2: Strengthening the Capabilities of Community Officers and Local Authorities (US\$1,237,290.00)***

**The objective of this component is to ensure that COs and the local actors with whom they interact are adequately equipped with the knowledge, skills and tools to perform their agreed roles.** To ensure the relevance and impact of capacity development investments, it is envisaged that the project will employ a learning-by-doing approach through the provision of short-term training largely involving on-the-job mentoring. This will be planned and implemented by MPGIS in collaboration with provincial governments. A standard training package will be prepared and delivered principally through regular support and supervision visits to host communities involving a number of provincial and central government officials. In addition, group training events will take place in provincial capitals involving all COs within the province. Certain training activities may be extended to include relevant provincial government staff, civil society including local actors (e.g. chiefs and leaders) and local representative bodies that exist in some provinces such as Ward Development Committees and Councils of Chiefs.

The project will support the sharing of lessons learnt across provinces (and potentially with neighboring countries, e.g. Bougainville/PNG), for example by cross-provincial visits and joint review activities. Job-related equipment (e.g. uniforms, mobile phones/two-way radios, office supplies) would be provided to COs under this component to enable them to effectively undertake their roles and apply the skills promoted under the project.

***Component 3: Project Management, Evaluation and Learning (US\$944,380.00)***

**The objective of this component is to provide support to MPGIS to effectively implement the project, to ensure that it is carried out in accordance with government and Bank processes and guidelines and contributes evidence-based policy guidance to government.** The responsibilities of MPGIS will include: (a) the coordination of the project with national and provincial stakeholders; (b) the management of the project, including technical, financial, procurement, social and environment safeguards, monitoring and evaluation, communications, and grievance redress; (c) project monitoring and reporting, periodic, at mid-term and completion; (d) annual project audits and performance reviews; and (e) through periodic workshops and seminars, sponsoring the exchange of project learning and policy dialogue across national and province government and local authorities. Activities supported under this component will include: (a) the establishment of a PMU within MPGIS including the requisite equipment and operational budgets to facilitate PMU activities; (b) the mid-term review including associated assessments; (c) the establishment of a Project Monitoring System and two beneficiary surveys (at mid-term and completion) and (d) annual audits of project accounts.

**4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)**

The project will commence in rural areas in Makira Ulawa and Renbel provinces. Specific communities have not yet been selected. Two further provinces will be selected for extension of the project in 2016/17.

### 5. Environmental and Social Safeguards Specialists on the Team

Beverley Ann McLean (Consultant); Social Specialist (LEGJR)

6. Safeguard Policies	Triggered?	Explanation (Optional)
Environmental Assessment OP/BP 4.01	Yes	The project will have no physical impacts. This policy is triggered because it is the umbrella policy that guides the triggering of the other environmental and social safeguards policies. No separate instrument will be prepared or disclosed. The key messages of this policy on environmental and social assessment will be incorporated into the design of the project. This includes awareness creation on the basic policy principles, addressing the concerns of the vulnerable groups of people, etc., and the training for COs and other change agents in Solomon Islands for the purposes of promoting environmental and social sustainability of development interventions.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	
Indigenous Peoples OP/BP 4.10	Yes	The policy is triggered. Solomon Islands population is considered Indigenous People (IPs) based on the requirements of OP 4.10. Since the overwhelming majority of project beneficiaries would fall under this OP 4.10 definition of indigenous peoples, no stand-alone Indigenous Peoples Plan is required. However, elements of an IPP in this case derived from a simple Social Assessment (SA) proportional to both the positive and negative impacts of this project, that has been prepared and disclosed, are integrated into the design of the project.
Involuntary Resettlement OP/BP 4.12	NO	The policy is not triggered since the project will have no physical/resettlement impacts, but will provide support to establish arrangements, through COs, that assist communities to manage conflicts that undermine community security, development and social

		cohesion. Even though one key area of conflict is land disputes over tenure and ownership, this will be addressed under OP 4.01 as indicated under the provisions of footnote 8 of OP 4.12.
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

## II. Key Safeguard Policy Issues and Their Management

### A. Summary of Key Safeguard Issues

<p><b>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</b></p> <p>The project does not raise any issues under safeguard policies and will have no physical impacts. The social risks associated with the project consist of: (a) possible difficulty in reconciling the tensions between customary law with state law and human rights principles in the kinds of conflict that COs will typically encounter; (b) challenges in the selection of COs with the required mediation and reconciliation skills who have the confidence of the community, and in particular finding and supporting female candidates with the willingness and ability to operate in the face of potential cultural hostility and even physical threat; and (c) possible difficulty in securing the buy-in of some local authority figures where there has been abuse of position to gain personal advantage, especially from development projects, particularly natural resource development. All these are provided for under OP4.01 and integrated in the project design.</p>
<p><b>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</b></p> <p>The project presents an opportunity to support the development and expansion of conflict management mechanisms that are lawful, objective and perceived as equitable, and which are sensitive to local <i>kastom</i> and leadership and connected to the wider provincial administrative framework. Outcomes of conflict management/avoidance could be considerably improved in particular for women and youth.</p>
<p><b>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</b></p> <p>None.</p>
<p><b>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</b></p> <p>No safeguards plans or frameworks are required for this project. Informing project design, comprehensive free informed prior consultation with local leaders, men, women and youth was undertaken during the evaluation of the precursor Royal Solomon Islands Police Force-initiated CO pilot and during project preparation. This has included nine communities in five Provinces in October 2011 for the evaluation of the CO pilot project and 86 rural communities for the earlier 'Justice Delivered Locally' project. Subsequent consultations have taken place with all nine Provincial Governments, and with civil society stakeholders. The process confirmed broad community and official support for the project. A SA has been undertaken as part of project preparation. Findings from the SA and consultations have been taken into account in the project design, particularly the inclusion of safeguards principles and management of grievances in planned community outreach activities and</p>

CO training. MPGIS and the provincial governments have constrained fiscal and personnel resources. Though provincial governments have undertaken to appoint, pay and support COs, competing demands for provincial budgets present some risk of under-resourcing for the CO function. The project contains components and a budget to support capacity building for COs, provincial governments and MPGIS.

**5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.**

Key institutional stakeholders include the Implementing Agency, MPGIS, and provincial governments that will host and resource the CO program. The Ministries of Lands, Housing and Survey; Mines, Energy and Rural Electrification and Meteorology, Environment and Conservation will be consulted on awareness raising and CO training on management of disputes arising from development projects involving land and natural resources. Local level leaders and communities and beneficiary stakeholders will continue to be consulted and will participate in the formulation with COs of agreements about key local issues to be addressed and how COs will operate in their areas. In agreeing on the mode of operation with beneficiary communities, care will be taken to ensure that the grievance mechanism is available to all groups in communities. The SA has been disclosed through the InfoShop and locally through advertisements in the main daily newspaper and signboards in relevant provinces.

**B. Disclosure Requirements**

<b>Environmental Assessment/Audit/Management Plan/Other (Social Assessment)</b>	
Date of receipt by the Bank –Social Assessment	2 June 2014
Date of submission to InfoShop	20 June 2014
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	n/a
"In country" Disclosure	Week commencing 30 June 2014
<b>Resettlement Action Plan/Framework/Policy Process</b>	
Date of receipt by the Bank	n/a
Date of submission to InfoShop	n/a
"In country" Disclosure	
<b>If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.</b>	
<b>If in-country disclosure of any of the above documents is not expected, please explain why:</b>	
n/a	

**C. Compliance Monitoring Indicators at the Corporate Level**

<b>OP/BP/GP 4.01 - Environment Assessment</b>			
Does the project require a stand-alone EA (including EMP) report?	Yes [ ]	No [X]	NA [ ]
<b>OP/BP 4.04 - Natural Habitats</b>			
Would the project result in any significant conversion or degradation of critical natural habitats?	Yes [ ]	No [X]	NA [ ]

If the project would result in significant conversion or degradation of other (non-critical) natural habitats, does the project include mitigation measures acceptable to the Bank?	Yes [ ]	No [ ]	NA [X]
<b>OP/BP 4.11 - Physical Cultural Resources</b>			
Does the EA include adequate measures related to cultural property?	Yes [ ]	No [ ]	NA [X]
<b>OP/BP 4.12 - Involuntary Resettlement</b>			
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes [ ]	No [ ]	NA [X]
If yes, then did the Regional unit responsible for safeguards or Sector Manager review the plan?	Yes [ ]	No [ ]	NA [X]
<b>OP/BP 4.36 – Forests</b>			
Has the sector-wide analysis of policy and institutional issues and constraints been carried out?	Yes [ ]	No [ ]	NA [X]
Does the project design include satisfactory measures to overcome these constraints?	Yes [ ]	No [ ]	NA [X]
Does the project finance commercial harvesting, and if so, does it include provisions for certification system?	Yes [ ]	No [ ]	NA [X]
<b>OP/BP 4.37 - Safety of Dams</b>			
Have dam safety plans been prepared?	Yes [ ]	No [ ]	NA [X]
Have the TORs as well as composition for the independent Panel of Experts (POE) been reviewed and approved by the Bank?	Yes [ ]	No [ ]	NA [X]
Has an Emergency Preparedness Plan (EPP) been prepared and arrangements been made for public awareness and training?	Yes [ ]	No [ ]	NA [X]
<b>The World Bank Policy on Disclosure of Information</b>			
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [X]	No [ ]	NA [ ]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [X]	No [ ]	NA [ ]
<b>All Safeguard Policies</b>			
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [X]	No [ ]	NA [ ]
Have costs related to safeguard policy measures been included in the project cost?	Yes [X]	No [ ]	NA [ ]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [X]	No [ ]	NA [ ]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project	Yes [X]	No [ ]	NA [ ]

legal documents?	
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### III. APPROVALS

Task Team Leader:	Name: Daniel Marcus Evans	
<i>Approved By:</i>		
Regional Safeguards Advisor:	Name: Peter Leonard <i>Peter Leonard</i>	Date: <i>July 1, 2014</i>
Sector Manager:	Name: Christina Biabesheimer <i>Christina Biabesheimer</i>	Date: 19 June 2014

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