

Project Participation Plan

July 2015

Socialist Republic of Vietnam:
Second Greater Mekong Subregion Corridor Towns
Development Project

Bac Giang, Mong Cai and Sa Pa Subprojects

I. INTRODUCTION AND PURPOSE

1. Consultation and participation of project affected communities and civil society stakeholders are required by ADB.¹ This exercise is done right through from the project concept, design, preparation and implementation stages. During the project design phase, the exercise involves fully sharing information on the proposed Viet Nam Second Greater Mekong Subregion Corridor Towns Development Project (GMS CTDP II VIE) with the targeted beneficiary households and communities and affected people (AP). Its purpose is to engage stakeholders on the potential impact and effectiveness of the GMS CTDP II VIE to enhance positive benefits for them as well as mitigate any negative impacts. It also serves to provide them with information about projects and programs including potential impacts, safeguard plans, mitigation measures, and institutional arrangements.
2. The Participation Plan (PP) thus serves as a framework to guide the participation of civil society and organizations that engage with CSOs/NGOs during project implementation. The dissemination of project information to stakeholders and affected communities and enabling dialogue of associated needs and preferences can help reduce potential opposition to a project, avoid conflicts that may occur during implementation, and minimize the risk of project delays.
3. Mindful of the above objectives and principles, a Stakeholder Analysis was undertaken to inform the preparation of the PP by identifying key stakeholder groups, their interest with respect to proposed project interventions, and understanding of local perceptions of problems and issues which the project design proposes to address.
4. The PP, presented in Table 45.1 below, identifies who are the key stakeholders assisting civil society and representing the target beneficiaries and APs in the GMS CDTP II VIE. It outlines the reasons for their involvement in the project; what mechanisms for participation are to be used to meaningfully engage them; the parties responsible for facilitating the consultation and participation; timing and indicative costs. The PP together with the Stakeholder Communication Strategy (SCS) are important guiding documents for GMS CTDP II VIE's implementation, since a significant portion of the total population (290,859 people) in Bac Giang, Mong Cai and Sa Pa are expected to benefit from improved urban drainage and flood management (19,900 households in Bac Giang and Mong Cai), new wastewater connections and treatment facilities (19,700 people in the three towns), roads and urban area enhancement (all users of these roads and residents or visitors to areas being enhanced in Bac Giang and Sa Pa), and training/capacity building (at least 120 people in the three towns). Their engagement and participation is crucial to the project's effectiveness and success.

¹ As established in: ADB. 2009. *Safeguard Policy Statement*. Manila; and ADB. 2011. *2011 Public Communications Policy (PCP) of the Asian Development Bank: Disclosure and Exchange of Information*. Manila

TABLE 18.1: PARTICIPATION PLAN

Stakeholder Group	Objective of their Intervention	Approach to Participation and Depth	Participations methods		Timeline		Cost Estimate
	Why Included		Method	Who responsible	Start date	End date	
Department of Natural Resources and Environment (DoNRE)	Provide guidance and coordinate the committee responsible for the environmental appraisal of the subproject	Collaboration (high)	<ul style="list-style-type: none"> • Participate in packages of Capacity Building and Strengthening Program • Elaborate guidelines relevant for the environmental issues of the subproject • Monitoring through visit to subproject sites • Monthly and ad hoc meetings with other local government agencies and with local decision makers representing people's interests • Monthly report • Yearly Project Assessment Workshop including the 3 subproject beneficiary cities. • Ensure the subproject complies with the Environmental Protection Master Plan for 2020 (recently completed by the Japanese firm Nippon Koei), Quang Ninh 	DoNRE Director	2015	2021	
- Board of Ethnic Minorities, Provincial - Sa Pa Ethnic Minority Board	Ensure that ethnic minorities benefit from the project	Collaboration (high)	<ul style="list-style-type: none"> • Participate in packages of Capacity Building and Strengthening Program • Monthly and ad hoc meetings with government agencies implementing the subproject to gather information • Organize Information Generation & Sharing meetings with ethnic minorities at milestones of subprojects • Organize Consultation meetings with ethnic minorities at milestones of subprojects 	Board Director	2015	2021	

Stakeholder Group	Objective of their Intervention	Approach to Participation and Depth	Participations methods	Timeline		Cost Estimate	
			<ul style="list-style-type: none"> • Collaborate with DOLISA and City's Women's Union • Monthly report disseminated to relevant stakeholders • 				
- DOLISA, Provincial - DOLISA, Provincial Gender Equality Unit	Ensure that women, poor and vulnerable people benefit from the project	Collaboration (high)	<ul style="list-style-type: none"> • Participate in packages of Capacity Building and Strengthening Program • Monthly and ad hoc meetings with government agencies implementing the subproject to gather information • Organize Information Generation & Sharing meetings with women, poor and vulnerable people at milestones of subprojects • Organize Consultation meetings with women, poor and vulnerable people at milestones of subprojects • Collaborate with Board of Ethnic Minorities and City's Women's Union • Monthly report disseminated to relevant stakeholders • 	DOLISA Director	2015	2021	
Government mass organizations							
Women's Union (WU)	Expertise in Empowerment of Women Large outreach and presence in communities	Collaboration (high)	<ul style="list-style-type: none"> • Participate in packages of Capacity Building and Strengthening Program • Organize Information Generation & Sharing meetings with women at milestones of components • Organize Consultation meetings with women at milestones of components • Organize awareness raising and communication campaigns on issues related to implementation and O&M • Organize training sessions on issues related 	Women's Union Chairwoman	2015	2021	Costs included in Capacity Development budget

Stakeholder Group	Objective of their Intervention	Approach to Participation and Depth	Participations methods	Timeline		Cost Estimate	
			to implementation and O&M of subprojects <ul style="list-style-type: none"> • Collaborate with Board of Ethnic Minorities and DOLISA • Monthly report disseminated to relevant stakeholders • 				
Civil society							
Civil society (Candidates for collaboration: SCDI, Sa Pa O'Chau, Craftlink, Volunteer for Education (VEO), Chan Troi Moi, Hoa Sua School of Economic and Tourism)	Carrying out project support activities in line with their mission and capacities	Collaboration (medium)	Cooperate with WU to support: <ul style="list-style-type: none"> • Information campaigns, • Awareness raising of risks of human trafficking • Vocational training activities • Out-reach to ethnic minority women (Sa Pa) 	Directors of NGOs/CSOs	2015	2021	Costs included in Capacity Development budget
Beneficiaries/ Poor and Vulnerable Groups							
All beneficiary households with special focus on affected poor and vulnerable households and communities	Design and implementation of the components are adjusted to the local situation, and receive support of the beneficiary communities.	Collaboration (Medium)	<ul style="list-style-type: none"> • Participation in Information Generation & Sharing meetings at milestones of components • Participation in Consultation meetings at milestones of components • Participation in awareness raising and communication campaigns on issues related to implementation and O&M of components 		2015	2021	

Stakeholder Group	Objective of their Intervention	Approach to Participation and Depth	Participations methods	Timeline		Cost Estimate	
			<ul style="list-style-type: none"> • Participation in consultations that focus on the identification of the forms of message dissemination that are preferred by the communities • Participate in vocational training activities, O&M and employment opportunities generated by the project 				

CSOs = Civil Society Organisations; DOLISA = Department of Labor, Invalids, and Social Affairs; PP = Participation Plan; NGOs = Non-Government Organizations; O&M = Operations and Maintenance; WU = Women's Union

Source: Asian Development Bank.