

# PROCUREMENT PLAN

## Basic Data

<b>Project Name:</b> Yunnan Pu'er Regional Integrated Road Network Development Project	
<b>Project Number:</b> 46040-003	<b>Approval Number:</b> 3217
<b>Country:</b> China, People's Republic of	<b>Executing Agency:</b> Yunnan Provincial Department of Transport, Yunnan Pu'er Municipal Government
<b>Project Financing Amount:</b> US\$ 589,530,000 <b>ADB Financing:</b> US\$ 200,000,000 <b>Cofinancing (ADB Administered):</b> <b>Non-ADB Financing:</b> US\$ 389,530,000	<b>Implementing Agency:</b> Pu'er Municipal Transport Bureau <b>Project Closing Date:</b> 30 June 2019
<b>Date of First Procurement Plan:</b> 8 December 2014	<b>Date of this Procurement Plan:</b> 5 January 2017, Version 6

## A. Methods, Thresholds, Review and 18-Month Procurement Plan

### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 3,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 2,999,999	
Shopping for Goods	Between US\$ 1 and US\$ 99,999	
International Competitive Bidding for Works	US\$ 40,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 200,000 and US\$ 39,999,999	
Shopping for Works	Between US\$ 1 and US\$ 199,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	80:20
Individual Consultants Selection for Individual Consultant	various

### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
JCRR-Sub 1	Jiangcheng County: Longzhong Road (33.7km)	4,343,867.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works

JCRR-Sub 2	Jiangcheng County: Zhonghui Road (22.6km)	3,289,690.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
JDRR-Sub 1	Jingdong County: Longjie Nan'an Road (28.9km)	6,101,958.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
JDRR-Sub 2	Jingdong County: Longjie Heshao Road (13.3km), Longjie Xinping Road (10.92), Wenlong Menglao road (9.9km), Wenlong Yichang road (10.46km)	6,834,253.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
JGRR-Sub 1	Jinggu County: Jingfeng Road	6,303,873.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
JGRR-Sub 2	Jinggu County: Minxiu Road	5,208,290.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
LCRR-Sub 1	Five Roads in Lancang County: Nadongcun	8,733,344.22	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N

	Road, Nanliecun Road, Yintongcun Road, Ganhecun Road, Duoyishucun Road						Domestic Preference Applicable: N  Bidding Document: Large Works
MJRR-Sub 1	Mojiang county: Xin'an Longkai road (14.3km)	1,797,901.50	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
MJRR-Sub 2	Mojiang County: Yayi Luoshitangroad 29.2km	3,547,629.27	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
MJRR-Sub 3	Mojiang County: Sinanjiang Xiqi Road (40.2km)	4,733,334.58	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
MM-Pav1	KM54+900-102+751.42 4	29,947,641.00	NCB	Prior	1S1E	Q4 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
NJL-Pav1	KM0+000-70+000	18,479,662.00	NCB	Prior	1S1E	Q4 / 2016	Prequalification of Bidders: N  Domestic

							Preference Applicable: N  Bidding Document: Large Works
NJL-Pav2	KM70+000-154+000	18,741,785.00	NCB	Prior	1S1E	Q4 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
NJL-Pav3	KM154+000-234+069.5 2	18,502,258.00	NCB	Prior	1S1E	Q4 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
NJL-Sub1 0	KM212+000-234+069.5 2	20,922,828.00	NCB	Post	1S1E	Q4 / 2015	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
NJL-Sub6	KM101+000-129+500	18,547,452.00	NCB	Post	1S1E	Q4 / 2015	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
NJL-Sub7	KM129+500-158+000	20,415,755.00	NCB	Post	1S1E	Q4 / 2015	Prequalification of Bidders: N  Domestic Preference Applicable: N

							Bidding Document: Large Works
NJL-Sub8	KM158+000-185+000	20,426,602.00	NCB	Post	1S1E	Q4 / 2015	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
NJL-Sub9	KM185+000-212+000	20,426,602.00	NCB	Post	1S1E	Q4 / 2015	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
XMRR-Su b1	Ximeng County: Lisuo Nankang Road (13km), Lisuo Lisuo Road (10.4km), Mengka Banzhe Road (4.6km), Galou Road (12km), Zhongke Yongbuluo Road (14.9km), Wenggake Yingla Road (11.3km)	8,943,474.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
ZYRR-Sub 1	Zhenyuan County: Zhentai Chang'an Road 33.02km K0+000-K33+020	3,545,700.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
ZYRR-Sub 2	Zhenyuan County: Zhentai Shanjie Road 10.18km K0+000-K10-180; Zhentai Wenxing Road 19km mainline K0+000-K18+780	4,637,435.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N



## B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

## C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
NJL-Sub1	KM0+000-18+140	19,767,679.00	7,078,266.79	NCB	Q2 / 2015	19-FEB-16	
NJL-Sub2	KM18+140-35+000	18,669,475.00	10,887,899.89	NCB	Q2 / 2015	19-FEB-16	
NJL-Sub3	KM35+000-K52+000	18,669,475.00	6,394,938.42	NCB	Q2 / 2015	19-FEB-16	
NJL-Sub4	KM52+000-72+000	18,653,205.00	6,521,047.55	NCB	Q2 / 2015	19-FEB-16	
MM-Sub1	KM54+000-71+357.93	56,517,676.00	29,492,114.51	ICB	Q1 / 2015	09-MAY-16	
MM-Sub2	KM71+357.93-102+751.42	47,643,798.00	23,371,639.00	ICB	Q1 / 2015	09-MAY-16	
NJL-Sub5	KM72+000-101+000	18,872,846.00	6,440,040.09	NCB	Q2 / 2015	19-FEB-16	

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
1	Project Management and Training	1,300,000.00	1,423,834.00	QCBS	Q1 / 2016	21-NOV-16	pcss 0009
2	Project Management and Transport Specialist	75,000.00	59,157.00	ICS	Q1 / 2016	02-JUN-16	pcss 0008

## D. National Competitive Bidding

## **A. Regulation and Reference Documents**

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

## **B. Procurement Procedures**

### **1. Competitive Bidding Procedure** (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

### **2. Eligibility**

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

### **3. Advertising**

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website ([www.chinabidding.com](http://www.chinabidding.com)). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

### **4. Bidding Period**

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

### **5. Participation by Government-Owned Enterprises**

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

### **6. Rebidding**

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

## **C. Bidding Documents**

### **7. Qualification requirements**

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded



by a prequalification process.

## **8. Bid Submission and Opening**

9. Bidders shall be allowed to submit bids by mail or by hand.
10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

## **9. Bid Evaluation and Award**

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.
12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

## **10. ADB Policy Clauses**

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.
14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.
15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

