



# External Monitoring Report

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## PRC: Yunnan Chuxiong Urban Environment Improvement Project – Resettlement External Monitoring Report (No. 3) for Longchuan River Component

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For Chuxiong Prefecture Project Management Office

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**Asian Development Bank**

**Upper Longchuan River Regulation Work of the Chuxiong Yi Autonomous  
Prefecture Urban Environmental Improvement Project**

**Resettlement External Monitoring Report  
(Phase III)**

**Sichuan Fontal Strategic Consulting Co. Ltd**

**June, 2016**

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## **1. Overview**

### **1.1 Basic Information of the Project**

Chuxiong Urban Infrastructure and Environmental Improvement Project (the Upper Longchuan River Regulation Works) (the “Project”) is one of the sub-projects of the Urban Environmental Improvement Project of Chuxiong Yi Autonomous Prefecture with the loan from the Asian Development Bank (ADB). Contents of the Upper Longchuan River Regulation Works include:

The flood control works and landscaping of the 9.377km (length) Longchuan River starting from the Qingshanzui Reservoir and ending at the highway bridge in Shangzhang Village. The river will be divided into 3 segments for regulation, in which, Segment 1 and Segment 2 (0+000-6+300) will be regulated as to stand flood returning in every 10 years, by ecological measures including mainly tree planting and embankment restoration; while segment 3 (6+300-9+377) to stand flood returning in every 50 years, by building composite embankments. Moreover, trees and emergent aquatic plants will be planted along the two banks of the river segment 2 and segment 3. Urban landscaping (area: 202505m<sup>2</sup>) and leisure and recreation area will be built, while water-soil conservation measures, flood warning system, and environmental promotion measures will be taken. Total investment on the Project is expected to reach CNY 224,671,100.

### **1.2 Construction Progress of the Project**

In this Project, part of the Longchuan River is occupied due to the construction of Yongxing Avenue in Chuxiong Development Area, which results in slow progress of the Project. As of the current monitoring phase, construction of the Project hasn't started yet. Preliminary design of the Project was approved on the technical reviewing meeting organized by the Department of Housing and Urban-rural Construction of Yunnan Province. The engineering bidding is expected to be finished in October 2016 and construction will be started officially then.

### **1.3 Land Acquisition, Demolition and Resettlement**

As of June 2016, no land acquisition or resettlement action involved in the Project has been carried out.

### **1.4 Monitoring and evaluation**

In June 2016, the monitoring team arrived at the Project site and carried out external field monitoring on resettlement work there for the third time. The monitoring team has, according to the progress of the Project, had informal discussion with the Owner of the Project and local government to learn the progress of the Project, problems concerning the Project and public participation in the early stage of the Project.

## 2. Monitoring on Impacts of the Project

### 2.1 Monitoring on General Physical Impacts of the Project

Impacts of the Project are mainly permanent land acquisition, without house demolition or temporary land acquisition being involved, according to the Resettlement Action Plan (updated) for the Project reviewed by the ADB on June 5, 2016. Totally 5 administrative villages (Cheping Community, Dongguan Community, Yongxing Community, Liujia Community and Xinglong Village) from 1 town of Chuxiong are involved in land acquisition by the Project, covering 253.52mu of collectively-owned land (including 234.26mu of farmland and 19.26mu of fishpond) and 2,281 people from 567 households. In addition, 124.35mu of collectively-owned will be occupied temporarily (including 112.47mu of farmland and 11.88mu of fishpond) and 482 people from 94 households will be affected. Thus, totally 2,723 people from 661 households will be affected by land requisition of the Project.

### 2.2 Monitoring on Physical Indicators of Permanent Land Acquisition

Totally 253.52mu of collectively-owned land will be expropriated by the Project, including 234.26mu of farmland and 19.26mu of vegetable land, with 2,281 people from 567 households being affected. See Table 2-1 for details.

**Table 2-1 List of Collectively-owned Land To Be Expropriated Permanently**

Town	Village	Sub-village	Permanently Requisition (mu)							Affected Population	
			Farmland	Vegetable Land	Dry Land	Mulberry Tree <sup>2</sup>	Sub-total of Farmland	Fishpond	Sub-total	By Household	By Person
Donggua	Cheping	Banqiao	12.28	1.50	0.00	27.46	41.24	0.00	41.24	60	237
		Xiaogou	7.59	3.35	0.00	11.38	22.32	19.26	41.58	38	161
		Wanguanshan	21.00	4.98	0.00	31.51	57.49	0.00	57.49	49	201
		Shengjia	11.06	1.26	0.00	16.59	28.91	0.00	28.91	103	463
		Shanzuizi	10.26	2.39	0.00	15.38	28.03	0.00	28.03	46	172
		Renjia	5.43	0.00	0.00	8.15	13.58	0.00	13.58	31	149
		Chepingtun	5.69	0.00	0.00	8.54	14.23	0.00	14.23	201	742
	---	Sub-total	73.31	13.48	0.00	119.01	205.80	19.26	225.06	528	2125
	Donggua	Zhuyuan	8.56	1.05	0.00	0.00	9.61	0.00	9.61	15	60
		Dongyi	12.38	1.60	2.18	0.00	16.16	0.00	16.16	20	80
Dong'er		2.17	0.52	0.00	0.00	2.69	0.00	2.69	4	16	
---	--	Sub-total	23.11	3.17	2.18	0.00	28.46	0.00	28.46	39	156
Total			96.42	16.65	2.18	119.01	234.26	19.26	253.52	567	2281

### 2.3 Temporary Land Acquisition by the Project

Some state-owned roads and greening belts will be occupied temporarily to stack materials and set up work sheds for the construction of the Project, however, no population will be affected here. Totally 123 mu of land will be used temporarily according to the Project plan. Anyway, since construction of the Project hasn't started yet, no actual temporary land acquisition has taken place as of the current monitoring phase.

### 2.4 Monitoring on Physical Indicators of Infrastructure

Special facilities affected by the Project include mainly the power lines and communication cables. Since the land acquisition work hasn't started yet as of the current monitoring phase, exact physical indicators of affected infrastructure will be reported in the report of the next monitoring phase.

### 2.5 Monitoring on Population Affected by the Project

<sup>2</sup> Note: Refer to mulberry trees planted on the farmland but not the forest land.

Totally 2,723 people from 661 households are affected by the Project, all affected by land acquisition.

Main disadvantaged groups of the Project are disabled households, households enjoying the five guarantees and households enjoying the minimum living guarantee. See Table 2-2 for details of disadvantaged groups of the Project.

**Table 2-2 Disadvantaged Population Affected by the Project**

Village/ community	No. of Households	In Which (households)				Family Members	Disadvantaged Population	Male	Female
		Female Householder	Enjoying Minimum Living Guarantee	Enjoying Five Guarantee	Disabled				
Donggua	13	0	2	0	12	50	20	27	23
Cheping	5	0	5	0	1	13	7	6	7
Yongxing	17	1	5	1	10	40	20	19	21
Xinglong	0	0	0	0	0	0	0	0	0
Liujiia	5	1	2	1	1	14	7	8	6
Total	40	2	14	2	24	117	54	60	57

### **3. Monitoring on Compensation Standards**

Standards of compensation for land acquisition and demolition shall be subject strictly to related laws and policies of the central and local governments and the Resettlement Action Plan for the Upper Longchuan River Regulation Work of Chuxiong Urban Infrastructure and Environmental Improvement Project (updated).

As of the current monitoring phase, since compensation work for land acquisition and demolition hasn't started yet, the actual compensation standards will be monitored later after the Project is implemented and will be reported in future monitoring phases.

## 4. Monitoring on Resettlement Institutions

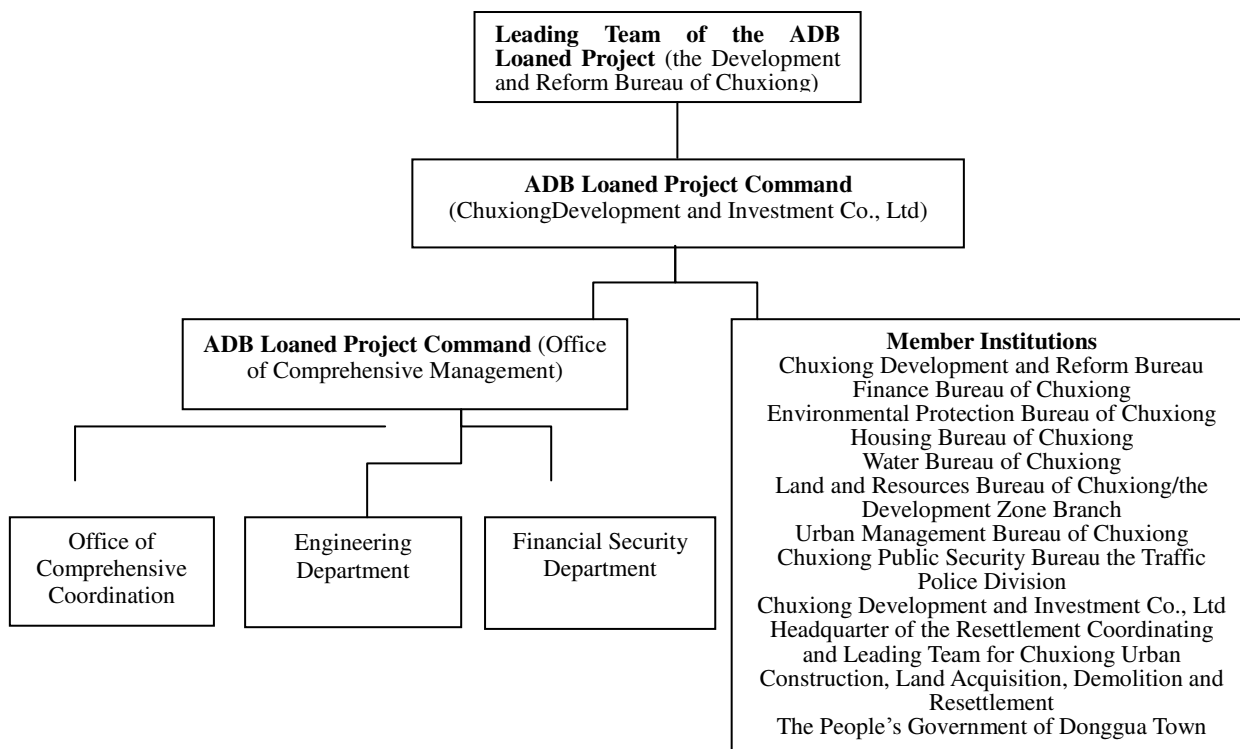
### 4.1 General Monitoring on Resettlement Institutions

Whether the land acquisition, demolition and resettlement work involved in the Project can be implemented strictly following the RAP depends greatly on the availability of a powerful resettlement institution. Knowledge gained by the external monitoring team on the Project field shows that the People's Government of Chuxiong has set up an effective system for resettlement management from the upper to the bottom, all under the charge of leaders experienced of resettlement work. An effective set of rules and regulations are set up too.

### 4.2 Monitoring on Exact Resettlement Action Institutions

To strengthen the management of the Project, the People's Government of Chuxiong has, subject to actual work needs, issued the *Notice by the People's Government of Chuxiong to Adjust and Enlarge the ADB Loaned Construction Project* (CSZT[2014] No.57) in 2014 which determines the upper-to-bottom management mode of the resettlement institutions. See Chart 4-1 for more details about the constituents and Table 4-1 about duties of each constituent.

**Chart 4-1: Organizational Structure for the Upper Longchuan River Regulation Works**





**Table 4-1 Organizations for the Upper Longchuan River Regulation Works and Their Duties**

Organization	Main Duties	Acted by/Title	Lead by
Leading Team of the ADB Loaned Construction Project	Organize to hold meetings concerning the ADB loaned construction project; facilitate and check effects and implementation of decisions made by the leading team and related work meetings; prepared significant reporting materials concerning the Project.	Mayor of Chuxiong/the leader	Yang Zhonghua
Command for construction of the ADB loaned Project	Responsible for coordination, monitoring, management, arrangements, organizing and implementation of the Project	Chuxiong Development and Investment Co., Ltd/the leader	Sun Congxiang
The government of Donggua Town	Responsible for ideological work to residents and villagers involved in the Project and resettlement of land-losing farmers; coordinate in land acquisition and demolition work.	Deputy chief of the People's Government of Donggua Town/the leader	Yang Shenhu
Village Committees	Participate in the survey on social, economic situation and impact of the Project; organize for public negotiation, publicize policies on land acquisition and demolition; organize agricultural and non-agricultural production and resettlement activities; report the opinions and suggestions of affected residents/villagers to the superior authority; provide assistance to poverty-stricken households involved in the Project	Directors of the village committees	Several

### 4.3 Measures to Strengthen Capability of Institutions

While setting up complete resettlement institutions, training to villagers/residents/staffs involved in the resettlement work is a must for the purpose of smooth implementation of the resettlement work. The training plan will be mad and implemented by the ADB Office of Chuxiong and the leading team of the ADB Loaned Project of Chuxiong. Following measures will be taken to make perfect the capability of resettlement institutions:

- A. Clearly define the scope of responsibility and duty of resettlement institutions at all levels; the resettlement institutions are made up various professional staffs and administrative officers, such staffs and officers shall possess related professional skills and management capability;
- B. Provide training to major staffs of resettlement institutions at regular intervals so that they can understand the resettlement policies of China and related requirements of the Asian Development Bank and thus their business skills and business handling capability can be enhanced;
- C. Provide sufficient guarantee in aspects of funds and equipments;
- D. Set up database and strengthen information feedback so that to guarantee unobstructed communication of information; the leading team is to made decisions on major issues if any;
- E. Strengthen the report system and the internal monitoring; solve identified problems if any, in time;
- F. Set up the external monitoring and evaluation mechanism, as well as the early warning system.

As of the current monitoring phase, the resettlement institutions of the Project have carried out several times of trainings in the early preparatory stage of the Project. See Table 4-2 for more details.

**Table 4-1 Business Training Schedule of the Resettlement Institutions**

S/N	Training Organized By	Contents of Training	Trainee	Tim of Training
1	Office of the leading team for ADB loaned Project of Chuxiong	Study the experience of other provinces on resettlement work of ADB loaned projects	Members of the Project Team, leaders of related departments	Year 2013-2014
2	Command for construction of the ADB loaned Project of Chuxiong	Resettlement policies and principles of the Asian Development Bank	Members of the Project Team, leaders of related departments	December 2013
3	Command for construction of the ADB loaned Project of Chuxiong	Procedures and policies of resettlement work of the ADB loaned projects	Resettlement office of sub-districts (towns) and village-level resettlement teams	December 2013
4	Command for construction of the ADB loaned Project of Chuxiong	Land acquisition and demolition policies of China and the difference of such policies with those of the Asian Development Bank	Members of the Project Team, leaders of related departments, staffs	January 2014
5	Command for construction of the ADB loaned Project of Chuxiong	Computer operation and data processing	Members of the Project Team, leaders of related departments, staffs	March 2014
6	Command for construction of the ADB loaned Project of Chuxiong	Policies and practices of resettlement work	Resettlement office of Donggua Town, village-level resettlement teams	May 2014
7	Command for construction of the ADB loaned Project of Chuxiong	Lessons from resettlement work of similar projects	Staffs of the Project Office of Donggua Town	January 2015
8	The ADB Loaned Project Construction Command Center of the People's Government of Chuxiong	Security policies and resettlement practices of the Asian Development Bank	Members of the Project Team, directors of related departments and other staffs	May 2015

## 5. Public Participation

Public participation of the Project is reflected as: a) Public participation in the preparatory stage of the Project; b) Public participation in the implementation stage of the Project. standards determining quality of public participation include: 1) Knowledge of the public about policies concerning land acquisition, demolition and resettlement; the more knowledge and the earlier understanding, of the public, indicate the higher public participation degree; 2) the voice of the public on policies concerning land acquisition, demolition and resettlement; the more voice of the public proves the higher degree of public participation contents for and ways of public participation are as follows: 1) negotiate; 2) announcements (publicize related policies via media and announcements); 3) participate in survey on physical losses and survey on social and economic conditions; 4) discuss on compensation standards; 5) choose the resettlement houses; 6) discuss different resettlement modes and make free choice; 7) choose employment ways; 8) make comments on the final use of the compensation fund for land acquisition.

Donggua Town, the place where the Project is located, is near to Chuxiong downtown, and residents here show relatively strong consciousness about their rights and interests and they are brave and good at safeguarding and struggling for their rights and interests. Thus, the Project has witnessed greatly high public participation. It is learned by the monitoring team that at the early implementation of the Stage, the 5 villages/communities under the administration of Donggua Town and affected by land acquisition of the Project have used the internet, newspaper and other media tools to publicize the significance and function of the Project.

In the current monitoring phase, since the Project hasn't started in fact, the external monitoring team visited only several affected families randomly. All the visited villagers indicated that they knew the Project and believed that construction of the Project is beneficial to local development and thus they all approve of the Project. As for the compensation standards for land requisition, resettlement schemes, distribution and use of land compensation funds of the Project, the visited villagers said that related meetings were held in the village to clarify related questions. However, since the Project hasn't actually started yet, such publicity activities and meetings were not so frequent.

## **6. Existing Problems and Work Arrangements for the Next Step**

Main reasons hindering progress of the Project include: 1) the design proposal is revised because of the conflicts between the Yongxing Avenue project (to be built in Chuxiong Development Area) and some of the river involved in the Project; 2) Shortage of fund for the Project; as of the current monitoring phase, the due diligence report concerning the aforesaid conflicts was approved by the ADB in the last October and the Resettlement Action Plan (RAP) updated due to adjustment of the design proposal was also reported to the ADB and reviewed by the same in the June 2016. Meanwhile, to push forward construction of the Project and guarantee availability of domestic supporting fund for the Project, the ADB Project Office in Chuxiong carried out PPP work for ADB projects and had finished the prequalification of the social capital contributors by June 20, 2016. It is expected that the domestic supporting funds will be made available by August 2016.

According to the progress of the Project, the resettlement work involved in the Project may be carried out in the October of 2016. Thus, the external monitoring institution will, in the monitoring of the coming phase, monitor and report the resettlement work in a comprehensive and detailed way, the resettlement progress and the living and production recovery of the affected population will be reported too.