

**The Federal Democratic Republic of Ethiopia
Ministry of Agriculture**

Ethiopia Locust Response Project-P173702

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

April 15, 2020

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federal Democratic Republic of Ethiopia (the **Recipient**) shall implement the Locust Response Project/Ethiopia (the **Project**), with the involvement of the following ministries/agencies/units: Ministry of Agriculture (MoA). The International Development Association (the Association) has agreed to provide financing for the Project.
2. The Recipient will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Recipient. The Recipient will promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	REGULAR REPORTING: Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, and the grievance mechanism.	Quarterly and annually throughout the Project implementation period	Ministry of Agriculture (Plant Protection Directorate)
B	INCIDENTS AND ACCIDENTS: Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, communities, or workers.	Notification of the Bank within 48 hours. Root-cause analysis to be provided within fifteen days.	Ministry of Agriculture (Plant Protection Directorate)
C	INTERNATIONAL ALIGNMENT: An internationally renowned agricultural agency (FAO) shall regularly review the Project's implementation, monitoring, and reporting provisions made under the Project.	Reporting by-monthly throughout the Project implementation period.	Ministry of Agriculture, Ethiopia
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE: The MOA (Plant Protection Directorate) and their counterparts in the respective regions shall be the implementing agencies for the project. The Plant Protection Directorate shall be the Project Implementation Unit (PIU). The MOA shall establish and maintain an organizational structure with qualified staff and resources to support management of environment and social risks; including environmental and social risk management specialists and communication and information officers.	The PIU shall be established prior to the Effective Date and be maintained throughout Project implementation. One (1) Environment and Social Risk Management specialist and one (1) Community Mobilization and Information Officer shall be hired/ assigned within one month after the Effective Date.	Ministry of Agriculture (Plant Protection Directorate)

<p>1.2</p>	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT/ MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</p> <p>i. Component 1. Assess the environmental and social risks and impacts of proposed Project activities, including to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project, in accordance with ESSs and the Environmental and Social Management Framework (ESMF) to be prepared pursuant to the provisions of the Financing Agreement. The ESMF will include an Integrated Pest Management Plan (IPMP) and related Waste Management Plan, a gender-based violence (GBV) Action Plan, Labor Management Procedures (LMP), and templates to screen any proposed subprojects.</p> <p>ii. Component 2. Assess the environmental and social risks and impacts of proposed Project activities, including to ensure that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project, in accordance with ESSs and the Environmental and Social Management Framework (ESMF) to be prepared pursuant to the provisions of the Financing Agreement. The ESMF, either as an update of the ESMF under [i] or as a stand-alone ESMF, will include a description of the targeting mechanism, a social assessment in line with ESS7, a GBV Action Plan, LMP, and templates to screen any proposed subprojects.</p> <p>iii. Component 3 and 4. Planning instruments developed by the Project shall follow the ESSs and detailed considerations in this ESCP.</p> <p>iv. Screen any proposed subprojects under the different components in accordance with the respective ESMF.</p>	<p>(i) The ESMF, prepared for the Ethiopia Rural Productive Safety Net Project (P163438), shall be updated, consulted and disclosed prior to disbursements for Component 1.</p> <p>(ii) The ESMF, prepared for the Ethiopia Rural Productive Safety Net Project (P163438), OR the ESMF prepared under [i] shall be updated, consulted and disclosed prior to disbursements for Component 2.</p> <p>(iii) Throughout project implementation.</p> <p>(iv) Before subproject activities implementation.</p>	<p>Ministry of Agriculture (Plant Protection Directorate)</p>
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MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>v. Prepare, disclose, adopt, and implement any environmental and social management plans or other instruments, if required for the respective Project activities based on the assessment process, in accordance with the ESSs and the ESMF, in a manner acceptable to the Association.</p> <p>vi. Incorporate the relevant aspects of this ESCP, including, inter alia, any ESMPs or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors (if any) and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p> <p>vii. Update environmental and social management plans or other instruments based on updated guidance by FAO.</p> <p>viii. Assess any unforeseen impacts, notified via the Grievance Redress Mechanism (GRM), and implement respective correct measures where appropriate.</p>	<p>(v) Before subproject activities implementation.</p> <p>(vi) Before launching the procurement process for the relevant activities and thereafter throughout undertaking such activities.</p> <p>(vii) Throughout project implementation.</p> <p>(viii) Throughout project implementation.</p>	

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p>EXCLUSIONS</p> <p>Exclude the following type of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) impacts • Activities that have high probability of causing serious adverse effects to human health and/or the environment other than during spray to control pests • Activities that may have significant adverse social impacts and may give rise to significant social conflict • Activities that may affect lands or rights of indigenous people or other vulnerable minorities, • Activities that may involve permanent resettlement or land acquisition or impacts on cultural heritage • All the other excluded activities set out in the ESMF of the Project. 	During the assessment process conducted under action 1.2 above.	Ministry of Agriculture (Plant Protection Directorate)
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
2.2	<p>LABOR MANAGEMENT: The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. For Component 2, in addition, an adequate system for community workers shall be established (if applicable in subprojects).</p>	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.3	The Recipient shall prepare and implement adequate occupational health and safety measures for workers (including emergency preparedness and response measures) in line with the ESMF/IPMP and FAO health and safety procedural guidelines proportional to the different activities under Component 1 and Component 2.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
2.4	The Recipient shall ensure a non-discriminatory, decent work environment; including by ensuring that all directed and contracted workers adhere to the professional code of conduct outlined in the LMP.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
2.5	The Recipient shall establish a system adequate to prevent and address Sexual Harassment in the work force, including the integration of provisions into the code of conduct noted under [2.4].	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
2.6	The Recipient shall establish a grievance mechanism and assignment of focal points to address these grievances within MOA. For community workers under Component 2, the community grievance mechanism shall be used.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to: (i) adequate design of pesticide storage, handling, and management facilities; (ii) management of stocks in an effective, efficient, and transparent way, (iii) improvement of the capacity of health centers in the treatment of pesticide poisoning incidents; (iv) disposal of unwanted or surplus insecticides shall follow the applicable requirements under the relevant ESSs, the EHSGs, and other relevant Good International Industry Practice (GIIP) and relevant FAO guidelines satisfactory to the Bank.	Throughout Project implementation.	Ministry of Agriculture (Plant Protection Directorate) in coordination with Ethiopian Public Health Institute
3.2	The borrower will establish appropriate environmental monitoring procedures for the implementation of environmental risk management measures; as (i) designing an appropriate environmental protection plan in the context of regular project monitoring, (ii) on insecticide application quality, control efficacy and the reporting of incidents; in more detail.	Throughout Project implementation and completion	Ministry of Agriculture (Plant Protection Directorate)
ESS 4: COMMUNITY HEALTH AND SAFETY			

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4.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.	Throughout Project implementation.	Ministry of Agriculture (Plant Protection Directorate)
4.2	The Recipient shall develop and implement measures to assess and manage health and safety risks and impacts to the community arising from Project activities, including, inter alia, risks for livestock, crop, fodder, and humans associated with inappropriate use of pesticides during spraying; risks of labor misconduct; related sexual exploitation and abuse; risks of security personnel (if any), etc. and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Bank.	Throughout Project implementation.	Ministry of Agriculture (Plant Protection Directorate)
4.3	Under Component 1, the Recipient will implement emergency preparedness measures in case of significant chemical spills or other health and safety-related incidents.	Throughout Project implementation.	Ministry of Agriculture (Plant Protection Directorate)
4.4	The Recipient shall adopt and implement measures and actions to assess and manage traffic and road safety risks on the workers and the community as required in the ESMPs resulting from movement of vehicles mounted pesticide sprayers, transporting pesticides, etc.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
4.5	<p>The Recipient shall prepare and implement stand-alone Gender-Based Violence Action Plans (GBV Action Plans), to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA).</p> <p>The GBV Action Plan for Component 1 shall specifically address potential risks deriving from labor influx and employment.</p> <p>The GBV Action Plan for Component 2 shall in addition address potential risks deriving from the provision of project benefits to individual households.</p>	<p>The GBV action plan for Component 1 shall be prepared as part of the ESMF update, consultation and disclosure under [1.2.i] above</p> <p>The GBV action plan for Component 2 shall be prepared as part of the ESMF update, consultation and disclosure under [1.2.ii] above</p>	Ministry of Agriculture (Plant Protection Directorate)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.6	The Recipient shall ensure that any security personnel, if used in an unforeseen instance, follow strict rules of engagement and avoid any escalation.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
4.7	The Recipient will implement activities on communal areas under Component 2 in a conflict-sensitive manner, avoiding any aggravation of local communal conflicts, including between host communities and refugees/IDPs or different communities using the same land.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	Not relevant. The project shall not encompass any land acquisition or restriction of access to and use of natural resources.	N/A	N/A
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above. To ensure to identify all areas that are ecologically and agronomically important or particularly sensitive areas that have limited resistance to impacts of insecticides including (National parks; nature reserves; internationally protected areas; important (inland) fisheries areas; forests; important fruit-growing areas; beekeeping areas; areas with export crop or livestock production and areas with organic farming) to identify appropriate locust control techniques	Throughout Project implementation	Ministry of Agriculture, (Plant Protection Directorate) in coordination with Ethiopian Biodiversity Institute and Environment, Forest and Climate Change Commission.
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	The project shall be implemented in emerging regions including in pastoral and agro pastoralists areas who meet the requirements of ESS7. The Recipient shall ensure that these communities are appropriately informed and can share from the project benefits in an inclusive and culturally appropriate manner with provisions included in the SEP.	Throughout Project implementation	Ministry of Agriculture (Plant Protection Directorate)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
7.2	<p>Component 1: The SEP update will have adequate coverage on stakeholder engagement and communication in culturally and linguistically appropriate for the IPSSAHUTLC context in Ethiopia to address potential risks for the activities under Component 1 prior to disbursements for Component 1.</p> <p>Component 2: a social assessment shall assess specific risks resulting from the interaction between local social structures (culture, traditional institutions, habits) and livelihood systems with livelihood interventions and outline in a social development plan appropriate ways of engaging with local communities, ensure equal access to project benefits including for vulnerable households, avoid elite capture, and avoid any increase of social tensions in or between communities (with a focus on communal pastures).</p>	<p>The social assessment/social development plan for Component 1 shall be prepared, consulted and disclosed like the ESMF under [1.2.i] above</p> <p>The social assessment/social development plan for Component 2 shall be prepared, consulted and disclosed like the ESMF under [1.2.ii] above</p>	
7.3	The Recipient shall ensure that such communities are appropriately informed in an inclusive and culturally appropriate manner with provisions included in the SEP as well as the social development plans noted above.	Throughout Project implementation	Ministry of Agriculture (Plant Protection Directorate)
7.3	<p>The Recipient shall ensure that such communities can share in the benefits of the project in an inclusive and culturally appropriate manner with provisions included in the social development plans noted above.</p> <p>For Component 2, this requires above all a focus on appropriate measures for communal assets (pastures), including seasonal land use patterns.</p>	Throughout Project implementation	Ministry of Agriculture (Plant Protection Directorate)
7.4	If the project intends to develop and use community owned and developed biopesticides for the locust infestation response, a due process of consultation should be held including obtaining consent and define benefit sharing.	Throughout Project implementation	Ministry of Agriculture (Plant Protection Directorate)
7.5	The project will exclude any activities which would require FPIC.	Throughout Project implementation	Ministry of Agriculture (Plant Protection Directorate)
ESS 8: CULTURAL HERITAGE			
	Not relevant.		
ESS 9: FINANCIAL INTERMEDIARIES			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	Not relevant.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN: Prepare, adopt, and implement a Stakeholder Engagement Plan (SEP) consistent with ESS10, in a manner acceptable to the Association.	Throughout project implementation	Ministry of Agriculture (Plant Protection Directorate)
10.2	<p>UPDATE SEP: The Recipient shall update and implement the SEP prepared prior to appraisal, defining further details on the operational steps consistent with ESS10, in a manner acceptable to the Bank.</p> <p>For Component 1, the SEP will be updated to include appropriate community consultation mechanisms to avoid any unintended consequences by locust control measures. It will thereby be important that all community members, including the vulnerable, are appropriately informed and that behavior change messages allow also the protection of children.</p> <p>For Component 2, the SEP will be updated to include additionally appropriate community consultation mechanisms to support an adequate targeting mechanism and to establish appropriate livelihood interventions on communal assets (see also ESS7).</p>	<p>The SEP for Component 1 shall be updated, consulted and disclosed prior to disbursements for Component 1</p> <p>The SEP for Component 2 shall be updated, consulted and disclosed prior to disbursements for Component 1</p>	Ministry of Agriculture (Plant Protection Directorate)
10.3	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE: Implement the SEP(s) consistent with ESS10, including the use of different, culturally appropriate communication approaches to ensure communication also with the most vulnerable, including illiterate and people with disabilities.	Throughout Project implementation	Ministry of Agriculture (Plant Protection Directorate)
10.4	GRIEVANCE MECHANISM: Accessible grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Association.	Throughout Project implementation	Ministry of Agriculture (Plant Protection Directorate)

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
CAPACITY SUPPORT (TRAINING)		
<p>Trainings for experts, scouts, workers and awareness raising for the community shall be conducted regularly, including refresher trainings. The trainings include:</p> <ul style="list-style-type: none"> • Capacity building for PIU staff on stakeholder mapping and engagement, specific requirements on the ESMF, IPMP, and social development plan. • Training for farmers, pastoralists, scouts, experts and officials at different levels on locust infestation control management, and others using the FAO Desert Locust Control Training Manual • Community awareness raising for clan and religious leaders, trainings on community health and safety, and the impact of pesticide spraying before, during and after the spraying. • Specific aspects of environmental and social assessment. • Emergency preparedness and response. • Occupational health and safety requirements of the project for workers. • GBV and response mechanism for workers and communities. 	<p>Throughout Project implementation.</p>	<p>Ministry of Agriculture (Plant Protection Directorate).</p>