

The Republic of Uganda

## Ministry of Agriculture, Animal Industry and Fisheries

**Uganda**– **Emergency Desert Locust Response Project: P173702** 



**Environmental and Social Commitment Plan** 

**April 2020** 

## **ENVIRONMENTAL & SOCIAL COMMITMENT PLAN FOR DESERT LOCUSTS PROGRAM**

- The Government of Uganda (the Recipient) shall implement the Emergency Locust Response Project / Uganda (the Project), with the involvement of the following ministries/agencies/units: Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) and the Office of the Prime Minister. The International Development Association (hereinafter the Bank/the Association) has agreed to provide financing for the Project.
- 2. The Government of Uganda will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
- 3. The Government of Uganda will also comply with the provisions of any other E&S Documents required under the ESF and referred to in this ESCP such as Environmental and Social Management Plans (ESMPs) and Stakeholder Engagement Plans and the timelines specified in those E&S documents.
- 4. The Government of Uganda is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
- 5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
- 6. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Recipient. The Recipient will promptly disclose the updated ESCP.
- 7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts which may include health and safety, gender-based violence or environmental impacts.

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
Monit	Monitoring and Reporting		
A	<b>REGULAR REPORTING:</b> Prepare and submit to the Association regular monitoring progress reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, and the functioning of the grievance mechanism.	Quarterly and Annually throughout the Project Implementation Period	Ministry of Agriculture, Animal Industry and Fisheries
В	INCIDENTS AND ACCIDENTS: Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including child abuse, gender based violence, pesticide spills or misuse, diversion of pesticides etc. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Notification of the Bank within 48 hours.  Root-cause analysis along with measures to prevent recurrence to be provided within fifteen days.	Ministry of Agriculture, Animal Industry and Fisheries
С	<b>INTERNATIONAL ALIGNMENT:</b> An internationally renowned agricultural agency (FAO) shall regularly review the Project's implementation, monitoring, and reporting provisions made under the Project.	Reporting bi-monthly throughout the Project implementation period.	Ministry of Agriculture, Animal Industry and Fisheries
ESS1 A	Assessment and Management of Environmental and Social Risks and Impacts	<u>,                                    </u>	
1.1	ORGANIZATIONAL STRUCTURE: Overall Coordination of the Project will be by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) and their counterparts in the respective Districts. The Office of the Prime Minister will lead on the implementation of Component 2 on Livelihoods Protection and Restoration.  For Component 1 the MAAIF shall maintain an organizational structure with qualified staff and resources to support management of environment and social risks; including environmental and social risk management specialists and	The PIU shall be fully established before disbursement for the relevant components and maintained throughout project implementation.  The GBV Specialist and Environment Specialist shall be hired /deployed prior to	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister (Component 2)
	communication and information officers. This will include fast tracking the hiring and deployment of a qualified and experienced Environmental Specialist under the Agriculture Cluster Development Project ACDP (P145037).	disbursement of Component 1.	

	For Component 2 the Office of the Prime Minister shall maintain an organizational structure with qualified staff and resources to support the management of environment and social risks; including environmental and social risk management specialists and communication and information officers who are currently employed under NUSAF3.  The Recipient shall recruit a GBV Specialist at MAAIF as the overarching PIU who will manage gender and GBV related risks for all Components.		
1.2	<ul> <li>ENVIRONMENTAL AND SOCIAL ASSESSMENT/ MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</li> <li>i. Component 1. Assess the environmental and social risks and impacts of the proposed Project activities, including consideration of disadvantaged or vulnerable groups and individuals, including the IK, to ensure they have access to development benefits resulting from the Project; in accordance with the ESSs and the Environmental and Social Management Framework (ESMF) to be prepared pursuant to the provisions of the Financing Agreement. The ESMF will include an Integrated Pest Management Plan (IPMP) and related Waste Management Plan, a Gender-Based Violence (GBV) Action Plan, Labor Management Procedures (LMP), monitoring requirements and templates to screen any proposed subprojects.</li> </ul>	i. The ESMF for ACDP shall be updated, consulted and disclosed prior to the disbursement for Component 1.	Ministry of Agriculture, Animal Industry and Fisheries
		ii. The ESMF for NUSAF3 shall be updated, consulted and disclosed prior to the disbursement for Component 2.	Office of the Prime Minister (Component 2)

ii.	<b>Component 2</b> . Assess the environmental and social risks and impacts of the proposed Project activities, including consideration of disadvantaged or vulnerable groups and individuals, including the IK, to ensure they have access to development benefits resulting from the Project; in accordance	iii. Throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
	with the ESSs and the Environmental and Social Management Framework (ESMF) to be prepared pursuant to the provisions of the Financing Agreement. The ESMF, for NUSAF3 shall be updated, consulted on and disclosed to meet the requirements of the ESSs and will include a	iv. Before subproject implementation	
	description of the targeting mechanism, a social assessment in line with ESS7, a GBV Action Plan, LMP, monitoring requirements and templates to screen any proposed subprojects.	v. Before subproject implementation	
iii.	<b>Component 3 and 4</b> . Terms of Reference and plans developed by the Project shall follow the ESSs and detailed considerations in this ESCP.	vi. Before launching the procurement process for the	
iv.	Screen any proposed subprojects under the different components in accordance with the respective ESMF.	relevant activities and thereafter throughout undertaking such activities.	
V.	Prepare, consult, disclose and implement any environmental and social management plans or other instruments, as required for the respective Project activities, based on the assessment process in accordance with the ESSs and the ESMF in a manner acceptable to the Association.	vii. Throughout project implementation.	
vi.	Incorporate the relevant aspects of this ESCP, including, inter alia, any ESMPs or other instruments, ESS2 requirements, ESS7 requirements (where relevant) and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors (if any) and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.	viii. Throughout project implementation.	
vii.	Update environmental and social management plans or other instruments based on updated guidance by FAO.		

2.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.	Throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
ESS2	<ul> <li>Activities that may involve involuntary resettlement or land acquisition (other than voluntary land donation) or impacts on cultural heritage</li> <li>Any other excluded activities as set out in the ESMF for the Project.</li> </ul> Labor and Working Conditions		
1.4	<ul> <li>EXCLUSIONS: Exclude the following types of activities as ineligible for financing under the Project:         <ul> <li>Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) impacts.</li> <li>Activities that have a high probability of causing serious adverse effects to human health and/or the environment, other than associated with spraying to control Locusts.</li> <li>Activities that may have significant adverse social impacts and/or may give rise to significant social conflict.</li> <li>Activities that may affect lands or rights of indigenous people or other vulnerable minorities.</li> </ul> </li> </ul>	Throughout project implementation as part of the assessment process conducted under action 1.2 above.	Ministry of Agriculture, Animal Industry and Fisheries
1.3	<ul> <li>viii. Assess any unforeseen impacts, including those identified via the Grievance Redress Mechanism (GRM), and implement respective corrective measures, including compensation, where appropriate.</li> <li>The selected activities for Village Revolving Fund (VRF) support shall be subjected to environmental and social screening following the set criteria in the project ESMF, and where necessary ESMPs developed before commencement of their implementation. The key activities under Sub-component 2.2. Labour Intensive Public Works include interventions in physical water and soil conservation activities, agro-forestry technologies and practices, agribusiness technologies and to a smaller extent access and market infrastructure.</li> </ul>	Before subproject implementation	Office of the Prime Minister (Component 2)

2.2	LABOR MANAGEMENT PROCEDURES: The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures and testing for acetylcholinesterase before, during and after the spraying campaign), training of workers, establishing grievance mechanisms for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.	Prior to disbursement for Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
2.3	Community Workers: The Project shall ensure that community workers hired under Component 2 including Labor Intensive Public Works and Livelihood Restoration Activities are recruited in line with the targeting criteria and are provided with labor and working conditions that meet the requirements of the ESS2 including, inter alia, occupational health and safety, working conditions, non-discrimination as per the LMP to be prepared.	Prior to disbursement for Component 2 and throughout project implementation	Office of Prime Minister
2.4	The Project shall ensure a non-discriminatory, decent work environment; including ensuring that all direct and contracted workers adhere to the professional code of conduct developed as part of the LMP.	Prior to disbursement for Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
2.5	The Project shall establish a system adequate to prevent and address Sexual Harassment in the workforce for Component 1 and 2, including the integration of provisions into the code of conduct noted under [2.4].	Prior to disbursement for Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries Office of Prime Minister for Component 2
2.6	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES: The Project shall prepare and implement adequate occupational health and safety measures for workers (including emergency preparedness and response measures) in line with the ESMF/IPMP and FAO health and safety procedural guidelines proportional to the different activities under Component 1 and Component 2.	Prior to disbursement for c Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2

2.7	GRIEVANCE MANAGEMENT: The Project shall establish a labor grievance mechanism and assignment of focal points to address these grievances within MAAIF.  For community workers under Component 2, the community grievance mechanism shall be used.	Prior to disbursement for c Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2
ESS3	Resource Efficiency and Pollution Prevention and Management		
3.1	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: The Project shall prepare an:  a. Integrated Pest Management Plan, including emergency response measures b. Waste Management Plan as part of the IPMP	Prior to disbursement for Component 1	Ministry of Agriculture, Animal Industry and Fisheries
3.2	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures for: (i) adequate design of pesticide storage, handling, and management facilities; (ii) management of stocks in an effective, efficient, and transparent way, (iii) improvement of the capacity of health centers in the treatment of pesticide poisoning incidents; (iv) disposal of unwanted or surplus insecticides shall follow the applicable requirements under the relevant ESSs, the EHSGs, and other relevant Good International Industry Practice (GIIP) and relevant FAO guidelines satisfactory to the Association.	Prior to disbursement for c Component 1 and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
3.3	The borrower will establish appropriate environmental monitoring procedures for the implementation of environmental risk management measures; as (i) designing an appropriate environmental protection plan in the context of regular project monitoring, (ii) on insecticide application quality, control efficacy and the reporting of incidents; in more detail.	Prior to disbursement for Component 1 and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
ESS4	Community Health and Safety		
4.1	COMMUNITY HEALTH AND SAFETY: Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.	Prior to disbursement for Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2

4.2	The Recipient shall develop and implement measures to assess and manage health and safety risks and impacts to the community arising from Project activities, including, inter alia, risks to livestock, crop, fodder, and humans associated with inappropriate use of pesticides during spraying; risks of labor misconduct; related sexual exploitation and abuse; risks of security personnel (if any), etc. and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Association.  Under Component 1, the Recipient will implement emergency preparedness	Prior to disbursement for Component 1 and throughout project implementation  Prior to disbursement for	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2  Ministry of Agriculture,
1.3	measures in case of significant chemical spills or other health and safety related incidents.	Component 1 and throughout project implementation	Animal Industry and Fisheries
4.4	Conduct training for the community to heighten awareness of risks associated with spraying and to mitigate impacts specified in the IPMP prior to the commencement of activities.	Throughout project implementation.	Ministry of Agriculture, Animal Industry and Fisheries
4.5	TRAFFIC AND ROAD SAFETY: The Recipient shall adopt and implement measures and actions to assess and manage traffic and road safety risks to workers and the community resulting from movement of vehicles mounted pesticide sprayers, transporting pesticides, etc. as outlined in the ESMPs	Prior to disbursement for Component 1 and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
4.6	GBV AND SEA RISKS: The Recipient shall prepare and implement stand-alone Gender-Based Violence Action Plans (GBV Action Plans), to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA).  The GBV Action Plan for Component 1 shall specifically address potential risks deriving from the use of the Military in spraying.  The GBV Action Plan for Component 2 shall specifically address potential risks deriving from SEA associated with inclusion in activities and the provision of project benefits to individual households.	Prior to disbursement for Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2
4.7	CONFLICT: The Project will implement activities in communal areas under Component 2 in a conflict-sensitive manner, avoiding any aggravation of local communal conflicts, including between host communities and refugees/IDPs or different communities / individuals using the same land.	Prior to disbursement for Component 2 and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries

4.8	SECURITY: The Project will ensure that security personnel /the military engaged in the construction activities associated with the project will follow established rules of engagement, code of conduct, and avoid any escalation. The project will review these requirements and strengthen them where necessary, to ensure that the use of the military in project activities will not result in adverse consequences	Prior to disbursement for Component 1 and throughout project implementation	Office of Prime Minister for Component 2 Ministry of Agriculture, Animal Industry and Fisheries
	to community health and safety, including in matters relating to GBV and SEA/SH.		
5.1	RESETTLEMENT: The project shall ensure that any land use or Voluntary Land Donation required under Component 2 will be undertaken in line with the requirements of ESS5 and outlined in the project operations manuals as	Throughout project implementation.	Ministry of Agriculture, Animal Industry and Fisheries
500C B	appropriate.		Office of the Prime Minister
	iodiversity Conservation and Sustainable Management of Living Natural Resources		
6.1	BIODIVERSITY RISKS AND IMPACTS: Relevant aspects of this standard shall be considered, as needed, under action 1.2 above. To ensure identification of all areas that are ecologically and agronomically important, or particularly sensitive areas that have limited resistance to impacts of insecticides (including National parks; nature reserves; internationally protected areas; important (inland) fisheries areas; forests; important fruit-growing areas; beekeeping areas; areas with export crop or livestock production and areas with organic farming) to identify appropriate locust control techniques.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries
ESS7 II	ndigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local	Communities	
7.1	The project shall be implemented in districts where the IK are present (who meet the requirements of ESS7). The Recipient shall ensure that these communities are appropriately informed and can share in the project benefits in an inclusive and culturally appropriate manner with provisions included in the SEP.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries

7.2	Component 1: a social assessment shall determine any specific risks resulting from the interaction between local social structures (culture, traditional institutions, habits) and livelihood systems with locust control measures and outline in a social development plan appropriate ways of engaging with local communities and establish further measures as necessary.  Component 2: a social assessment shall assess specific risks resulting from the interaction between local social structures (culture, traditional institutions, habits) and livelihood systems with livelihood interventions and outline in a social development plan appropriate ways of engaging with local communities, ensure equal access to project benefits including for vulnerable households, avoid elite capture, and avoid any increase of social tensions in or between communities (with a focus on communal pastures). Based on this assessment a Vulnerable and Marginalized People Plan (VMPP) will prepared	The social assessment/social development plan for Component 1 shall be prepared as part of the ESMF under [1.2.i] above  Social Assessment and VMPP will be prepared prior to undertaking activities in areas where the Ik are located.	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2
7.3	The Recipient shall ensure that such communities are appropriately informed about project activities in an inclusive and culturally appropriate manner with provisions included in the SEP as well as the social development plans noted above.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2
7.4	The Recipient shall ensure that such communities can share in the benefits of the project in an inclusive and culturally appropriate manner with provisions included in the social development plans noted above.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2
7.5	If the project intends to develop and use community owned and developed biopesticides for the locust infestation response, a due process of consultation should be held including obtaining consent and define benefit sharing.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries

			Office of Prime Minister for Component 2
7.6	The project will exclude any activities which would require FPIC.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries
			Office of Prime Minister for Component 2
ESS8 C	Cultural Heritage		
8.1	Relevant aspects of this standard shall be considered, as needed, in the ESMF as outlined under action 1.2 above.	Prior to disbursement for Components 1 and 2 respectively and throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries Office of Prime Minister for Component 2
ESS9 F	inancial Intermediaries		
	Not relevant		
ESS10	Stakeholder Engagement and Information Disclosure		
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN:</b> The Project shall implement a Stakeholder Engagement Plan (SEP) consistent with ESS10, in a manner acceptable to the Association.	Throughout project implementation	Ministry of Agriculture, Animal Industry and Fisheries
10.2	<b>UPDATE SEP:</b> The Recipient shall update and implement the SEP prepared prior to appraisal, defining further details on the operational steps consistent with ESS10, in a manner acceptable to the Association.		Ministry of Agriculture, Animal Industry and Fisheries
	For Component 1, the SEP will be updated to include appropriate community consultation mechanisms to avoid any unintended consequences by locust control measures. It will thereby be important that all community members, including the vulnerable, are appropriately informed and that behavior change messages allow	The SEP for Component 1 shall be updated prior to disbursements for Component 1	Office of Prime Minister for Component 2

	also the protection of children.		
	For Component 2, the SEP will be updated to include additionally appropriate community consultation mechanisms to support an adequate targeting mechanism and to establish appropriate livelihood interventions on communal assets (see also ESS7).	The SEP for Component 2 shall be updated prior to disbursements for Component 2	
10.3	STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE: Implement the SEP(s) consistent with ESS10, including the use of different, culturally appropriate communication approaches to ensure communication also with the most vulnerable, including illiterate and people with disabilities.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2
10.4	GRIEVANCE MECHANISM: Accessible grievance arrangements shall be made publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project, consistent with ESS10, in a manner acceptable to the Association.	Throughout Project implementation	Ministry of Agriculture, Animal Industry and Fisheries  Office of Prime Minister for Component 2