



Draft Scheduled Tribe Participation Plan for KHUNTI WATER SUPPLY



REVISED VERSION, AUGUST 2018

Jharkhand Urban Infrastructure Development Company Limited (JUIDCO)

Jharkhand Municipal Development Project (JMDP)







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1 Introduction

- The Urban Development and Housing Department (UDHD), Government of Jharkhand has designed the Jharkhand Municipal Development Project (JMDP) with an objective to improve urban service delivery and urban management capacities in selected Urban Local Bodies (ULBs). JMDP entails planning and implementation of multiple subprojects across districts in Jharkhand. The Government of Jharkhand has identified the Jharkhand Urban Infrastructure Development Company Ltd. (JUIDCO Ltd.) as the primary implementing agency for executing the JMDP. The Government of Jharkhand is seeking financial support from the World Bank towards the cost of the JMDP.
- An Environmental and Social Management Framework (ESMF) and Environment & Social Impact Assessment(ESIA) have been prepared by JUIDCO for the JMDP with the following objectives:
 - a) to assess and manage the potential environmental and social risks and impacts that may come up during implementation and throughout the project cycle
 - b) to ensure the social and environmental sustainability of investments
 - c) to ensure compliance with national environmental and social legislation
- 3 The Khunti Water Supply Scheme in Khunti Nagar Panchayat is one of the sub-projects for implementation under JMDP and this document is prepared in continuation with the ESIA of this sub-project.
- In this project the existing intake well will serve as source of water for the project. The capacity will be increased by replacing the current 300 mm pipe with 500 mm pipe. A new 16 MLD capacity of proposed Water Treatment Plant (WTP) will be developed and will be connected with 4 Elevated Storage Reservoirs (ESRs) (3 New & 1 Existing). Further, 122.003 km of new distribution pipeline will be laid under this project to supply 100 percent metered connection.







2 Applicable Policies and Regulations for Schedule Tribes

- 5 The applicable policies for STs are listed below:
 - a) Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act-2013 (RFCTLARR Act, 2013)
 - b) Jharkhand Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Rules-2015.
 - c) Panchayats (Extension to Scheduled Areas) Act, 1996. (PESA Act 1996)
 - d) Chota Nagpur Tenancy Act, 1908. (CNT Act, 1908)
 - e) Santhal Parganas Tenancy (Supplementary Provision) Act, 1949. (SPT Act, 1949)
 - f) The Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act.
 - g) World Bank Operational Policy 4.10 on Indigenous People.

Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013

The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013 requires prior consent of Gram Sabha for acquiring land in Scheduled Areas where such acquisition is the last resort. Sections 43 to 50 of this Act





contain provisions for resettlement and rehabilitation as part of the statute and specific safeguards to STs.

The Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2006

This Act recognises and vests forest rights and occupation on forest land in forest dwellings to STs and other traditional forest dwellers who have been residing in such forests for generations but whose rights could not be recorded. The Act provides for a framework for recording the forest rights so vested and the nature of evidence required for such recognition and vesting in respect of forest land.

Panchayat Extension to Scheduled Areas (PESA) Act

The 73rd and 74th Constitutional (Amendments of 1992) accommodate special powers to PRIs, were later extended, with separate provisions to the Scheduled Areas as well through the Panchayat (Extension to the Scheduled Areas) Act of 1996. With the strength and support of PESA Act, 1996 the PRI bodies at the district and village level have been vested special functional powers and responsibilities to ensure effective participation of the tribal people in their own development. This also helps preserve and conserve traditional rights over natural resources.

3 Need for Scheduled Tribe Participation Plan (STPP)

- The Environmental and Social impact assessment (ESIA) has been done to assess potential impacts and explore ways to avoid or minimise impacts. One Private land parcel will be acquired for the project. Around 1650 m of pipeline will be upgraded and 130.758 km will be newly laid. The newly laid pipe will be within the RoW and there is no change in the character of land. The project involves loss of two structures of two non titleholders within the road Right of Way (RoW). Also at the time of laying of pipes is likely to cause loss of income for 35 street vendors temporarily. As per ESMF categorisation criteria Khunti Water Supply Project is categorised as S-2 and a separate Abbreviated Resettlement Action Plan (ARAP) is prepared.
- One Private land parcel will be acquired for the proposed project, the construction of the raw water main would involve working within the forest area in Birhu Thana village under Khunti Nagar Panchayat. In order to ensure that the forest rights holders support the project and and their interests are protected throughout the project cycle, this Scheduled Tribe Participation Plan (STPP) has been prepared to meet the requirement





of World Bank's Operation Policy on Indigenous People as well as Forest Rights Acts 2006 of India.

4 Consent of Forest Rights Holders and NoC for the Project.

- 11 Some part of the raw water pipeline of the project will cross the tribal and forest land. It is also a notified Scheduled Area under the Fifth Schedule to the Constitution of India which provisions for protection of rights of the people living in these area. The existing Raw Water pipeline of 300mm, would need to be replaced by 500mm pipeline. The current alignment of 233 m of pipeline is located on forest land (for which 0.02 Ha area would be utilized) which fall in Birhu Thana, Khunti. As this forest land has been allotted for use to some beneficiaries under FRA act 2006, no objection certificate for 0.0235 hectare of forest land for laying raw water pipeline has been obtained by Nagar Panchayat under Scheduled Tribe and other traditional forest dwellers (recognition) of forest right act 2006 from divisional forest office of Khunti.
- 12 It is to be noted that currently there is no inhabitation or agricultural activity on the concerned tribal and forest land. Also that the replacing of these pipes does not involve and diversion/transfer of land. However, the tribal population living around the concerned land were duly informed and consulted and the concerned people have given their consent for the project.
- In addition plot no 3914 is a private land from where raw water pipeline is crossing. The owner has the right to sell, change the use of land and construct anything on the existing land. Therefore to avoid any such issue in the future and keep the required land for laying the pipeline and do the maintenance & other works land acquisition is under process and owner land owner has given the consent for the acquisition and acquisition process will be completed before the award of contract..
- As this is a government legally designated protected forest, the ESMP will follow all recommendations given in the clearance, no trees will be cut and consent of the forest dwelling community in the Aam Sabha has been taken as per the procedure of clearance under the applicable Act. The ESMP also specifies all precautionary measures for the Contractor not to damage / disturb the sensitive areas, and to avoid any impacts. This STPP has been prepared to involve local tribal community and forest dwellers during the preplanning, planning and implementation phase of the project





5 Free, Prior and Informed Consultation

- 15 Pre planning stage stakeholder consultation were conducted to consult the forest land alottess and take their consent for replacing the raw water pipe passing through the allotted land parcels by Forest Department.
- 16 The forest land and other land parcels along the Raw Water main alignment is allotted to (Shanivar Ram s/o Ahlad Ram, Dashrath Chamar s/o Chhabu Chamar, Sahdeo Mahto s/o Laxman Mahto) under Bandobasti. Bandobasti is a process under which forest land right is given to beneficiary for particular uses like Agriculture, grazing, for use of non-timber forest produce. Under this right beneficiary can use the land for the purpose for which land right has been allotted but actual ownership still remain with Forest Department. As the actual ownership shall still remain with Forest Department so there is no need of signing any legal agreement for transfer of land with the concerned forest rights holders, only consent and concerns need to be addressed.
- 17 To know the concerns and to take the consent from the actual beneficiaries/forest rights holders along the Raw water main alignment, an **AAM SABHA** was organized under chairmanship of vice chairman Nagar Panchayat on **03.06.2017 and 13.06.2017**. Aam Sabha Proceedings and NoC from forest Department is annexed.
- 18 All the actual beneficiaries were contacted and called for the AAM Sabha meeting, their views were taken and all the beneficiaries have given their consent for laying the pipeline. Even though the alotted land parcels are not being currently utilised, they had only one concern that pipe should be laid underground so that in future, they could do the farming.
- 19 Keeping this in mind, decision has been taken that the entire Raw water main pipeline will be laid underground and the designs have been modified accordingly. Implementation stage consultation will be conducted before the start of construction activities so that concerned people are informed about the start and completion date of the project, benefits and grievance system of the project.

6 Information Disclosure and consultation during Implementation

A. Information Disclosure

- 20 For the information dissemination will be made in various ways, for instance preparation of briefing material, organising community consultation sessions, will be accessible to all including occupants of the land along the Raw water main alignment. Any briefing material (all to be prepared in Hindi) can be in the form of:
 - a) Brochures (including project information, project benefits; adverse impacts, details
 of entitlements including compensation and assistance to be given to the PAPs),





- and grievance mechanism shall be kept in the PIU and Khunti Nagar Panchayat and Birhu Village.
- b) Posters to be displayed near intake well at Birhu village; and
- Leaflets that can be distributed to the concerned families along the Raw Water
 Main alignment.
- 21 Consultation meetings should also be organised by PIU social expert at regular intervals to acquaint the concerned Birhu Villagers of the following:
 - a) Timeline and progress of the project
 - b) Information on benefits / adverse impacts; compensation and entitlements
 - c) Construction Schedule
- As a part of its disclosure requirement, this draft STPP shall be made available to the public in accordance with relevant provisions of the RTI Act. The draft STPP after approval of World Bank can be accessed at the website of JUIDCO (www.juidco.jharkhand.gov.in as well as in the Khunti Nagar Panchayat office for feedback and comments from stakeholders. The revised STPP shall be disclosed again after incorporation of comments, if any. The STPP would require to be available throughout the implementation period of the project at JUIDCO and Khunti website. The hard copies in hindi should also be available at Khunti District Megistrates office, Nagar Panchayat, Khunti project PIU and Contractors office throughout the project period. The STPP will also be made available at World Bank's website.

7 Grievance Redressal mechanism

- 23 A GRC will be set up at the state and ULB level. The objective is to receive and resolve the affected communities concerns, queries, complaints and grievances about the environmental and social aspects of the Project that could be encountered during implementation as well as to address other social issues pertaining to social cohesion and integration once the sub-projects implemented. Some means of communicating information on JUIDCO's GRM includes the following:
 - Distribution of leaflets to the public places
 - Notice boards
 - ▶ JUIDCO's website
 - Telecommunication Tools
- 24 <u>Formation of GR committee</u>: The Deputy Project Director (JUIDCO, PMU) will be responsible for ensuring that each sub-project establishes an effective multi-level GRM





to handle all grievances related to sub-project activities. The GRM will function at 2 levels: at the community level, where every effort will be made to resolve the issue; and at the sub-project level where, a GRC will be established and as an appeal mechanism at state level. The sub-project level GRC shall be constituted with following members.

- One from the ULB/executing agency
- Any one elected representative (local project area; preferably female)
- Representative of a community-based group of women such as Mahila Samakhya/Mahila Mandal
- A person who is publicly known and accepted by the locals (in the project area) to speak on their behalf (to be identified by the Birhu village panchayat head)
- Social Expert from PIU
- Medical officer
- Officer from concerned department labours welfare department
- ▶ ULB-level community organiser or Chief Municipal Officer's representative
- 25 <u>Functioning of GRC:</u> The allottess of the forest land or affected community will have to clarify the area of grievance. The GRC will entertain only grievances which are related to construction activities affecting the livelihood or loss of property/utility or restriction of access, labour community conflict, construction site management and quality of service during the O&M period. Grievances related to corruption will only be dealt under the anti-corruption laws of the Jharkhand.
- The forest dwellers or allottess of forest land (or his/her representative) may submit his/her complaint in by either written letter, phone, or email to the GRC or, alternatively, raise his/her voice in a public or individual meeting with project staff. A very simple grievance form in local language will also be available at each project site to be filled in by the complainant. Also complaint boxes shall be placed at ULB office, PIU office and Contractors campsite/office. One person in PIU and contractor office will be designated as complaint officer responsible for receiving all the grievances (oral or written) and maintaining the log of such complaints and action taken. This complainants officer shall facilitate filling the grievance form in case of illiterate complainants. NGO engaged for ARAP implementation shall act as facilitator in ensuring that all the complaints/suggestions reach the attention of PIU head especially of the PAPs and local community. The effectiveness of the GRM shall be tracked through progress report of CSQC and NGO facilitating ARAP implementation.
- 27 The contact details of the registering complaints/suggestions at state level is given below:





Grievance Redressal Cell
Jharkhand infrastructure Development Company Limited
3rd Floor, Pragati Sadan, Kutchery Chowk
Ranchi-834001, Jharkhand

Phone No: 651 2243203

Email: grc.jmdp.juidco@gmail.com

The GRC will meet to try and resolve the matter at community level and make a recommendation usually within 7-10 working days from receipt of complaint. If there is no decision after 10 days, the PAP or any other aggrieved person can refer the complaint to the Deputy Project Director (JUIDCO, World Bank PMU). The Deputy Project Director (JUIDCO, World Bank PMU) will chair an Appeals Committee, which will then examine and address the complaint within 20 days. It is recognised that some complaints may take longer to resolve due to their complexity, for example, those related to land disputes. In such cases, the grieved party shall be communicated the possibility of delays with reasons and next actions within 20 days, All submitted complaints and grievances will be registered at the sub-project level and added to a database of JUIDCO-JMDP PIU, which will be monitored regularly by designated JUIDCO-JMDP staff. In addition to the mechanism explained above, the scheduled Tribes and forest dwellers have the right to approach the District Commissioner and judiciary of the country.

8 Institutional Arrangements for Addressing Resettlement Impacts

- 29 The project will set up dedicated teams to be based in Ranchi and in concerned ULBs responsible for managing, coordinating and monitoring the execution of its subprojects.
- 30 The State PMU in Ranchi at JUIDCO will be responsible for addressing social safeguard measures. The PMU will be supported by competitively selected decentralised teams as PIU at ULB responsible for day-to-day execution of respective sub-projects. Social and environment specialists will be hired by the PMU and PIU to coordinate, review, support and monitor all respective safeguards aspects of the project. The PMU specialists will also train and strengthen the capacities of specialists in the PIUs and other implementing entities. The project shall hire qualified civil society organisations for the implementation of ARAP and other social mobilisation/IEC activities under the Khunti Water Supply Project.





9 Monitoring and Reporting Arrangements

- The concurrent internal monitoring will be done as part of the regular monitoring by the PIU, implementing agencies, and design and supervision consultants. Khunti PIU will do the regular monitoring of ARAP implementation. PIUs will submit monthly progress report on ARAP implementation to the PMU. The PMU, with the help of inhouse social specialist will do the quarterly monitoring of the sub-projects for safeguards compliance. PMU will further submit the report to World Bank quarterly.
- 32 An external evaluation of the ARAP implementation along with RAP for other subprojects will also be undertaken through an audit consultant specifically hired for this purpose. Stakeholder consultation workshops with the participating departments and other stakeholders at ULB level will be held once a quarter during implementation, to gather their feedback on the environmental & social issues arising out of implementation of this sub-project.

10 Implementation Schedule

- Once implementation is initiated, NGO/ARAP implementation consultants will coordinate with affected people to collect all the required information to verify and facilitate disbursement of entitlements. During implementation stage the Design Review Built Contractor may propose some design changes leading to changes in Corridor of Impact. This shall necessitate a joint verification of the PAPs by PIU social specialist, NGO and Contractors to update the PAP list and conduct census survey of additional PAPs, if any.
- Any changes in the designs during construction phase leading to substantial impacts and change in category of this sub project from S2 to S1, a full RAP will be prepared and necessary approval of World Bank will be taken and disclosed as per the guidance in ESMF.
- 35 All the compensation and R&R assistance as per project RPF will be disbursed among the PAPs by the Deputy Commissioner of the district as per the current practice in the state of Jharkhand before the start of the construction work and other benefit such as training will be implemented parallel to construction activities.
- 36 The project will set up dedicated teams to be based in Ranchi and in concerned ULBs responsible for managing, coordinating and monitoring the execution of its subprojects.
- 37 The State PMU in Ranchi at JUIDCO will be responsible for addressing social safeguard measures. The PMU will be supported by competitively selected





decentralised teams as PIU at ULB responsible for day-to-day execution of respective sub-projects. Social and environment specialists will be hired by the PMC and PIU to coordinate, review, support and monitor all respective safeguards aspects of the project. The PMU specialists will also train and strengthen the capacities of specialists in the PIUs and other implementing entities. The project may hire qualified civil society organisations for the implementation of Schedule Tribes Participation Plan and social mobilisation.

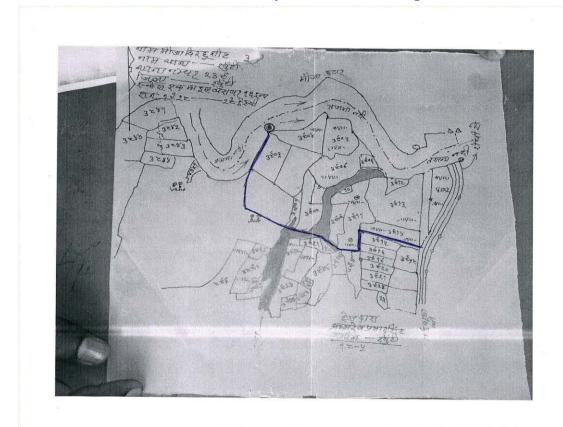
11 Monitoring and Reporting

- The concurrent internal environmental social monitoring will be done as part of the regular monitoring by the PIU, implementing agencies, and design and supervision consultants. Respective PIUs will do the regular monitoring of RAP implementation of all sub-projects. PIUs will submit monthly progress report on RAP implementation to the PMU. The PMU, with the help of in-house environmental and social specialists will do the quarterly environmental and social monitoring of sub-projects for safeguards compliance.
- 39 An external evaluation of the Resettlement Action Plan implementation for subprojects will also be undertaken through an audit consultant specifically hired for this
 purpose. Stakeholder consultation workshops with the participating departments and
 other stakeholders at ULB level and Birhu village will be held once a quarter during
 implementation, to gather their feedback on the environmental & social issues arising
 out of implementation of the sub-project





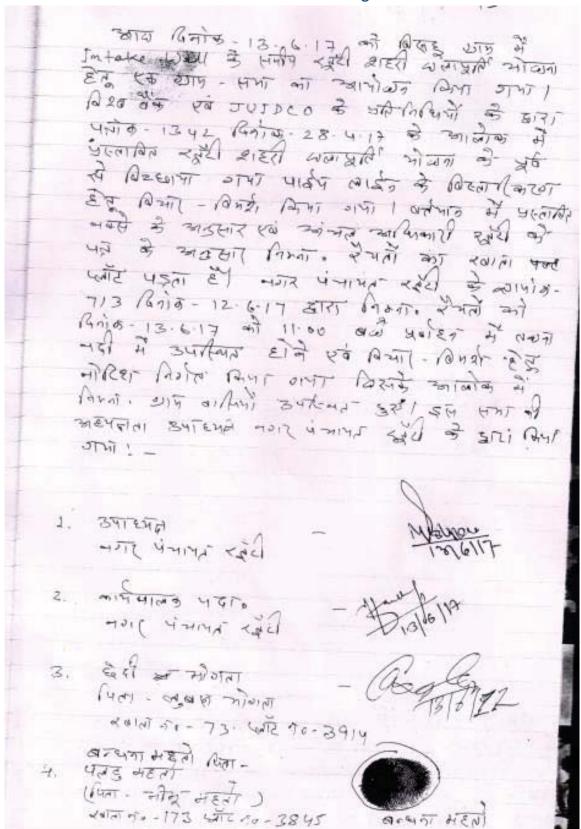
Annexure I: Map of Khunti with Alignment







Annexure II: Gram Sabha at Biruhu Village dated 13.06.2017







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Annexure III: Proceeding of Town Water Supply dated 09.10.2017

कार्यालय गगर पंचायत, खूँटी

आज दिनांक 09.10.2017 को MaRS Planning & Engineering Services Pvt. Ltd. कंशलटेंट एवं E & Y कंशलटेंट द्वारा शहरी जलापूर्ति योजना के डी०पी०आर० हेतु माननीय सांसद, श्री कड़िया मुण्डा, खूँटी नोकसभा क्षेत्र की अध्यक्षता में जिला स्तरीय Stake Holders की बैठक आहूत की गई। बैठक में निम्नलिखित पदाधिकारीयों/कर्मचारियों एवं मान्नीय अध्यक्ष/उपाध्यक्ष/वार्ड पार्षदों ने भाग लिया:—

उपस्थिति पंजी अनुसार।

माननीय सांसद, श्री कड़िया मुण्डा, खूँटी लोकसभा क्षेत्र की अध्यक्षता में आहूत बैठक की कार्यवाही :--

बैठक का सार:— सर्वप्रथम कार्यपालक पदाधिकारी के द्वारा माननीय सांसद, माननीय अध्यक्ष, उपाध्यक्ष एवं सभी वार्ड पार्षदों का स्वागत किया गया एवं माननीय सांसद की अनुमति से कार्यवाही प्रारंभ की गई। कार्यपालक पदाधिकारी द्वारा बैठक का शुभारंभ बैठक हेतु तैयार एजेंडा के प्रस्तुतीकरण के साथ की गई। बैठक में मुख्य रूप से एजेंडा में शामिल बिन्दुओं पर चर्चा हुई एवं सर्वसम्मति से निम्न निर्णय लिया गया—

- MaRS Planning & Engineering Services Pvt. Ltd. कंशलटेंट द्वारा खूँटी शहरी जलापूर्ति योजना में सभी पुराने पाईप को बदलने की आवश्यकता के बारे में बताया गया तथा इसपर सर्वसम्मित से निर्णय लिया गया।
- 2. जलापूर्ति हेतु ४ नये जलमिनार का निर्माण करने का निर्णय लिया गया।
- 3. तजना नदी में बने वीयर में 90 दिन का पानी का स्टोरेज क्षमता होगा।
- 4. मध्यवर्ती वर्ष वर्तमान में बने वीयर तथा बराज के बीच में एक नये वीयर की आवश्यकता के बारे में एजेंसी द्वारा बताया गया एवं डी०पी०आर० में भी इसका उल्लेख किया गया है एवं सर्वसम्मित से पारित किया गया। साथ ही नये वीयर के निर्माण हेतु विभाग से राशि की मांग करने पर सहमित दी गई।
- 5. एजेंसी द्वारा डिजाईन वर्ष 2048 में जनसंख्या 74921 लिया हुआ चूँिक उपायुक्त, खूँटी के अनुसार नोलेज सिटी, इंडियन ऑयल, टेक्नीकल कॉलेज आदि के खुलने से खूँटी की जनसंख्या बहुत तेजी से बढ़नी की संमावना है। इस हेतु डिजाईन वर्ष 2048 में जनसंख्या 74921 को बढ़ाकर 1 लाख कर दिया जाए एवं डी0पी0आर0 में भी संशोधन किया जाए।

अंत में अध्यक्ष द्वारा धन्यवाद ज्ञापन करते हुए सभा समाप्त किया गया।

ह0 / — (श्री कड़िया मुण्डा), माननीय सांसद, खूँटी लोकसभा क्षेत्र।





Annexure IV: Khunti Water Supply Forest NOC

कार्यालय : वन प्रमंडल पदाधिकारी, खूँटी वन प्रमंडल, खूँटी।

E-mail :.dfokhunti@gmail.com

पत्रांक :- <u>1110</u> /दिनांक :-.. 03 07/17

सेवा में

कार्यपालक पदाधिकारी नगर पंचायत, खुँटी।

खूँटी शहरी जलापूर्ति योजना अंर्तगत पाईप बिछाने हेतु 0.235 हे0 वन मूरि के अपयोजन के स्वीकृति के संबंध में।

आपका पत्रांक- ७४० दिनांक- ०७.०६.१७ तथा खूँटी का पत्रांक-१९२ दिनांक-२८.०६.१७ महाशय.

उपर्युक्त विषयाधीन खूँटी शहरी जलापूर्ति योजना अन्तर्गत इन्टेक वेल से जलशोध संस्थान तक पाईप ले जाने हेतु अनुसुचित जनजाति एव अन्य परम्परागत वन निवासी (वन अधिकारों की मान्यता) अधिनियम 2006 की धारा 3 (2) के तहत समर्पित प्रस्ताव, वन क्षेत्र पदाधिकारी खूँटी के प्रसंगाधीन पत्र द्वारा समर्पित जाँच प्रतिवेदन तथा की गई अनुशंसा के आलोक में मौजा-बिरहु, थाना-खूँटी-239 के प्लॉट नं0-3845 में पड़ने वाले वन भूमि का कुल रकवा-0. 0235 है0 भूमि के अपयोजन की स्वीकृति अनुसूचित जन जाति एंव अन्य परम्परागत वन निवासी (वन अधिकारों की मान्यता) अधिनियम-2006 की धारा 3 (2) के अन्तर्गत निम्न शतों के साथ दी

- 1) पाईप विछाने हेंतु वन भूमि की एरिया में कोई वृद्धि नहीं किया जायेगा, वृद्धि किये जाने की स्थिति में वन संस्क्षण अधिनियम 1980 के प्रावधान लागु होगा।
- प्रस्ताव के साथ समर्पित नक्शे में बोर्ड परिवर्तन नहीं किया जायेगा।
- किसी भी वृक्ष का पातन/क्षति नही किया जायेगा।
- किसी वन्य प्राणी की कोई क्षित नहीं की जायेगी।
- 5) वन क्षेत्र सीमा के अंदर मिटटी कटाई/परधर तोडाई नहीं की जायेगी।
- 6) विपथन (Divertion) किये गये वन भूमि का उपयोग किसी की पिरिश्वित में प्रस्तावीत कार्य के अतिरिक्त किसी अन्य प्रयोजन हेतु नहीं किया जायेगा।

आपका विश्वासी,

वन प्रमण्डल पदाधिकारी खुँटी वन प्रमुएडल