

## INITIAL POVERTY AND SOCIAL ANALYSIS

Country:	Sri Lanka	Project Title:	Colombo Suburban Railway Project
Lending/Financing Modality:	Project	Department/ Division:	South Asia Department/ Transport and Communications Division

<b>I. POVERTY IMPACT AND SOCIAL DIMENSIONS</b>
<p><b>A. Links to the National Poverty Reduction Strategy and Country Partnership Strategy</b></p> <p>The project is in line with the Government of Sri Lanka's overall development strategy and transport sector objective of establishing a modern and inclusive transport system that will enable acceleration of economic growth, with reduced travel time, cost, and improved safety. Support to the railway sector is included in the ADB's Sri Lanka Country Operations Business Plan, 2016–2018. ADB will contribute to the sector outcome of improved nationwide connectivity for the movement of people and goods in a sustainable manner.<sup>3</sup></p>
<p><b>B. Poverty Targeting</b></p> <p><input checked="" type="checkbox"/> General Intervention   <input type="checkbox"/> Individual or Household (TI-H)   <input type="checkbox"/> Geographic (TI-G)   <input type="checkbox"/> Non-Income MDGs (TI-M1, M2, etc.)</p> <p>The project will directly benefit the poor and non-poor living in the project area of impact. The project will upgrade existing railway network by improving speed, frequency, reliability, affordability, and comfort. The area of impact is around the Colombo Metropolitan Region. The project will improve connectivity from outskirts of the capital to the commercial center. It will also alleviate road congestion by providing a sustainable solution to urban transportation for the poor and non-poor. The construction will also likely generate employment opportunities for skilled and unskilled labor during its implementation.</p>
<p><b>C. Poverty and Social Analysis</b></p> <p>1. Key issues and potential beneficiaries. Colombo is located in Western Province, which has an area encompassing 3,684 km<sup>2</sup>. The province has a coastal belt characterized by high population density with numerous tourist attractions. Inland areas are hilly and rural. Commercialized rubber, tea and cinnamon plantations are present. Population density is about 1,581 persons per km<sup>2</sup>. Expected beneficiaries include local residents, commuters, rail users, tourists, affected persons, NGOs, business and community groups, and government agencies.</p> <p>2. Impact channels and expected systemic changes. The project will help to reduce travel time for passengers as well as provide improved access for better quality health care, higher education, and employment opportunities.</p> <p>3. Focus of (and resources allocated in) the PPTA or due diligence. Adequate resources will be allocated under the PPTA and the TA Loan to ensure assessment of social and poverty factors during feasibility design stage. Under the ensuing TA Loan, a Social Development Specialist will be engaged to prepare social safeguard planning documents as well as support gender analysis, and poverty and social assessment.</p>
<b>II. GENDER AND DEVELOPMENT</b>
<p>1. What are the key gender issues in the sector/subsector that are likely to be relevant to this project or program? A substantial percentage of railway users are women who commute into Colombo for employment and education. The project will improve rail service which will provide better access to employment, and other services offered in commercial centers, such as better health services, higher levels of education, economic opportunities, and social interactions for women.</p> <p>2. Does the proposed project or program have the potential to make a contribution to the promotion of gender equity and/or empowerment of women by providing women's access to and use of opportunities, services, resources, assets, and participation in decision making?</p> <p><input checked="" type="checkbox"/> Yes   <input type="checkbox"/> No   Please explain.</p> <p>Improvement to stations will incorporate universal access design features and women-specific amenities to be identified during due diligence. Bidding documents for the civil works contractors will ensure that all applicable labor laws will be complied including not employing child labor for construction; encourage employment of the poor, particularly women; and not differentiate wages between men and women for work of equal value. For household</p>

<sup>3</sup> ADB. Sri Lanka Country Operations Business Plan, 2016–2018. Manila.

impacted by involuntary resettlement, additional assistance will be provided to women-headed households.

3. Could the proposed project have an adverse impact on women and/or girls or widen gender inequality?

Yes  No

4. Indicate the intended gender mainstreaming category:

GEN (gender equity)  EGM (effective gender mainstreaming)

SGE (some gender elements)  NGE (no gender elements)

### III. PARTICIPATION AND EMPOWERMENT

1. Who are the main stakeholders of the project, including beneficiaries and negatively affected people? Identify how they will participate in the project design.

Government agencies, project beneficiaries (residents, commuters, rail users, tourists), affected persons, NGOs, business and community groups.

2. How can the project contribute (in a systemic way) to engaging and empowering stakeholders and beneficiaries, particularly, the poor, vulnerable and excluded groups? What issues in the project design require participation of the poor and excluded?

Workshops, community mobilization, surveys and consultation conducted for origin/destination and rail use, ways to raise awareness on HIV/AIDs, human trafficking, child labor, environment and social safeguard issues.

3. What are the key, active, and relevant civil society organizations in the project area? What is the level of civil society organization participation in the project design?

**H** Information generation and sharing **H** Consultation **L** Collaboration **L** Partnership

4. Are there issues during project design for which participation of the poor and excluded is important? What are they and how shall they be addressed?  Yes  No

Poor and excluded affected persons impacted by land acquisition are consulted and surveyed. Additional assistance and provisions such as livelihood rehabilitation will be outlined in the Resettlement Plan.

### IV. SOCIAL SAFEGUARDS

**A. Involuntary Resettlement Category**  A  B  C  FI

1. Does the project have the potential to involve involuntary land acquisition resulting in physical and economic displacement?  Yes  No

The project involves improvement and rehabilitation of existing railways. Substations, service depots, and other infrastructure may be identified during the project design phase to improve network performance. Titleholders and non-titleholders may be impacted. Detailed census will be undertaken during project preparation. Subproject specific resettlement plans will be prepared in accordance to Government and ADB policies.

2. What action plan is required to address involuntary resettlement as part of the PPTA or due diligence process?

Resettlement plan  Resettlement framework  Social impact matrix

Environmental and social management system arrangement  None

**B. Indigenous Peoples Category**  A  B  C  FI

1. Does the proposed project have the potential to directly or indirectly affect the dignity, human rights, livelihood systems, or culture of indigenous peoples?  Yes  No

There are no identified indigenous peoples in the Colombo Metropolitan Region and all rail improvements will take place along existing lines.

2. Does it affect the territories or natural and cultural resources indigenous peoples own, use, occupy, or claim, as their ancestral domain?  Yes  No

3. Will the project require broad community support of affected indigenous communities?  Yes  No

4. What action plan is required to address risks to indigenous peoples as part of the PPTA or due diligence process?

Indigenous peoples plan  Indigenous peoples planning framework  Social Impact matrix

Environmental and social management system arrangement  None

### V. OTHER SOCIAL ISSUES AND RISKS

1. What other social issues and risks should be considered in the project design?

**L** Creating decent jobs and employment **L** Adhering to core labor standards **L** Labor retrenchment  
**L** Spread of communicable diseases, including HIV/AIDs **L** Increase in human trafficking **L** Affordability  
**L** Increase in unplanned migration **L** Increase in vulnerability to natural disasters **L** Creating political instability  
**L** Creating internal social conflicts

2. How are these additional social issues and risks going to be addressed in the project design?

Rail improvement work is expected to create employment and adhering to core labor standards will be secured

through provisions in the construction contracts. Monitoring will be undertaken through the construction supervision consultants.

**VI. PPTA OR DUE DILIGENCE RESOURCE REQUIREMENT**

1. Do the terms of reference for the PPTA (or other due diligence) contain key information needed to be gathered during PPTA or due diligence process to better analyze (i) poverty and social impact; (ii) gender impact, (iii) participation dimensions; (iv) social safeguards; and (v) other social risks. Are the relevant specialists identified?

Yes       No

2. What resources (e.g., consultants, survey budget, and workshop) are allocated for conducting poverty, social and/or gender analysis and participation plan during the PPTA or due diligence? A TA Loan will fund the poverty and social assessment and due diligence and preparation of safeguard planning documents and ensure they adhere to ADB and government policies.