

INITIAL POVERTY AND SOCIAL ANALYSIS

Country:	Myanmar	Project Title:	Urban Services Improvement Project
Lending/Financing Modality:	Project	Department/ Division:	SERD/SEUW

I. POVERTY IMPACT AND SOCIAL DIMENSIONS
<p>A. Links to the National Poverty Reduction Strategy and Country Partnership Strategy</p> <p>The interim country partnership strategy (ICPS) for Myanmar was presented to the Board on 26 October 2012. The ICPS aims to promote sustainable and inclusive economic growth in support of the government's objectives of poverty reduction and national reconciliation. The draft assessment, strategy and roadmap (ASR) for the urban development and water sector accords high priority to water supply, drainage, sewerage, and solid waste management subsectors which will be the focus areas of the proposed project.</p>
<p>B. Targeting Classification</p> <p><input checked="" type="checkbox"/> General Intervention <input type="checkbox"/> Individual or Household (TI-H) <input type="checkbox"/> Geographic (TI-G) <input type="checkbox"/> Non-Income MDGs (TI-M1, M2, etc.)</p> <p>The project's main focus is to upgrade facilities to improve urban environmental conditions in the project cities, to keep up with urban development and to reduce the impacts of natural disasters and climate change. Improved urban infrastructure and services will also increase economic opportunities for people living in the project areas, leading to poverty reduction.</p>
<p>C. Poverty and Social Analysis</p> <p>1. Key issues and potential beneficiaries.</p> <p style="padding-left: 20px;">The expected beneficiaries of the project will be the residents in Mandalay, in particular those who live in the areas without access to water supply and wastewater collection, and prone to seasonal flooding.</p> <p>2. Impact channels and expected systemic changes.</p> <p style="padding-left: 20px;">The population in the project cities will have affordable access to improved urban infrastructure and municipal services, thereby improving urban environment and public health. Public awareness programs on public health and environmental protection will also be provided to them.</p> <p>3. Focus of (and resources allocated in) the PPTA or due diligence.</p> <p style="padding-left: 20px;">\$1,500,000 from the ADB's Technical Assistance Special Fund (TASF-others) and the Water Financing Partnership Facility (WFPF) will be allocated for the PPTA, from which social development and social safeguard specialists will be engaged to conduct due diligence, including poverty and social analysis and social impact analysis. Potential measures will include consideration of socially-inclusive tariff structure and leveraging community action planning process in informing priority areas and needs for infrastructure support.</p> <p>4. Specific analysis for policy-based lending.</p> <p style="padding-left: 20px;">Not applicable.</p>
II. GENDER AND DEVELOPMENT
<p>1. What are the key gender issues in the sector/subsector that are likely to be relevant to this project or program?</p> <p style="padding-left: 20px;">Key issues that will be studied under the PPTA will include (i) gender dimensions of labor in current urban service provision, (ii) women's limited participation in decision-making related to urban governance and services, and (iii) women's roles in community behavioral changes. Improvements to sanitation and hygiene generally have a greater beneficial impact on women due to their higher exposure and as public health improvements are likely to affect those more responsible for family health. Women are traditionally tasked with caring for sick family members, whose illnesses may be caused from unhygienic sanitation conditions. Women in low-income and poor households, who typically reside in areas with lower services and infrastructure, often bear a higher burden. Despite higher impact on women, decisions about household spending for water and sanitation services are often the concern of men.</p> <p>2. Does the proposed project or program have the potential to make a contribution to the promotion of gender equity and/or empowerment of women by providing women's access to and use of opportunities, services, resources, assets, and participation in decision making?</p> <p style="padding-left: 20px;"><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Could the proposed project have an adverse impact on women and/or girls or widen gender inequality?</p> <p style="padding-left: 20px;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No New and/or upgraded urban infrastructure and municipal services are not expected to have an adverse impact on women or widen gender inequality. A gender action plan will be prepared to mitigate any negative impacts and support positive design measures to enhance women's empowerment and gender equity in the project areas.</p>

<p>4. Indicate the intended gender mainstreaming category:</p> <p><input type="checkbox"/> GEN (gender equity theme) <input checked="" type="checkbox"/> EGM (effective gender mainstreaming)</p> <p><input type="checkbox"/> SGE (some gender elements) <input type="checkbox"/> NGE (no gender elements)</p>
III. PARTICIPATION AND EMPOWERMENT
<p>1. Who are the main stakeholders of the project, including beneficiaries and negatively affected people? Identify how they will participate in the project design.</p> <p>The main stakeholders are Mandalay City Development Committee (MCDC) and local communities where project components will be located. The MCDC will be directly involved in the project design process. Consultations with direct beneficiaries and affected people will take place through discussions with community organizations and leverage of community action plans, where possible.</p> <p>2. How can the project contribute (in a systemic way) to engaging and empowering stakeholders and beneficiaries, particularly, the poor, vulnerable and excluded groups? What issues in the project design require participation of the poor and excluded?</p> <p>The stakeholder consultations will seek to increase local ownership of the project and to maximize the project impact by incorporating the local needs. Focus group discussions by sex, ethnicity, and income groups; willingness to pay and affordability surveys; household surveys of expected project beneficiaries and affected people will be conducted.</p> <p>3. What are the key, active, and relevant civil society organizations in the project area? What is the level of civil society organization participation in the project design? Civil society organizations will be identified and active engagement will be sought during project preparation.</p> <p>(H) Information generation and sharing (H) Consultation (M) Collaboration (L) Partnership</p> <p>4. Are there issues during project design for which participation of the poor and excluded is important? What are they and how shall they be addressed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>It is envisaged that a consultation and participation plan will be used to conduct consultations on tariff structure, development of awareness programs on public health and environmental protection, and maintenance of tertiary drains.</p>
IV. SOCIAL SAFEGUARDS
<p>A. Involuntary Resettlement Category <input type="checkbox"/> A <input checked="" type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> FI</p> <p>1. Does the project have the potential to involve involuntary land acquisition resulting in physical and economic displacement? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>There may be some land acquisition in association with civil works for infrastructure construction and upgrading, in particular for the site for a new water treatment plant, and drainage pumping stations and channels. The impact due to land acquisition will be determined and confirmed during the PPTA stage in more detail.</p> <p>2. What action plan is required to address involuntary resettlement as part of the PPTA or due diligence process?</p> <p><input checked="" type="checkbox"/> Resettlement plan <input type="checkbox"/> Resettlement framework <input type="checkbox"/> Social impact matrix</p> <p><input type="checkbox"/> Environmental and social management system arrangement <input type="checkbox"/> None</p>
<p>B. Indigenous Peoples Category <input type="checkbox"/> A <input checked="" type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> FI</p> <p>1. Does the proposed project have the potential to directly or indirectly affect the dignity, human rights, livelihood systems, or culture of indigenous peoples? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>2. Does it affect the territories or natural and cultural resources indigenous peoples own, use, occupy, or claim, as their ancestral domain? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>There may be impacts on indigenous peoples due to land acquisition in association with civil works for infrastructure construction and upgrading. However, significance of impact will be determined and confirmed during the PPTA stage.</p> <p>3. Will the project require broad community support of affected indigenous communities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>No special support is expected other than the common requirements of community participation.</p> <p>4. What action plan is required to address risks to indigenous peoples as part of the PPTA or due diligence process?</p> <p><input checked="" type="checkbox"/> Indigenous peoples plan <input type="checkbox"/> Indigenous peoples planning framework <input type="checkbox"/> Social Impact matrix</p> <p><input type="checkbox"/> Environmental and social management system arrangement <input type="checkbox"/> None</p>
V. OTHER SOCIAL ISSUES AND RISKS
<p>1. What other social issues and risks should be considered in the project design?</p> <p>(M) Creating decent jobs and employment (M) Adhering to core labor standards <input type="checkbox"/> Labor retrenchment</p> <p><input type="checkbox"/> Spread of communicable diseases, including HIV/AIDS <input type="checkbox"/> Increase in human trafficking (M) Affordability</p> <p><input type="checkbox"/> Increase in unplanned migration <input type="checkbox"/> Increase in vulnerability to natural disasters <input type="checkbox"/> Creating political instability</p> <p><input type="checkbox"/> Creating internal social conflicts <input type="checkbox"/> Others, please specify _____</p>

2. How are these additional social issues and risks going to be addressed in the project design?

Short-term employment to local residents during the construction phase of the project will be available. An affordability analysis and willingness to pay survey will be conducted under the PPTA. Measures will be considered to ensure poor and vulnerable households can access project benefits.

VI. PPTA OR DUE DILIGENCE RESOURCE REQUIREMENT

1. Do the terms of reference for the PPTA (or other due diligence) contain key information needed to be gathered during PPTA or due diligence process to better analyze (i) poverty and social impact; (ii) gender impact, (iii) participation dimensions; (iv) social safeguards; and (vi) other social risks. Are the relevant specialists identified?

Yes No

3. What resources (e.g., consultants, survey budget, and workshop) are allocated for conducting poverty, social and/or gender analysis, and participation plan during the PPTA or due diligence?

The social development and gender specialist will be engaged to conduct poverty and social analysis, willing to pay survey, and affordability analysis will be conducted during the PPTA.