

PROCUREMENT PLAN

Basic Data

Project Name: Hubei Enshi Qing River Upstream Environment Rehabilitation Project	
Project Number: 47048-002	Approval Number: 3277
Country: China, People's Republic of	Executing Agency: The People's Government of Enshi Tujia and Miao Autonomous Prefecture
Project Financing Amount: US\$ 231,620,000 ADB Financing: US\$ 100,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 131,620,000	Implementing Agency: N/A
	Project Closing Date: 31 January 2021
Date of First Procurement Plan: 11 September 2015	Date of this Procurement Plan: 2 November 2016, Version 1

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 5,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 4,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 20,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 200,000 and US\$ 19,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Up to US\$ 199,999	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	Three submissions: (i) evaluation of expressions of interest and request for proposals, (ii) technical and financial evaluation, and (iii) draft negotiated contract.
Quality- and Cost-Based Selection for Consulting Firm	Five submissions: (i) shortlist, (ii) technical evaluation, (iii) financial evaluation and ranking of technical proposals, (iv) draft negotiated contract, and (v) signed contract. Use of this method is subject to Guidelines on the Use of Consultants by ADB and its Borrowers (2013, as amended from time to time), and paragraphs 48 of PAI 2.03.
Individual Consultants Selection for Individual Consultant	Two submissions: (i) proposal for engagement of consultant, including shortlist, and (ii) signed contract.

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
EC01	Dashaba WWTP (including Gaoqiao River pump station civil works)	8,739,660.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic

							Preference Applicable: N Bidding Document: Small Works
EC02	Dashaba WWTP Leveling	14,725,003.32	NCB	Prior	1S1E	Q3 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Comments: Request for advance contracting for which retroactive financing will be applicable. The first NCB procurement package of goods and civil works will be prior reviewed by ADB .
EC03	Gaoqiaohe Pump station and pipeline to Dashaba WWTP	5,508,150.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EC05	Dashaba WWTP pipeline network and equipment (Caijiha areas)	8,294,890.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EC07	Dashaba WWTP pipeline network and equipment (Gaoqiao River areas)	5,359,590.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N

							Bidding Document: Small Works
EC08	Hongmiao WWTP pipeline network	13,573,240.00	NCB	Post	1S1E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EC11	The rest sections in Daishui River and its tributaries; Gaojing River sections. (including dredging)	7,043,520.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EC12	Longdong River and Yulong River sections (including dredging)	8,626,990.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EC13	Sha River, Longjiawan River (including dredging)	12,691,080.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EE01	Dashaba WWTP (including Gaoqiao River pump station equipment)	10,657,900.00	ICB	Prior	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding

							Document: Goods
LC01	Lichuan WWTP	7,460,780.00	NCB	Prior	1S1E	Q3 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Comments: The first NCB procurement package of goods and civil works will be prior reviewed by ADB .
LC02	Wastewater pipeline for old town (including pump station reconstruction)	6,857,150.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
LC03	Wastewater pipeline for new town (including pump station reconstruction)	6,485,740.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
LC04	River management project: Qing River sections from Sandu gorge to upstream of water source protection zone (including dredging)	9,566,190.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
LC05	River management project: Qing River sections from	5,297,930.00	NCB	Prior	1S1E	Q2 / 2017	Prequalification of Bidders: N

	downstream of water source protection zone to Tenglong No.2 bridge (including dredging)						Domestic Preference Applicable: N Bidding Document: Small Works
LC06	Huilonggou River (including dredging)	6,239,510.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
LC07	Yuanbao River (including dredging)	4,121,210.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
LC08	Eco rehabilitation auxiliary facilities (Sandao River, Huilonggou River, Yuanbao River)	2,050,850.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
LE01	Lichuan WWTP equipment	10,465,870.00	ICB	Prior	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS1	Project implementation and institutional strengthening support	630,000.00	QCBS	Prior	Q4 / 2016	STP	Assignment: International Quality-Cost Ratio: 80:20 Comments: 64 PM of national consulting services
CS2	Resettlement and social monitoring	190,000.00	CQS	Prior	Q1 / 2016	BTP	Assignment: National Comments: 25 PM of national consultants
CS4	Strengthening non-structural measures on Qing River Integrated Management	760,000.00	QCBS	Prior	Q1 / 2017	STP	Assignment: International Quality-Cost Ratio: 90:10 Comments: High degree of technical expertise is required thus, quality is given more weight. A total of 8 PM of international and 41 PM of national consulting services will be required.

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
EE04	Enshi flood forecast and warning system	93,520.00	1	SHOPPING	Prior		Q2 / 2017	
EE05	Enshi wastewater	154,400.00	1	NCB	Post	1S1E	Q2 / 2017	Prequalificati

	pipeline GIS system							on of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
LE02	Lichuan flood forecast and warning system	93,520.00	1	SHOPPING	Prior		Q4 / 2017	
LE03	Lichuan wastewater pipeline GIS system	154,400.00	1	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS3-1	Individual consultant for initial project implementation support– Project management and procurement	33,300.00	1	ICS	Prior	Q4 / 2015		Assignment: National Expertise: Project management and procurement and resettlement Comments: Request for advance contracting for which retroactive financing will be applicable. 5 PM for project management and procurement
CS3-2	Individual consultant for initial project implementation support–	26,700.00	1	ICS	Prior	Q4 / 2015		Assignment: National Expertise: Project

	Resettlement							management and procurement and resettlement Comments: Request for advance contracting for which retroactive financing will be applicable. 4 PM for resettlement.
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B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
EC04	Hongmiao WWTP civil works	3,210,230.00	1	NCB	Post	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EE02	Hongmiao WWTP equipment	4,183,710.00	1	NCB	Post	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EE03	Equipment, fertilizer and pesticides for Agricultural non-point source pilot program	68,790.00	1	SHOPPING	Post		

Consulting Services							
Package	General Description	Estimated	Estimated	Recruitment	Review	Type of	Comments

Number		Value (cumulative)	Number of Contracts	Method	(Prior/Post)	Proposal	
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
None							

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Competitive Bidding Procedure (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

2. Eligibility

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

3. Advertising

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website (www.chinabidding.com). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their

responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

4. Bidding Period

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

5. Participation by Government-Owned Enterprises

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

6. Rebidding

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

C. Bidding Documents

7. Qualification requirements

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

8. Bid Submission and Opening

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

9. Bid Evaluation and Award

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

10. ADB Policy Clauses

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

