

PROCUREMENT PLAN

Basic Data

Project Name: Anhui Huainan Urban Water Systems Integrated Rehabilitation Project	
Project Number: 46078-002	Approval Number: 3054/8491
Country: China, People's Republic of	Executing Agency: Huainan Municipal Government
Project Financing Amount: US\$ 343,425,000 ADB Financing: US\$ 150,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 193,425,000	Implementing Agency: N/A Project Closing Date: 31 March 2020
Date of First Procurement Plan: 10 June 2013	Date of this Procurement Plan: 9 November 2016, Version 3

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 3,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 2,999,999	
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 40,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 39,999,999	
Shopping for Works	Up to US\$ 100,000	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	An external monitor for resettlement monitoring and evaluation (\$140,000).
Quality- and Cost-Based Selection for Consulting Firm	A consultant team for project management support (\$900,000). A consultant team for sustainable urban water and lake environment (\$500,000).
Individual Consultants Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
HN-BZ-C0 1	Reconstruction of Shijian Lake pump station	4,216,000.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works

HN-BZ-C0 3	Improvement of Dajiangou pump station	3,091,000.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-BZ-E0 2	Equipment for Shijian Lake pump station	1,850,000.00	NCB	Post	1S1E	Q2 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
HN-BZ-E0 3	Equipment for Dajiangou pump station	1,702,000.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
HN-HD-C0 1	Improvement of Bagongshan urban water channel	11,178,000.00	NCB	Prior	1S1E	Q3 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-HD-C0 2	Improvement of Xiejiaji urban water channel	12,003,000.00	NCB	Prior	1S1E	Q2 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works

HN-HD-C0 3	Improvement of Donghua urban water channel	7,136,000.00	NCB	Post	1S1E	Q2 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-HD-C0 4	Improvement of Longwang urban water channel	11,084,000.00	NCB	Prior	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-HD-C0 5	Improvement of Old Longwang urban water channel	3,878,000.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-HD-C0 6	Construction of Longwang flood diversion channel	26,197,000.00	NCB	Prior	1S1E	Q2 / 2015	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-HP-C0 1	Improvement of urban lakes	18,224,000.00	NCB	Prior	1S1E	Q3 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
HN-S-C02	Sustainable urban water and lake environment	500,000.00	QCBS	Prior	Q2 / 2017	STP	Assignment: International Quality-Cost Ratio: 80:20 Comments: International and national consultants.
IC-01	project management, procurement and contract management	158,438.00	ICS	Prior	Q4 / 2016		Assignment: National Expertise: project management
IC-02	Environment, project management	137,581.00	ICS	Prior	Q4 / 2016		Assignment: National Expertise: environment

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
HN-BZ-E05	Equipment for flood forecasting and warning system	833,000.00	1	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
HN-S-04	Office equipment	40,000.00	1	SHOPPING	Post		Q3 / 2015	

	for project management for PMO							Comments: Advertisement: Q3 2015.
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Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
IC-03	financial and economic	53,668.00	1	ICS	Prior	Q4 / 2016		Assignment: National Expertise: financial and economic
IC-04	resettlement and social development	57,800.00	1	ICS	Prior	Q4 / 2016		Assignment: National Expertise: resettlement and social development

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
HN-BZ-C04	Construction of four pump stations along Gaotang Lake	7,883,000.00	1	NCB	Post	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-BZ-E04	Equipment for four pump stations along Gaotang Lake	4,824,000.00	1	ICB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: Y Bidding Document: Goods

HN-DF-C0 1	construction of embankment along Gaotang lake 1	12,389,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-DF-C0 2	Construction of embankment along Gaotang Lake 2	13,221,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works
HN-HP-E0 1	Equipment for water quality and ecological monitoring	224,000.00	1	NCB	Post	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others
HN-WS-C0 3	Installation of sewers in Gaotang Lake new district in the eastern area	10,864,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others
HN-WS-C0 4	Installation of sewers in Binhe new district in the eastern area	5,651,000.00	1	NCB	Post	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others

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Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
HN-BZ-C02	Improvement of Long Lake pump station	5,816,000.00	2,007,099.99	NCB	Q3 / 2014	13-APR-15	The first NCB for Works. Type of BD: PRC Model BD for Works under NCB.
HN-WS-C01(0003)	Installation of sewers in the existing eastern urban area	18,759,000.00	12,397,011.99	ICB	Q3 / 2014	19-FEB-15	
HN-WS-C02(0004)	Installation of sewers in the existing western urban area	15,379,000.00	11,016,576.24	ICB	Q4 / 2014	19-FEB-15	
HN-BZ-E01	Equipment for Long Lake pump station	3,890,000.00	1,929,685.82	ICB	Q3 / 2014	14-JAN-15	
HN-WS-E02(0005)	Equipment for new pump station in the eastern area	560,000.00	351,757.56	NCB	Q1 / 2015	18-JUN-15	
HN-WS-E01(0007)	Equipment for two new pump stations in the western area	1,050,000.00	609,718.45	ICB	Q1 / 2015	29-DEC-15	
HN-S-05(0008)	Office equipment for project management for IAs	60,000.00	55,339.24	SHOPPING	Q2 / 2015	06-NOV-15	

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
HN-S-C03(0006)	External resettlement monitoring and evaluation	140,000.00	97,309.31	CQS	Q2 / 2014	13-AUG-15	

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Competitive Bidding Procedure (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

2. Eligibility

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

3. Advertising

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website (www.chinabidding.com). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

4. Bidding Period

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

5. Participation by Government-Owned Enterprises

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

6. Rebidding

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

C. Bidding Documents

7. Qualification requirements

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall

be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

8. Bid Submission and Opening

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

9. Bid Evaluation and Award

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

10. ADB Policy Clauses

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

