1 & 2 Semiannual Reports April 2015

People's Republic of China: Gansu Baiyin Integrated Urban Development Project

Prepared by Shanghai Yiji Construction Consultants Co., Ltd for the Baiyin Municipal Government and the Asian Development Bank.

CURRENCY EQUIVALENTS

(as of 15 April 2015)

| Currency unit | _ | Chinese Yuan (CNY) |
|---------------|---|--------------------|
| CNY1.00 | = | \$6.212 |
| \$1.00 | = | CNY0.161 |

ABBREVIATIONS

| AAOV | _ | Average Annual Output Value |
|--------|---|---|
| ADB | _ | Asian Development Bank |
| Aps | _ | Affected Persons |
| AV | _ | Administrative Village |
| HH | _ | Household |
| BMG | _ | Baiyin Municipal Government |
| BPMO | _ | Baiyin Project Management Office |
| EA | _ | Executing Agency |
| IA | _ | Implementing Agency |
| JCG | _ | Jingyuan County Government |
| JHRSSB | _ | Jingyuan County Human Resources and Social Security |
| | | Bureau |
| LIP | _ | Liuchuan Industrial Park |
| LMC | _ | Liuchuan Industrial Park Management Committee |
| M & E | _ | Monitoring and Evaluation |
| MLGL | _ | Minimum Living Guarantee Line |
| PAH | _ | Project Affected Household |
| PAP | _ | Project Affected Person |
| PPTA | _ | Project Preparation Technical Assistance |
| PRO | _ | Project Resettlement Office |
| RMB | _ | China Yuan, the unit of Chinese currency |
| RP | _ | Resettlement Plan |
| SES | _ | Social Economic Survey |
| Twp | _ | Township |
| TVET | _ | Technical Vocational Education and Training |
| WWTP | _ | Wastewater Treatment Plant |
| ¥ | _ | CNY |
| | | |

WEIGHTS AND MEASURES

| mu | _ | 0.006 ha |
|--------------|---|----------|
| square meter | - | m² |

NOTE

In this report, "\$" refers to US dollars.

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ADB LOAN

Gansu Baiyin Integrated Urban Development Project

(ADB No: PRC 3202)

External Resettlement Monitoring and Evaluation Report (No.1, 2)

Shanghai Yiji Construction Consultants Co., Ltd Apr. 2015

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Abbreviation

| AAOV | Average Annual Output Value |
|--------|--|
| ADB | Asian Development Bank |
| Aps | Affected Persons |
| AV | Administrative Village |
| НН | Household |
| BMG | Baiyin Municipal Government |
| BPMO | Baiyin Project Management Office |
| EA | Executing agency |
| IA | Implementing agency |
| JCG | Jingyuan County Government |
| JHRSSB | Jingyuan County Human Resources and Social Security Bureau |
| LIP | Liuchuan Industrial Park |
| LMC | Liuchuan Industrial Park Management Committee |
| M & E | Monitoring and Evaluation |
| MLGL | Minimum Living Guarantee Line |
| Mu | 1mu = 0.006 ha |
| PAH | Project Affected Household |
| PAP | Project Affected Person |
| PPTA | Project Preparation Technical Assistance |
| PRO | Project Resettlement Office |
| RMB | China Yuan, the unit of Chinese currency |
| RP | Resettlement Plan |
| SES | Social Economic Survey |
| Тwp | Township |
| TVET | Technical and Vocational Education and Training |
| WWTP | Wastewater Treatment Plant |
| ¥ | CNY |

Note: All abbreviations were explained in the text.

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1 Project Description

The proposed ADB Financed Gansu Baiyin Integrated Urban Development Project consists of three components including: (i) Liuchuan Industrial Park (LIP) Infrastructure Development; (ii) Baiyin Intelligent Traffic Management System; and (iii) Technical and Vocational Education and Training and Institutional Capacity Building. Component I involves land acquisition, house demolition and resettlement, and componentsII andIIIwill not cause any resettlement impacts. Component I, LiuchuanIndustrial Park Urban Infrastructure Development(the Project), consists of three subprojects including: (i) Wastewater Treatment Plant (WWTP) and Pipelines; (ii) Water Supply Plant (WSP) and Pipelines; and (iii) Road Construction. The collective land acquisition for the Road Construction on the section north of National Highway 109 was completed in November 2013. Meanwhile a due diligence report on completed resettlement has been prepared as an appendix of this RP.

2 Overall Resettlement

2.1 Overall Resettlement

The executing agency (EA) of Gansu Baiyin Integrated Urban Development Project is the BMG. The Baiyin ADB Project Management Office (BPMO), on behalf of the BMG, is responsible for organizing the implementation of BaiyinProject and practicing the specific management functions. The implementing agency (IA) for the Project (LIP Subprojects)is LiuchuanIndustrial Park Management Committee (LMC), who is responsible for detailed works in the project preparation and implementation of LIP Infrastructure Development Component. The implementing agencies (IA) for the resettlement of the Project are the Land Administrative Division of LMC, Liuchuan Village and Santan Village, who are responsible to implement this RP.

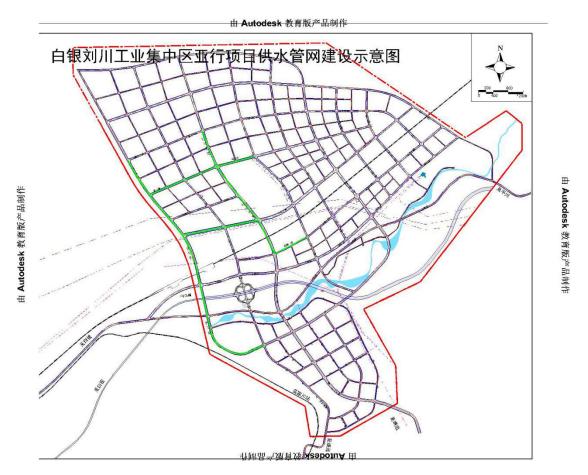
The project only involves one house demolition; the relocation and rebuilt works have not been carried out. The land acquisition is under preparation. The process of land acquisition depends on the process of the construction. Enough time will be spared for the effected persons to compensation and resettlement when land acquisition is under process. Two households remain to be demolished for the pipeline construction which has been commenced. So far it is being settled.

2.2 Land for Wastewater Pipeline

The length of the wastewater pipeline is 46.035 km, where are all in the LIP. LIP began the land acquisition in 2007, involving Nanshanwei, Nanchuan, Xintian, Chaoyang, Lianhe, totally 5 Villages. The park occupies 50 km². And within 23 km², 14366.51 mu of land needs to be acquired, where 362 households will be affected. These villages are all compensated according to the policies, without problems remained.

The land acquisition of the 2 km of Nanhuan Road within the range of the wastewater pipeline project is under preparation now, estimated to be finished by the end of 2014. This part of land acquisition is planned to be monitored in the next report.

The land for wastewater pipeline accounts for 23 km² of the LIP. And 99% of the land acquisition is completed without problems remained. So the construction bid can go on.



Picture 2-1 Construction Sketch of the Wastewater Pipeline

3 Project Impact

Under Liuchuan Industrial Park Infrastructure Development Component, subproject (1) WWTP completed collective-owned land acquisition in August 2012 and Subproject (3) Xihuan Road completed collective-owned land acquisition for Zhangchuan Section on the north side of National Highway 109 in November 2013. Therefore, a due diligence report on resettlement for Liuchuan Industrial Park Infrastructure Development has been prepared during the PPTA period, which was approved by the ADB. The livelihood restoration measures for these APs are on gonging.

The remaining land required by Subproject (1) the WWTP and all the land needed for the Sludge Landfill Site (SLS) and the secondary WSP of Subproject (2) are all state-owned barren hills and will be allocated to the Project for free. Therefore, the land acquisition, house relocation and resettlement are the keys for the primary WSP, pumping station and pipelines of Subproject (2) and Xihuan Road construction (section south of National Highway 109) of Subproject (3).

There are fourvillage groups and 2 villages in Liuchuan and Santan Townships affected by the Project. In total, 397.74 mu of collective owned land will be acquired permanently, among which 286.87 mu are irrigated land, 32.57 mu are housing site land, and 78.3 mu are idle land, with 51 households and 257 persons affected. In addition, 1,158.31 mu of state-owned land (includes 830.96 mu of barren hills) will also be occupied, with 4 households and 23 persons affected. The total area of houses to be demolished is 8,252.41 square meters, with 35 households and 156 persons affected, of which 23 households and 99 persons will be affected both by LA and HD. Totally, 67 HHs with 337 APs will be affected permanently by LA and HD. In the construction period, 155 mu of collective land will be used temporarily, with 46 HHs with 243 APs affected in one year. See details in Table 3-1

| 1 I | | | | Land | Use | | | | | | | Affe | ected Po | ersons | | |
|--|--------------|------------|----------------|-----------------|---------------------------|----------------------------|----------------------|---------|----|-----|----|------|-----------|--------|-----|--------|
| Sub- project | Location | Total (Mu) | Sub-total(Mu) | O | State-owne ccupation (| | Collective- owned | HD | L | A | F | łD | Both H | | Sub | ototal |
| | | | oub-total(ind) | Barren Hills | Farm | Acquired By LIP earlier | acquisition (Mu) | m² | ΗH | AP | нн | AP | ΗH | AP | ΗH | AP |
| Xihuan Rd | Nanshanwei | 560.05 | 360.31 | 80 | 0 | 280.31 | 199.74 | 8052.41 | 33 | 127 | 34 | 146 | 22 | 89 | 45 | 184 |
| Pumping Station | Lantong Farm | 6 | 6 | 0 | 6 | 0 | 0 | 0 | 4 | 23 | 0 | 0 | 0 | 0 | 4 | 23 |
| 1st WSP | Xintian | 198 | 0 | 0 | 0 | 0 | 198 | 200 | 18 | 130 | 1 | 10 | 1 | 10 | 18 | 130 |
| Sludge Landfill Site | Xintian | 462 | 462 | 462 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2nd WSP | LIP | 150 | 150 | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| WWTP | LIP | 180 | 180 | 138.96 | 0 | 41.04 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Тс | otal | 1556.05 | 1158.31 | 830.96 | 6 | 321.35 | 397.74 | 8252.41 | 55 | 280 | 35 | 156 | 23 | 99 | 67 | 337 |
| Main Pipeline (temporary land use) | Xintian | 155 | 0 | 0 | 0 | 0 | 155 | 0 | 46 | 243 | 0 | 0 | 0 | 0 | 46 | 243 |

Table 3-1: Summary of LAR Impacts

4 Resettlement Process

4.1 Land Acquisition Process

By 1 March, 2015, the project occupied 360.31 mu lands, which all belong to state-owned. See details in Table 4-1 about the land acquisition. Land acquisition will affect 21 households with 103 APs, which are done by 20.8%. See details in Table 4-2 about the APs.

| Subproject | | Р | lanned | | | | | Actua | l | | | Total | Percentage |
|-------------------------|-------------|-------------------|---------------------|--------------|----------|--------|---------------------------------|-------------------|---------------------|--------------|----------|--------|------------|
| | State-owned | Col | llective- | owned | | Total | al State-owned Collective-owned | | | | | | |
| | | Irrigated land | Dry sand land | ldle land | Subtotal | | | Irrigated land | Dry sand land | ldle land | Subtotal | | |
| | mu | mu | mu | mu | mu | mu | mu | mu | mu | mu | mu | mu | |
| Xihuan Rd | 360.31 | 154.87 | 31.87 | 13 | 199.74 | 560.05 | 360.31 | 0 | 0 | 0 | 0 | 360.31 | 64.3% |
| Pumping Station | 6 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0% |
| 1st WSP | 0 | 132 | 0.7 | 65.3 | 198 | 198 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0% |
| Sludge Landfill Site | 462 | 0 | 0 | 0 | 0 | 462 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0% |

Table 4-1 Summary of LA (By 1 March, 2015)

| 2nd WSP | 150 | 0 | 0 | 0 | 0 | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0% |
|------------|---------|--------|-------|-------|--------|---------|--------|---|---|---|---|--------|-------|
| WWTP | 180 | 0 | 0 | 0 | 0 | 180 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0% |
| Main | | | | | | | | | | | | | |
| Pipeline | | | | | | | | | | | | | |
| (temporary | 0 | 100 | | 55 | 155 | 155 | 0 | 0 | 0 | 0 | 0 | 0 | 0.0% |
| land use) | | | | | | | | | | | | | |
| Total | 1158.31 | 386.87 | 32.57 | 133.3 | 552.74 | 1711.05 | 360.31 | 0 | 0 | 0 | 0 | 360.31 | 21.1% |

4.2 House Construction

By 1 March, 2015, one household has been relocated to Zhaotang Village voluntarily, and has a stable life now. See details in Table 4-2 about land acquisition and house APs.

| | | Planned | | | | | Actual | | | | | | | |
|-------------------------|---------|---------|-----|----|-----|-------|--------|----------------|----|----|----|-------|----|----|
| Subproject | HD LA | | HD | | LA | LA+HD | | LA | | HD | | LA+HD | | |
| | m² | НН | AP | НН | AP | НН | AP | m ² | HH | AP | НН | AP | нн | AP |
| Xihuan Rd | 8052.41 | 33 | 127 | 34 | 146 | 22 | 89 | 160 | 0 | 0 | 0 | 0 | 1 | 6 |
| Pumping Station | 0 | 4 | 23 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1st WSP | 200 | 18 | 130 | 1 | 10 | 1 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sludge Landfill Site | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Table 4-2 LA and house APs (By 1 March, 2015)

| 2nd WSP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|-----------------|---------|--------|--------|-------|-------|-------|-------|-----|----|-----|---|---|---|---|
| WWTP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 21 | 103 | 0 | 0 | 0 | 0 |
| Main Pipeline | | | | | | | | | | | | | | |
| (temporary land | 0 | 46 | 243 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| use) | | | | | | | | | | | | | | |
| | 8252.41 | 101 | 523 | 35 | 156 | 23 | 99 | 160 | 21 | 103 | 0 | 0 | 1 | 6 |
| Percentage | | | | | | | | | | | | | | |
| (Planned/Act | 1.94% | 20.79% | 19.69% | 0.00% | 0.00% | 4.35% | 6.06% | | | | | | | |
| ual) | | | | | | | | | | | | | | |

5 Compensation method and standard

5.1 Land Acquisition Policy

Compensation standards are set up according to relative laws and regulations of the PRC, Gansu Provincial Government, BMG, JCG and ADB's policies. Compensation rates for land acquisition and occupation are presented in Table 5-1.

| Туре | Planned | Actual |
|---|----------|--------|
| .,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | CNY/mu | CNY/mu |
| Irrigated land | 38713.5 | |
| Idle land, housing site land, saline-alkali land, newly developed but not cultivated land | 19356.75 | |
| Dry sand land | 13186.2 | |
| Dry earth land | 11186.2 | |
| Young crop compensation fee | 1759.7 | |
| Temporary land use | 3520 | |

 Table 5-1:Compensation Rates for Collective Land Acquisition and

 State-owned Farmland Occupation

5.2 Liuchuan House Demolition Policy

The final compensation rates for demolished houses in project areas will be determined by the market price assessment based on replacement costs. An independent appraisal company (Jingyuan Lucheng Housing Appraisal Services Company) has been engaged to conduct this assessment. Additionally, moving subsidy to relocated HHs will be provided at the standard of CNY200 per capita; transition subsidy will be provided at CNY80 per capita per month for actual months of transition period. Every HH will be granted with bonus of CNY20,000 after the agreement of HD is signed and the structures are demolished before the deadline published by the local government.

During house demolition and relocation, AHs need to "pay or retain the difference

"between actual appraisal value of the old houses and the physical cost of the new resettlement houses; the original housing plot will be compensated with the rate of LA (CNY19,356.75/mu), the new housing site will be provided to the AHs for free.

Table 5-2 is house compensation standards.

| | | Plar | nned | | | Act | tual | |
|---|-----------------------|-----------------------|---------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Туре | 1 st Class | 2 nd Class | 3 rd Class | 4 th Class | 1 st Class | 2 nd Class | 3 rd Class | 4 th Class |
| | Yuan/m ² | Yuan/m ² | Yuan/m ² | Yuan/m ² | Yuan/m ² | Yuan/m ² | Yuan/m ² | Yuan/m ² |
| Brick-concrete | 1300 | 1000 | 800 | 600 | 1300 | 1000 | 800 | 600 |
| Brick-wood | 1200 | 900 | 650 | 400 | 1200 | 900 | 650 | 400 |
| Earth-wood | 800 | 650 | 300 | | 800 | 650 | 300 | |
| Simple Storage Shed | 300 | 260 | 200 | | 300 | 260 | 200 | |
| Simple Shelter | 150 | 100 | 50 | | 150 | 100 | 50 | |
| Moving Subsidy (Yuan/Person) | 200 | | | | 200 | | | |
| Transition Subsidy (Yuan/Person month) | 80 | | | | 80 | | | |
| Relocation Bonus (Yuan/HH) | 20000 | | | | 20000 | | | |
| Plot Site | - | • • | ot will be co ided to the Al | • | with the rate | of LA (CNY | 19,356.75/m | u), the new |

Table 5-2 House Compensation Standards

5.3 Pension Insurance for APs

JCG is going to provide pension insurance to the farmers who have lost partly or totally land contract and management rights caused by the infrastructure construction of LIP.

6 Income and Livelihood Recovery

6.1 Socioeconomic Situations of the Affected Areas

Jingyuan County is located in the east of Baiyin City with a total area of 5,809 square kilometers and a total population of 469,879, of which 415,067 are rural residents,

taking up 88.33%. The built-up area of the county is 6.3 square kilometers with urbanization rate of 27.05%. Located in the western inland draught area with severe natural condition and weak economic foundation, Jingyuan County is a typical county of dominant agriculture, backward industry and poor financing capability.

There are 18 townships, 175 villages and 10 communities in Jingyuan County. Geographically, the county is divided into three parts, namely Yellow River water gravity irrigation area, high-lift irrigation area and draught and semi draught mountain area. There are 1.16 million mu of cultivated land, of which 0.55 million are effective irrigated land. Jingyuan County is the important production base of vegetable, livestock, poultry, and fruits. Baby mutton, wolfberry, and Xiaokou dates are local brand products that are protected by national geographic marks system. The total GDP of 2014 is CNY 6.53billion. Urban resident disposable income per capita is CNY16,746; rural resident net income per capita is CNY6,246.

6.2 Design for Sample Survey

The monitoring and evaluation team surveyed the sample on Jul. 2014, including the livelihood data of the sample by two methodology: (1) Interview for the county's basic information with village leaders; (2) Interview for the family's basic information with sample families.

The number of the sample household for original data is 15.

| Town | Village | НН |
|----------|------------|----|
| Liuchuan | Nanshanwei | 15 |
| Santan | Xintian | 0 |
| Total | | 15 |

 Table 6-1 The Sample Households for the Original Data

6.3 Sample Households Survey

Table 6-2 presents the average income and resources of the sample households. The 2013 data is: the average population is 4.3 persons; the average income per person is 7000 Yuan; the average income per household is 30000 Yuan; the average arable land per person before LA is 2.89 mu. The original data of the 15 sample families are as follows: 13 color TVs, 11 refrigerators, 12 wash machines, 15 tractors, 12 motorcycles, 16 pumps, 1 livestock, 0 network, 24 phones, 4 cars. The properties of the sample households are summarized in Table 6-3.

By 30 June, 2014, 3 of the sample households have been acquired land, but not for the others.

| Town | Village | Name | Population | Farming | Migrant work | Subtotal | Before LA | LA | Compensa tion | Received Time |
|----------|------------|-----------------------|------------|------------------------|------------------------|------------------------|-----------|----|------------------------|------------------|
| | | | Person | 10 Thousand Yuan | 10 Thousand Yuan | 10 Thousand Yuan | mu | mu | 10 Thousand Yuan | |
| Liuchuan | Nanshanwei | WANG Mingye | 4 | 2 | 0 | 2 | 20 | 0 | | |
| Liuchuan | Nanshanwei | WANG Shirong | 4 | 2 | 0 | 2 | 10 | 0 | | |
| Liuchuan | Nanshanwei | GUO Changzhen g | 3 | 3.5 | 0 | 3.5 | 18 | 12 | 29 | Winter, 2012 |
| Liuchuan | Nanshanwei | ZHANG Li | 6 | 3 | 0 | 3 | 14 | 8 | 20 | 2013 |
| Liuchuan | Nanshanwei | CUI Xinfu | 3 | 3 | 0 | 3 | 23 | 0 | | |
| Liuchuan | Nanshanwei | JIA Rucong | 6 | 2 | 4 | 6 | 8 | 0 | | |
| Liuchuan | Nanshanwei | LIU Xinyu | 4 | 1 | 0 | 1 | 7 | 0 | | |
| Liuchuan | Nanshanwei | LU Shitai | 6 | 4 | 0 | 4 | 10 | 10 | | |
| Liuchuan | Nanshanwei | WANG Pengde | 3 | 2 | 2 | 4 | 20 | 0 | | |
| Liuchuan | Nanshanwei | LI Mingyi | 3 | 2 | 3 | 5 | 10 | 0 | | |
| Liuchuan | Nanshanwei | LIU Yongjie | 5 | 1.5 | 1 | 2.5 | 4 | 0 | | |
| Liuchuan | Nanshanwei | ZHANG | 7 | 1.8 | 2 | 3.8 | 10 | 0 | | |

Table 6-2 The Income and Resources of the Sample Households (2013)

| | | Zhihu | | | | | | | |
|----------|------------|------------|----|------|------|------|------|------|--|
| Liuchuan | Nanshanwei | WANG | 4 | 2 | 4 | 3 | 13 | 0 | |
| | | Renxian | 4 | 2 | I | 3 | 15 | 0 | |
| Liuchuan | Nanshanwei | GAO | 5 | 0 | 2 | 2 | 11 | 0 | |
| | | Binhua | 5 | 0 | 2 | 2 | 11 | 0 | |
| Liuchuan | Nanshanwei | CHANG | 2 | 4 | 0 | 4 | 10 | 0 | |
| | | Guoyu | 2 | I | 0 | I | 10 | 0 | |
| | | Subtotal | 65 | 30.8 | 15 | 45.8 | 188 | 30 | |
| | | Per person | | 0.47 | 0.23 | 0.70 | 2.89 | 0.46 | |

 Table 6-3 The Property of the Sample Households (2013)

| Town | Village | Name | House | Area | τν | Refrig erator | Wash Machine | Tractor | Motorcycl e | Pump | Livestoc k | Network | Phone | Car |
|--------------|----------------|-----------------------|-------|------|------|------------------|-----------------|---------|----------------|------|---------------|---------|-------|------|
| | | | Unit | m² | Unit | Unit | Unit | Unit | Unit | Unit | Unit | Unit | Unit | Unit |
| Liuchu an | Nansh anwei | WANG Mingye | 12 | 140 | 1 | 1 | 1 | 2 | 1 | 1 | 1 | 0 | 3 | 0 |
| Liuchu an | Nansh anwei | WANG Shirong | 10 | 120 | 1 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 2 | 0 |
| Liuchu an | Nansh anwei | GUO Changzh eng | 14 | 160 | 1 | 1 | 1 | 2 | 0 | 1 | 0 | 0 | 2 | 0 |
| Liuchu an | Nansh anwei | ZHANG Li | 14 | 160 | 2 | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 2 | 0 |
| Liuchu | Nansh | CUI Xinfu | 11 | 130 | 2 | 2 | 2 | 2 | 1 | 1 | 0 | 0 | 1 | 0 |

| an | anwei | | | | | | | | | | | | | |
|--------|-------|-----------|-------|------|------|------|------|------|------|------|------|------|------|------|
| Liuchu | Nansh | JIA | 10 | 120 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 2 | 1 |
| an | anwei | Rucong | 10 | 120 | I | 1 | 1 | I | I | I | 0 | 0 | 2 | |
| Liuchu | Nansh | LIU | 15 | 150 | 1 | 1 | 1 | 1 | 1 | 2 | 0 | 0 | 2 | 0 |
| an | anwei | Xinyu | 15 | 150 | I | 1 | 1 | I | I | 2 | 0 | 0 | 2 | 0 |
| Liuchu | Nansh | LU Shitai | 10 | 120 | 1 | 1 | 1 | 1 | 1 | 2 | 0 | 0 | 2 | 1 |
| an | anwei | LU Shilai | 10 | 120 | I | 1 | 1 | I | I | 2 | 0 | 0 | 2 | 1 |
| Liuchu | Nansh | WANG | 15 | 150 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 1 |
| an | anwei | Pengde | 15 | 150 | I | 1 | 1 | I | I | 1 | 0 | 0 | 1 | |
| Liuchu | Nansh | LI Mingyi | 12 | 140 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 2 | 0 |
| an | anwei | | 12 | 140 | I | I | I | I | I | I | 0 | 0 | 2 | 0 |
| Liuchu | Nansh | LIU | 10 | 120 | 1 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 1 | 0 |
| an | anwei | Yongjie | 10 | 120 | I | 0 | I | I | I | I | 0 | 0 | 1 | 0 |
| Liuchu | Nansh | ZHANG | 10 | 120 | 0 | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 2 | 1 |
| an | anwei | Zhihu | 10 | 120 | 0 | 0 | I | I | I | I | 0 | 0 | 2 | |
| Liuchu | Nansh | WANG | 7 | 100 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 0 |
| an | anwei | Renxian | 7 | 100 | 0 | 0 | 0 | I | I | I | 0 | 0 | 1 | 0 |
| Liuchu | Nansh | GAO | 10 | 120 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 |
| an | anwei | Binhua | 10 | 120 | 0 | 0 | 0 | 0 | 0 | I | 0 | U | 1 | U |
| Liuchu | Nansh | CHANG | 4 | 60 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| an | anwei | Guoyu | 4 | 00 | 0 | 0 | 0 | 0 | U | 1 | U | U | U | U |
| | | Total | 164 | 1910 | 13 | 11 | 12 | 15 | 12 | 16 | 1 | 0 | 24 | 4 |
| | | Per HH | 10.93 | 127. | 0.87 | 0.73 | 0.80 | 1.00 | 0.80 | 1.07 | 0.07 | 0.00 | 1.60 | 0.27 |
| | | | 10.93 | 33 | 0.07 | 0.75 | 0.00 | 1.00 | 0.00 | 1.07 | 0.07 | 0.00 | 1.00 | 0.27 |

7 House Relocation

The resettlement living area is located to the south of LIP and about 3 kilometers away from farmers contracted land. It occupies an area of 566 mu with a total planned building area of 64,200 m². It is divided into residential zone, commercial zone, central services zone, central scenery zone and roads and landscaping. There are 316 houses in the residential living area with a total building area of 56,000 m². For the residential houses, there will be water supply, drainage system, and heating supply by natural gas network running through the area. Within the area, there will be medical clinic and health care, hospital, kindergarten, shops, relax and recreation facilities.LMC takes the responsibility to get the project approval, raise and use the fund, engineering design, organize the physical construction and monitor the construction quality. By 31 March, 2015, one household has been relocated to Zhaotang Village, voluntarily, and the others' house demolition has not been commenced. See details in Table 7-1 about the process of house demolition.

| | Planned | | | | | | | | Actual | | Percentage |
|--------------|---------------|--------|--------------------------------|----|-----------|------|--------|------------|----------------------------|-----------|------------|
| Village | age HH Person | | Relocation Plan | | | нн | Person | Relocation | In the resettlement living | | |
| Village | | Person | | | | Plan | | Plan | area | | |
| | | | | НН | Plot Site | | | | HH | Plot Site | |
| | | | | | (mu) | | | | пп | (mu) | |
| Zhangchuan | 12 | 57 | The resettlement living area | 12 | 8.4 | 1 | 0 | | 0 | 0 | 8.33% |
| Nanwan | 22 | 89 | The resettlement living area | 22 | 15.4 | 0 | 0 | | 0 | 0 | 0.00% |
| Xintiansishe | 1 | 10 | Cash compensation; Building by | 0 | 0 | 0 | 0 | | 0 | 0 | 0.00% |
| Aintiansishe | 1 | 10 | their own | 0 | 0 | 0 | 0 | | U | 0 | 0.00% |
| Total | 35 | 156 | Subtotal | 34 | 23.8 | 1 | 0 | | 0 | 0 | 2.86% |

Table 7-1 The Process of House Demolition (By 31 March, 2015)

Note: one household has been relocated to Zhaotang Village, voluntarily.

8 Infrastructure Rehabilitation

By now, the infrastructures in the project area still work well in that the process of the construction has not affected to them yet.

9 Resettlement Budget and Funding

The budge of land acquisition and resettlement is funded by the LMC. By now, the fund can meet the needs of land acquisition and resettlement caused by the project. By 31 March, 2015, 300,000 Yuan has been paid for housing demolition, which accounts for 0.3% of the total budget for the LA and resettlement. See details in Table 9-1 about the Budget of Resettlement.

| Туре | | Planned | | | | | | Actual | | | | | |
|---|-----------|---------|--------------------|------------------|--------|----------|--------------|---------|--------------------|------------------|------|----------|------------|
| | Xihuan Rd | 1st WSP | Pumping Station | Main Pipeline | WWTP | Subtotal | Xihuan Rd | 1st WSP | Pumping Station | Main Pipeline | WWTP | Subtotal | Percentage |
| 1. LA | 713.67 | 662.00 | 24.28 | 0.00 | 0.00 | 1399.96 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. Temporary land occupied | 0.00 | 0.00 | 0.00 | 54.56 | 0.00 | 54.56 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. HD | 1725.48 | 44.03 | 0.00 | 0.00 | 0.00 | 1769.51 | 30 | 0 | 0 | 0 | 0 | 30 | 1.70% |
| 4. Ancillary buildings and ground attachments | 2044.78 | 669.84 | 0.00 | 440.00 | 0.00 | 3154.62 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. Others | 1606.09 | 638.19 | 17.83 | 37.09 | 98.50 | 2397.70 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. Contingencies | 609.00 | 201.41 | 4.21 | 53.17 | 9.85 | 877.64 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 6699.03 | 2215.47 | 46.32 | 584.82 | 108.35 | 9653.99 | 30 | 0 | 0 | 0 | 0 | 30 | 0.30% |

Table 9-1 The Fund of LA and Resettlement (Unit: 10 Thousand Yuan)

10 Participation and Information Disclosure

The project focuses on public participation.

In early July 2014, the resettlement specialist team interviewed grassroots cadres in the affected town to monitor the process of resettlement, as well as the attitudes and comments of the APs.

On March 2015, a symposium has been held to the public for the pipeline construction. There are still 2 households to be demolished, and so far it is under preparation.

11 Resettlement Organizations

Baiyin Municipal Government ensures the process from project preparation to the implementation of the resettlement issues by establishing organizations and enhancing capabilities, which set up relevant organizations of Baiyin Integrated Urban Development Project at all levels, as well as made the responsibility clear enough. The main organizations related to the project include:

(1) Baiyin ADB Project Management Office (Baiyin PMO);

(2)Jingyuan County Resettlement Leading Group for Liuchuan Industrial Park Development;

- (3) Liuchuan Industrial Park Management Committee
- (4) Jingyuan County National Land and Resources Bureau
- (5) Jingyuan County Real Estates Bureau
- (6) Township Resettlement Office
- (7) Village Committee Resettlement Office
- (8) Shanghai Yiji Construction Consultants Co., Ltd

Data have proven the abilities of each agency to conduct this RP.

12 Monitoring and Evaluation

LIP (resettlement implementing agency) is responsible for internal monitoring and evaluation.

Shanghai Yiji Construction Consultants Co., Ltd takes the responsibility of the resettlement external independent monitoring and evaluation. By 31 March, 2015, two phases of the monitoring and evaluation issues have been completed.

13 Conclusion and Suggestion

13.1 Conclusion

(1) The construction site of the wastewater pipeline is in the LIP. 99% of the land acquisition has been finished without problems remained. The construction has been commenced on March 2015. 2 households concerning the pipeline construction possibly need to be demolished, and it is under preparation so far. (2) Baiyin ADB PMO has paid highly attention to the resettlement issue of the project;

(3) Specific persons, arranged by Land Administrative Division of LMC, Land Administrative Division of Liuchuan, are pointed to be responsible for the implementation of the RP. Cooperating with the relevant departments of the JCG, they make the preparation of the RP actively.

(4) Land acquisition and resettlement works are under process.

(5) Those who work for the LA and resettlement have a wealth of experience, which plays an important role in smoothing the progress.

(6) There is a need to raise funds for the added land acquisition and resettlement. The earlier, the more initiative.

13.2 Suggestion

(1) Focus on the actual problems of the poverty caused by housing relocation. Policies can be set up to provide physical aids.

(2) Enhance the training for cadres about the LA and relocation matters. The training could include field investigation, experience introduction and theoretical discussion.

(3) Pay attention to the security management during the RP implementation to prevent from the security incidents. Set up a series of security policies about RP to improve the efficiency.

(4) More attention should be paid to those who are acquired more than 30% of their lands by guiding them how to apply the land compensation and resettlement compensation on production to raise their income.

(5) A socio-economic survey should be conducted in advance for the land acquisition and resettlement, as well as the RP should be updated to be approved by ADB when bidding.

14 Gender and Society

14.1 Gender Monitoring

Table 14-1 shows the gender action monitoring.

14.2 Social Monitoring

Table 14-2 shows the social action monitoring.

14.3 Conclusion and Suggestion

14.3.1 Conclusion

(1) Each subproject should pay more attention to the Social and Gender Action;

(2) The construction company is not familiar with the Social and Gender Action.

14.3.2 Suggestion

(1) The PMO shall conduct a training program concerning to the Social and Gender Action;

(2) Enhance the publicity of the Social and Gender Action to the bid-win construction company;

(3) There is a need to further implement the Social Action Plan to give enough attention to the social effects caused by the project, and promote the positive social benefits fully, as well as minimize the negative effects;

(4) The construction companies shall implement measures about security, disease control, attracting local employees, and yield social benefits;

(5) The construction companies shall prepare well according to the principle that design shall reduce the negative effects so as to make the local people convenient;

(6) The construction companies shall make the recording work about the implementation of the Social Action well;

(7) The construction companies shall utilize the local materials as much as possible during the construction.

Table 14-1 Gender Action Plan Monitoring

| Proposed actions | Targets and indicators | Agencies concerned | Time | Funding source |
|---|---|---|--------------------------|-------------------|
| Output 1: LIP Infrastructu | re Improvement | | | |
| Sharing of LIP master planning information and the project's detail design to women, and their consultation and participation Participation of women in LIP's community management; Disclosure of policies and programs on compensation for LA to women; women have the right to receive compensation fees. | At least 70% of women around LIP (the four townships of Liuchuan, Mitan, Santan and Wulan) will be informed. 40% of attendees shall be women. 100% of affected women are aware of the LAR compensation program. 30% of attendees shall be women. | Agencies responsible: LMC, Design Agency, Women's Federation, Contractor Assisting agencies: BPMO, Planning Bureau, Communities, Enterprises | 2014- 2016 | In preparation |
| Roads Public traffic safety awareness and education for residents and employee in LIP Public hearing for water supply and wastewater treatment tariff in Jingyuan county | Participants and elected representatives (sex disaggregated) Women representatives collect information and comments from community-based women focus group discussion | | | |
| Output 2: Baiyin Intelliger | nt Traffic Management Syste | ms | | |
| Public traffic safety awareness and education for school and residents in Baiyin Public traffic safety awareness and education for drivers | 50% of attendees shall be women. 30% of attendees shall be women. | Agencies responsible: Women's Federation, municipal traffic police detachment Assisting agencies: Mass media, Driver schools, taxi company | 2014- 2016 | In preparation |
| Output 2: Technical and \ | ocational Education and Tra | communities | Conceity Buildi | 22 |
| More access of unemployed workers and surplus labor groups to training and employment opportunities | At least 30% female teachers will be newly hired. At least 40% female trainees will be enrolled for 3 existing and 5 new short-term training courses by the end of Year 5. 40% of female instructors will participate in CBT and teaching-learning development activities. | Agencies responsible: Jingyuan County Secondary TVET School, County labor and social security bureau Assisting agencies: Municipal education bureau, municipal labor and social security bureau, LMC, pertinent enterprises, BPMO | 2014- 2019 | In preparation |
| Involvement of women in capacity building for development of labor market information and employment services Involvement of | Numbers of workshops for BHRSS personnel on data collection and management (40% female participants); Numbers of BHRSS personnel receiving training in career guidance (40% female participants) Numbers of BHRSS | Agencies responsible: Municipal and county labor and social security bureaus Assisting agencies: LMC, BPMO Agencies | 2014- 2019 2014- 2019 | In preparation |

| women in institutional strengthening | personnel and stakeholders | responsible: Jingyuan County Secondary | | |
|---|---|---|------------|----------------|
| | participating in management development workshops (30% female) | TVET School, municipal and county labor and social security bureaus | | |
| | Number of persons participating in study tours and exchange of expertise (40% female) | Assisting agencies: Municipal education bureau, LMC, BPMO | | |
| For all outputs | | | | |
| Project management | | | | |
| The BPMO and IA assign persons to be responsible specifically for the implementation and reporting of the SDAP and GAP; a certain percentage of project management staff members will be women; Social and gender experts or consultants are recruited at the implementation stage to support the implementation of the SDAP and GAP. PMOs and implementing agencies' staff are trained to ensure effective implementation of the project Sex-disaggregated data will be collected in the management information system to ensure the monitoring, evaluation and | Number of staff members of the BPMO and implementing agencies responsible for SDAP and GAP reporting Number of recruited social and gender experts Indicators involving social development and gender in PPMS 100% staff of PMO and implementing agencies receive the training (at least 30% representatives in all trainings) Women employees in LMC increase from 10% to 30%. | Agencies responsible: BPMO, LMC Assisting agencies: Social and gender experts | 2014- 2018 | In preparation |
| reporting of the SDAP and GAP. | | | | |
| Contractors and | | | | |
| Enterprises' owners Generating skilled and unskilled jobs at the construction and operation stages Ensure female workers are aware of the Labor Law. Ensure female workers sign labor contracts. Ensure work environment and conditions on construction sites are responsive to women's needs. Provide separate training on transmission and prevention of HIV/AIDS for female and male | 30% of jobs at the construction and operation stages are available to women, and 20% of jobs for enterprises in LIP are provided to women (disaggregated by sex and position) (Baseline for female construction workers is 15%). 90% of female workers are aware of the Labor Law, and women's rights and interests (Baseline is 50%). 90% of female workers sign labor contracts (Baseline is 40%). Separate sanitary | Agencies responsible: BPMO, LMC, contractor, enterprises in LIP, labor and social security bureau Assisting agencies: Women's federation, communities | 2014- 2018 | In preparation |

| employees. | facilities (toilets) are available for women on all constriction sites. 95% women workers attend the HIV/AIDS | | |
|------------|--|--|--|
| | training. | | |

BHRSS = Bureau of Human Resources and Social Security, BPMO = Baiyin project management office, CBT = competitive-based training, CNY = Chinese Yuan, GAP = gender action plan, HIV/AIDS = Human immunodeficiency virus infection / acquired immunodeficiency syndrome, LIP = Liuchuan industrial park, LMC = Liuchuan industrial park management committee, PMO = Baiyin project management office, SDAP = social development action plan, TVET = technical and vocational education and training. Source: Asian Development Bank estimates.

| Proposed actions | Targets | Agencies | Time | Funding source | indicators | Results |
|--|--|----------------|-------|-------------------|---------------------|---|
| 1.Local labor for the project construction and service | about 77859 jobs; 8392 about service work | project owners | 2014- | | Employment | Local labors (categorized by gender, nationalities, income) |
| Jobs created during the project construction | By estimated, 131 technical jobs and 150 non-technical jobs | | | | Employment | In preparation |
| Jobs created during the project operation | By estimated, 75 technical jobs and 31 non-technical jobs | | | | Employment | In preparation |
| Local labor | The poverty accounts for 40% of the employment, and 40% are women | | | | The number of women | In preparation |
| Enhance the public awareness education | The women account for 30% of the | | | | The number of | In preparation |

Table 14-2 Social Action Plan Monitoring

| and other activities | targeted participants | | | women | |
|--|---------------------------------|--|-----------|--|----------------|
| 2. Traffic safety awareness training | The residents along the project | Owners, the Public Security Bureau, Department of Transportation and the Women's Federation | | warning signs | In preparation |
| Provide the local community with publicity materials and educational activities | | | | The amount of the publicity materials | In preparation |
| 3 Resettlement | | | | | |
| Fully compensation | | | (See RP) | Categorized by the nationality | In preparation |
| Special aids for the vulnerable groups | | | | The times and kinds of the training (categorized by gender and the | In preparation |

| | | | nationality) | |
|--|--|--|--------------|----------------|
| The recovery of the APs' income (refer to RP) | | | | In preparation |
| To carry out non-farm employment skills training | | | | In preparation |
| To carry out women employment skills training | | | | In preparation |

15 Appendix

15.1 Interview

16th April, 2015 Liuchuan Industrial Park Section chief Mr. ZHAO, Mr. YANG, and GUO Hongchuan

The pipeline construction has been commenced on 25 March, 2015. The length of the pipeline is 37.125 km. Most of the construction is in the planned area of the acquired industrial park. There is no new land acquirement issue. 2 households concerning the pipeline construction need to be demolished, and it is under progress so far. However, due to the fund issue of the land acquisition, there is no progress so far, but it doesn't influence the construction. The two households will be relocated in the resettlement area. As long as the fund issue is settled, there is no problem in the resettlement.

2nd July, 2014 Liuchuan, Nanshanwei, DOU Shirong Clerk

A total of 724 households, 3421 peoples, divided into five groups. There is a total of 4400 mu of irrigated land, and no dry land. Land acquisition began in 2010 for around 1000 mu of the arable land in the whole village, of which 400-500 mu land have been acquired with 25856 yuan/mu. The land requisition has 100% paid to the APs, without any left in the village organization, which wins the people's satisfaction. The per capita annual income is more than 4200 yuan, of which 70% is agricultural income, and others belong to migrant works. There are four companies in the village, including building materials, scrubbing and chemical engineering. Land acquisition has no problems.

There is a total of four people of my family, and with 10 mu land, mainly for wheat, flax and apple. The annual net income per mu is about 1000 yuan. Aquaculture will be developed, and the land requisition has been fully given.



Picture 15-1 Interview

2nd July, 2014 Liuchuan, Nanshanwei, WANG Mingye Village secretary

All of the demolished households are all relocated to the resettlement site. There are 143 households enjoying the minimum living guarantee, in which 14 households are first class with 159 yuan/per person-month; 23 households of second class with 119 yuan/per person-month; 74 households of third class with 80 yuan/per person-month; 32 households of fourth class with 50 per person-month.

2nd July, 2014 Liuchuan, Nanshanwei, CUI Xinfu

WWTP is located in Santan village. The land acquisition agencies include Land Bureau, Liuchuan government and the Land Management Bureau. The agreement concerning to the house is signed between the Liuchuan government and the APs; while, the agreement concerning to the land is signed between the village and the APs. The per capita net income of Liuchuan is 4,200 yuan in 2013.