

PROCUREMENT PLAN

Basic Data

Project Name: Gansu Baiyin Integrated Urban Development Project	
Project Number: 46062-002	Approval Number: 3202
Country: China, People's Republic of	Executing Agency: Baiyin Municipal Government
Project Procurement Classification:	Implementing Agency: N/A
Project Procurement Risk:	
Project Financing Amount: US\$ 212,700,000 ADB Financing: US\$ 100,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 112,700,000	Project Closing Date: 30 June 2020
Date of First Procurement Plan: 28 November 2014	Date of this Procurement Plan: 11 May 2017, Version 5

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 3,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 2,999,999	
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 40,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 39,999,999	
Shopping for Works	Up to US\$ 100,000	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	
Quality- and Cost-Based Selection for Consulting Firm	Quality-cost ratio: 80:20
Individual Consultants Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
RD-C02	Road: Road construction work no. 2	10,390,000.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: Estimated

							advertisement is Q3 2018. Due to PRS constraint, tentatively updated to Q3 2017.
WS-C01	Water supply: Water intake facility construction	3,600,000.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others
WS-C03	Water supply: Primary WTP facility construction, connection and surrounding site completion work	12,510,000.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: Combined original WS-C02 and WS-C03
WS-C05	Water supply: Water transmission line and tunnel construction	13,600,000.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: Cost estimate of merged WS-04&WS-C05 is updated to 13.6 million after the preliminary design. Further update maybe needed after the detailed engineering design is completed.
WS-C07	Water supply: Secondary WTP construction, connection and surrounding site completion work	16,130,000.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N

							Bidding Document: Others Comments: Combined original WS-C06 and WS-C07
WS-C08	Water supply: Water supply network	6,280,000.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others
WS-E01	Water: WTP treatment process equipment	10,670,000.00	ICB	Prior	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: Y Bidding Document: Goods
WW-C03	Wastewater: WWTP main facility construction work, connection and surrounding site completion work	12,370,000.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: NCB documents for Works

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS05	EMS consulting service	430,000.00	CQS	Prior	Q1 / 2017	BTP	Assignment: International

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4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
TV-C01	Construction of Workshop for Practical Training (01)	40,000.00	1	SHOPPING	Post		Q4 / 2016	Comments: To be conducted by Jingyuan Bureau of Human Resources and Social Security
TV-C02	Construction of Workshop for Practical Training (02)	90,000.00	1	SHOPPING	Prior		Q2 / 2017	Comments: To be conducted by Jingyuan Vocational School
TV-E01	Training and testing equipment	400,000.00	1	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others
TV-E02	Training and testing equipment no. 2	130,000.00	1	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding

								Document: Others
TV-E03	Training and testing equipment no. 3	680,000.00	7	SHOPPING	Post		Q3 / 2017	
TV-E04	HRSS database system	90,000.00	1	SHOPPING	Post		Q3 / 2017	
TV-E05	Text books and training materials no. 1	90,000.00	9	SHOPPING	Post		Q3 / 2017	
TV-E06	Labor market software	60,000.00	1	SHOPPING	Post		Q3 / 2017	
TV-E07	Text books and training	40,000.00	3	SHOPPING	Post		Q3 / 2017	

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
IT-E02	ITS: Public transportation system	1,290,000.00	1,162,414.00	NCB	Q2 / 2015	04-NOV-15	PCSS0003
IT-E01	ITS: Traffic management system	3,910,000.00	3,803,920.00	ICB	Q3 / 2015	24-NOV-15	PCSS0002
WW-E01	Wastewater: WWTP treatment process equipment	11,430,000.00	10,157,487.00	ICB	Q2 / 2016	23-NOV-16	PCSS0007
RD-C01	Road: Bridge and road construction work	24,350,000.00	11,938,430.07	NCB	Q2 / 2016	24-JUN-16	PCSS0006
WW-C01	Wastewater: Construction site preparation work	3,170,000.00	1,262,284.00	NCB	Q2 / 2015	27-NOV-15	PCSS0004

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CS04	TVET consulting service	300,000.00	298,846.00	CQS	Q3 / 2016	13-APR-17	PCSS0008
CS02	Project management consulting service	1,340,000.00	1,342,200.00	QCBS	Q3 / 2014	04-JAN-16	PCSS0001
CS01	Startup consulting service	40,000.00	44,800.00	ICS	Q4 / 2014	10-MAR-15	PCSS0005

D. Non-ADB Financing

The following table lists goods, works and consulting services contracts over the life of the project, financed by Non-ADB sources.

Goods and Works				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Comments
Wastewater pipeline network no. 1	3,480,000.00	1	NCB	Q4/2014
Wastewater pipeline network no. 2	4,550,000.00	1	NCB	Q4/2014

Consulting Services				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Comments
External Resettlement and Social Monitoring	250,000.00	1	ICS	Q3/2014

E. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Competitive Bidding Procedure (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

2. Eligibility

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

3. Advertising

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website (www.chinabidding.com). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

4. Bidding Period

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

5. Participation by Government-Owned Enterprises

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

6. Rebidding

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

C. Bidding Documents

7. Qualification requirements

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall

be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

8. Bid Submission and Opening

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

9. Bid Evaluation and Award

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

10. ADB Policy Clauses

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

