

# PROCUREMENT PLAN

## Basic Data

<b>Project Name:</b> Xinjiang Akesu Integrated Urban Development and Environment Improvement Project	
<b>Project Number:</b> 46049-002	<b>Approval Number:</b> 3262
<b>Country:</b> China, People's Republic of	<b>Executing Agency:</b> Xinjiang Uygur Autonomous Region Government
<b>Project Financing Amount:</b> US\$ 250,990,000 <b>ADB Financing:</b> US\$ 150,000,000 <b>Cofinancing (ADB Administered):</b> <b>Non-ADB Financing:</b> US\$ 100,990,000	<b>Implementing Agency:</b> Akesu Municipal Government
	<b>Project Closing Date:</b> 12 July 2020
<b>Date of First Procurement Plan:</b> 26 June 2015	<b>Date of this Procurement Plan:</b> 26 February 2016, Version 2

## A. Methods, Thresholds, Review and 18-Month Procurement Plan

### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 10,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 9,999,999	The two NCB contracts are subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 40,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 39,999,999	The two NCB contracts are subject to prior review, thereafter post review
Shopping for Works	Up to US\$ 100,000	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	Quality and cost ratio: 80:20
Quality-Based Selection for Consulting Firm	
Individual Consultants Selection for Individual Consultant	

### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CHN-CW01	Civil works and installation engineering of heating pipelines, civil works and installation engineering of heat exchange stations	7,564,900.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document:

							Small Works
CHN-GD01	Equipment purchase for 28 heat exchange stations	5,533,400.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Goods
CHN-GD02	Equipment purchase for heating pipelines of South of Jiefang Road, Henan Road, Ying'awati Road, Beida Street, Hangzhou Road, Wusan Road, Xihu Avenue, Hongqiao Road, Longjing Road and Jiangnan Avenue	12,399,600.00	ICB	Prior	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Goods
PSP-CW02	Civil works and installation engineering of landscape of Dongcheng Park, Jietou Road and seedling base	9,101,200.00	NCB	Post	1S1E	Q2 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Small Works
SWM-CW01	Civil works and installation engineering of waste transfer station and purchase of equipments	1,906,600.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Small Works
URN-CW011	Road civil works and installation engineering of Awen Avenue and	10,656,400.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N

	Renmin Road						Domestic Preference Applicable: N  Bidding Document: Large Works
URN-CW03	Road civil works and installation engineering, water supply and drainage pipeline civil works and installation engineering of Nanda Street and Beida Street	6,695,400.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Small Works
URN-CW04	Road civil works and installation engineering of Fuqi Road, East Ying 'awati Road, Wangsan Street, Tabei Road; drainage pipeline civil works and installation engineering of Fuqi Road	9,129,200.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Small Works
URN-CW05	Road civil works and installation engineering of Jiefang Middle Road, Wenhua Road	12,902,200.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Large Works
URN-CW06	Road civil works and installation engineering of Tanan Road	3,287,400.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Small Works

URN-CW07	Civil works and installation engineering of 4 community lanes (26.5km)	4,083,200.00	NCB	Post	1S1E	Q2 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Small Works
URN-CW08	Road civil works and installation engineering of Jiaotong Road	3,287,400.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works
URN-CW09	Road civil works and installation engineering of Zhongyuan Road	11,871,800.00	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works
URN-GD01	Purchase of road lighting facility for Minzhu Road, Tianshan Road, Minzhu Road (E), Jiaoyu Road, Yingbin Road, Nanchang Road, Huannan Road, Jianshe Road, Back street, Fuqi Road, East Ying'awati Road, Wangsan Road, Jiefang Middle Road, Tanan Road	6,493,000.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Goods
URN-GD02	Purchase of traffic safety devices (signs and traffic-light) for Minzhu Road, Tianshan Road, Minzhu Road (E), Jiaoyu Road, Yingbin Road,	3,609,500.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N

	Nanchang Road, Huannan Road, Jianshe Road, Back street, Fuqi Road, East Ying'awati Road, Wangsan Road, Jiefang						Advance Contracting: N  Bidding Document: Goods
URN-GD0 3	Equipment purchase for road and street light maintenance	1,443,900.00	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Goods
WRH-CW 02	Civil works of excavation of water surface; civil works of construction of water gate, isolation strip around the lake, passing culvert, overfall and settling region waterpower; houses for management station, patrol roads civil works	14,773,000.00	NCB	Post	1S1E	Q2 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Large Works

### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CSS-CS0 1	Project management and capacity building	1,490,000.00	QCBS	Prior	Q1 / 2016	FTP	Assignment: International  Quality-Cost Ratio: 80:20  Advance Contracting: Y

### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CSS-CS02	Office equipment	200,000.00	1	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advanced Contracting: N  Bidding Document: Goods
WRH-GD01	1 patrol boat	73,200.00	1	SHOPPING	Post		Q1 / 2017	Advanced Contracting: N
WRH-GD02	1 patrol vehicle	32,500.00	1	SHOPPING	Post		Q1 / 2017	Advanced Contracting: N
WRH-GD03	5 dredging machines	406,500.00	1	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advanced Contracting: N  Bidding Document: Goods
WRH-GD04	Wetland scientific research and monitoring engineering equipment	585,400.00	1	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N  Domestic Preference Applicable: N

								Advanced Contracting: N Bidding Document: Goods
WSN-GD02	Pipeline associated infrastructures etc., water supply pipeline equipment purchase of Nanda Street, Beida Street, and Fuqi Road	889,300.00	1	NCB	Post	1S1E	Q1 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CSS-RM01	External resettlement monitoring	60,000.00	1	ICS	Prior	Q1 / 2016		Assignment: National Expertise: External resettlement and social monitoring specialist Advance Contracting: Y Comments: BTP

## B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

  

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

### C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

#### 1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
PSP-CW01	Civil works and installation engineering of seedling base ancillary works; equipment of seedling base ancillary works; civil works and installation engineering of seedling engineering soil displacement and filling	3,420,600.00	2,403,594.00	NCB	Q2 / 2015	12-AUG-15	for issuance of PCSS
URN-CW010	Civil works and installation engineering of pedestrian overpass of Dashizi	2,442,300.00	1,130,940.00	NCB	Q3 / 2015	14-OCT-15	for issuance of PCSS
WRH-CW011	Dredging, breaking bank expanding area of 330 mu ; treatment of rehabilitated bank, canal system construction and connection ; civil works and installation engineering of constructed inverted siphon and aqueduct at the gathering	6,504,100.00	3,267,714.00	NCB	Q2 / 2015	11-SEP-15	for issuance of PCSS
URN-CW01	Urban Road and Relevant Infrastructure Construction Works	26,650,800.00	19,159,096.00	NCB	Q2 / 2015		for issuance of PCSS
SWM-GD01	Solid Waste Management Contract Package	8,059,300.00	5,856,862.00	NCB	Q2 / 2015		for issuance of PCSS

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
None							

### D. Non-ADB Financing



The following table lists goods, works and consulting services contracts over the life of the project, financed by Non-ADB sources.

<b>Goods and Works</b>				
<b>General Description</b>	<b>Estimated Value (cumulative)</b>	<b>Estimated Number of Contracts</b>	<b>Procurement Method</b>	<b>Comments</b>
WSN-CW01: Pipeline associated infra etc. water supply pipeline civil works and installation engineering of Tuanjie Road, Xihu Avenue, Jianshe Road, Jiefang Road, Hedong Road, Qianjiang Road, Wushan Road, Xixi Road, Huanglong Road, Guihua Road	6,701,100.00	1	NCB	Already constructed using domestic funds.
WSN-GD01: Pipeline associated infra etc. water supply pipeline equipment purchase of Hangzhou Avenue, Tuanjie Road, Xihu Avenue, Jianshe Road, Jiefang Road, Hedong Road, Qianjiang Road, Wushan Road, Xixi Road	5,843,000.00	1	NCB	These goods are related to WSN-CW01, which have been constructed.

<b>Consulting Services</b>				
<b>General Description</b>	<b>Estimated Value (cumulative)</b>	<b>Estimated Number of Contracts</b>	<b>Recruitment Method</b>	<b>Comments</b>
None				

## **E. National Competitive Bidding**

### **A. Regulation and Reference Documents**

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

### **B. Procurement Procedures**

#### **1. Competitive Bidding Procedure** (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

#### **2. Eligibility**

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

#### **3. Advertising**

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website ([www.chinabidding.com](http://www.chinabidding.com)). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The

preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

#### **4. Bidding Period**

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

#### **5. Participation by Government-Owned Enterprises**

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

#### **6. Rebidding**

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

### **C. Bidding Documents**

#### **7. Qualification requirements**

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

#### **8. Bid Submission and Opening**

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

#### **9. Bid Evaluation and Award**

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

#### **10. ADB Policy Clauses**

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

