

PROCUREMENT PLAN

Basic Data

Project Name: Jilin Urban Development Project	
Project Number: 46048-002	Approval Number: 3211
Country: China, People's Republic of	Executing Agency: Jilin Provincial Government
Project Procurement Classification:	Implementing Agency: Baicheng Administration Committee of Economic Development Zone, Baishan Development Reform Commission
Project Procurement Risk:	
Project Financing Amount: US\$ 386,840,000 ADB Financing: US\$ 150,000,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 236,840,000	Project Closing Date: 30 June 2019
Date of First Procurement Plan: 5 December 2014	Date of this Procurement Plan: 15 May 2017, Version 5

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 10,000,000 and Above	Prior review For goods contracts below \$10 million, the NCB procurement method has been established based on market analysis.
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 9,999,999	The first NCB procurement documents for goods should be submitted for ADB review and approval; subsequent NCB will be post review.
Shopping for Goods	Up to US\$ 100,000	
Direct Contracting for Goods	Between US\$ 100,001 and US\$ 9,999,999	For non-ADB financing
International Competitive Bidding for Works	US\$ 40,000,000 and Above	Prior review
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 39,999,999	The first NCB procurement documents for civil works should be submitted for ADB prior review and approval. Between \$10,000,000 and \$19,999,999 will be EASS prior review. Between \$20,000,000 and \$39,999,999 will be EASS/OGC/OSFMD prior review. Between \$100,001 and \$9,999,999 will be post review.
Shopping for Works	Up to US\$ 100,000	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	
Quality- and Cost-Based Selection for Consulting Firm	Quality and cost ratio = 90:10

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CBC1	Third Ring Road I (Changqing Bei Street - Mianfang Road)	15,501,456.96	NCB	Prior	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Large Works
CBC11	Xinggong Road	6,746,587.54	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CBC12	Third Ring Road RR underpass	11,258,666.67	NCB	Prior	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Large Works
CBC13	MSW sorting and composting center	1,551,947.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Small Works
CBC14	Transfer stations	1,752,283.00	NCB	Post	1S1E	Q3 / 2017	Prequalification

							of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CBC15	Landscape and greening works	5,855,000.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CBC2	Third Ring Road II (Mianfang Road - RR underpass)	14,546,037.97	NCB	Prior	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Large Works
CBC3	Third Ring Road III (RR underpass - Xingfu Nan Street)	7,386,112.46	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Small Works
CBC6	Xinhua Xi Road	8,875,863.04	NCB	Prior	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works

CBC7	Shengli Road	5,667,903.48	NCB	Post	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Small Works
CBC9	Nanyi Rd & Taoerhe Rd (including the bridge)	7,656,580.87	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Advance Contracting: N Bidding Document: Small Works
CBSSW2	MSW sorting and composting center civil works	2,038,379.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CBSSW3	Sanitary landfill	3,389,163.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CBSW3	Water treatment plant I (site & civil)	4,807,083.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document:

							Small Works
CBSW4	Water treatment plant II (processing)	8,194,083.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CBSW5	Water distribution network I (main line)	1,011,883.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
CBSW6	Water distribution network I (branch line)	6,562,133.00	NCB	Post	1S1E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works
EBC10	MSW sorting and composting center equipment	1,858,417.00	ICB	Prior	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EBC2	Stormwater and sewer pump station	2,850,000.00	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

EBC3	Heating pipe network	4,699,939.00	NCB	Prior	1S1E	Q1 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EBC5	Street lighting	2,684,917.00	NCB	Post	1S1E	Q4 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EBC6	Equipment for economic development zone 2 (MSW handling equipment, transfer equipment, trash and recycle bins and snow removal vehicles)	7,661,500.00	NCB	Post	1S1E	Q3 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EBC7	Equipment for Taobei District (MSW handling equipment, transfer equipment, trash and recycle bins and snow removal vehicles)	7,010,833.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EBSSW3	MSW sorting and composting center equipment	1,446,921.00	ICB	Prior	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

EBSW3	Water treatment plant equipment I	2,831,258.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EBSW4	Water distribution pipe I	7,325,183.00	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
EBSW5	Water distribution pipe II	1,051,233.00	NCB	Post	1S1E	Q4 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None							

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments

CBC16	Stormwater and sewer pump station civil works	760,000.00	1	NCB	Post	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Small Works Comments: Split from the original EBC2
EBC4	Equipment for economic development zone 1 (MSW handling equipment, transfer equipment and snow removal vehicles)	922,000.00	1	NCB	Prior	1S1E	Q3 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Formerly included under the original EBC5, EBC6, EBC7, and EBC8
EBC8	Equipment for the industrial park zone (MSW handling equipment, transfer equipment and snow removal vehicles)	926,250.00	1	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Originally EBC7 Snow clean vehicles
EBC9	Equipment for the new district (MSW handling equipment, transfer equipment and snow removal vehicles)	951,250.00	1	NCB	Post	1S1E	Q3 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

								Comments: Originally EBC8 MSW transfer stations and equipment only
EBSW6	Water treatment plant equipment II	460,082.00	1	NCB	Post	1S1E	Q4 / 2017	Prequalificati on of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None								

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CBC10	Chunyang Road	8,982,864.00	8,119,374.16	NCB	Q3 / 2016	26-SEP-16	PCSS 0006
CBSSW1	MSW transfer station civil	263,109.00	459,089.69	NCB	Q3 / 2016	31-AUG-16	PCSS 0007

	works						
CBSW2	Water transmission line I (Jiangyuan to Hunjiang)	4,120,123.16	2,187,151.54	NCB	Q3 / 2016	29-AUG-16	PCSS 0005
CBSW1	Water transmission line I (reservoir to Jiangyuan)	4,371,835.12	3,341,800.59	NCB	Q2 / 2016	27-JUN-16	PCSS 0003
CBC4	Xiangyang Street I (Third Ring Road - Mianfang Road)	8,033,546.38	5,532,459.12	NCB	Q3 / 2016	13-DEC-16	PCSS 0008
CBC5	Xiangyang Street II (Mianfang Rd - Xinyi Rd)	8,810,988.70	7,317,521.86	NCB	Q3 / 2016	13-DEC-16	PCSS 0009
CBC8	Xingfu Bei Street	4,833,613.04	3,378,680.47	NCB	Q3 / 2016	24-NOV-16	PCSS 0010
EBC1	ITS and traffic control	3,021,667.00	238,813.96	NCB	Q4 / 2016	20-JAN-17	PCSS 0013
EBSSW2	MSW transfer station equipment	4,139,167.00	1,951,982.35	ICB	Q3 / 2016	04-NOV-16	PCSS 0012
EBSW2	Water transmission pipe	5,647,723.16	5,423,799.33	NCB	Q3 / 2016	29-AUG-16	PCSS 0004
EBSSW1	MSW handling equipment and trash bins	5,622,000.00	3,748,474.98	ICB	Q3 / 2016	04-NOV-16	PCSS 0011

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
CS2	External resettlement monitoring	150,000.00	126,438.50	CQS	Q1 / 2015	24-JUN-15	PCSS No. 0001
CS1	Consulting services and capacity development	2,850,000.00	2,516,434.13	QCBS	Q4 / 2014	29-JUN-15	PCSS No. 0002

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in *The Government Procurement Law of People's Republic of China* approved on 29 June 2002 and *Law of the People's Republic of China on Bid Invitation and Bidding of the People's Republic of China* promulgated on August 30, 1999 with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Competitive Bidding Procedure (Procurement Law Art. 26 and Law on Bidding Art. 10).

2. Public tendering is the acceptable method of government procurement, and public invitation is the accepted bid invitation mode.

2. Eligibility

3. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, as amended from time to time.

3. Advertising

4. All invitations to prequalify or to bid shall be advertised in the national press (China Daily Newspaper) or a free and open access website (www.chinabidding.com). Such advertisement shall be made in sufficient time for prospective bidders to obtain prequalification or bidding documents and prepare and submit their responses. In any event, a minimum preparation period of twenty-eight (28) days shall be given. The preparation period shall count (a) from the date of advertisement, or (b) when the documents are available for issue, whichever date is later. The advertisement and the prequalification and bidding documents shall specify the deadline for such submission.

4. Bidding Period

5. The minimum bidding period is 28 days prior to the deadline for the submission of bids.

5. Participation by Government-Owned Enterprises

6. Government owned enterprises in the Borrower's country may be permitted to bid if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law and (c) are not a dependent agency of the Borrower/Project Executing Agency.

6. Rebidding

7. Re-bidding shall not be allowed solely because the number of bids is less than three (3).

C. Bidding Documents

7. Qualification requirements

8. Qualification requirements of bidders and the method of evaluating the qualification of each bidder shall be specified in detail in the bidding documents, and in the prequalification documents if the bidding is preceded by a prequalification process.

8. Bid Submission and Opening

9. Bidders shall be allowed to submit bids by mail or by hand.

10. All bids shall be opened in public; all bidders shall be afforded an opportunity to be present (either in person or through their representatives) at the time of bid opening, but bidders shall not be required to be present at the bid opening.

9. Bid Evaluation and Award

11. No bid may be rejected solely on the basis that the bid price falls outside any standard contract estimate, or margin or bracket of average bids established by the Borrower/Project Executing Agency.

12. Each contract shall be awarded to the lowest evaluated responsive bidder, that is, the bidder who meets the appropriate standards of capability and resources and whose bid has been determined (a) to be substantially responsive to the bidding documents and (b) to offer the lowest evaluated cost. The winning bidder shall not be required, as a condition of award, to undertake responsibilities for work not stipulated in the bidding documents or otherwise to modify the bid as originally submitted.

10. ADB Policy Clauses

13. Each contract financed with the proceeds of the Loan shall provide that the suppliers and contractors shall permit ADB, at its request, to inspect their accounts and records relating to the performance of the contract and to have said accounts and records audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

