#### **PROCUREMENT PLAN**

Ba	sic Data						
Project Name: Vientiane Sustainable Urban Transport Project							
Project Number: 45041-002	Approval Number: 3250						
Country: Lao People's Democratic Republic	Executing Agency: Ministry of Public Works and Transport						
Project Procurement Classification:	Implementing Agency:						
Project Procurement Risk:	Department of Transport						
Project Financing Amount: US\$ 99,700,000 ADB Financing: US\$ 35,000,000 Cofinancing (ADB Administered): US\$ 16,840,000 Non-ADB Financing: US\$ 47,860,000	Project Closing Date: 30 June 2020						
Date of First Procurement Plan: 10 March 2015	Date of this Procurement Plan: 10 March 2015						

#### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

	Procurement of Goods and Works	
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	Prior review
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review
Shopping for Goods	Up to US\$ 100,000	
International Competitive Bidding for Works	US\$ 3,000,000 and Above	Prior review
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 2,999,999	The first NCB is subject to prior review, thereafter post review
Shopping for Works	Up to US\$ 100,000	

Consulting Services						
Method	Comments					
Least-Cost Selection for Consulting Firm						
Quality- and Cost-Based Selection for Consulting Firm	90:10 (quality-cost ratio)					
Individual Consultants Selection for Individual Consultant						

#### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None							

### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
C2	International Advisor I	541,000.00	ICS	Prior	Q4 / 2014		Assignment: International
							Expertise: Urban Transport Engineer/Planner
							Comments: advance contracting
C5	External Auditor	144,000.00	LCS	Prior	Q2 / 2015	BTP	Assignment: National consulting firm

# 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	<b>Review</b> (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None								

Consulting S	Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	<b>Review</b> (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments	
None									

# B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and	Works						
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
CW1	Civil works for BRT, traffic, and NMT infrastructure	(cumulative) 27,610,000.00	1 1	ICB	Prior	1S2E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document Large Works Comments: ADB-, OFID-, and GEF-financed
EQ1	Fare system and control center	8,790,000.00	1	ICB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document Goods Comments: Goods
EQ3	NMT vehicles	460,000.00	1	NCB	Prior	1S2E	(IT & Services) ADB- & Gov-financed Prequalification of Bidders: N
							Domestic Preference Applicable: N Bidding Documen Goods Comments: Good (Supply &

							Maintenance) GEF-financed
PP1	Parking management and enforcement	2,910,000.00	1	ICB	Prior	1S2E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works Comments: public-private partnership contract
PP2	Electronic vehicle registration	3,500,000.00	1	ICB	Prior	1S2E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Large Works Comments: public-private partnership contract

Consulting	Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments	
C3	International Advisor II	541,000.00	1	ICS	Prior		Assignment: International	
							Expertise: Urban Transport Engineer/Planner	
							Comments: ADB-financed	

C4	Quality Control Company	290,000.00	1	QCBS	Prior	BTP	Assignment: International firm
							Quality-Cost Ratio: 90:10 Comments: ADB-financed

# C. Non-ADB Financing

The following table lists goods, works and consulting services contracts over the life of the project, financed by Non-ADB sources.

Goods and Works				
General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Comments
BRT buses	16,800,000.00	1	ICB	EIB-financed; EIB Procurement Guidelines & Procedure will apply

<b>General Description</b>	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Comments
Project management, detailed engineering design and supervision	7,090,000.00	1	QCBS	EU-AIF- & EIB-financed; EIB Procurement Guidelines & Procedure will apply

## D. National Competitive Bidding

## 1. General

The procedures to be followed for National Competitive Bidding (NCB) shall be those set forth for "Public Bidding" in Prime Minister's Decree No. 03/PM of the Lao People's Democratic Republic, effective 09 January 2004, and Implementing Rules and Regulations effective 12 March 2004, with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the Procurement Guidelines.

## 2. Application

Contract packages subject to NCB procedures will be those identified as such in the project Procurement Plan. Any changes to the mode of procurement from those provided in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

### 3. Eligibility

Bidders shall not be declared ineligible or prohibited from bidding on the basis of barring procedures or sanction lists, except individuals and firms sanctioned by ADB, without prior approval of ADB.

# 4. Advertising

Bidding of NCB contracts estimated at \$500,000 or more for goods and related services or \$1,000,000 or more for civil works shall be advertised on ADB's website via the posting of the Procurement Plan.

### 5. Procurement Documents

The standard procurement documents provided with Ministry of Finance, Procurement Monitoring Office shall be used to the extent possible. The first draft English language version of the procurement documents shall be submitted for ADB review and approval, regardless of the estimated contract amount, in accordance with agreed review procedures (post and prior review). The ADB-approved procurement documents will then be used as a model for all procurement financed by ADB for the project, and need not be subjected to further review unless specified in the procurement plan.

### 6. Preferences

- (i) No preference of any kind shall be given to domestic bidders or for domestically manufactured goods.
- (ii) Suppliers and contractors shall not be required to purchase local goods or supplies or materials.

### 7. Rejection of all Bids and Rebidding

Bids shall not be rejected and new bids solicited without ADB's prior concurrence.

### 8. National Sanctions List

National sanctions lists may be applied only with prior approval of ADB.

### 9. Corruption Policy

A bidder declared ineligible by ADB, based on a determination by ADB that the bidder has engaged in

corrupt, fraudulent, collusive, or coercive practices in competing for or in executing an ADB-financed contract shall be ineligible to be awarded ADB-financed contract during the period of time determined by ADB

#### 10. Disclosure of Decisions on Contract Awards

At the same time that notification on award of contract is given to the successful bidder, the results of the bid evaluation shall be published in a local newspaper or well-known freely accessible website identifying the bid and lot numbers and providing information on (i) name of each Bidder who submitted a Bid, (ii) bid prices as read out at bid opening, (iii) name of bidders whose bids were rejected and the reasons for their rejection, (iv) name of the winning Bidder, and the price it offered, as well as the duration and summary scope of the contract awarded. The executing agency/implementing agency shall respond in writing to unsuccessful bidders who seek explanations on the grounds on which their bids are not selected.