

Environmental Monitoring Report

Semi-annual Report
July 2016

PRC: Anhui Intermodal Sustainable Transport Project

Prepared by Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd. for the People's Republic of China and the Asian Development Bank.

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**ADB Loan No. 3112-PRC: Anhui Intermodal Sustainable Transport Development
Project**

**SEMI-ANNUAL ENVIRONMENTAL MONITORING REPORT
(No. 4)**

Prepared by: Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd.

July, 2016

TABLE OF CONTENTS

| | | |
|--|---|-----------|
| 1 | INTRODUCTION | 3 |
| 1.1 | Description of the Project | 3 |
| 1.2 | Purpose of Report | 6 |
| 1.3 | Project Progress | 6 |
| 2 | IMPLEMENTATION OF THE EMP | 10 |
| 2.1 | Roles and Responsibilities for EMP and Monitoring Implementation | 10 |
| 2.2 | Environmental Mitigation Measures | 16 |
| 2.3 | Environmental Monitoring Data and Record | 19 |
| 2.3.1 | Surface Water Quality | 22 |
| 2.3.2 | Air Quality | 28 |
| 2.3.3 | Noise | 33 |
| 2.3.4 | Ecology | 38 |
| 2.3.5 | Soil | 39 |
| 2.4 | Environmental Institutional Capacity Building and Training | 40 |
| 3. | Public Consultation, Disclosure and Grievance Redress Mechanism | 42 |
| 3.1 | Public Consultation and Information Disclosure | 42 |
| 2.4 | Project Grievance Records and Resolution | 43 |
| 4. | REQUIREMENTS COMPLIED WITH EMP | 45 |
| 4.1 | Necessary Corrective Measures | 45 |
| 5. | APPENDICES | 55 |
| 5.1 | APPENDIX I: STATUS OF EMP COMPLIANCE | 55 |
| TABLE A.5: SPECIFIC MITIGATION MEASURES FOR THE IMPROVEMENT WORKS OF MA'ANSHAN NORTH PASSAGE ROAD | | 97 |
| 5.2 | APPENDIX II: ADDITIONAL INFORMATION | 121 |
| 5.2.1 | Representative Photographs for Subproject I: S367 Ma'anshan North Passage Road | 121 |
| 5.2.2 | Representative Photographs for Subproject II: S319 Erba-Wuwei Section | 122 |
| 5.2.3 | Representative Photographs for Subproject III: Yimu Highway Kedian to Mujiating Section | 123 |
| 5.2.4 | Representative Photographs for Subproject IV: G206 Dongliu to Yaodu Section | 125 |
| 5.2.5 | Representative Photographs for Subproject V: Shuiyang River Waterway Improvement | 131 |
| 5.2.6 | Representative Photographs for Subproject VI: Xuanzhou Multipurpose Port | 133 |
| 5.2.7 | Representative Photographs of Meetings, Seminars and Workshops | 134 |
| 5.2.8 | Photographs of Grievance Redress Mechanism | 138 |
| 5.3 | APPENDIX III: Related Attachments | 139 |
| 5.4 | APPENDIX IV Bird Ecological Monitoring Report | |

1 INTRODUCTION

1.1 Description of the Project

This project consists of four road subprojects (I-IV) and two waterway subprojects (V and VI) as shown in Table 1. Figure 1 shows the locations of the road subprojects and Figure 2 shows the locations of the waterway subprojects. Project cost has been estimated at \$634.1 million, with \$200 million funded by ADB and the remaining \$434.1 million funded by counterpart. Of the \$200 million ADB funding, \$150 million will be applied to the road subprojects and the remaining \$50 million will be applied to the waterways subprojects.

Table 1: Composition of subprojects

| Subproject No. | Subproject Title | Jurisdiction | Subproject Description |
|-----------------------|--|---------------------------|--|
| I | S367 Ma'anshan North Passage Road | Hanshan County, He County | Upgrade 46.874 km from class IV to class II |
| II | S319 Erba-Wuwei Section | Wuwei County | Total 36.37 km from class II to class I consisting of 31.6 km upgrading and 4.76 km new road construction |
| III | Yimu Highway Kedian to Mujiating Section | Nanling County | Upgrade 22.36 km from class II to class I |
| IV | G206 Dongliu to Yaodu Section | Dongzhi County | Construct a new 16.58 km class I highway section |
| V | Shuiyang River Waterway Improvement | Xuancheng City | Widen, dredge, and provide bend realignment and bank protection for 43.9 km of the channel. Build and install two low-water rubber weirs. Construct one ship lock. Build a new road bridge over the channel at Xiaohekou |
| VI | Xuanzhou Multipurpose Port | Xuancheng City | Construct 4 1000 dwt berths totaling 295 m in length and 20 m in width, with a throughput of 1.5 million t/a |

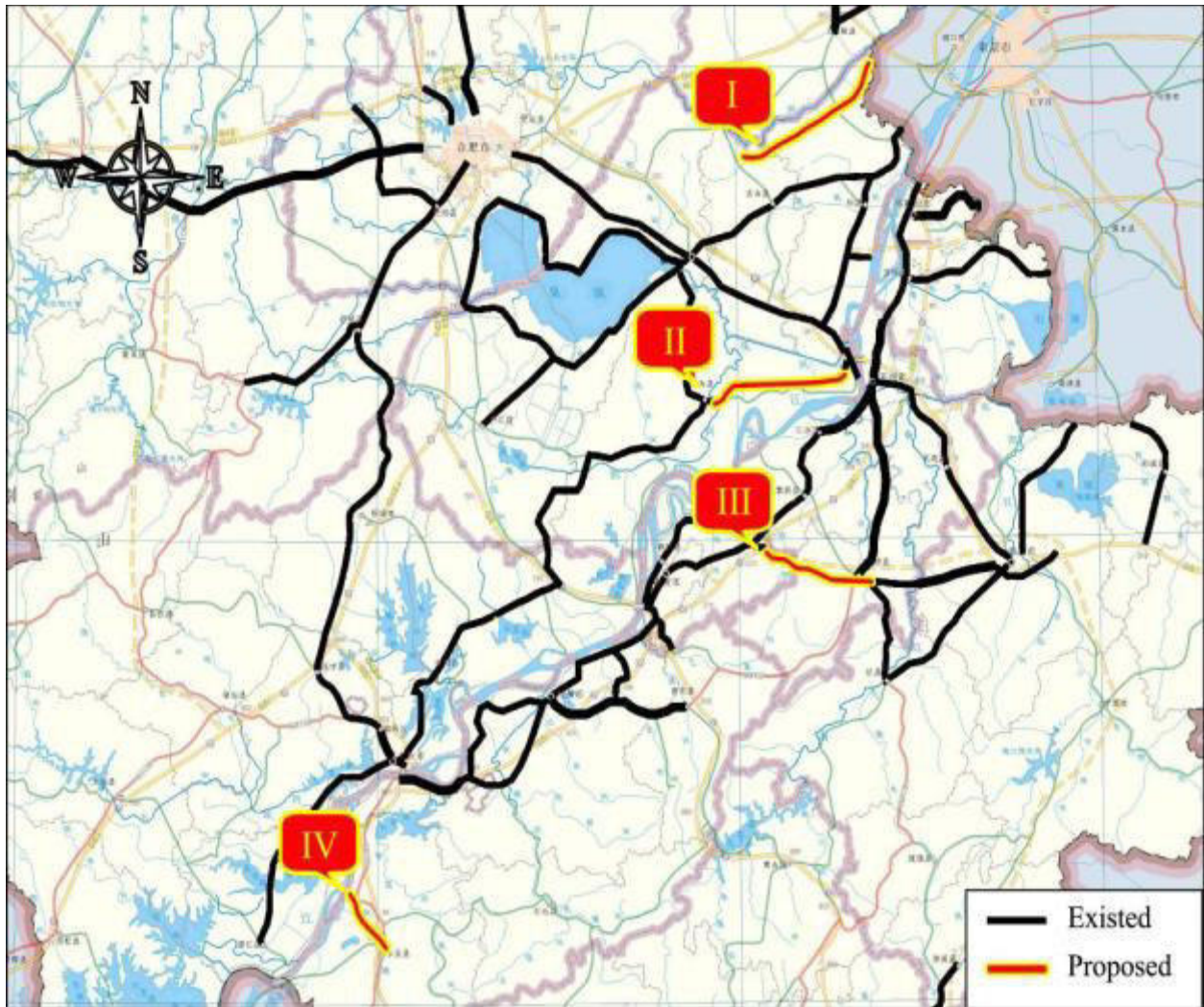


Figure 1: Location map of road subprojects

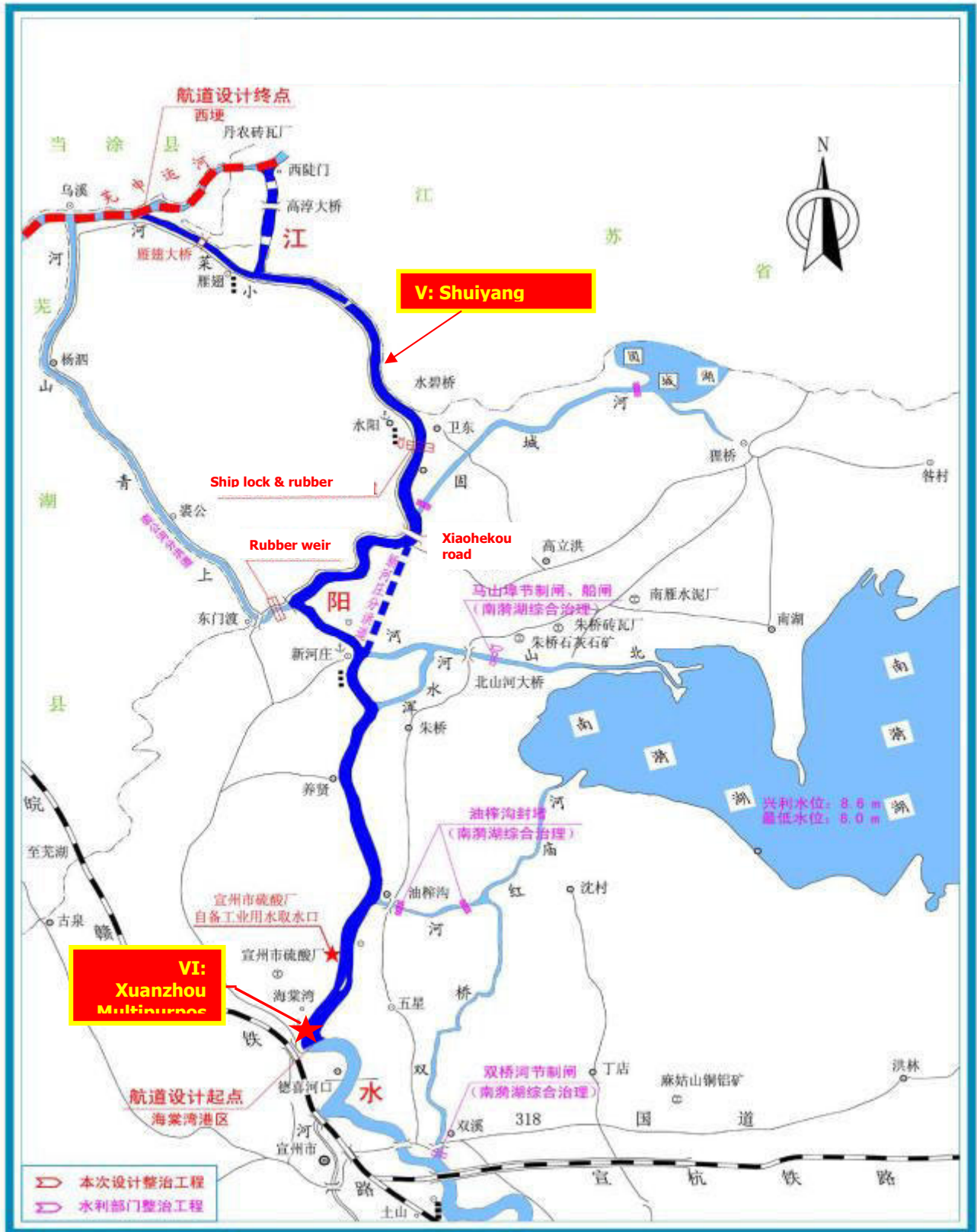


Figure 2: Location map of waterway subprojects

1.2 Purpose of Report

This is the fourth Environmental Monitoring Report for the project, as required by ADB and its loan covenants to be submitted semi-annually. It covers the 6-month period from 1 January to 1 July 2016. The purpose of the report is to document the environmental protection and environmental supervision activities carried out during the reporting period for determining whether the Environmental Management Plan (EMP) and environmental protection measures recommended in the approved domestic Environmental Impact Reports were implemented effectively to avoid, minimize or mitigate adverse environmental impacts.

This report was prepared by the Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd, who is the external environmental supervision engineer (ESE) for the project. The report has been approved by the Foreign-funded Project Management Office (FFPMO) of the Anhui Province Department of Transport (Table 2).

Table 2: Preparation, review and approval of the Environmental Monitoring Report

| | | | |
|--------------|---|------------------|----------------|
| Report title | ADB Loan No. 3112-PRC: Anhui Intermodal Sustainable Transport Development Project – Semi-annual Environmental Monitoring Report No. 4 | | |
| Prepared by | WANG Qiaochu MA Qiqi CHEN Ying LI Shuaibin | Submission date | 30 July 2016 |
| Reviewed by | Foreign-funded Project Management Office, Anhui Province Department of Transport | Review frequency | Every 6 months |
| Approved by | Foreign-funded Project Management Office, Anhui Province Department of Transport | Version | Draft |

1.3 Project Progress

As of 30 July 2016, all six subprojects had commenced construction. Table 3 shows the construction commencement dates of the subprojects and Table 4 shows the progress of these subprojects.

Table 3: Construction commencement dates of the subprojects

| | Subproject | Construction Commencement Date |
|-----|--|--------------------------------|
| I | S367 Ma'anshan North Passage Road | 20 December 2015 |
| II | S319 Erba-Wuwei Section | 10 August 2015 |
| III | Yimu Highway Kedian to Mujiating Section | 18 December 2015 |
| IV | G206 Dongliu to Yaodu Section | 24 September 2014 |
| V | Shuiyang River Waterway Improvement | 28 November 2015 |
| VI | Xuanzhou Multipurpose Port | 28 November 2015 |

Table 4: Progress of subprojects (up till 30 June 2016)

| Subproject | Works Content | Implementation Status at the end of Reporting Period | Work Plan for Next 6 Months |
|-------------------------------------|---|--|---|
| I S367 Ma'anshan North Passage Road | (i) Total length 46.874 km (ii) Design large and medium size bridges for 1/100 flood return period (iii) Design subgrade, small bridges and culverts for 1/50 flood return period (iv) Construct 1 large bridge, 4 medium size bridges and 5 small bridges, 176 culverts, 97 at grade intersections and 1 maintenance workshop (v) Subgrade: earth cut 149,600 m ³ , earth fill 952,900 m ³ (vi) Pavement: asphalt concrete 457,750 m ² (vii) Road drainage works 24,830 m ³ (viii) Total investment CNY617 million ³ | (i) 4 contractors: a) Contract NO4-1: Anhui Road and Port Engineering Co. Ltd. Construction chainage from K0+158 to K9+417.292. b) Contract NO4-2: Anhui New Road Construction Engineering Group Co. Ltd. Construction chainage from K9+417.292 to K21+876. c) Contract NO4-3: China Railway No. 15 Bureau Group Co. Ltd. Construction chainage from K21+876 to K37+455 d) Contract NO4-4: Jiangxi Road Bridge and Tunnel Engineering Co. Ltd. Construction chainage from K37+455 to K46+553.2 (ii) As of 31 December 2015, only Contract NO4-4 (Simahe Bridge) had mobilized into the construction camp for commencing construction. The other 3 contracts were still in the pre-construction preparation stage and had not commenced construction. | Will undertake substantial construction mainly on subgrade, bridge and culvert works. |
| II S319 Erba-Wuwei Section | (i) Total length 36.37 km, with 4.76 km new road construction and 31.6 km existing road upgrade (ii) Subgrade: earth cut 94,700 m ³ , earth fill 416,800 m ³ , protective works 132,000 m ³ (iii) Pavement: asphalt concrete 834,203 m ² (iv) One 866-m bridge and 85 culverts (v) 61 at grade crossings, 2 separate intersections, and 5 pedestrian foot bridges (vi) Total investment CNY 899 million | (i) The contractor for Contract NO1-1r is Anhui Road and Port Engineering Co, Ltd, and the contractor for both Contract NO1-2 and Contract NO1-3 is Liaoning Road and Bridge Construction Co. Ltd. (ii) Contract NO1-1: Completed 16.94% of contract value as of 30 June consisting of: 100% completion on subbase water stability construction from K5+000 to K18+000 on the left pavement and from K16+600 to K16+900. 100% completion on rubble backfill and gravel filling from K12+500 to K18+000 on the right pavement, and 3% completion on lime soil engineering. (iii) Contract NO1-2: Completed 20.18% of contract value as of 30 June consisting of : 20km slope excavation, 20km base backfill, 14.5km roadbed lime soil, 11.7km water stability subbase and 10.9km water stability lower subbase. | Key activities will include subgrade construction, water stability construction, bridge engineering and guardrail construction. |

| Subproject | Works Content | Implementation Status at the end of Reporting Period | Work Plan for Next 6 Months |
|------------|---|--|---|
| | | (iv) Contract NO1-3: Completed 17.82% of contract value as of 30 June consisting of: 125 bridge piles, 27 collar beams, 4 caps, 35 pier columns, 3 capping beams, 51m culverts. 22240m PHC pipe piles, 44415m ³ subgrade earth cut, 30999m ³ silt, 12339m ³ gravel backfill | |
| III | Yimu Highway Kedian to Mujiating Section (i) Total length 22.36 km (ii) Paving of 695,900 m ² with asphalt concrete (iii) 11 bridges totaling 515.28 m, consisting of 2 large bridges and 9 medium size and small bridges (iv) 65 culverts (v) 28 at grade intersections (vi) Total investment CNY 777 million | (i) The contractor for both contracts NO3-1 and NO3-2 is Jiangxi Yichun Road Construction Group Co. Ltd. Completed 10% of contract value as of 30 June, 2016. (ii) Contract NO3-1 section: completed 58 pile foundations, 4 culverts and 5.79% earth cutting. (iii) Contract NO3-2 section: completed 30 bridge piles, 2 bridge caps, 43 culvert foundations, 39 culvert walls, 2 bridge caps and 43.14% earth cutting. | Constructions of subgrade earthwork fill, bridge bored pile, caps, pier columns, improvement, precast of prestress T-beam and culverts, etc. |
| IV | G206 Dongliu to Yaodu Section (i) Total length 16.6 km (ii) Paving of 481,052 m ² with asphalt concrete (iii) 8 bridges totaling 507.8 m, consisting of 2 large bridges and 6 medium size bridges (iv) 39 culverts (v) 12 at grade intersections and 1 flyover (vi) Total investment CNY 646 million | (i) Contract NO2-1: the contractor is Anhui Highway and Bridge Engineering Co. Ltd. Completed 91.99% of contract value as of 30 June 2016 consisting of: a) 100% completion on cement-mixed columns, pipe culverts, box girders, roads, bored piles, caps (binders), pier columns (abutment body), capping beams, box girders pre-casting, box girders installation, bridge deck guardrails and earthwork excavation. b) 94.49% completion on earthwork filling (including backfill, replacement and abutment), 83.33% completion on approach slab. (ii) Contract NO2-2: the contractor is Anhui Road and Port Engineering Co. Ltd. Completed 88.76% of contract value as of 30 June 2016 consisting of: a) 100% completion on the following projects: earthwork excavation, earthwork filling (including backfill, replacement and abutment), cement-mixed columns, | Key activities will include: complete the construction of subgrade, undertake pavement construction and drainage facility, undertake the construction of test section and other constructions including asphalt paving and traffic safety construction. |

| Subproject | Works Content | Implementation Status at the end of Reporting Period | Work Plan for Next 6 Months |
|------------|--|--|--|
| | | <p>pipe culverts, box girders, roads, bored piles, caps (binders), pier columns (abutment body), capping beams, box girders pre-casting.</p> <p>b) 99.81% completion on earthwork filling (including backfill, replacement and abutment), 72.35% completion on cement-mixed columns, 94.29% completion on box girders pre-casting, and 69.01% completion on bridge deck guardrails.</p> <p>c) During the reporting period, the construction of approach slab hasn't been initiated.</p> <p>(iii) Contract NO2-3:the contractor is Shandong Yellow River Engineering Group Co. Ltd. The following preparation work has been completed: water mixing station and the construction of storage bin , and drawings review has been completed.</p> | |
| V | <p>Shuiyang River Waterway Improvement</p> <p>(i) Total length 43.9 km with channel widening, dredging, bend realignment and bank protection.</p> <p>(ii) Construct and install 2 low-water rubber weirs</p> <p>(iii) Construct 1 ship lock</p> <p>(iv) Construct 1 road bridge over the channel at Xiaohoukou</p> | <p>The contractor is Liaoning Road and Bridge Construction Group Co. Ltd. As of 30 June 2016, the work has progressed as follows: 51% cement mixing pile, 100% pile foundation, binders, pier columns (abutment body) and capping beams. 67% caps and 40% T-beam pre-casting.</p> | <p>Complete the construction of the Xiaohoukou bridge caps, T-beam pre-casting and road surface construction. Undertake pre-construction preparation for low water-level rubber dam and ship lock.</p> |
| VI | <p>Xuanzhou Multipurpose Port</p> <p>(i) Construct 4 1000-ton berths totaling 295 m in length and 20 m in width.</p> <p>(ii) Construct 3 approach bridges for motor vehicles.</p> | <p>The contractor is Anhui Road and Port Engineering Co. Ltd. As of 30 June 2016, 20% of contract value has been completed, consisting of: 57% clear watch, 75% land bored piles, 96% water bored piles, 25% cast-in-place C30 concrete pile cap, 25% cast-in-place C30 concrete frame column and 30% approach bridge.</p> | <p>Undertake berth, approach bridge, and road surface construction</p> |

2 IMPLEMENTATION OF THE EMP

2.1 Roles and Responsibilities for EMP and Monitoring Implementation

Environmental management during the construction of these subprojects followed the environmental management hierarchy shown in Figure 3. Table 5 shows the organization of implementing agencies, contractors and supervision organizations for the subprojects

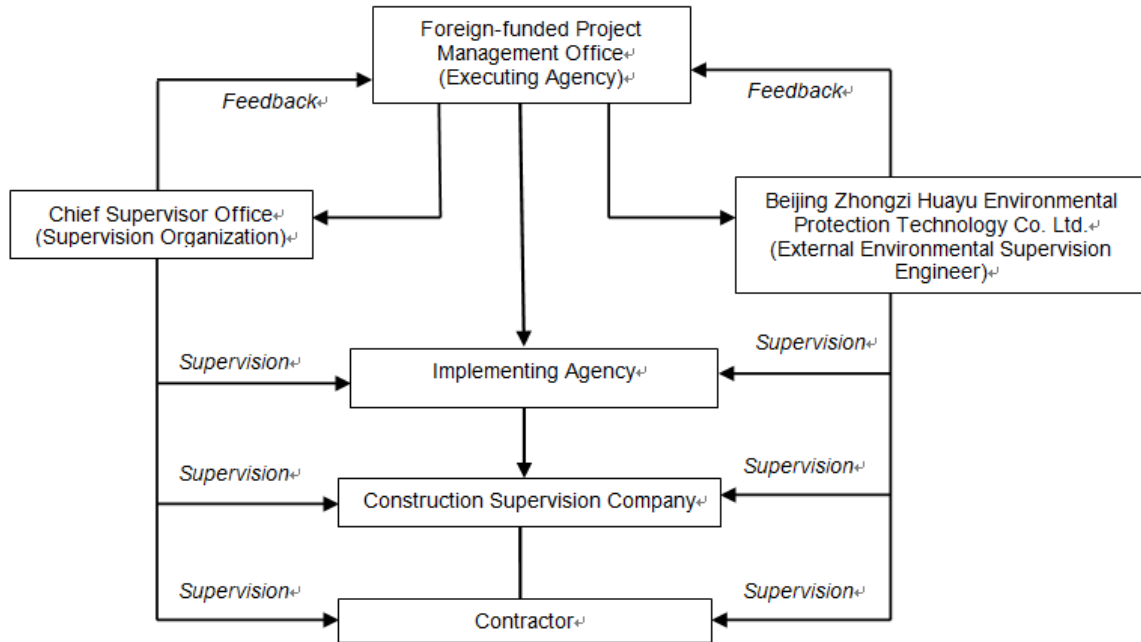


Figure 3: Environmental and construction management hierarchy

Table 5: Implementing agencies, contractors and supervision organizations for the subprojects

| Subproject | Jurisdiction | Implementing Agency | Contractor | | Supervision Organization | |
|--|---------------------------------|---|------------|---|---|---|
| | | | Contract # | Company | Construction | Environmental |
| I S367 Ma'anshan North Passage Road | Hanshan County, He County | Ma'anshan City Highway Administration Bureau | NO4-1 | Anhui Road and Port Engineering Co. Ltd. | Anhui High Class Road Engineering Supervision Co. Ltd. | Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd. |
| | | | NO4-2 | Anhui New Road Construction Engineering Group Co. Ltd. | | |
| | | | NO4-3 | China Railway No. 15 Bureau Group Co. Ltd. | | |
| | | | NO4-4 | Jiangxi Road Bridge and Tunnel Engineering Co. Ltd. | | |
| II S319 Erba- | Wuwei | Wuwei | NO1-1 | Anhui Road and | Anhui Highway | |

| Subproject | Jurisdiction | Implementing Agency | Contractor | | Supervision Organization | |
|---|----------------|---|------------|--|--|---------------|
| | | | Contract # | Company | Construction | Environmental |
| Wuwei Section | County | County Transport Bureau | | Port Engineering Co. Ltd. | Engineering Supervision Co. Ltd. | |
| | | | NO1-2 | Liaoning Road and Bridge Construction Group Co. Ltd. | Anhui High Class Road Engineering Supervision Co. Ltd. | |
| | | | NO1-3 | | | |
| III Yimu Highway Kedian to Mujiating Section | Nanling County | Nanling County Transport Bureau | NO3-1 | Jiangxi Yichun Highway Construction Group Co. Ltd. | Jiangsu Huaning Engineering Consulting Supervision Co. Ltd. | |
| | | | NO3-2 | | | |
| IV G206 Dongliu to Yaodu Section | Dongzhi County | Chizhou City Highway Administration Bureau | NO2-1 | Anhui Highway and Bridge Engineering Co. Ltd. | Anhui Zhongxing Engineering Supervision Co. Ltd. | |
| | | | NO2-2 | Anhui Road and Port Engineering Co. Ltd. | | |
| | | | NO2-3 | Shandong Yellow River Engineering Group Co.Ltd. | | |
| V Shuiyang River Waterway Improvement | Xuancheng City | Anhui Province Ports and Shipping Construction Investment Group Co. Ltd | --- | Liaoning Road and Bridge Construction Group Co. Ltd. | Anhui Kexing Transport Engineering Construction Supervision Co. Ltd. | |
| VI Xuanzhou Multipurpose Port | | | --- | Anhui Road and Port Engineering Co. Ltd. | Anhui Zhongxing Engineering Supervision Co. Ltd. | |

Note: The Jiangsu Suke Construction Project Management Co. Ltd. provides overall construction supervision over subprojects V and VI.

Executing Agency. The Anhui Province Department of Transport has assigned its Foreign-funded Project Management Office (FFPMO) to be the executing agency for the project. FFPMO is responsible for the overall project implementation and compliance with loan covenants and environmental management plan (including the environmental monitoring program). Specific duties include overall coordination and supervision, management of purchasing and financial matters, and institutional strengthening.

FFPMO has established an Environmental Protection Leading Group, with the FFPMO director as the group leader and other department heads as deputy group leaders and members. The duties of the Environmental Protection Leading Group include:

- (a) Implement national and Anhui provincial environmental laws, regulations, policies and guidelines

- (b) Organize and implement environmental protection training for the staff
- (c) Confirm the environmental quality monitoring organization
- (d) Regularly inspect the status of environmental protection during construction, and supervise the implementation of environmental protection measures by contractors
- (e) Coordinate with local environmental protection and water resource bureaus to undertake supervision and management activities
- (f) Coordinate with ADB and submit quarter progress reports and semi-annual environmental monitoring reports to ADB

Implementing Agency. There are five implementing agencies as shown in Table 5 above. Each implementing agency has appointed one environmental staff to undertake the following activities:

- (a) Supervise contractors during construction to ensure compliance with the environmental management plan
- (b) Direct regular site inspections
- (c) Coordinate environmental quality monitoring so that it is consistent with the approved monitoring plan
- (d) Act as the local entry point for the grievance redress mechanism
- (e) Submit contractors' quarterly inspection reports to the FFPMO and provincial and local environmental authorities for review and confirmation

Construction Supervision Engineer. Construction supervision on the subprojects has been undertaken by the organizations listed in Table 5 above, responsible for supervising the quality, progress, investment and safety of construction works. The construction supervision engineers had established site offices consisting of the following: project manager, chief engineer, engineering department, quality testing department, laboratory, materials department, and finance department etc. The waterway and port subprojects have a two-tier construction supervision arrangement as shown in Table 5 above, with an overall supervision office overseeing subprojects V and VI as the first tier, and two construction supervision engineers for the two subprojects as the second tier.

External Environmental Supervision Engineer. The external environmental supervision engineer (ESE) is Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd., commissioned by FFPMO through open tendering. The ESE is responsible for environmental supervision of all the subprojects. The ESE had established an Environmental Supervision Project Department for this project, composed on a chief environmental consultant, environmental supervision engineers and supervisors with relevant professional and vocational qualifications and experiences. The chief environmental consultant is a Ministry of Environmental Protection (MEP) certified environmental impact assessment engineer and has overall responsibility for the environmental supervision of the whole project, with independent decisions on environmental supervision activities. Other site supervision staff have education and experience in environmental protection or related fields, and have obtained vocational certification for undertaking environmental supervision on construction sites. They are responsible for conducting site inspections to ensure that the contractors carry out environmental protection measures in accordance with the EMP and recommendations in the approved domestic environmental impact reports.

The ESE reports directly to FFPMO and the environmental specialists in Anhui Environmental Protection Department. The ESE has the following duties:

- (a) Implement national and Anhui provincial environmental laws, regulations, policies and guidelines.
- (b) Support project preparation, including EMP revisions.
- (c) Support environmental capacity building and training.
- (d) Organize and supervise the implementation of environmental protection measures and related requirements in the EMP, the domestic environmental impact reports and the soil and water conservation reports.
- (e) Organize the daily management of environmental protection works, conduct regular (once per month for each subproject) and ad hoc (when environmental problems are found on site) site inspections on contractors' environmental protection performance and provide instructions when required, and supervise the implementation of various environmental protection measures.
- (f) Identify environmental related problems during subproject implementation and formulate necessary corrective actions and action plan.
- (g) Provide input to the quarterly progress report and the semi-annual environmental monitoring report.
- (h) Prepare documents and reports related to environmental supervision.

Contractor. The contractors for various subprojects are listed in Table 5 above. The contractors had established site offices consisting of various departments on engineering technology, planning and contracts, quality assurance, finance, materials and equipment, general office, and safety and environmental protection, etc. The contractors' environmental protection departments have the following duties:

- (a) Implement national and Anhui provincial environmental laws, regulations, policies and guidelines.
- (b) Assign dedicated environmental staff and environmental responsibilities in various sections within the construction sites, strengthen the management of environmental protection.
- (c) Establish a management system and filing system for environmental protection, and implement various environmental protection measures and related requirements in the EMP, the domestic environmental impact reports and the soil and water conservation reports throughout the construction stage.
- (d) Cooperate with ESE supervision for implementing environmental protection measures during construction.
- (e) Report to ESE regularly on the implementation status of environmental protection measures.
- (f) Coordinate and cooperate with the Environmental Monitoring Stations during their environmental quality monitoring on the construction sites, and take responsibility for the environmental quality conditions within the impact areas of the construction sites.
- (g) Strictly comply with the construction management system, ensure that construction activities are confined within the red line areas.

Environmental Quality Monitoring. Table 6 shows the status of environmental quality monitoring arrangements for the subprojects. Three Environmental Monitoring Stations (EMS) had been commissioned by FFPMO and environmental quality monitoring was undertaken on

three subprojects in the reporting period. The other three subprojects were in early construction preparation and mobilization stage where the process of selecting relevant EMSs was ongoing.

Table 6: Arrangements for environmental quality monitoring of the subprojects

| Subproject | | Environmental Quality Monitoring Organization / Status |
|------------|--|--|
| I | S367 Ma'anshan North Passage Road | Construction still in mobilization stage. Environmental quality monitoring did not commence. |
| II | S319 Erba-Wuwei Section | Wuwei County Environmental Monitoring Station |
| III | Yimu Highway Kedian to Mujiating Section | Heifei Yuchi Testing Co. Ltd |
| IV | G206 Dongliu to Yaodu Section | Dongzhi County Environmental Monitoring Station |
| V | Shuiyang River Waterway Improvement | Hefei Haizheng Environmental Testing Co.Ltd |
| VI | Xuanzhou Multipurpose Port | Hefei Haizheng Environmental Testing Co.Ltd |

Table 7 provides the names and contact information of individuals who are responsible for environment, health and safety of the subprojects.

Table 7: Project and subproject staffs responsible for environment, health and safety

| Subproject | Name of Organization | Name of EHS Staff | | Telephone (T) / Email (E) |
|--|---|-------------------|--------------------------|--|
| Foreign-funded Project Management Office | | WU Fei | Director | T: 138 5695 1610 |
| | | HONG Congsheng | | T: 159 0569 0995 T: (551) 6375 6191 |
| | | WANG Zhengming | | T: 136 1551 6701 |
| Chief Supervisor Office | | WANG Zhengming | | T: 136 1551 6701 |
| External environmental supervision organ | | QIU Dongqing | Vice Manager | T: 138 0100 6238 E: 978599837@qq.com |
| | | WANG Qiaochu | Chief Engineer | T: 152 1095 4356 E: 175960016@qq.com |
| | | LI Shibin | Environmental Engineer | T: 185 1360 0440 E: 191168456@qq.com |
| | | MA Qiqi | Environmental Engineer | T: 177 1065 2761 E: 1206213026@qq.com |
| | | CHEN Ying | Environmental Engineer | T: 186 1811 3512 E: 326840068@qq.com |
| I. S367 Ma'anshan North Passage Road | Implementing Agency: Ma'anshan City Highway Administration Bureau | XIA Song | General Supervisor | T: 189 5555 9280 |
| | | WANG Lin | Environmental Supervisor | T: 133 6555 9561 E: S367xmb@126.com |
| | NO.4-1 Contractor: Anhui Road and Port Engineering Co, Ltd, | WANG Quanwen | General Supervisor | T:138 6618 5378 |
| | | ZHAO Zhiqiang | Environmental Supervisor | T: 132 0565 7959 |

| Subproject | Name of Organization | Name of EHS Staff | | Telephone (T) / Email (E) |
|--|---|-------------------|--------------------------|--|
| | NO.4-2 Contractor: Anhui New Road Construction and Engineering Co. Ltd. | WANG Zhuangfei | General Supervisor | T: 138 0558 1699 |
| | | WANG Banghe | Environmental Supervisor | T: 186 5558 9085 |
| | NO.4-3 Contractor: China Railway 15 th Bureau Group Co. Ltd. | LIN Xinwei | General Supervisor | T: 138 0532 9308 |
| | | ZHU Yanxiang | Environmental Supervisor | T: 151 5706 4039 |
| | NO.4-4 Contractor: Jiangxisheng Luqiao Tunnel Engineering Co. Ltd. | DENG Binsheng | General Supervisor | T: 130 0402 6066 |
| | | LI Guofu | Environmental Supervisor | T: 150 5685 6223 |
| II. S319 Erba-Wuwei Section | Implementing Agency: Wuwei County Transport Bureau | ZHAO Qiancheng | General Supervisor | T: 138 5652 4957 |
| | | ZHOU Xiusheng | Environmental Supervisor | T: 138 5652 4957 E: 1427539517@qq.com |
| | NO.1-1 Contractor: Anhui Road and Port Engineering Co, Ltd, | CHEN Ruisheng | General Supervisor | |
| | | GAO Xingchao | Environmental Supervisor | T: 187 6782 3803 |
| | NO.1-2 Contractor: Liaoning Road and Bridge Engineering Co. Ltd. | PAN Mingbao | General Supervisor | T: 180 0553 3888 E: 1650133469@qq.com |
| | | CHEN Tieli | Environmental Supervisor | T: 152 5562 1666 E: 865793130@qq.com |
| | NO.1-3 Contractor: Liaoning Road and Bridge Engineering Co. Ltd. | LI Yao | General Supervisor | T: 152 5688 0001 |
| | | NIE Shifang | Environmental Supervisor | T: 186 3571 4105 E: 791104738@qq.com |
| III. Yimu Higway Kedian to Mujiating Section | Implementing Agency: Nanling County Transport Bureau | HOU Qingran | General Supervisor | T: 139 5618 5858 |
| | | YANG Yang | Environmental Supervisor | T: 189 1028 3792 E: 82778911@qq.com |
| | NO3-1 contractor: Jiangxi Yichun Highway Construction Group Co. Ltd. | Manager ZHAO | General Supervisor | T: 177 7529 9892 |
| | | BU Liang | Environmental Supervisor | T: 138 6685 0044 |
| | NO3-2 contractor: Jiangxi Yichun Highway Construction Group Co. Ltd. | Manager WANG | General Supervisor | T: 153 8532 1357 |
| | | Manager LI | Environmental Supervisor | T: 158 5553 9005 |

| Subproject | Name of Organization | Name of EHS Staff | | Telephone (T) / Email (E) | |
|--|--|-----------------------------|-------------------------------|--|--|
| IV. G206 Dongliu to Yaodu Section | Implementing Agency: Chizhou City Highway Administration Bureau | WEN Fadong | | T: 180 5667 3190/139 5689 8908 E: 1076422965@qq.com | |
| | | Engineer YE | | T: 182 5661 6161 E: 821300546@qq.com | |
| | NO2-1 contractor: Anhui Highway and Bridge Engineering Co. Ltd. | ZHOU Jianfeng | | T: 189 0569 5098 | |
| | NO2-2 contractor: Anhui Road and Port Engineering Co. Ltd. | SUN Pengzhi | | T: 156 5668 7090 | |
| | | Engineer WANG | | T: 136 3712 6166 | |
| V. Shuiyang River Waterway Improvement | Implementing Agency: Anhui Provincial Port and Shipping Construction Investment Group CO. Ltd. | ZHANG Yingqiu | Supervisor of the Directorate | T: 188 9533 8390 | |
| | | XIAO Xihua | Project office | General Supervisor | T: 186 5513 6833 |
| | | CHENG Guozheng | | Environmental Supervisor | T: 151 7852 0328 E: 799788608@qq.com |
| | Contractor: Liaoning Road and Bridge Engineering Co. Ltd. | WANG Xiangguo | General Supervisor | | T: 138 4052 2323 |
| | | WANG Yan | Environmental Supervisor | | T: 156 5633 0068 E: 168898880@qq.com |
| VI. Xuanzhou Multipurpose Port | Implementing Agency: Anhui Province Ports and Shipping Construction Investment Group Co. Ltd | ZHANG Yingqiu XIAO Xihua | Supervisor of the Directorate | T: 188 9533 8390 | |
| | | XIAO Xihua | Project office | General Supervisor | T: 186 5513 6833 |
| | | CHENG Guozheng | | Environmental Supervisor | T: 151 7852 0328 E: 799788608@qq.com |
| | Contractor: Anhui Road and Port Engineering Co. Ltd. | DING Wei | General Supervisor | | T: 139 0565 4787 |
| | | CHEN cheng | Environmental Supervisor | | T: 153 7516 1991 |

2.2 Environmental Mitigation Measures

Compliance with the EMP on implementation of mitigation measures is presented in Appendix I. Key mitigation measures for the subprojects are highlighted below. Representative photographs of construction sites and activities, and environmental mitigation measure are shown in Appendix II.

Air Quality. Sheltered compartments were constructed for material storage in asphalt and cement mixing stations and pre-casting yards, and workers were provided with goggles. Trucks

transporting materials were equipped with side boards and tarpaulin. Materials were not allowed to be stacked higher than the side boards and were covered by tarpaulin during transport. The mixing stations and pre-casting yards were sited in areas with no air quality sensitive receptors within 300 m.

Each contract had at least one water truck for spraying water to suppress dust in unpaved areas and haul roads at least three times per days and more frequent during dry weather and windy days. In each contract, precipitator was installed in mixing stations.

It happens that within the construction site of Project G206 (subproject IV), materials in transport vehicles were piled too high, or not covered. Compliance did improve after a reminder but further observation is required. Yimu Highway (Subproject III) installed a guard net along the excavated section of road-side slopes to prevent soil erosion. Xiaohekou Bridge (Subproject V) and Xuanzhou Port (Subproject VI) installed fences and grid guards along residential areas to prevent dirt produced by construction vehicles.

Water Quality. Construction camps, stockpiling areas, asphalt and cement mixing stations, and pre-casting yards were sited away from water bodies (e.g. Xiaohuangni Lake and Quanshui Lake in subproject IV: G206 Dongliu to Yaodu Section). Asphalt and cement mixing stations were equipped with septic tanks and multi-chamber sedimentation tanks to treat wastewater and process water respectively. The process water after sedimentation was reused on site for dust suppression. Construction camps were equipped with septic tanks to treat wastewater from workers. The septic tanks were regularly maintained with sludge removal by licensed service providers. Bridge construction sites were surrounded by steel hoardings or berms. Mud ponds were constructed to contain slurry generated during bridge construction. Boats were inspected for oil leakage prior to deployment for bridge construction. Drainage ditches and sedimentation tanks were constructed in subgrade works areas for intercepting and treating muddy runoff. The ESE, during site inspections, did not observe equipment cleaning and waste storage and disposal near water bodies that might cause water pollution.

During the reporting period, the pre-casting and installation work of the piling foundations and superstructure of G206 (Subproject IV) Xiaohuangni Lake bridge and Quanshuihu bridge projects were completed on time in April, avoiding the upcoming raining and flood season in mid-to-late May. Wheel washing equipment has been installed at the exit of the construction camp of Xuanzhou Multipurpose Port project and S319 project (Subproject II) to prevent construction vehicles from carrying muddy or dusty substances onto urban roads. During the construction of piling foundations for bridges for contract NO1-3 section of project S319, steel conferrdam has been installed to prevent mud and wastewater produced by piling foundation construction from entering watercourses to pollute waterbody. During Xiaohekou bridge construction, conferrdam has been built to protect watercourses; and during Xuanzhou Multipurpose Port construction (Subproject VI), sandbags were used to prevent muddy and dusty substances from entering the waterbody.

Noise. Low noise powered mechanical equipment were deployed subject to availability. Asphalt and cement mixing stations were sited in areas with no noise sensitive receptors within 300 m. Excavated spoil and backfill materials were transported during day time on existing roads and avoiding densely populated areas. No noisy construction works such as piling or blasting was carried out at night. Night time construction noise was strictly controlled. Temporary noise barriers were sited near sensitive places during the implementation of each contract, thus to avoid annoying residents living nearby.

Solid Waste. Solid wastes such as refuse, construction and demolition (C&D) waste, packaging materials etc. generated during construction were transported off site regularly. Sufficient garbage bins were provided on construction sites and asphalt and cement mixing stations for collection of refuse. C&D waste and excavated spoil were stored at spoil disposal sites. Those suitable for reuse were used for road compaction and haul road construction.

In the construction sites of sub-projects, refuses and C&D waste such as packaging materials were not collected in time and proper regulations in terms of waste collection were also absent. Currently, construction sites of each section were cleaned up and trashcans were deployed to collect refuses. Ample trashcans were deployed in each construction site of sub-projects to collect refuses and regulations were strengthened. All wastes were sent to urban garbage landfills in time.

Ecology. Top soils were stripped, removed off site and stored prior to construction in subgrade and temporary works areas. Training was provided for the workers prior to construction on protection of trees and wildlife. Signs on protection of vegetation and wildlife, and prohibition of hunting were erected on construction sites and construction camps. Signs on prevention of forest fire were also erected in areas with abundance of trees, with training on forest fire prevention provided for the workers as well. According monitoring work was done subject to requirements imposed by the environmental regulation plan. (G206 (subproject IV) monitored aves.)

During the reporting period, in Yimu Highway Project (subproject III), geotechnical cloth was covered on bare side slopes to avoid potential water and soil loss caused by rain. The small amount of trees influenced by the roadway excavation were transplanted and details could be found in Attachment III. In G206, three spoil disposal sites were out of service in NO2-2 Section and entered into a flattening and recovering period. One of the spoil disposal site was already flattened by topsoil collected during early layered excavation and sown with green bristlegrass. After the construction, the spoil disposal site will be reclaimed and then be used as vegetable fields. During the construction of Quanshuihu Bridge in NO2-2 Section, a spoil disposal occupied the tree place. Spoils were originally put beside the trees, and now they had been moved to the spoil disposal sites. All the sites had been approved by the government with according paperwork. Now the spoil disposal was removed. In Xuanzhou Port Project (subproject VI), seeds were sown on the side slopes to avoid potential water and soil loss during the excavation.

Community. A bill board was erected at the entrance to each construction site listing information on the contractor, construction supervision entity and complaint hotline etc. Intercepting ditches and sedimentation tanks were constructed on both sides of subgrade works areas to prevent muddy runoff into nearby farmland. Strict speed control was imposed on

construction vehicles. Warning and safety signs were erected for alerting road users near the construction sites. No night time noisy construction works was allowed in populated areas.

In Shuiyang River Project and Xuanzhou Comprehensive Port Project (subproject V and VI), fences and warning signs were erected on either side of construction detours, to prevent construction vehicles and muddy runoff into nearby farmland during construction. Temporary barriers were sited in front of residence, to avoid disturbance. Complaint hotlines and complaint boxes were deployed at each entrance of construction road and site.

2.3 Environmental Monitoring Data and Record

Table 8 summarizes the environmental quality monitoring programs for all the subprojects. Subproject I (S367 Northern Passage of Ma'anshan Section) was still calling for tenders. So no concrete monitoring work was undertaken for this subproject.) Environmental quality monitoring for water quality, air quality and noise was undertaken for other subprojects. According to the ADB environmental monitoring plan, monitoring of birds was undertaken for subproject IV (G206) and monitoring for soil quality in the dockyard was undertaken for subproject V (Shuiyang River channel regulation).

Table 8: Environmental quality monitoring programs for the subprojects

| Monitoring Specifics | | Subprojects | | | | | |
|----------------------|-----------|---|---|--|---|--|---|
| | | I. S367 Ma'anshan North Passage Road | II. S319 Erba-Wuweii Section | III. Yimu Higway Kedian to Mujiating Section | IV. G206 Dongliu to Yaodu Section | V. Shuiyang River Waterway Improvement | VI. Xuanzhou Multipurpose Port |
| Air quality | Parameter | Daily average TSP | | | | | |
| | Location | 4 monitoring points: 1- near asphalt /cement mixing station 2 - on unpaved haul road near construction site 3 -Taodian Health Clinic 4 - Chuomiaoji | 5 Monitoring points: 1- near asphalt /cement mixing station 2 - on unpaved haul road near construction site 3 – Yonnan Central Primary School 4 – Boai Hospital 5 – Changba Primary School | 4 monitoring points: 1- near asphalt /cement mixing station 2 - on unpaved haul road near construction site 3 – Bowen High School 4 – Wuxia Temple | 4 monitoring points: 1- near asphalt /cement mixing station 2 - on unpaved haul road near construction site 3 - Zhazui 4 -Yangjia | 2 monitoring points: 1 – near the Xiaohekou road bridge 2 – 10 m outside the boundary of the construction site | 2 monitoring points: 1 – at nearest sensitive receptor to construction activities 2 – at port construction site 10 m from the cement batching plant |
| | Frequency | Preconstruction stage: at least 3 consecutive days Construction stage: at least 3 consecutive days every 3 months | | | | | |
| Noise | Parameter | L _{Aeq} | | | | | |

| Monitoring Specifics | Subprojects | | | | | | |
|----------------------|--|--|--|--|---|---|---|
| | I. S367 Ma'anshan North Passage Road | II. S319 Erba-Wuwei Section | III. Yimu Higway Kedian to Mujiating Section | IV. G206 Dongliu to Yaodu Section | V. Shuiyang River Waterway Improveme nt | VI. Xuanzhou Multipurpos e Port | |
| Location | 5 monitoring points: 1 - near asphalt/cement mixing station 2 - Taodian Health Clinic 3 - Gaozhu Primary School 4 - Baozhuang Primary School 5 - Chuomiaoji | 7 monitoring points: 1 - near asphalt/cement mixing station 2 & 3 - outside the boundary walls of asphalt/cement mixing station 4 - Yonnan Central Primary School 5 - Boai Hospital 6 - Changba Primary School 7 - Hualong Village | 5 monitoring points: 1 - near asphalt/cement mixing station 2 & 3 - outside the boundary walls of asphalt/cement mixing station 4 - Bowen High School 5 - Wuxia Temple | 4 monitoring points: 1 & 2- outside the boundary walls of asphalt/cement mixing station 3 - Zhazui 4 -Yangjia | At 3 locations with multiple monitoring points at each location: 1) at each of 3 dredging sections, with 1 point near the embankment and 1 point at the nearest sensitive receptor 2) at the nearest sensitive receptors to each dredged sediment disposal site 3) 1 point near Xiaohekou bridge and 1 point at nearest sensitive receptor | 2 monitoring points: 1 - 5 m outside construction site boundary 2 - at the nearest sensitive receptor | |
| Frequency | Preconstruction stage: continual monitoring for 2 consecutive days. Construction stage: at least 2 consecutive days every 3 months | | | | 1 day time and 1 night time monitoring every 3 months | | |
| Water quality | Parameter | pH, SS, I _{Mn} , total petroleum hydrocarbon, NH ₄ -N, COD | | | | | |
| | Location | 4 monitoring points: 1 & 2 - 50 m upstream & 50 m downstream of Sima River bridge 3 & 4 - 50 m | 2 monitoring points: 1 & 2 - 50 m upstream and 50 m downstream of the Xi River bridge | 5 monitoring points: 1 - Zhang River water intake location 2 & 3 - 50 m upstream & 50 m | 6 monitoring points: 1 & 2 - 50 m upstream and 50 m downstream of Xiaohuangni Lake bridge | 4 monitoring points at each of the 3 dredging sections: 1 - 50 m upstream of dredger 2 - 50 m | 3 monitoring points : 1 - 50 m upstream of port structure 2 - 50 m downstream of port structure |

| Monitoring Specifics | Subprojects | | | | | |
|----------------------|--|---------------------------------------|---|--|---|--|
| | I. S367 Ma'anshan North Passage Road | II. S319 Erba-Wuwei Section | III. Yimu Highway Kedian to Mujiating Section | IV. G206 Dongliu to Yaodu Section | V. Shuiyang River Waterway Improvement | VI. Xuanzhou Multipurpose Port |
| | upstream & 50 m downstream of Dongfeng River bridge | | downstream of Zhang River bridge 4 & 5 – 50 m upstream & 50 m downstream of Hougang River bridge | 3 & 4 – 50 m upstream & 50 m downstream of Quanshui Lake #1 bridge 5 & 6 – 50 m upstream & 50 m downstream of Quanshui Lake #2 bridge | downstream of dredger 3 – 100 m downstream of dredger 4 – 200 m downstream of dredger <u>1 monitoring point at the discharge point of #5 dredged sediment disposal site (SS monitoring only)</u> | 3 – 100 m downstream of port structure |
| Frequency | Preconstruction stage: at least 2 consecutive days Construction stage: at least 2 consecutive days every 3 months | | | | At least 2 consecutive days every 3 months during dredging | At least 2 consecutive days every 3 months during construction |
| Ecology | Parameter | Not applicable | | Bird species and abundance | Not applicable | |
| | Location | Not applicable | | Along the lake between chainage K6+000 to K15+000 | Not applicable | |
| | Frequency | Not applicable | | 2 consecutive days in summer, winter and transitional (either spring or autumn) seasons respectively | Not applicable | |
| Monitoring entity | Not decided. Still in mobilization stage in reporting | Wuwei County Environmental Monitoring | Nanling County Environmental Monitoring | Dongzhi County Environmental Monitoring Station & | Not decided. Still in mobilization and advance works stage in reporting period | |

| Monitoring Specifics | | Subprojects | | | | | |
|----------------------|---------------------|--|-----------------------------------|--|--|---|--|
| | | I. S367 Ma'anshan North Passage Road | II. S319 Erba-Wuwei Section | III. Yimu Higway Kedian to Mujiating Section | IV. G206 Dongliu to Yaodu Section | V. Shuiyang River Waterway Improveme nt | VI. Xuanzhou Multipurpos e Port |
| | | period | Station | Station | ornithologist | | |
| Supervision entity | Implementing agency | Ma'anshan City Highway Administration Bureau | Wuwei County Transport Bureau | Nanling County Transport Bureau | Chizhou City Highway Administration Bureau | Anhui Province Ports and Shipping Construction Investment Group Co. Ltd | |
| | ESE | Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd. | | | | | |

2.3.1 Surface Water Quality

Table 9 presents the surface water quality monitoring data collected in the reporting period. For subproject II (S319 Erba-Wuwei Section), the road bridge crossing the Xi River (at chainage K36+066) is located within the centralized drinking water source protection zone II, with a designated water quality standard of category II. Water quality monitoring data showed exceedance of ammonia nitrogen (NH₃-N). The exceedance is irrelevant to this project. However, NH₃-N exceedance occurred both in the upstream and downstream, indicating that it was not construction related. And the concentration of suspended solids (SS) in the downstream was >130% of the SS level at the upstream. The SS exceedance reflected that it was caused by the construction. Therefore, steel cofferdam was adopted in the Bridge Section to protect water quality and the effectiveness of the measure could be reflected in the next annual report. It's effective. We use the steel cofferdam to retain industrial sewage, and then pump the wastewater to the settling pond for treatment in time, thus to control the water pollution effectively. The SS concentration would be controlled within the range subject to ADB requirements.

For subproject III (Yimu Highway Kedian to Mujiating Section), the designated water quality standards for Zhang River and Hougang River are category II and category IV respectively. Monitoring data at the Zhang River water intake location (50m in the upstream) showed exceedance of petroleum on 18 May 2016 but compliance on 19 May 2016, Among all the 24 items under monitoring, only one item showed exceedance. Therefore, it is an isolated event which, according to our analysis, is caused by misoperations in the experiment, or statistical errors..

For subproject IV (G260 Dongliu to Yaodu Section), the designated water quality standards for Quanshui Lake and Xiaohuangni River are both category III. Monitoring data at the Bridge No.2 in Quanshui Lake showed the concentration of suspended solids (SS) in the downstream was >130% of the SS level at the upstream but compliance on 19 May 2016, indicating that the exceedance was an isolated incident.

For subproject V (Shuiyang River channel improvement) and subproject VI (Xuanzhou Comprehensive Port), the designated water quality standards in the entrance of the river and at the port are both category III. Monitoring data showed the concentration of NH₃-N at the intake

locations were higher than that required by GB 3828-2002 Environmental Quality Standards for Surface Water. Data showed that the exceedance had already occurred before works commencement both in the upstream and downstream, indicating that the exceedance was not works related. According to analysis, the exceedance was attributed by fertilizers washed off from farmlands along the Shuiyang River by rainwater.

For monitoring potential water quality impacts during bridge construction, ADB adopted a “real time baseline” approach with an upstream “control station” and one or more downstream “impact stations”, with the standard that the suspended solids (SS) levels at the impact stations should be $\leq 130\%$ of the SS level at the control station. When the SS levels at the impact stations are $>130\%$ of the SS level at the control station, it is indicative of excessive SS dispersing downstream from the bridge construction site and construction methods shall be reviewed and mitigation measures shall be adopted to reduce SS levels at the impact stations to $\leq 130\%$ of the SS level at the control station.

Table 9: Surface water quality monitoring data for the reporting period

| Subproject | Monitoring Date | Monitoring Location | Parameters Monitored | | | | | Remark | | |
|-----------------------------|---------------------------------------|---------------------------------|------------------------------------|------|-----------------|-------|--------------------|--------|---|----------------------------|
| | | | pH | SS | I _{Mn} | TPH | NH ₃ -N | | COD | |
| | | | | mg/L | mg/L | mg/L | mg/L | | mg/L | |
| II. S319 Erba-Wuwei Section | 2016.3.4 | XI River bridge 50 m upstream | 7.33 | 15 | 2.85 | 0.024 | 0.85 | --- | Complied with cat. II std. | |
| | | XI River bridge 50 m downstream | 7.55 | 27 | 3.35 | 0.035 | 1.55 | --- | NH ₃ -N > cat. III std. | |
| | 2016.3.5 | XI River bridge 50 m upstream | 7.34 | 18 | 2.90 | 0.026 | 0.88 | --- | SS level in the upstream > 130% of the SS level in the downstream | |
| | | XI River bridge 50 m downstream | 7.56 | 30 | 3.38 | 0.038 | 1.60 | --- | | |
| | 2016.5.23 | XI River bridge 50 m upstream | 7.12 | 18 | 3.06 | 0.032 | 1.05 | --- | Complied with cat. II std. | |
| | | XI River bridge 50 m downstream | 7.43 | 28 | 3.85 | 0.042 | 1.42 | --- | NH ₃ -N > cat. III std. | |
| | 2016.5.24 | XI River bridge 50 m upstream | 7.11 | 20 | 3.10 | 0.035 | 1.08 | --- | SS level in the downstream > 130% of the SS level in the upstream | |
| | | XI River bridge 50 m downstream | 7.44 | 26 | 3.88 | 0.046 | 1.46 | --- | | |
| | III. Yimu Highway Kedian to Mujiating | 2016.2.24 | Zhang River bridge 50 m upstream | 6.99 | 10 | 0.3 | 0.04L | 0.179 | --- | Complied with cat. II std. |
| | | | Zhang River bridge 50 m downstream | 7.07 | 7 | 0.1 | 0.04L | 0.172 | --- | Complied with |

| Subproject Section | Monitoring Date | Monitoring Location | Parameters Monitored | | | | | Remark | |
|---------------------------|--------------------|--|----------------------|------|-----------------|-------|------------------------|--------------|----------------------------|
| | | | pH | SS | I _{Mn} | TPH | NH ₃ - N | | COD |
| | | | | mg/L | mg/L | mg/L | mg/L | | mg/L |
| | | | | | | | | cat. II std. | |
| | | Zhang River water intake | 7.22 | 10 | 0.3 | 0.04L | 0.307 | --- | Complied with cat. II std. |
| | | Hougang River bridge 50 m upstream | 6.99 | 10 | 1.0 | 0.21 | 0.400 | --- | Complied with cat. IV std. |
| | | Hougang River bridge 50 m downstream | 6.89 | 11 | 1.0 | 0.22 | 0.400 | --- | Complied with cat. IV std. |
| | | Hougang River water intake | 7.01 | 8 | 1.3 | 0.20 | 0.477 | --- | Complied with cat. IV std. |
| | 2016.2.25 | Zhang River bridge 50 m upstream | 6.89 | 11 | 0.1 | 0.04L | 0.167 | --- | Complied with cat. II std. |
| | | Zhang River bridge 50 m downstream | 6.98 | 8 | 0.1 | 0.04L | 0.116 | --- | Complied with cat. II std. |
| | | Zhang River water intake | 6.94 | 10 | 0.1 | 0.04L | 0.284 | --- | Complied with cat. II std. |
| | | Hougang River bridge 50 m upstream | 6.97 | 10 | 1.0 | 0.17 | 0.263 | --- | Complied with cat. IV std. |
| | | Hougang River bridge 50 m downstream | 6.95 | 12 | 0.8 | 0.16 | 0.221 | --- | Complied with cat. IV std. |
| | | Hougang River water intake | 6.83 | 8 | 1.2 | 0.15 | 0.423 | --- | Complied with cat. IV std. |
| | 2016.5.18 | Zhang River bridge 50 m upstream | 6.89 | 23 | 3.12 | 0.04L | 0.149 | --- | Complied with cat. II std. |
| | | Zhang River bridge 50 m downstream | 7.05 | 16 | 3.01 | 0.04L | 0.184 | --- | Complied with cat. II std. |
| | | Zhang River water intake | 7.18 | 14 | 3.09 | 0.04L | 0.166 | --- | Complied with cat. II std. |
| | | Hougang River bridge 50 m upstream | 6.98 | 25 | 3.58 | 0.52 | 0.208 | --- | TPH> cat. IV std. |
| | | Hougang River bridge 50 m downstream | 6.93 | 15 | 3.57 | 0.39 | 0.170 | --- | Complied with cat. IV std. |
| | | Hougang River water intake | 7.10 | 17 | 3.35 | 0.30 | 0.177 | --- | Complied with cat. IV std. |
| | 2016.5.19 | Zhang River bridge 50 m upstream | 6.92 | 26 | 2.95 | 0.04L | 0.151 | --- | Complied with cat. II std. |
| | | Zhang River bridge 50 m downstream | 6.95 | 14 | 3.40 | 0.04L | 0.185 | --- | Complied with cat. II std. |
| | | Hougang River water intake Hougang River water intake | 6.97 | 16 | 2.94 | 0.04L | 0.164 | --- | Complied with cat. II std. |

| Subproject | Monitoring Date | Monitoring Location | Parameters Monitored | | | | | Remark | |
|-----------------------------------|---|---|----------------------|------|-----------------|-------|--------------------|-----------------------------|-----------------------------|
| | | | pH | SS | I _{Mn} | TPH | NH ₃ -N | | COD |
| | | | | mg/L | mg/L | mg/L | mg/L | | mg/L |
| | | Zhang River bridge 50 m upstream | 6.98 | 28 | 3.34 | 0.41 | 0.219 | --- | Complied with cat. IV std. |
| | | Zhang River bridge 50 m downstream | 6.88 | 15 | 3.38 | 0.33 | 0.167 | --- | Complied with cat. IV std. |
| | | Hougang River water intake | 6.99 | 19 | 3.73 | 0.22 | 0.180 | --- | Complied with cat. IV std. |
| IV. G206 Dongliu to Yaodu Section | 2016.03.01 | Xiaohuangni Lake bridge 50 m upstream | 8.29 | 51 | 5.14 | 0.02 | 0.634 | --- | Complied with cat. III std. |
| | | Xiaohuangni Lake bridge 50 m downstream | 7.69 | 43 | 5.15 | 0.03 | 0.705 | --- | Complied with cat. III std. |
| | | Quanshui Lake #1 bridge 50 m upstream | 8.01 | 56 | 5.47 | 0.01 | 0.626 | --- | Complied with cat. III std. |
| | | Quanshui Lake #1 bridge 50 m downstream | 7.92 | 53 | 5.44 | 0.04 | 0.663 | --- | Complied with cat. III std. |
| | | Quanshui Lake #2 bridge 50 m upstream | 8.03 | 52 | 5.66 | 0.02 | 0.546 | --- | Complied with cat. III std. |
| | | Quanshui Lake #2 bridge 50 m downstream | 7.88 | 49 | 5.08 | 0.01 | 0.712 | --- | Complied with cat. III std. |
| | 2016.03.02 | Xiaohuangni Lake bridge 50 m upstream | 8.07 | 57 | 5.36 | 0.04 | 0.782 | --- | Complied with cat. III std. |
| | | Xiaohuangni Lake bridge 50 m downstream | 7.54 | 49 | 4.25 | 0.02 | 0.714 | --- | Complied with cat. III std. |
| | | Quanshui Lake #1 bridge 50 m upstream | 8.03 | 40 | 5.62 | 0.01 | 0.584 | --- | Complied with cat. III std. |
| | | Quanshui Lake #1 bridge 50 m downstream | 7.81 | 45 | 5.52 | 0.03 | 0.582 | --- | Complied with cat. III std. |
| | | Quanshui Lake #2 bridge 50 m upstream | 8.05 | 48 | 4.90 | 0.02 | 0.535 | --- | Complied with cat. III std. |
| | | Quanshui Lake #2 bridge 50 m downstream | 7.81 | 55 | 5.52 | 0.02 | 0.718 | --- | Complied with cat. III std. |
| 2016.5.29 | Xiaohuangni Lake bridge 50 m upstream | 7.95 | 19 | 4.95 | 0.03 | 0.522 | --- | Complied with cat. III std. | |
| | Xiaohuangni Lake bridge 50 m downstream | 7.57 | 24 | 4.46 | 0.02 | 0.634 | --- | Complied with cat. III std. | |

| Subproject | Monitoring Date | Monitoring Location | Parameters Monitored | | | | | Remark | | |
|------------|---------------------------------------|---|----------------------------------|------|-----------------|------|--------------------|--------|---|---|
| | | | pH | SS | I _{Mn} | TPH | NH ₃ -N | | COD | |
| | | | | mg/L | mg/L | mg/L | mg/L | | mg/L | |
| | | Quanshui Lake #1 bridge 50 m upstream | 7.87 | 22 | 4.96 | 0.02 | 0.496 | --- | Complied with cat. III std. | |
| | | Quanshui Lake #1 bridge 50 m downstream | 8.02 | 27 | 4.74 | 0.01 | 0.621 | --- | Complied with cat. III std. | |
| | | Quanshui Lake #2 bridge 50 m upstream | 8.05 | 23 | 5.28 | 0.02 | 0.546 | --- | Complied with cat. III std. | |
| | | Quanshui Lake #2 bridge 50 m downstream | 7.91 | 31 | 4.85 | 0.03 | 0.656 | --- | Complied with cat. III std. SS level at the down stream > 130% SS level at the down stream | |
| | 2016.5.30 | Xiaohuangni Lake bridge 50 m upstream | 7.91 | 21 | 5.15 | 0.02 | 0.570 | --- | Complied with cat. III std. | |
| | | Xiaohuangni Lake bridge 50 m downstream | 7.54 | 25 | 4.32 | 0.01 | 0.618 | --- | Complied with cat. III std. | |
| | | Quanshui Lake #1 bridge 50 m upstream | 7.91 | 34 | 5.10 | 0.01 | 0.508 | --- | Complied with cat. III std. | |
| | | Quanshui Lake #1 bridge 50 m downstream | 7.98 | 30 | 4.55 | 0.02 | 0.574 | --- | Complied with cat. III std. | |
| | | Quanshui Lake #2 bridge 50 m upstream | 8.01 | 22 | 5.14 | 0.01 | 0.585 | --- | Complied with cat. III std. | |
| | | Quanshui Lake #1 bridge 50 m downstream | 7.85 | 19 | 4.91 | 0.03 | 0.667 | --- | Complied with cat. III std. | |
| | V. Shuiyang River channel improvement | 2016.3.23 | Xiaohekou bridge 50 m upstream | 7.14 | 19 | 2.62 | 0.03 | 1.49 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | | Xiaohekou bridge 50 m downstream | 7.06 | 16 | 2.68 | 0.02 | 1.41 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| 2016.3.24 | | Xiaohekou bridge 50 m upstream | 7.10 | 18 | 2.56 | 0.02 | 1.43 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. | |

| Subproject | Monitoring Date | Monitoring Location | Parameters Monitored | | | | | Remark | |
|---|---------------------------------|---|--|------|-----------------|------|--------------------|--------|--|
| | | | pH | SS | I _{Mn} | TPH | NH ₃ -N | | COD |
| | | | | mg/L | mg/L | mg/L | mg/L | | mg/L |
| | | Xiaohekou bridge 50 m downstream | 7.13 | 18 | 2.77 | 0.03 | 1.47 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | Xiaohekou bridge 50 m upstream | 7.12 | 17 | 2.73 | 0.02 | 1.34 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | 2016.5.23 | Xiaohekou bridge 50 m downstream | 7.21 | 17 | 2.85 | 0.03 | 1.53 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | 2016.5.24 | Xiaohekou bridge 50 m upstream | 7.18 | 18 | 2.61 | 0.01 | 1.39 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | Xiaohekou bridge 50 m downstream | 7.20 | 15 | 2.74 | 0.02 | 1.44 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | VI. Xuanzhou Comprehensive Port | 2016.3.23 | port hydraulic structure 50 m upstream | 7.04 | 17 | 1.59 | 0.02 | 2.28 | --- |
| port hydraulic structure 100 m downstream | | | 7.11 | 15 | 1.81 | 0.03 | 2.31 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| port hydraulic structure 50 m upstream | | | 7.10 | 15 | 1.87 | 0.03 | 2.23 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| 2016.3.24 | | port hydraulic structure 100 m downstream | 7.12 | 16 | 1.46 | 0.01 | 2.21 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | port hydraulic structure 50 m upstream | 7.06 | 17 | 1.75 | 0.02 | 2.34 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | port hydraulic structure 100 m downstream | 7.18 | 13 | 1.79 | 0.02 | 2.31 | --- | Complied with cat. III std. NH ₃ -N > cat. III |

| Subproject | Monitoring Date | Monitoring Location | Parameters Monitored | | | | | | Remark |
|--|-----------------|---|---------------------------------|------|-----------------|------|--------------------|------|---|
| | | | pH | SS | I _{Mn} | TPH | NH ₃ -N | COD | |
| | | | | mg/L | mg/L | mg/L | mg/L | mg/L | |
| | | | | | | | | | std. |
| | 2016.5.23 | port hydraulic structure 50 m upstream | 7.18 | 13 | 1.41 | 0.01 | 2.67 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | port hydraulic structure 100 m downstream | 7.14 | 14 | 1.98 | 0.02 | 2.53 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | port hydraulic structure 50 m upstream | 7.18 | 16 | 1.70 | 0.02 | 2.49 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | 2016.5.24 | port hydraulic structure 100 m downstream | 7.07 | 18 | 1.57 | 0.02 | 2.54 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | port hydraulic structure 50 m upstream | 7.25 | 16 | 1.64 | 0.01 | 2.68 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| | | port hydraulic structure 100 m downstream | 7.19 | 15 | 1.82 | 0.02 | 2.73 | --- | Complied with cat. III std. NH ₃ -N > cat. III std. |
| GB 3828-2002 Environmental quality standards for surface water | | Category II | 6-9 | --- | 4 | 0.05 | 0.5 | 15 | |
| | | Category III | 6-9 | --- | 6 | 0.05 | 1.0 | 20 | |
| | | Category IV | 6-9 | --- | 10 | 0.5 | 1.5 | 30 | |
| ADB project specific standard | | | Downstream ≤130% upstream | | | | | | |

2.3.2 Air Quality

Table 10 presents the ambient air quality monitoring data collected in the reporting period. Air quality monitoring of total suspended particulates (TSP) showed exceedance in mixing stations for two contracts in subproject IV (G206 Dongliu to Yaodu Section), which was mainly caused by the malfunction of dust removal installation. In future construction, the efficiency of dust removal installation must be improved and watering frequency must be raised, thus to make TSP level in compliance with the standard. Air quality monitoring of total suspended particulates (TSP) and nitrogen dioxide (NO₂) showed compliance with category II. During the reporting

period, asphalt mixing stations were constructed in project II and subproject III. Therefore, the monitoring of NO₂ was added.

Table 10: Air quality monitoring data for the reporting period

| Subproject | Monitoring Date | Monitoring Location | Daily Average TSP (mg/m ³) | Average NO ₂ | | Remark | |
|--|-----------------------------|---|---|-------------------------|-------------|--|--|
| | | | | Daily | Hourly | | |
| II. S319 Erba- Wuwei Section | 2016.3.1 ~3.3 (TSP) | Yunnan Central Primary School | 0.100~0.103 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | Boai Hospital | 0.104~0.108 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | Changba Primary School | 0.105~0.110 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | Unpaved construction road | 0.105~0.108 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | Contract NO1-2 mixing station upwind | 0.105~0.109 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | Contract NO1-2 mixing station downwind | 0.100~0.104 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | 2016.3.1 ~3.7(NO ₂) | Contract NO1-3 concrete mixing station upwind | 0.105~0.108 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | | Contract NO1-3 concrete mixing station downwind | 0.111~0.114 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | | Contract NO1-2 asphalt mixing station upwind | 0.111~0.116 | 0.019~0.022 | --- | Complied with GB 3095-2012 class II std. |
| | 2016.5.2 0~5.22 (TSP) | Contract NO1-2 asphalt mixing station side wind | 0.108~0.112 | 0.020~0.025 | --- | Complied with GB 3095-2012 class II std. | |
| | | Contract NO1-2 asphalt mixing station downwind | 0.112~0.116 | 0.023~0.029 | --- | Complied with GB 3095-2012 class II std. | |
| | | Yunnan Central Primary School | 0.100~0.102 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | Boai Hospital | 0.103~0.106 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | 2016.5.2 0~5.26 (NO ₂) | Changba Primary School | 0.104~0.106 | --- | --- | Complied with GB 3095-2012 class II std. |
| | Unpaved construction road | | 0.108~0.111 | --- | --- | Complied with GB 3095-2012 class II std. | |
| | | Contract NO1-2 | 0.112~0.117 | --- | --- | Complied with GB | |

| Subproject | Monitoring Date | Monitoring Location | Daily Average TSP (mg/m ³) | Average NO ₂ | | Remark |
|---------------------------------------|---------------------------------------|---|--|-------------------------|-------------|--|
| | | | | Daily | Hourly | |
| | | mixing station upwind | | | | 3095-2012 class II std. |
| | | Contract NO1-2 mixing station downwind | 0.114~0.116 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO1-3 concrete mixing station upwind | 0.111~0.113 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO1-3 concrete mixing station downwind | 0.108~0.113 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO1-2 asphalt mixing station upwind | 0.110~0.115 | 0.020~0.025 | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO1-2 asphalt mixing station side wind | 0.108~0.112 | 0.022~0.025 | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO1-2 asphalt mixing station downwind | 0.113~0.116 | 0.025~0.029 | --- | Complied with GB 3095-2012 class II std. |
| | | III. Yimu Higway Kedian to Mujiating Section | 2016.2.23-25 (TSP) | Bowen High School | 0.137-0.140 | 0.025-0.027 |
| Contract NO3-1 asphalt mixing station | 0.145-0.169 | | | 0.030-0.035 | 0.025-0.040 | Complied with GB 3095-2012 class II std. |
| Unpaved haul road | 0.151-0.173 | | | 0.028-0.032 | 0.025-0.039 | Complied with GB 3095-2012 class II std. |
| 2016.2.26-29 (NO ₂) | Contract NO3-2 asphalt mixing station | | 0.142-0.149 | 0.030-0.035 | 0.025-0.040 | Complied with GB 3095-2012 class II std. |
| | Wuxia Temple | | 0.131-0.143 | 0.023-0.027 | 0.023-0.030 | Complied with GB 3095-2012 class II std. |
| 2016.5.16-18 (TSP) | Bowen High School | | 0.11-0.16 | 0.020-0.023 | 0.019-0.025 | Complied with GB 3095-2012 class II std. |
| | Contract NO3-1 asphalt mixing station | | 0.14-0.16 | 0.021-0.023 | 0.020-0.024 | Complied with GB 3095-2012 class II std. |
| 2016.5.16-22 (NO ₂) | Unpaved haul road | | 0.14-0.17 | 0.021-0.023 | 0.020-0.024 | Complied with GB 3095-2012 class II std. |
| | Contract NO3-2 asphalt mixing station | | 0.12-0.15 | 0.020-0.022 | 0.020-0.024 | Complied with GB 3095-2012 class II std. |
| | Wuxia Temple | | 0.13-0.18 | 0.020-0.022 | 0.019-0.024 | Complied with GB 3095-2012 class II |

| Subproject | Monitoring Date | Monitoring Location | Daily Average TSP (mg/m ³) | Average NO ₂ | | Remark |
|-----------------------------------|-----------------|--|--|-------------------------|--------|--|
| | | | | Daily | Hourly | |
| IV. G206 Dongliu to Yaodu Section | 2016.2.29-3.2 | Zhazui | 0.118 – 0.161 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Yangjia | 0.109-0.155 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Unpaved haul road at Guanshancunzhuang Upper Group | 0.124-0.166 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO. 2-1 asphalt mixing station upwind | 0.188-0.230 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO. 2-1 asphalt mixing station downwind | 0.266-0.447 | --- | --- | TSP>GB 3095-2012 class II std. on FEB 29 & MAR 2 |
| | | Contract NO. 2-2 asphalt mixing station upwind | 0.148-0.222 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO. 2-2 asphalt mixing station downwind | 0.286-0.417 | --- | --- | TSP>GB 3095-2012 class II std. on FEB 29 & MAR 1 |
| | 28-30 May 2016 | Zhazui | 0.125-0.155 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Yangjia | 0.116-0.129 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Unpaved haul road at Guanshancunzhuang Upper Group | 0.127-0.160 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO. 2-1 asphalt mixing station upwind | 0.222-0.303 | --- | --- | >GB 3095-2012 class II std. on MAY 30 |
| | | Contract NO. 2-1 asphalt mixing station downwind | 0.312-0.466 | --- | --- | >GB 3095-2012 class II std. on MAY 28 & 30 |
| | | Contract NO. 2-2 asphalt mixing station upwind | 0.188-0.256 | --- | --- | Complied with GB 3095-2012 class II std. |
| | | Contract NO. 2-2 asphalt mixing station downwind | 0.352-0.430 | --- | --- | >GB 3095-2012 class II std. on MAY 29 |
| V. Shuiyang | March 24 2016 | Nearest sensitive spot to the Xiaohekou | 0.174 | --- | --- | Complied with GB 3095-2012 class II |

| Subproject | Monitoring Date | Monitoring Location | Daily Average TSP (mg/m ³) | Average NO ₂ | | Remark | |
|---------------------------|--------------------|--|--|-------------------------|--------|--|--|
| | | | | Daily | Hourly | | |
| River channel improvement | | construction | | | | std. | |
| | | 10m out of the mixing station bounding wall | 0.186 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | March 25 2016 | Nearest sensitive spot to the Xiaohekou construction | 0.189 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | | 10m out of the mixing station bounding wall | 0.201 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | March 26 2016 | Nearest sensitive spot to the Xiaohekou construction | 0.181 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | | 10m out of the mixing station bounding wall | 0.196 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | May 22 2016 | Nearest sensitive spot to the Xiaohekou construction | 0.164 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | | 10m out of the mixing station bounding wall | 0.173 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | May 23 2016 | Nearest sensitive spot to the Xiaohekou construction | 0.175 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | | 10m out of the mixing station bounding wall | 0.182 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | May 24 2016 | Nearest sensitive spot to the Xiaohekou construction | 0.178 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | | 10m out of the mixing station bounding wall | 0.176 | --- | --- | Compiled with GB 3095-2012 class II std. | |
| | VI. Xuanzhou wharf | March 24 2016 | Nearest vilage to the wharf | 0.183 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | | | 10m out of the | 0.194 | --- | --- | Compiled with GB |

| Subproject | Monitoring Date | Monitoring Location | Daily Average TSP (mg/m ³) | Average NO ₂ | | Remark |
|---|-----------------|---|--|-------------------------|------------|--|
| | | | | Daily | Hourly | |
| | | mixing station bounding wall | | | | 3095-2012 class II std. |
| | March 25 2016 | Nearest vilage to the wharf | 0.197 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | | 10m out of the mixing station bounding wall | 0.207 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | March 26 2016 | Nearest vilage to the wharf | 0.181 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | | 10m out of the mixing station bounding wall | 0.215 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | May 22 2016 | Nearest vilage to the wharf | 0.166 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | | 10m out of the mixing station bounding wall | 0.167 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | May 23 2016 | Nearest vilage to the wharf | 0.174 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | | 10m out of the mixing station bounding wall | 0.184 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | May 24 2016 | Nearest vilage to the wharf | 0.162 | --- | --- | Compiled with GB 3095-2012 class II std. |
| | | 10m out of the mixing station bounding wall | 0.168 | --- | --- | Compiled with GB 3095-2012 class II std. |
| GB 3095-2012 Ambient air quality standards | | Class II | 0.3 | 0.08 | 0.2 | --- |

2.3.3 Noise

Table 11 presents the noise monitoring data collected in the reporting period. Noise levels at all the monitoring locations on the days of subproject monitoring complied with the applicable standards except the Subproject III (Yimu Higway Kedian to Mujiating Section). The Wuxiasi monitoring point will set acoustic barriers to avoid noise and meet the standard.

Table 11: Noise monitoring data for the reporting period

| Subproject | Monitoring Date | Monitoring Location | Noise Level [Leq(dB)A] | | Remark |
|-----------------------------|-----------------|--|------------------------|------------|--|
| | | | Day Time | Night Time | |
| II. S319 Erba-Wuwei Section | 2016.3.4 | Yunnan Central Primary School | 54.6 | 47.6 | Complied with GB 3096-2008 class II std. |
| | | Boai Hospital | 55.9 | 46.9 | Complied with GB 3096-2008 class II std. |
| | | Changba Primary School | 56.8 | 43.5 | Complied with GB 3096-2008 class II std. |
| | | Hualong Village | 57.2 | 42.6 | Complied with GB 3096-2008 class II std. |
| | | Contract No.1-2 water stability mixing station bounding wall 1 | 58.8 | 46.9 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 water stability mixing station bounding wall 2 | 59.1 | 45.8 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 1 | 57.7 | 44.9 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 2 | 56.2 | 45.2 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 1 | 58.0 | 48.5 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 2 | 57.4 | 47.9 | Complied with GB 12523-2011 std. |
| | 2016.3.5 | Yunnan Central Primary School | 53.2 | 46.8 | Complied with GB 3096-2008 class II std. |
| | | Boai Hospital | 56.4 | 46 | Complied with GB 3096-2008 class II std. |
| | | Changba Primary School | 54.5 | 44.2 | Complied with GB 3096-2008 class II std. |
| | | Hualong Village | 55.9 | 44.5 | Complied with GB 3096-2008 class II std. |
| | | Contract No.1-2 water stability mixing station bounding wall 1 | 58.0 | 45.4 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 water stability mixing station bounding wall 2 | 57.3 | 46.3 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 1 | 55.9 | 46.0 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 2 | 56.8 | 47.3 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 1 | 57.5 | 46.8 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 2 | 57.0 | 47.1 | Complied with GB 12523-2011 std. |
| | 2016.5.23 | Yunnan Central Primary School | 55.2 | 46.5 | Complied with GB 3096-2008 class II std. |
| | | Boai Hospital | 51.3 | 44.2 | Complied with GB 3096-2008 class II std. |
| | | Changba Primary School | 53.7 | 44.3 | Complied with GB 3096-2008 class II std. |

| Subproject | Monitoring Date | Monitoring Location | Noise Level [Leq(dB)A] | | Remark |
|--|---|--|------------------------|----------------------------------|--|
| | | | Day Time | Night Time | |
| | | | | | class II std. |
| | | Hualong Village | 54.9 | 42.0 | Complied with GB 3096-2008 class II std. |
| | | Contract No.1-2 water stability mixing station bounding wall 1 | 55.6 | 44.9 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 water stability mixing station bounding wall 2 | 57.7 | 46.5 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 1 | 56.4 | 45.5 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 2 | 53.6 | 45.0 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 1 | 54.6 | 46.7 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 2 | 54.2 | 45.8 | Complied with GB 12523-2011 std. |
| | 2016.5.24 | Yunnan Central Primary School | 56.1 | 45.6 | Complied with GB 3096-2008 class II std. |
| | | Boai Hospital | 53.6 | 47.2 | Complied with GB 3096-2008 class II std. |
| | | Changba Primary School | 55.6 | 45.2 | Complied with GB 3096-2008 class II std. |
| | | Hualong Village | 54.8 | 46.3 | Complied with GB 3096-2008 class II std. |
| | | Contract No.1-2 water stability mixing station bounding wall 1 | 56.7 | 46.8 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 water stability mixing station bounding wall 2 | 55.9 | 45.9 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 1 | 54.8 | 46.5 | Complied with GB 12523-2011 std. |
| | | Contract No.1-2 asphalt mixing station bounding wall 2 | 53.1 | 46.1 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 1 | 55.1 | 46.1 | Complied with GB 12523-2011 std. |
| | | Contract No.1-3 mixing station bounding wall 2 | 55.9 | 45.6 | Complied with GB 12523-2011 std. |
| III. Yimu Higway Kedian to Mujiating Section | 2016.2.25 | Bowen High School | 53 | / | Complied with GB 3096-2008 class II std. |
| | | Contract NO. 3-1 asphalt mixing station | 56 | / | Complied with GB 12523-2011 std. |
| | | Contract NO. 3-2 asphalt mixing station | 57 | / | Complied with GB 12523-2011 std. |
| | | Wuxia Temple | 54 | / | Complied with GB 3096-2008 class II std. |
| | 2016.2.26 | Bowen High School | 54 | / | Complied with GB 3096-2008 class II std. |
| | Contract NO. 3-1 asphalt mixing station | 55 | / | Complied with GB 12523-2011 std. | |

| Subproject | Monitoring Date | Monitoring Location | Noise Level [Leq(dB)A] | | Remark | |
|---|-----------------------------------|---|------------------------|------------|--|--|
| | | | Day Time | Night Time | | |
| | | Contract NO. 3-2 asphalt mixing station | 56 | / | Complied with GB 12523-2011 std. | |
| | | Wuxia Temple | 54 | / | Complied with GB 3096-2008 class II std. | |
| | 2016.5.17 | Bowen High School | 59 | 50 | Complied with GB 3096-2008 class II std. | |
| | | Contract NO. 3-1 asphalt mixing station | 53 | / | Complied with GB 12523-2011 std. | |
| | | Contract NO. 3-2 asphalt mixing station | 53 | / | Complied with GB 12523-2011 std. | |
| | | Wuxia Temple | 62 | 52 | Complied with GB 3096-2008 class II std. | |
| | 2016.5.17 | Bowen High School | 60 | 49 | Complied with GB 3096-2008 class II std. | |
| | | Contract NO. 3-1 asphalt mixing station | 54 | / | Complied with GB 12523-2011 std. | |
| | | Contract NO. 3-2 asphalt mixing station | 53 | / | Complied with GB 12523-2011 std. | |
| | | Wuxia Temple | 64 | 52 | Complied with GB 3096-2008 class II std. | |
| | IV. G206 Dongliu to Yaodu Section | 2016.03.01 | Zhazui | 49.4 | 41.9 | Complied with GB 3096-2008 class II std. |
| | | | Yangjia | 50.3 | 42.4 | Complied with GB 3096-2008 class II std. |
| Contract No. 2-1 asphalt mixing station north course boundary | | | 66.1 | 54.8 | Complied with GB 12523-2011 std. | |
| Contract No. 2-1 asphalt mixing station south course boundary | | | 65.9 | 54.3 | Complied with GB 12523-2011 std. | |
| Contract No. 2-2 asphalt mixing station west course boundary | | | 59.8 | 51.1 | Complied with GB 12523-2011 std. | |
| Contract No. 2-2 asphalt mixing station south course boundary | | | 57.7 | 49.2 | Complied with GB 12523-2011 std. | |
| 2016.03.02 | | Zhazui | 51.5 | 43.2 | Complied with GB 3096-2008 class II std. | |
| | | Yangjia | 52.0 | 43.5 | Complied with GB 3096-2008 class II std. | |
| | | Contract No. 2-1 asphalt mixing station north course boundary | 67.0 | 52.8 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-1 asphalt mixing station south course boundary | 66.5 | 54.5 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-2 asphalt mixing station west course boundary | 59.5 | 46.8 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-2 asphalt mixing station south course boundary | 56.0 | 47.9 | Complied with GB 12523-2011 std. | |
| May 28 2016 | | Zhazui | 47.8 | 41.1 | Complied with GB 3096-2008 class IV std. | |
| | | Yangjia | 48.1 | 43.6 | Complied with GB 3096-2008 | |

| Subproject | Monitoring Date | Monitoring Location | Noise Level [Leq(dB)A] | | Remark | |
|---------------------------------------|-----------------|---|---|------------|--|--|
| | | | Day Time | Night Time | | |
| | | | | | class II std. | |
| | | Contract No. 2-1 asphalt mixing station north course boundary | 67.2 | 53.9 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-1 asphalt mixing station south course boundary | 62.4 | 54.6 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-2 asphalt mixing station west course boundary | 53.7 | 48.5 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-2 asphalt mixing station south course boundary | 57.6 | 52.5 | Complied with GB 12523-2011 std. | |
| | | | | | | |
| | May 29 2016 | Zhazui | 49.0 | 44.5 | Complied with GB 3096-2008 class IV std. | |
| | | Yangjia | 50.7 | 42.7 | Complied with GB 3096-2008 class II std. | |
| | | Contract No. 2-1 asphalt mixing station north course boundary | 66.8 | 53.6 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-1 asphalt mixing station south course boundary | 64.7 | 54.0 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-2 asphalt mixing station west course boundary | 54.3 | 46.0 | Complied with GB 12523-2011 std. | |
| | | Contract No. 2-2 asphalt mixing station south course boundary | 58.6 | 47.7 | Complied with GB 12523-2011 std. | |
| V. Shuiyang River channel improvement | March 24 2016 | Nearest environment sensitive spot to the Xiaohekou bridge | 53.2 | 44.7 | Complied with GB 3096-2008 class II std. | |
| | | West of Xiaohekou bridge boundary | 60.5 | 48.2 | Complied with GB 12523-2011 std. | |
| | | East of Xiaohekou bridge boundary | 62.7 | 48.9 | Complied with GB 12523-2011 std. | |
| | March 25 2016 | Nearest environment sensitive spot to the Xiaohekou bridge | 52.9 | 45.2 | Complied with GB 3096-2008 class II std. | |
| | | West of Xiaohekou bridge boundary | 61.7 | 47.5 | Complied with GB 12523-2011 std. | |
| | | East of Xiaohekou bridge boundary | 63.4 | 48.1 | Complied with GB 12523-2011 std. | |
| | May 23 2016 | Nearest environment sensitive spot to the Xiaohekou bridge | 54.0 | 45.4 | Complied with GB 3096-2008 class II std. | |
| | | West of Xiaohekou bridge boundary | 61.0 | 47.4 | Complied with GB 12523-2011 std. | |
| | | East of Xiaohekou bridge boundary | 62.2 | 48.5 | Complied with GB 12523-2011 std. | |
| | May 24 2016 | Nearest environment sensitive spot to the Xiaohekou bridge | 53.2 | 45.0 | Complied with GB 3096-2008 class II std. | |
| | | West of Xiaohekou bridge boundary | 61.8 | 48.1 | Complied with GB 12523-2011 std. | |
| | | East of Xiaohekou bridge boundary | 62.9 | 48.8 | Complied with GB 12523-2011 std. | |
| | VI. Xuanzhou | March 24 2016 | Nearest vilage to the wharf during construction | 52.5 | 44.7 | Complied with GB 3096-2008 class II std. |

| Subproject | Monitoring Date | Monitoring Location | Noise Level [Leq(dB)A] | | Remark |
|---|--|---|------------------------|------------|--|
| | | | Day Time | Night Time | |
| wharf | | West boundary of wharf construction | 63.1 | 47.5 | Complied with GB 12523-2011 std. |
| | | South boundary of wharf construction | 62.7 | 47.0 | Complied with GB 12523-2011 std. |
| | | North boundary of wharf construction | 63.4 | 48.3 | Complied with GB 12523-2011 std. |
| | March 25 2016 | Nearest vilage to the wharf during construction | 51.3 | 44.5 | Complied with GB 3096-2008 class II std. |
| | | West boundary of wharf construction | 60.5 | 46.8 | Complied with GB 12523-2011 std. |
| | | South boundary of wharf construction | 60.7 | 47.2 | Complied with GB 12523-2011 std. |
| | | North boundary of wharf construction | 61.2 | 48.0 | Complied with GB 12523-2011 std. |
| | May 23 2016 | Nearest vilage to the wharf during construction | 52.8 | 44.5 | Complied with GB 3096-2008 class II std. |
| | | West boundary of wharf construction | 62.4 | 48.3 | Complied with GB 12523-2011 std. |
| | | South boundary of wharf construction | 62.2 | 47.8 | Complied with GB 12523-2011 std. |
| | | North boundary of wharf construction | 62.6 | 48.8 | Complied with GB 12523-2011 std. |
| | May 24 2016 | Nearest vilage to the wharf during construction | 52.3 | 44.8 | Complied with GB 3096-2008 class II std. |
| | | West boundary of wharf construction | 61.6 | 47.6 | Complied with GB 12523-2011 std. |
| | | South boundary of wharf construction | 60.5 | 47.6 | Complied with GB 12523-2011 std. |
| | | North boundary of wharf construction | 61.7 | 48.1 | Complied with GB 12523-2011 std. |
| | GB 3096-2008 Environmental quality standard for noise | | Category 4a | 70 | 55 |
| Category 2 | | | 60 | 50 | For beyond 35 m from road |
| GB 12523-2011 Emission standard of environmental noise for boundary of construction site | | | | 55 | |

2.3.4 Ecology

During this report, Hefei Changxin Environmental Technology Co., Ltd. was entrusted to carry out a bird monitoring survey for chainage K6+ 000 to K15+000.

According to the seasonal distribution of birds, this survey targets birds in winter. Following three consecutive days' observations of belt transect and sampling points from 3 to 6 of January, 2016 (6:30-10:30 & 4:30-5:30), together with the collection of historical records, 191 bird species have been confirmed around the project location. 19 species are under national

protection, of which 4 are the first grade and 15 are the second grade. For the monitoring report of birds, refer to Appendix 4.

This survey identified 52 species, 28 families and 12 orders of birds, didn't find the first-grade national protected birds, and found 2 kinds of second-grade national protected birds (kestrel and peregrine falcon). Besides, there was one Class 1 Provincial level protected bird species and 14 Class 2 Provincial level protected bird species, major bird families represented were anatidae and anseriformes. There were 32 resident species recorded and 20 migratory bird species (2 summer residents and 18 winter resident species, 61.5% and 38.5% respectively).

According to the "Birds Ecology Monitoring Report of G206 Dongliu to Yaodu Section", the impact on birds is limited.

2.3.5 Soil

Table presents the soil monitoring data of the dockyard of Subproject V (Shuiyang River Waterway Improvement project) during the reported period. During this period, the soil of the dockyard was compiled with GB15618-1995 class III standard. After the removal of the dockyard, the soil is applicable for woodland, not for farmland, vegetable field, tea garden, etc.

Table 12: Soil monitoring data of the dockyard during the reported period

| Subproject | Date | Position | Result | | GB15618-1995 soil environmental quality standard | | Remark |
|--|------------|---|--------------------|-------|--|---------|--|
| | | | Item | value | Level 2 | Level 3 | |
| V. Shuiyang River Waterway Improvement | 2016.03.23 | Dockyard N31 °11'13" E118 °47'29" | pH (dimensionless) | 6.51 | 6.5~7.5 | >6.5 | Complied with GB 15618-1995 class III std. |
| | | | As (mg/kg) | 28.8 | 30 | 40 | Complied with GB 15618-1995 class III std. |
| | | | Hg (mg/kg) | 0.104 | 0.50 | 1.5 | Complied with GB 15618-1995 class III std. |
| | | | Zn (mg/kg) | 170 | 250 | 500 | Complied with GB 15618-1995 class III std. |
| | | | Cu (mg/kg) | 41.3 | 100 | 400 | Complied with GB 15618-1995 class III std. |
| | | | Pb (mg/kg) | 42.6 | 300 | 500 | Complied with GB 15618-1995 class III std. |
| | | | Cd | 0.557 | 0.30 | 1.0 | Complied with GB 15618- |

| Subproject | Date | Position | Result | | GB15618-1995 soil environmental quality standard | | Remark |
|------------|------|----------|---------------------|--------------|--|---------|--|
| | | | Item | value | Level 2 | Level 3 | |
| | | | (mg/kg) | | | | 1995 class III std. |
| | | | Cr (mg/kg) | 64.9 | 200 | 300 | Complied with GB 15618-1995 class III std. |
| | | | Asbestos (%) | Not detected | / | / | No relevant standard |
| | | | Mineral oil (mg/kg) | 19 | / | / | No relevant standard |

2.4 Environmental Institutional Capacity Building and Training

Table 13 presents capacity building and training plan and its implementation status. Table 14 presents the seminars and workshops conducted in the reporting period. Photographs for selected seminars and workshops are presented in Appendix II.

Table 13: Environmental institutional capacity building and training plan and implementation status

| Stage | Training Content | Attendee | Combined Duration | Time | Implementation Status |
|-----------------------------------|---|--|-------------------|-----------|---|
| Subprojects I, II, III, IV | | | | | |
| Construction | Environmental management and related policies | 1 to 2 persons from each subproject implementing agency and design institute | 30 days | 2013-2015 | 1. Subproject I Ma'anshan North Passage Road section: training conducted by the external environment supervision unit on 2016.01.12. 2. 6 subprojects: training conducted by Professor Liu Lingfeng on 2016.03.08 3. External environment supervision unit and 6 subprojects: training conducted on 2016.04.14. |
| | 1. Environmental Protection Law, regulations and related policies 2. Protection of cultural relics 3. Highway environmental impact assessment & environmental management plan 4. Environmental monitoring methods Environmental supervision | 2 persons from each contractor and construction supervision company; 4 persons from design institute | 4 days | 2013 | |
| | Environmental management emergency response plan and | 2 persons from FFPMO, each subproject implementing agency, each | 3 days | 2014-2015 | |

| Stage | Training Content | Attendee | Combined Duration | Time | Implementation Status |
|--------------------------|---|---|-------------------|-----------|---|
| | measures | contractor and each construction supervision company | | | See Table 13 for details |
| Operation | Environmental management and related policies | 1 person from each subproject implementing agency | 15 days | 2015-2016 | Not yet started. |
| Subprojects V, VI | | | | | |
| Construction | Environmental management and related policies | 1 person each from FFPMO, Anhui Province Ports and Shipping Construction Investment Group Co. Ltd, and design institute | 30 days | 2014-2015 | 1. 6 subprojects: training conducted by professor Liu Lingfeng on 2016.03.08. 2. External environment supervision unit and 6 subprojects: training conducted on 2016.04.14. See Table 13 for details. |
| | 1. Environmental Protection Law, regulations and related policies 2. Protection of cultural relics 3. Highway environmental impact assessment & environmental management plan 4. Environmental monitoring methods Environmental supervision | 2 persons from each contractor and construction supervision company; 4 persons from design institute | 4 days | 2014 | |
| | Environmental management emergency response plan and measures | 2 persons each from FFPMO, Anhui Province Ports and Shipping Construction Investment Group Co. Ltd, and Xuancheng Port & Navigation Management Bureau | 3 days | 2014 | |
| Operation | Environmental management and related policies | 1 person each from FFPMO, Anhui Province Ports and Shipping Construction Investment Group Co. Ltd, and Xuancheng Port & Navigation Management Bureau | 30 days | 2015-2016 | Not yet started. |

Table 14: Environmental training seminars and workshops

| Topic | Trainer | Attendee | | Date |
|--|------------------------|------------------------|-----|------------|
| | | Organization | No. | |
| FFPMO 2015 second half comprehensive review and assessment | 1. WANG Qiaochu, chief | FFPMO, IA, contractors | 60 | 2016.01.12 |

| Topic | Trainer | Attendee | | Date |
|---|--|---|-----|------------|
| | | Organization | No. | |
| workshop: 1. Key environmental problems during construction in second half of 2015 2. Summation on implementation of appropriate environmental protection measures 3. Key issues and focus on future environmental protection | engineer, BZHEPTCL 2. MA Qiqi, environmental engineer, BZHEPTCL 3. LI Shuaibin, environmental engineer, BZHEPTCL | and supervision staffs | | |
| Review and assessment workshop for subproject I: Ma'anshan North Passage Road, description of organizational structure and responsibilities, requirements for supervision organizations | 1. CHOU Dongqing, environmental engineer, BZHEPTCL 2. LI Shuaibin, environmental engineer, BZHEPTCL | FFPMO and IA, contractors and supervision staff for subproject I | 30 | 2016.01.15 |
| Implementation of environmental protection measures and environment management during construction period (Introducing the environmental protection plan during the construction period of subprojects, especially the potential major environmental problems and measures accordingly in different construction stages of the subprojects, clarifying the duties of IA, contractors and environmental protection director of different projects) | 1. Liu Lingfeng, environmental expert, Shanghai Ship and Shipping Research Institute | FFPMO, IA, contractors and supervision staffs | 84 | 2016.03.08 |
| Implementation of environmental protection measures during construction period and guiding the compiling of semi-annual environmental monitoring report | ADB Environment Specialist | Director's office, PMO and supervision staffs of the 4 road subprojects | 13 | 2016.04 |
| Notes: ADB = Asian Development Bank; AHEPESTCL = Anhui Huafan Environmental Protection Engineering Science and Technology Co. Ltd.; BZHEPTCL = Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd.; FFPMO = Foreign-funded Project Management Office; IA = implementing agency | | | | |

3. Public Consultation, Disclosure and Grievance Redress Mechanism

3.1 Public Consultation and Information Disclosure

Table 15 presents the public consultation plan and implementation status as of 30 July 2016. Project information and environmental impact assessment findings were disclosed on local city and/or county government web sites. Bill boards were also erected on construction sites and construction camps disclosing project information, environmental and safety measures, and complaint hotline numbers to the communities. Investigations were carried out among surrounding residents of the subprojects during the reported period.

Table 15: Public consultation plan and implementation status

| Organizer | Format | No. of Times | Subject | Attendees | Implementation Status |
|---|-------------------------------------|--|---|---|--|
| Construction stage | | | | | |
| FFPMO, IAs | Public consultation & site visit | 4 times: 1 time before construction commences and 1 time each year during construction | Adjusting of mitigation measures, if necessary; construction impact; comments and suggestions | Residents adjacent to project sites, representatives of social sectors | Interview investigations carried out among surrounding residents of every subproject. |
| FFPMO, IAs | Expert workshop or press conference | As needed based on public consultation | Comments and suggestions on mitigation measures, public opinions | Experts of various sectors, media | Conducted once to the subprojects during the second half of 2015. The status of 2016 will be illustrated in the next semi-annual report. |
| Operational stage | | | | | |
| FFPMO, O&M units | Public consultation and site visits | Once in the first year | Effectiveness of mitigation measures, impacts of operation, comments and suggestions | Residents adjacent to project sites, representatives of residents and representatives of social sectors | Not yet started |
| FFPMO, O&M units | Expert workshop or press conference | As needed based on public consultation | Comments and suggestions on operational impacts, public opinions | Experts of various sectors, media | Not yet started |
| Notes: | | | | | |
| FFPMO = Foreign-funded project management office; IA = implementing agency; O&M = operation and maintenance | | | | | |

2.4 Project Grievance Records and Resolution

No complaint had been received during the reported period since the project benefits the public and is widely supported. The grievance redress mechanism (GRM) consists of a 3-step procedure as described below and shown in Figure 4. Table 16 shows the complaint hotline numbers and personnel responsible for handling complaints.

Step 1: For environmental issues during the construction stage, the affected persons can register their complaints directly with the contractors. Contractors are required to set up a complaint hotline and designate a person in charge of handling complaints, and advertise the hotline number at the main entrance to each construction site. The contractors are required to maintain and update a Complaints Register to document all complaints. The contractors are also required to respond to the complainant in writing within seven calendar days on their proposed solution and how it will be implemented. If the problem is resolved and the complainant is satisfied with the solution, the grievance is considered addressed. The contractors are required to report

complaints received, handled, resolved and unresolved to APDOT PPMO monthly.

Step 2: For environmental issues that cannot be resolved by the contractors, the affected person can take the grievance to the IA LPMOs and local EPBs. On receiving complaints by the IA LPMOs or local EPBs, the party receiving the complaints must notify the other party and document the complaint in writing in a Complaints Register. The IA LPMOs and local EPBs must reply to each complainant in writing within 14 calendar days with the proposed solution and method of implementation. If the issue is resolved and the complainant is satisfied with the solution, the IA LPMOs and local EPBs should document the complaint and resolution process in its Complaint Register, with monthly reporting to APDOT PPMO.

Step 3: If the complainant is not satisfied with the proposed solutions in Step 2, he/she can, upon receiving the reply, take the grievance to the APDOT PPMO complaints center. Upon receiving the complaint, the center must deal with it within 14 calendar days. Once a complaint is documented and put on file, the APDOT PPMO complaints center will immediately notify ADB. After discussing the complaint and potential solutions amongst ADB, APDOT PPMO and the ESE, the complainant and the contractor, APDOT PPMO must propose a resolution strategy within 14 calendar days from when the complaint is registered.

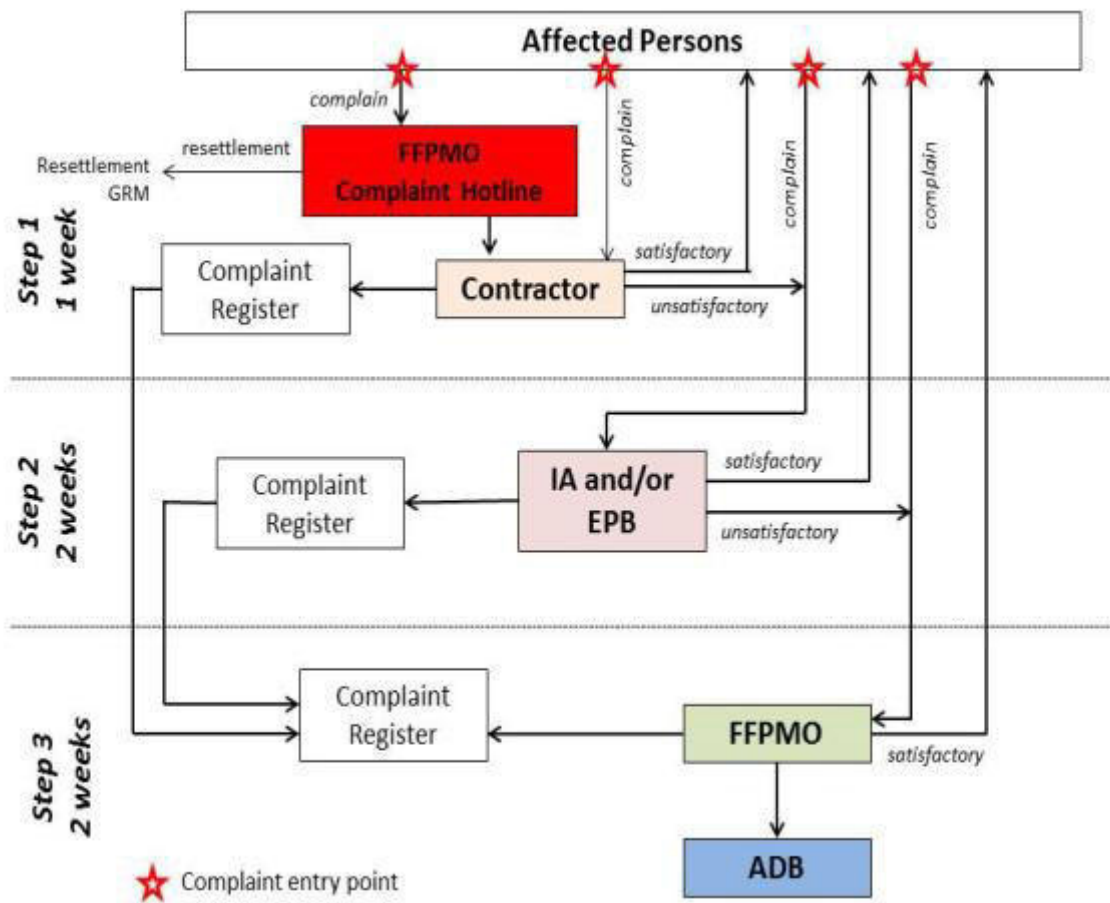


Figure 4: Project specific grievance redress mechanism

Table 16 Complaint hotline numbers and personnel for the grievance redress mechanism

| Subproject | Hotline Number | Staff & Organization | Telephone Number |
|---|----------------------------|---|--------------------------------|
| Foreign-funded project management office | 0551-63756194 | XU Benqing, FFPMO | 152 5515 0716 |
| I: S367 Ma'anshan Section North Passage Road | 0555-2318082 | XIA Song, Ma'anshan City Highway Administration Bureau | 189 5555 9280 |
| II: S319 Erba to Wuwei Section | 0553-6689898 | ZHOU Xiusheng, Wuwei County Transport Bureau | 138 5652 4957 |
| | | CHEN Ruisheng, Wuhu City Highway Administration Bureau | 138 5658 0860 |
| III: Yimu Highway Kedian to Mujiating Section | 0553-12369 or 0553-6823455 | YANG Yang, Nanling County Transport Bureau | 189 1202 83792 |
| IV: G206 Dongliu to Yaodu Section | 0566-7026620 | WEN Fadong, Chizhou City Highway Administration Bureau | 180 5667 3190 or 139 5689 8908 |
| V & VI: Shuiyang River & Xuanzhou Port | 0563-3187877 | CHENG Guozheng, Anhui Province Ports and Shipping Construction Investment Group Co. Ltd | 151 7852 0328 |

4. REQUIREMENTS COMPLIED WITH EMP

Site inspections during the reporting period have showed that most of the requirements in the EMP (see Appendix 1) have been implemented. The identified environmental problem is littering of wastes from the maintenance of sedimentation tanks as well as construction and demolition. The corrective measures that are described below will be followed up in the next reporting period. The corrective measures that have been identified in the last reporting period have been implemented in this reporting period.

4.1 Necessary Corrective Measures

Environmental problems identified in the last reporting period and the corrective measures implemented in this reporting period are shown in Table 17.

Table 17: Follow-up actions on the environmental problems identified in the last reporting period

| Subproject | Environmental problems identified in the last reporting period and necessary corrective measures | Follow-up inspections in the reporting period | |
|----------------------------|--|---|---|
| | | Implemented | Description |
| I. Ma'anshan North Passage | Construction started on December 12 th , 2015. Only contract 4-4 started construction. The other three contracts were still in the mobilization stage. The contractors should pay high attention to the EMP requirements listed here. | Yes | <ol style="list-style-type: none"> All of the environment protection measures were implemented strictly in accordance with the EIA suggestions and EMP requirements. The environment quality monitoring followed the environment monitoring plan as much as possible. It was not allowed to continue construction work at night at residential |

| Subproject | Environmental problems identified in the last reporting period and necessary corrective measures | Follow-up inspections in the reporting period | |
|---|--|---|--|
| | | Implemented | Description |
| | | | <p>areas. If it was necessary, the contractors should file an application and post a notice.</p> <p>4. The supervision on the construction of bridges were strengthened in the dry season to ensure no slurry entered the surrounding water bodies.</p> <p>5. Drainage ditches and guardrails were installed around the construction site.</p> |
| 2. Erba-Wuwei Section of S319 | (1) Materials should be stockpiled in closed storage areas and should not be put in the open air. | Yes | Material shacks and 3-side nets were set up for the materials to be put neatly inside the shacks. |
| | (2) The drainage system in the concrete mixing station should be improved. | Yes | The drainage system has been hardened by concrete and is dredged on a regular basis. It is running well at present. |
| | (3) The sedimentation tanks of the concrete mixing station should be cleaned and maintained regularly to lower the water level. | Yes | Three levels of sedimentation tanks were established at the mixing station. The tanks are cleaned on a regular basis. With the water level dropping to a normal level, the tanks are running very well. |
| | (4) The material stockpiles of the No.1-2 contract section should be covered to avoid dust emissions. | Yes | The materials of the No.1-2 contract section have been covered by geotextiles. |
| | (5) According to the ADB's requirements, there should be an upstream control station and a downstream impact station at the monitoring location on the Xihe River during the construction of the Xihe River Bridge, so as to monitor the SS. | Yes | According to the requirements of the ADB, the monitoring organizations have been informed during the monitoring period to monitor the quality of Xihe River at 50 meters upstream and at 50 meters downstream from the construction site of the Xihe River. |
| 3. Kedian-Mujiating Section of Yimu Highway | (1) Supervision should be strengthened in the dry season during the construction of the bridge to ensure that no slurry would flow from the containment ponds into water bodies. | Yes | Supervision has been strengthened during the construction to prevent slurry from flowing into water bodies. |
| | (2) Drainage ditches and guardrails should be installed along the boundaries of the construction site. | Yes | Drainage ditches and maintenance structures have been set up along the boundaries of the construction site. |







| Subproject | Environmental problems identified in the last reporting period and necessary corrective measures | Follow-up inspections in the reporting period | |
|--|---|---|--|
| | | Implemented | Description |
| 4. Dongliu-Yaodu Section of G206 | (1) The damaged side slopes of the No.2-1 contract section should be rehabilitated and stabilized. | Yes | The damaged 3-side nets that cover the side slopes have been repaired and the side slopes are now fully covered. |
| | (2) The drainage ditches along the construction site should be cleaned to improve the drainage system, so as to be well-prepared for the upcoming rainy season. | Yes | The blocked drainage ditches along the roads have been dredged. |
| | (3) The construction and demolition wastes from the nearly completed No. 2-1 contract section should be cleaned up and removed. | Yes | The construction wastes have been collected and removed. |
| | (4) The packaging materials of the grider construction in the No. 2-2 contract section should be cleaned and removed. | Yes | The packaging materials have been removed and trash bins have been put at the construction sites. |
| | (5) The dust suppression measures for the asphalt mixing station of the two contracts should be reviewed and improved. | Yes | Errors have been identified on the dust catchers on two storage bins of the asphalt mixing station at the No. 2-2 contract section. The dust catchers have been repaired and the other dust catchers are running normally. |
| | (6) According to the monitoring plan, there should be two 2-day bird researches, one in the transitional season and one in winter, along the lake from chainage K6+000 to K15+000. | Yes | Hefei Changxin Environmental Protection Technology Co. Ltd. has been commissioned for the bird monitoring job, which has started in March, 2016. |
| | (7) Since the SS level downstream has twice exceeded 130% of the SS level upstream, the construction methods of the bridge and the effectiveness of the slurry containment pond for the Quanshui Lake should be reviewed. | Yes | The installation of pile foundations and upper structures has been completed in March, 2016. The bridge surface paving is now under way without disturbing or polluting the river. |
| 5. Shuiyang River Waterway Improvement | (1) Oil leakage should be prevented. | Yes | The road surface has been hardened to prevent oil leakage. The seepage-proof of the construction machine has been reinforced and the machines at the construction sites have been better managed. |
| | (2) Enough trash bins should be put at the construction sites to improve the collection and management of refuse. (3) Practical environment monitoring should be implemented as soon as | Yes | There are enough trash bins at the construction sites and the refuse can be disposed in time. Hefei Haizheng has monitored the |




| Subproject | Environmental problems identified in the last reporting period and necessary corrective measures | Follow-up inspections in the reporting period | |
|-------------------------------|---|---|---|
| | | Implemented | Description |
| | possible. | | environment quality at the small estuary of the Shuiyang River and at the shipyards according to the EMP of the ADB. See section 2.3 for more details. |
| 6. Xuanzhou Multipurpose Port | (1) The embankment should be better protected to prevent slurry or muddy water from flowing into Shuiyang River. (2) Practical environment quality monitoring should be implemented as soon as possible. | Yes | The embankment has been protected by sandbags to prevent slurry or muddy water from flowing into Shuiyang River. Hefei Haizheng Environment Monitoring Co. Ltd. has monitored the environment quality at the Xuanzhou Port according to the EMP. |





In the reporting period, the ESE has identified the environment problems listed in Table 18 and informed the contractors to conduct corrective measures. The contractors have adopted appropriate corrective measures and will continue the follow-up work in the next reporting period.


Table 18: Environment problems identified in this reporting period and the improvement

| Subproject | Environment problems identified in the reporting period | Improvement |
|-------------------------------|---|--|
| 2. Erba-Wuwei Section of S319 |  |  |
| | The land of the mixing station was not smoothed and hardened | Improvement: The land of the mixing station is being hardened and smoothed |





| Subproject | Environment problems identified in the reporting period | Improvement |
|------------|--|---|
| |  |  |
| | <p>The materials loaded on the vehicle exceeded the height limitation and were uncovered</p> | <p>Improvement: The vehicles are covered by geotextiles and do not exceed the height limitation</p> |
| |  |  |
| | <p>The drainage ditches were blocked at some place</p> | <p>Improvement: The drainage ditches have been dredged</p> |
| |  |  |
| | <p>A little refuse at the bank side was not</p> | <p>Improvement: The refuse at the bank side has been cleaned up</p> |

| Subproject | Environment problems identified in the reporting period | Improvement |
|---|---|---|
| | cleaned up | |
| 3. Kedian-Mujiating Section of the Yimu Highway |  |  |
| | The surface soil was exposed and uncovered after the side slopes were dug up | Improvement: The surface soil has been covered by geotextiles to avoid being washed off by rain |
| 4. Dongliu-Yaodu Section of G206 |  |  |
| | The drainage ditches along the construction sites should be cleaned up to improve the drainage system | Improvement: The drainage ditches and the sedimentation tanks have been cleaned up |
| |  |  |
| | Construction wastes, such as packaging materials, scattered around on the second bridge over the Quanshui Lake of the No. 2-2 section | Improvement: The wastes on the bridge have been removed |

| Subproject | Environment problems identified in the reporting period | Improvement |
|--|--|--|
| |  <p data-bbox="375 590 862 695">Materials, such as cement, scattered around the spoil ground of the No. 2-1 section</p> |  <p data-bbox="902 564 1414 669">Improvement: The wastes have been cleaned away from the spoil ground and grass seeds have been spread</p> |
| |  <p data-bbox="375 1018 862 1165">The black dust suppression net above the bottom walls of the storage bins of the mixing station of No. 2-2 section was damaged and unstable</p> |  <p data-bbox="886 993 1430 1098">Improvement: The damaged part of the dust suppression net has been repaired and strengthened</p> |
| 5. Shuiyang River Waterway Improvement |  <p data-bbox="370 1556 873 1629">The mud at the side of the small estuary entered the water bodies</p> |  <p data-bbox="902 1556 1414 1629">Improvement: The dust at the bank side has been cleaned up</p> |

| Subproject | Environment problems identified in the reporting period | Improvement |
|-------------------------------|---|--|
| |  |  |
| | The materials were not covered | Improvement: The materials have been piled neatly and covered |
| 6. Xuanzhou Multipurpose Port |  |  |
| | The materials were not piled neatly and uncovered | Improvement: The materials have been put neatly into the shacks |
| |  |  |
| | The bottoms of the oil tanks were not seepage-proof | Improvement: The oil tanks have been cleaned up |

| Subproject | Environment problems identified in the reporting period | Improvement |
|------------|---|---|
| |  |  |
| | <p>The materials scattered around and the land was not smoothed</p> | <p>Improvement: The land has been smoothed and the materials have been put at one place</p> |
| |  |  |
| | <p>The access road was not smoothed and hardened</p> | <p>Improvement: The access road has been smoothed and hardened</p> |
| |  |  |
| | <p>The side slopes of the road were</p> | <p>Improvement: The grass seeds have been</p> |

| Subproject | Environment problems identified in the reporting period | Improvement |
|--|--|---|
| | exposed | spread and the land has been covered by plastic sheets to prevent soil erosion |
| |  |  |
| | Enclosures should be set up along the road | Improvement: Enclosures have been set up along the road |
| |  |  |
| The land of the mixing station was not smoothed and hardened | Improvement: The land of the mixing station has been smoothed and hardened | |

5. APPENDICES

5.1 APPENDIX I: STATUS OF EMP COMPLIANCE

Table A.3: Generic Impacts and Mitigation Measures

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|-------------------------------|---------------|--|---|---|---|
| Detailed Design Stage | | | | | |
| See Project Specific EMPs | | Ensure that the mitigation measures in the detailed design are adopted | <ul style="list-style-type: none"> ● FFPMO of APDOT appoints external environment supervision organizations | Complied. Beijing Zhongzi Huayu Environmental Protection Technology Co. Ltd. was commissioned as the external environment supervision organizations through open tendering | None |
| Pre-construction Phase | | | | | |
| Institutional strengthening | - | Lack of environment management capacity within FFPMO of APDOT | <ul style="list-style-type: none"> ● Appoint one qualified environment specialists to FFPMO of APDOT. ● Appoint one environmental monitoring station to conduct environment quality monitoring during the construction stage. ● ESE conducts first phase of environment management training for FFPMO staff and environmental experts. | <p>Complied. FFPMO appoints Xu Benqing as the environmental director of the project.</p> <p>Complied. 6 subprojects have been commissioned to environment monitoring organizations. See 2.3 for more details.</p> <p>Complied. By far, ESE has provided the first phase of training for the FFPMO and</p> | 3 subprojects are still in the process of mobilization and in the initial stage of construction. The 3 subprojects will be commissioned to environment monitoring stations before the construction. |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|------------|---------------|--|---|---|---------------------|
| | | | <ul style="list-style-type: none"> ESE conducts environmental management training for contractors. | <p>environmental experts. See 3.1 for more details.</p> <p>Complied. By far, ESE has provided training for contractors. See 3.1 for more details.</p> | |
| | - | Lack of environment management and monitoring capacity within IA LPMOs | <p>Each IA establishes an LPMO and appoints one qualified environment expert to staff.</p> <p>External environment supervision organizations conduct initial environment management training for the IA LPMOs.</p> <p>ESE provides follow-up training.</p> | <p>Complied. Each subproject has established its own PPMO and has been equipped with an environment director.</p> <p>Complied. ESE has conducted training for related personnel of the PPMO. See 3.1 for more details.</p> <p>Complied. ESE is responsible for the follow-up training of each subproject.</p> | None |
| EMP update | - | - | <ul style="list-style-type: none"> Review mitigation measures defined in the EMP. Update as required and reflect the updates in the detailed design. Submit updates to ADB/PPMO for approval. Publish updated EMP on the website of the project. Prepare an environment compliance monitoring plan to meet the environmental requirements in the EIA and EMP. | <p>Complied.</p> <p>No updates during the reporting period.</p> <p>Complied.</p> <p>Complied. ESE has formulated EMP for every subproject according to EMP and EIA.</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|-----------------------------------|-------------------------|---|--|---|----------------------------|
| Grievance redress mechanism (GRM) | Society and environment | Contractors are responsible for handling and resolving the complaints | <ul style="list-style-type: none"> Establish a GRM and appoint a GRM coordinator within FFPMO, each IA LPMO and each contractor. Provide a brief and basic training about the GRM access points. Disclose GRM to affected people at the main entrance to each construction site before construction begins. | <p>Complied. GRM has been set up for each project, including complaint hotlines, complaint mailboxes and project directors. See Section 3.2 for more details.</p> <p>Complied. Trainings related to the GRM have been conducted.</p> <p>Complied. Complaint hotlines and complaint mailboxes have been disclosed to the affected people at the entrance to each subproject.</p> | None |
| Tender documents | | Environmental and social impact | Ensure that all the environmental and social mitigation measures related to the construction have been incorporated into the tender documents, which should include a clause which states the employment of a proportion of local personnel. | Complied. The related requirements have been put into the tender documents. | None |
| Construction traffic | Traffic | Traffic jam caused by construction vehicles | <ul style="list-style-type: none"> Plan transport routes for construction vehicles. Specify approved routes in the tender documents and forbid vehicles from using other routes, especially during peak traffic hours. | <p>Complied. Transport routes have been planned for the vehicles.</p> <p>Complied.</p> <p>Complied. The drivers have</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|---|----------------|--------------------------------|--|--|---------------------|
| | | | <ul style="list-style-type: none"> • Inform drivers of the haulage routes. • Separate construction traffic from pedestrians. Local residents are not allowed to walk through construction sites. | <p>been informed of the planned routes.</p> <p>Complied. Warning signs have been set up at the border of the construction sites.</p> | |
| Construction Stage | | | | | |
| Good practice at the construction sites | Soil resources | Soil stripping | <ul style="list-style-type: none"> • Strip topsoil from subsoil and store the two kinds of soil separately, protecting the topsoil so that it can be reused after restoration. • Stockpiles cannot be higher than 2 meters with the side slopes at the natural angle of repose. • Topsoil to be stored for a long time can be used to grow grass. | <p>Complied. The topsoil and subsoil from construction have been stored separately. Grass seeds have been spread on the topsoil to avoid soil erosion.</p> <p>Complied.</p> <p>Complied. Grass seeds have been spread on the topsoil to be stored for a long time and the topsoil will be reused after construction.</p> | None |
| | Soil resource | Soil erosion | <ul style="list-style-type: none"> • Ensure contractors are aware of all soil erosion requirements in the approved Water and Soil Conservation Plans so as to develop appropriate advice in terms of method and management. • If possible, try not to | <p>Complied. ESE has provided training in conservation of water and soil for the contractors.</p> <p>Complied. All the subprojects</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|----------------------|---------------------------------------|---|---|---|
| | | | <p>continue construction during high rainfall period. If necessary, build berms to direct rainwater runoff away from exposed surface.</p> <ul style="list-style-type: none"> • Install drainage ditches and sedimentation pits in temporary construction sites to prevent soil erosion and control the runoff. • Stabilize all the cut slopes, embankments and other erosion-prone construction sites during construction. Try to implement permanent stabilization measures within at least 30 days. • Pay close attention to drainage facilities and build vegetation covers at the backfilled area to prevent soil erosion. | <p>seldom continue construction during high rainfall periods. To control rainwater runoff, drainage ditches have been set up to prevent soil erosion.</p> <p>Complied. Drainage ditches and sedimentation pits have been set up at the subproject construction sites to prevent soil erosion and control the runoff.</p> <p>Complied. During construction, geotextiles have been put upon the cut side slopes to prevent soil erosion.</p> <p>Complied.</p> | |
| | Soil resources | Soil pollution | <ul style="list-style-type: none"> • Properly store the petroleum products, hazardous materials and wastes on an impervious ground, preferably with trays or bunds to contain leaks. • Set up a response plan for | <p>Complied. Seepage-proofing measures have been used on all the oil tanks at the construction sites; the places where the oil tanks are placed have been hardened and trays have been provided.</p> <p>Complied. Employees have</p> | Each contractor sets up a response plan for leakage, keeps stock of absorbents and trains employees in their use. |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|-------------------------------------|----------------------|---------------------------------------|---|--|----------------------------|
| | | | <p>leakage. Keep stock of absorbent materials (e.g. sand, soil or industrial products) on site to deal with spillage and train employees in their use.</p> <ul style="list-style-type: none"> • If there is a leakage, take immediate actions to prevent pollution from flowing into drainage ditches, watercourses, unmade ground or porous surfaces. Do not hose the spillage down or use any detergents. Use oil absorbents and dispose of used absorbents at a licensed waste management facility. • Record all the leakage incidents and actions in the environmental monitoring logs and report to ESE; and • Remove all the construction wastes from construction sites to licensed disposal sites. | <p>been trained about response measures for leakage incidents.</p> <p>Complied. Did not occur during the reporting period.</p> <p>Did not occur during the reporting period.</p> <p>Basically complied. All the construction wastes from the construction have been moved to the disposal sites.</p> | |
| Good practice at construction sites | Air quality | Dust during construction | <ul style="list-style-type: none"> • Set up fences or shields around dusty activities, such as demolition. Frequently spray water on unpaved areas, backfilled areas and haul roads to suppress dust (at least once | <p>Basically complied. Three-sided nets or dust shields have been set up at material shacks and demolition activities of every subproject.</p> <p>Complied. Watering carts have</p> | |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|---------------------------------|----------------------|---------------------------------------|---|---|----------------------------|
| | | | every two hours and twice a day in winter; twice per day in winter, once every two hours) | been put at the construction sites for water spraying so as to suppress dust. | None |
| Construction site good practice | Air quality | Dust (TSP) during construction | <ul style="list-style-type: none"> ● Pay particular attention to dust suppression near sensitive receptors, such as schools, hospitals, residential areas and natural areas; ● Manage stockpile areas to avoid mobilisation of fine material, cover with tarpaulin and/or spray with water; ● Minimise storage time of construction materials and wastes on site by regularly removing them off site; ● Do not overload trucks transporting earth materials on public roads; ● Equip trucks transporting fine grained materials with covers or tarpaulin to cover loads during transport; ● Bulk materials transported by highway should be compacted and the packing height must not exceed the protective guard on the vehicle; | <p>Complied; walls set up and measures taken to prevent dust.</p> <p>Complied; covered.</p> <p>Complied; contractors have received training; regularly removing in place.</p> <p>Complied; overload are prohibited in public roads.</p> <p>Complied; covered.</p> <p>Basically complied. Violations found in a few subprojects and rectified as ESE required.</p> <p>Basically complied; subprojects have been required</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|---------------|--------------------------------|--|---|---------------------|
| | | | <ul style="list-style-type: none"> ● Install wheel washing equipment or conduct wheel washing manually at each exit of operation area to prevent trucks from carrying muddy or dusty substances onto public roads; ● Immediately clean up all muddy or dusty materials on public roads outside the exits of the operation areas; ● The main haul roads and access roads should be sealed to prevent raised dust. Unsealed roads should be watered daily; ● Plan the transport routes and timing to avoid busy traffic and heavily populated areas; ● Harden the main construction roads and sites; ● Mud dumping, transport and other construction activities likely to give rise to dust are not permitted during windy weather (level 4 wind); and ● Immediately plant vegetation in all temporary landtake areas upon completion of construction to prevent dust and soil erosion. | <p>to install as stated; installation basically completed.</p> <p>Complied; individual appointed in every subproject.</p> <p>Complied; roads regularly watered by sprinkler.</p> <p>Complied</p> <p>Basically complied; basically hardened.</p> <p>Complied; prohibited.</p> <p>No yet occurred in the reporting period</p> | |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|---------------|---|---|--|---------------------|
| | | Fumes and PM from asphalt mixing plant, concrete batching plant and other equipment and machinery | <ul style="list-style-type: none"> • Locate asphalt plants and mixers at least 300m downwind from residential areas and other sensitive receptors. • Enclose these plants and equip them with bag house filter or similar air pollution control equipment. • Minimise drop heights from conveyors, loading shovels, hoppers and other loading or handling equipment and use fine water sprays. • Regularly inspect and certify vehicle and equipment emissions and maintain to a high standard. | <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> | None |
| | | Emissions from vehicles and equipment | <ul style="list-style-type: none"> • Procurement of new vehicles and plant should take account of low emission alternatives; • All vehicles and plant to be kept in good order and maintained in compliance with the manufacturer's instructions; • Minimise movement of construction traffic around the site; • Impose speed limits of 10 kph on unsurfaced haul | <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Speed limits imposed on every subproject.</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|---------------|--|---|---|---------------------|
| | | | roads and working areas and 15kph on surfaced roads and working areas; <ul style="list-style-type: none"> • Set up speed limit signs on construction sites; • On road vehicles are to comply with vehicle emissions standards; • Prohibit the burning of waste on site; • Vehicles and equipment shall be switched off when not in use. | Complied Complied Complied | |
| | Air Quality | Noise from powered mechanical equipment and vehicles | <ul style="list-style-type: none"> • Sensibly schedule construction activities, avoid noisy equipment working concurrently. • Specify equipment and machinery that conforms to PRC noise standard GB12523-90 and ensure regular maintenance. • Select advanced quiet equipment and construction method, and tightly control the use of self-provided generators. • Comply with local requirements in areas with sensitive receptors very close by, avoiding construction works, | Complied Complied Complied Complied Complied, no night time construction. | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|----------------------|---------------------------------------|--|--|----------------------------|
| | | | <p>particularly noisy activities such as piling and compaction from 22:00 to 06:00.</p> <ul style="list-style-type: none"> • If night time construction needed, inform nearby residents beforehand, obtain permission of local government, keep local communities informed through bulletins, avoid using noisy equipment and set up temporary noise barriers. • Control the speed of bulldozer, excavator, crusher and other heavy plant travelling on site. • Adopt noise reduction devices and measures for works in proximity to sensitive noise receptors to ensure required standards are maintained. • Locate sites for rock crushing, concrete mixing and other noisy activities at least 300m away from sensitive noise receptors. • Minimize the use of whistles and horns, and prohibit the use of horns on construction | <p>Complied, speed limit signs are in place.</p> <p>Complied, temporary noise reduction devices are installed.</p> <p>Complied</p> <p>Complied</p> <p>Complied, communications with sensitive receptors are enhanced; notice will be given in advance; no noisy activities during sensitive periods.</p> | |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|------------------------|---|---|---|----------------------------|
| | | | <p>sites at night.</p> <ul style="list-style-type: none"> ● Maintain regular communication with sensitive receptors such as schools within 200m of the construction sites to avoid noisy activities within sensitive periods, such as examination periods. | | |
| | Noise | Control of drainage and flooding on site | <ul style="list-style-type: none"> ● Locate temporary working and storage areas away from drainage lines ● Provide temporary drainage at construction sites ● Provide pollution control such as oil and silt traps at discharge points where hydrocarbons and aggregate may contaminate runoff ● Take measures to reduce the risk of soil erosion on exposed surfaces prior to the start of the heavy summer rains. | <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied, exposed surfaces are covered by plastic cloth in times of rains.</p> | None |
| | Natural drainage lines | Management of works in and adjacent to watercourses | <ul style="list-style-type: none"> ● Programme in channel works during the dry season. ● Use coffer dams for construction of bridge foundations for ease of construction in the dry and minimize turbidity in the | <p>Basically complied</p> <p>Complied, slurry from piling diverted to settling ponds</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|----------------------|---------------------------------------|---|---|----------------------------|
| | | | <ul style="list-style-type: none"> river. ● Construction water is treated via settlement pit prior to re-use or discharge to surface waters ● Erect berms or sandbags during bridge foundation works if necessary to contain runoff polluting the rivers. ● Avoid locating administrative buildings or storage areas on the floodplain during the summer monsoon season ● Maintain adequate flood flow during the rainy season. ● All camps, fuel storage, refuelling and maintenance areas to be located at least 200m from watercourses. ● Construction materials such as aggregate and cement must be protected from rainfall and runoff to prevent erosion ● Scour protection to be provided on the pier footings and on the flood banks on the outside curve of meanders | <p>Complied in every subproject.</p> <p>Complied, sandbags used.</p> <p>Complied</p> <p>Complied</p> <p>Complied in every subproject.</p> <p>Complied, tarpaulin used to cover materials.</p> <p>Complied</p> | |
| | Water Quality | Discharge of construction site | <ul style="list-style-type: none"> ● Provide temporary toilets sufficient for the size of the | Complied, sufficient toilets | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|---------------|--------------------------------|---|--|---------------------|
| | | wastewater | <p>workforce at canteens, construction camps and major construction sites.</p> <ul style="list-style-type: none"> • Septic tanks must be emptied periodically and the contents must be transported to urban wastewater treatment plants for treatment or be spread on agricultural land. • All construction wastewater to be treated to appropriate PRC standard prior to discharge to surface waters. • Stockpiles should have temporary drainage provisions to minimise run-off. • Reuse equipment and wheel wash wastewater for dust suppression. • Install sedimentation tanks on sites to treat process water and muddy runoff. | <p>provided in every subproject.</p> <p>Complied, septic tanks are regularly emptied and transported to urban wastewater treatment plants for treatment or be spread on agricultural land for reuse.</p> <p>Complied, settlement pits used.</p> <p>Complied</p> <p>Complied</p> <p>Complied, sedimentation tanks installed in every subproject camp.</p> | |
| | | Spoil | <ul style="list-style-type: none"> • Balance cut and fill on construction sites to minimize the amount of spoil to be disposed; • Ensure that spoil is disposed of carefully at dump sites, to create stable landforms; | <p>Complied</p> <p>Complied</p> <p>Complied, procedures</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|----------------------|---|--|---|----------------------------|
| | | | <ul style="list-style-type: none"> • Spoil disposal sites must be approved in advance; • Revegetate spoil disposal sites at the earliest opportunity. | <p>completed ;sites approved.</p> <p>Not yet occurred in the reporting period</p> | |
| | Solid waste | Refuse in construction sites | <ul style="list-style-type: none"> • Set up centralized domestic and construction waste collection point(s). • Sort materials on site, for reuse, recycling and disposal. • Identify final disposal routes and approved sites. • Use covered dump truck to remove construction and demolition waste. • Appoint a named individual to manage the waste disposal. • Prohibit the burning of waste on construction sites. | <p>Complied, collection points set up in all sites.</p> <p>Complied, materials sorted.</p> <p>Complied</p> <p>Complied</p> <p>Complied; individual appointed.to every subproject.</p> <p>Complied</p> | None |
| | | Protection of vegetation and restoration of disturbed areas | <ul style="list-style-type: none"> • Demarcate the construction working area to prevent encroachment and damage to adjacent areas. • Ensure any valuable trees that are being retained are protected with fencing | <p>Complied, closures and signs clearly in place.</p> <p>Complied, trees transplanted,</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|---------------|--------------------------------|---|---|---------------------|
| | | | <p>and/or put conspicuous markings and warning signs on these trees to prevent workers from inadvertently damaging or destroying them.</p> <ul style="list-style-type: none"> • Ensure sufficient aftercare for landscape planting to maximise survival. | <p>with warning signs in place.</p> <p>Complied</p> | |
| | Ecology | Protected species | <ul style="list-style-type: none"> • Prohibit any injury to key protected animals, such as the Asiatic toad and turtle. • If any injured animals are found, report to local wildlife protection department. | <p>Not found in the reporting period</p> <p>Not found in the reporting period</p> | None |
| | | Protected species Greening | <ul style="list-style-type: none"> • Qualified ecologist will be on site prior to start of construction to check construction sites for protected species and translocate any discovered on site | Complied | None |
| | | | <ul style="list-style-type: none"> • Implement the revegetation plans, which may include seeding with grass and planting trees and shrubs. | Complied; vegetation transplanted during the construction of Gemu Highway. | None |
| | | Destruction of cultural relics | <ul style="list-style-type: none"> • Contractor to comply with <i>the Cultural Relics Protection Law</i> and <i>Implementation Regulations</i> | Complied | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|-----------------------------|----------------------------------|--|---|---------------------|
| | | | <p><i>on the Cultural Relics Protection Law of the PRC.</i></p> <ul style="list-style-type: none"> • If relics are discovered, stop work immediately and protect the site; notify the supervising entities and the local Cultural Relics Bureau; and only start construction after approval by the Cultural Relics Bureau; • Educate workforce on these procedures. | <p>Not yet found in the reporting period</p> <p>Complied, workforce educated by ESE.</p> | |
| | Physical cultural resources | Sanitation in construction sites | <ul style="list-style-type: none"> • Effectively clean and disinfect the site, including disinfection of toilets and waste disposal sites, and ensure timely removal of solid waste; • Exterminate rodents on sites at least once every 3 months, and exterminate mosquitoes and flies at least twice each year; • Provide public toilets in accordance with the requirements of labor management and sanitation departments in the living areas on construction site, • Appoint designated staff responsible for cleaning and | <p>Complied; regular disinfection and timely waste disposal.</p> <p>Complied; done regularly.</p> <p>Complied</p> <p>Complied</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|-------------------|--------------------------------|---------------------------------------|--|---|----------------------------|
| | | | disinfection. | | |
| Health and Safety | Occupational health and safety | Occupational safety | <ul style="list-style-type: none"> • Appoint Environment, Health and Safety Officer to develop and implement environmental, health and safety management plan, maintain records concerning health, safety and welfare and regularly report on accidents, incidents and near misses. • Train all construction workers in general health and safety matters and on emergency preparedness and response procedures. • Provide personal protective equipment (hard hats, shoes, eye goggles, respiratory masks, and high visibility vests) to all construction workers and enforce their use. • Provide goggles and respiratory masks to workers doing asphalt road paving. • Provide ear plugs to workers working near noisy powered mechanical equipment (PME), especially during piling of bridge foundations. | <p>Complied, officer appointed to every subproject.</p> <p>Complied</p> <p>Not yet occurred in reporting period</p> <p>Not yet occurred in reporting period.</p> <p>Complied</p> <p>Explosions not occurred during reporting period; thus explosives not involved</p> <p>Complied</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|----------------------|---------------------------------------|---|---|----------------------------|
| | | | <ul style="list-style-type: none"> ● Ensure safe handling, transport, storage and application of explosives for blasting. ● Provide a clean and sufficient supply of fresh, potable water for all camps and work sites. ● Provide an adequate number of latrines and other sanitary arrangements at the site and work areas and ensure that they are cleaned and maintained in a hygienic state. ● Safe working in confined spaces for foundations such as the ship lock. ● Measures to prevent the collapse of walls, such as the chambers for the ship lock ● Provide adequate waste receptacles and ensure regular collection and disposal. ● Ensure that Contractors have adequate worker and third party insurance cover. ● No children (less than 14 years of age) to work on any contract. | <p>Complied; adequate toilets and regular cleaning.</p> <p>Not yet occurred in reporting period</p> <p>Not yet occurred in reporting period</p> <p>Complied; enough receptacles in place.</p> <p>Complied</p> <p>Complied</p> | |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|----------------------|---|--|---|----------------------------|
| | | Food safety | <ul style="list-style-type: none"> • Provide a secure source for drinking water at the construction camps • Inspect and supervise food hygiene in canteens on sites regularly. • Canteen workers must have valid health permits. • Once food poisoning is discovered, implement effective control measures immediately to prevent it from spreading | <p>Complied</p> <p>Complied, officer appointed to every subproject.</p> <p>Complied</p> <p>Not occurred in reporting period</p> | None |
| | | Disease prevention and safety awareness | <ul style="list-style-type: none"> • Construction workers must have physical examination before start working on site. • Provide annual health checks. • If infectious disease is found, the patient must be isolated for treatment to prevent the disease from spreading. • Establish health clinic at location where workers are concentrated, which should be equipped with common medical supplies and medication for simple treatment and emergency treatment for accidents. • Specify the person responsible for health and | <p>Complied</p> <p>Not needed during the reporting period.</p> <p>Not found during the reporting period.</p> <p>Complied, basic medical supplies and medicines provided. Once incidents occur, simple treatment and emergency treatment available.</p> <p>Complied, all construction camps of sub projects have</p> | |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|---------------|--------------------------------|---|---|---------------------|
| | | | <p>epidemic prevention responsible for the education and propaganda on food hygiene and disease prevention to raise the awareness of workers.</p> <ul style="list-style-type: none"> Regularly inspect works to ensure there are no areas of stagnant water that could provide breeding grounds for malaria, encephalitis and dengue fever mosquitoes. Regularly inspect works to ensure that there are no breeding grounds for the host snail for schistosomiasis Provide training to the workforce on disease prevention and safety awareness Undertake checks every six months for workforce working in areas / tasks with a moderate to high risk of contact with schistosomiasis and medicate if the disease is found. Inform the local Schistosomiasis Prevention and Treatment Office and report the incidence to the | <p>responsible persons for health and epidemic prevention and have conducted related trainings for workers.</p> <p>Complied, Checks were carried out and no stagnant water was found.</p> <p>Complied, regular checks conducted at construction sites.</p> <p>Complied, related trainings conducted for workers before entering construction sites.</p> <p>Complied, no diseases found during the reporting period.</p> <p>Complied</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--|-----------------------------|---------------------------------------|---|---|----------------------------|
| | | | local Health Administrative Department. | | |
| | Community health and safety | Temporary traffic management | A traffic control and operation plan will be prepared together with the local traffic management authority prior to any construction. The plan shall include provisions for identifying preferred haul routes, diverting or scheduling construction traffic to avoid morning and afternoon peak traffic hours, regulating traffic at road crossings with an emphasis on ensuring public safety through clear signs, speed controls and planning in advance. | Complied, preparations made prior to any construction, including preparations for traffic control and implementation plan. | None |
| | | Information disclosure | Residents and businesses will be informed in advance through publicity about the construction activities and provided with the dates and duration of expected disruption. | Complied, notice put up around sites prior to any construction, set up bulletin to brief basic information on projects and residents informed of related construction plans in advance. | None |
| | | Access to construction sites | <ul style="list-style-type: none"> Clear signs will be placed at construction sites in view of the public, warning people of potential dangers such as moving vehicles, hazardous materials, excavations and raising awareness on safety | Complied, clear signs placed around all the construction sites to warn people of potential dangers. | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|----------------|----------------------|---------------------------------------|---|--|----------------------------|
| | | | <p>issues.</p> <ul style="list-style-type: none"> All sites will be made secure, discouraging access by members of the public through fencing or security personnel, as appropriate. | Complied, people without project-related duties not allowed to enter into the construction sites to ensure safety. | |
| | | Utility services interruptions | <ul style="list-style-type: none"> Assess construction locations in advance for potential disruption to services and identify risks before starting construction. If temporary disruption is unavoidable, develop a plan to minimize the disruption in collaboration with relevant local authorities such as power company, water supply company, water bureau (for irrigation canals), and communication company. Communicate the dates and duration in advance to all affected people. | <p>Complied, notice on the choice of sites of batchboxes delivered to ESE prior to the launch of any project. And ESE will evaluate the environmental impacts and determine the feasibility of the sites.</p> <p>Complied</p> <p>Complied, effective communications on environmental impacts conducted with all the affected people.</p> | None |
| Demobilisation | Site cleanup | Site remediation and restoration | <ul style="list-style-type: none"> Contractor to keep a schedule of all temporary land prior land use, and land occupiers | <p>Complied</p> <p>All sub projects not yet</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|-----------------------------|------------------------|--|---|--|----------------------------|
| | | | <ul style="list-style-type: none"> • At the end of construction, all buildings, stockpiles, and litter on temporary land is to be removed. • Temporary land is to be restored to its original land use, unless agreed otherwise with the land occupier. • Borrow pits and spoil disposal sites are to be restored according to the approved plans and will be subject to approval by APEPD / local EPB during the environmental acceptance review on completion. • Latrines must be removed and the site disinfected and infilled. Sewage sludges may be spread on agricultural land. | <p>completed during the reporting period.</p> <p>All sub projects not yet completed during the reporting period.</p> <p>All sub projects not yet completed during the reporting period.</p> <p>All sub projects not yet completed during the reporting period.</p> <p>Toilets and constriction sites currently in use.</p> | |
| Grievance redress mechanism | Social & environmental | Handling and resolving complaints by contractor, IA LPMOs and APDOT PPMO | <ul style="list-style-type: none"> • Disclose GRM to affected people before construction begins at the main entrance to each construction site. • Maintain and update a Complaints Register to document all complaints. • Ensure satisfactory resolution of complaints within specified timescales. | <p>Complied, complaints hotlines and mailboxes set up at entrances to all the construction sites.</p> <p>Complied, no complaints received during the reporting period.</p> <p>Complied, no complaints received during the reporting</p> | None |

| | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Measures |
|--------------------------|----------------------|--------------------------------|---|--|---------------------|
| | | | | period. | |
| Operational Stage | | | | | |
| Environmental management | Operation activities | EMP | <ul style="list-style-type: none"> Prepare an EMP to address potential impacts, mitigation and monitoring needs, and institutional requirements for the operations phase | Not yet necessary in the reporting period. | |
| | | Emergency planning | <ul style="list-style-type: none"> Prepare an emergency response plan | Not yet necessary in the reporting period. | |

Table A.4: Specific Mitigation Measures for the Shuiyang River Improvement Works and Xuanzhou Port

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|--------------------------------------|------------------|---|--|--|-------------------|
| Detailed Design Stage | | | | | |
| Dredging Works on the Shuiyang River | Capital dredging | Volume of spoil to be disposed of and river bank protection | <ul style="list-style-type: none"> Confirm the reaches that need to be dredged and the estimates of volumes of dredged spoils Detailed design for plan form of the new meanders Detailed design for the bank protection works, including species of plants to be used | Complied, the length of Shuiyang River is 43.9 km, among which 24.6 km needs dredging due to silting and shallow waterways or river bends; the 32.6 km above the gate needs less dredging work than the other 13.25 km-long waterway. Most of the centreline of waterways were placed along the deep waterways and the dredging work includes waterway widening and deepening with the section | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|----------------|--|---|--|-------------------|
| | | | | <p>width of 45 m, water depth of 3.2m, side slope 1:4 to 1:5 and a total of 83.9 earthworks. The mud-dumping areas were centrally placed, and there were four areas in the 32.6km reach.</p> <p>Complied, the total length of protection bank is 13.11km. For those in Carp Beach, Liangshui Village, Xinhe Village and cut-bank in Tao Village, steel mesh gabion protection was placed to ensure the stability of the cut-bank, beachhead and the end of the beach.</p> | |
| | Land resources | Selection of dredged sludge disposal sites | <ul style="list-style-type: none"> ● Minimise the area of permanent and temporary land-take required ● Verify ponds for disposal of dredged sludges and restore to agricultural land. | <p>Complied. The principles for choosing a mud-dumping area are: maintaining the “dynamic balance of farmland” and put the reclamation work of cultivated land first.</p> <ol style="list-style-type: none"> 1. Taking up less farmlands and choosing the nearest lowlands and bottomlands. 2. Filling ponds and strengthening foundations to satisfy the demands of flood control and to ease the pressure of flood prevention. 3. Far away from cities and towns to prevent mud pollution. 4. In compliance with related requirement and satisfying the construction conditions. | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|-------------------------------------|--|--------------------------------|---|---|-------------------|
| Design of rubber dams and ship lock | Operational impacts | Fisheries | <ul style="list-style-type: none"> ● Develop operating rules for the two rubber barrages, including description of environmental constraints and environmental mitigation measures such as lowering the barrage in the event of fish migrations | The places of rubber dams and ship locks changed. Under designing currently. | None |
| Removal of ship building yard | Delay in the construction program for the ship lock and rubber dam | Contaminated land | <ul style="list-style-type: none"> ● Relocate ship building yard from the proposed ship lock site to a new location and conduct an EIR for the relocation and environmental impact to the new site. ● Sample the soils and assess the level of soil contamination ● On the basis of the results of the contaminated land assessment, develop and implement a remedial action plan ● Clean up the site, including the removal of all wastes and litter ● Collect and treat or dispose contaminated soils at a designated site to be agreed with the APDOT, WRB and APPSCIG ● The following MEP guidelines will be followed: <ul style="list-style-type: none"> a. <i>Guidelines for Risk Assessment of Contaminated Sites (consultation document)</i> b. <i>Guidelines for Soil Remediation of Contaminated Sites (consultation</i> | <p>Complied</p> <p>Complied, soil of the shipyards monitored. See Section 2.3 for detailed results.</p> <p>Complied.</p> <p>Complied, all wastes and rubbish cleaned at the sites.</p> <p>Complied, related monitoring conducted.</p> <p>Complied, removal of ship building yards in the design papers followed the principles below and related monitoring plans formulated.</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|----------------------------|--|---|--|--|-------------------|
| | | | <p>document)</p> <p>c. <i>Temporary Method for Environmental Management of Soil on Contaminated Sites (consultation document)</i></p> <p>d. <i>Technical Guidelines for Environmental Monitoring of Sites (consultation document)</i></p> | | |
| Design of Xiaohekou Bridge | Extreme weather events due to climate change | Extended dry season, more frequent high flows due to higher summer rainfall | <ul style="list-style-type: none"> Design vertical alignment of Xiaohekou Bridge sufficient to allow for Class IV navigation plus an allowance for increased conveyance of stormwaters due to more frequent extreme weather during wet season Review the design for scour protection on bridge piers and re-formed channel banks for more frequent, high magnitude flows. Provide piped drainage off the bridge | <p>Complied, vertical alignment of Xiaohekou Bridge designed sufficient to allow for Class IV navigation.</p> <p>Complied, the design on scour protection on bridge piers met the requirements.</p> <p>Not involved during the reporting period.</p> | None |
| | Health and Safety | Promote access for non-motorised transport and pedestrians | <ul style="list-style-type: none"> Design must ensure public health and safety. Promote non-motorized traffic with 2m lane for NMT along both carriageways. | <p>Complied, the design of Xiaohekou Bridge met the requirements of public health and safety.</p> <p>Complied</p> | None |
| Xuanzhou Multipurpose Port | Soil resources | Land raising | <ul style="list-style-type: none"> Confirm volume of spoil required for land-raising and the capacity of the donor site | Complied | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|---------------|--|--|--|-------------------|
| | Air quality | Dust | <ul style="list-style-type: none"> Design the port layout so the bulk loading facilities are screened by other buildings or permanent fences, and located away from sensitive receptors Select loading / unloading equipment that minimizes the entrainment of fine grained materials . Include measures such as screening and dust suppression into the design of the facility | <p>Complied, no residents around the ports in 200m and the layouts met the related requirements.</p> <p>Complied, chosen unloading equipment that minimizes the entrainment of fine grained materials with lowest pollution.</p> <p>Complied</p> | None |
| | Noise | Noisy activities during construction and operation | <ul style="list-style-type: none"> Calculate construction noise during typical and noisy activities, and identify further mitigation required to attenuate noise levels Plan the layout of the site and the scheduling of construction, so that buildings and other features on site shield sensitive receptors from noise during construction and operation activities Select plant and equipment with low noise levels. Site noisy operational equipment in acoustic housing and away from sensitive receptors Design fencing and landscaping around the port perimeter | <p>Complied, temporary barriers used to mitigate noise in the surroundings for the avoidance of negative effects.</p> <p>Complied, the layout of the site and the scheduling of construction provided in the preliminary design papers.</p> <p>Complied, chosen equipment with low noise levels.</p> <p>Complied, noisy operational equipment used far away from residential area.</p> <p>Basically complied, fencing and landscaping deigned around the port.</p> | None |
| | Solid wastes | Safe disposal of solid wastes arising during | <ul style="list-style-type: none"> Identify type and volume of different waste streams Make provisions for waste segregation and temporary storage prior to disposal | <p>Complied</p> <p>Complied, related preparations made in the design papers.</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|-------------------|-----------------------------|---|---|---|-------------------|
| | | operation | <ul style="list-style-type: none"> off site Identify licensed off-site disposal routes, including re-use, recycling and final disposal to landfill | Complied, the design papers finalized the disposal sites, transporting routes and disposal methods of solid wastes. | |
| | Water quality | Wastewater discharge | <ul style="list-style-type: none"> Review the need to treat wastewater from ships Design a small package plant on site to treat domestic wastewater Design systems for stormwater drainage, collection and treatment of water used on site e.g. wash down water and oil separators | <p>Complied, wastewater from ships and construction camps gathered and disposed, and elaborations in the design papers.</p> <p>Complied, wastewater treatment facilities such as three-level sedimentation tank and septic tank designed at the construction camps.</p> | |
| | construction traffic | Reduce the impact of construction traffic on road network | <ul style="list-style-type: none"> Investigate sources and volumes of construction materials required Investigate scope of bringing materials to site by river rather than overland by truck | <p>Complied</p> <p>Complied, ways of delivering materials have been set in the planning files.</p> | None |
| Energy efficiency | Air emissions | Construction transport emissions | <ul style="list-style-type: none"> Specify local materials from licensed providers that minimise transport distance or modal shift from road to inland waterway. | Complied, | None |
| Health and Safety | Community health and safety | Spread of schistosomiasis | <ul style="list-style-type: none"> Verify locations where schistosomiasis is present in village along the Shuiyang River Liaise with the local health authorities to develop a suite of mitigation measures to prevent the | No patients of the schistosomiasis disease were found during the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|---|----------------|---|--|---|-------------------|
| | | | spread of infected host snails during dredging and the temporary stockpiling of dredged sediments, to include controls on the width of river bed to be dredged; controls on the disposal of dredged materials and drainage water; and training for the workforce and local communities. | Complied | |
| Conservation of soil and land resources | Soil resources | Loss of land and topsoil land increased risk of erosion | <ul style="list-style-type: none"> ● Minimise permanent and temporary land-take for development ● Retain/Incorporate landscape features of interest in design ● Maximise reuse of spoil within the construction or adjacent construction works ● Agree soil disposal sites, management and rehabilitation plan with Xuancheng WRB ● Detailed design of bank revetment works ● Detailed design of soil and water conservation works ● Specify vegetation that serves | <p>Complied</p> <p>Complied</p> <p>Complied, requirements for soil reuse have been set in the design</p> <p>Complied, for relevant files, please see Annex VII</p> <p>Complied, for relevant files, please see Annex VII</p> <p>Complied, for relevant files, please see Annex VI</p> <p>Complied, for relevant files, please see Annex V</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|----------------------------------|---------------|--|--|--|-------------------|
| | | | specific bio-engineering functions <ul style="list-style-type: none"> Design appropriate drainage systems for the disposal sites for the dredged spoil to control runoff and sedimentation. | Complied, for relevant files, please see Annex V | |
| Construction stage | | | | | |
| Shuiyang River Improvement Works | Water quality | Turbidity in Shuiyang River during dredging | <ul style="list-style-type: none"> Use cutter suction dredger with dredged material conveyed by pipeline to the spoil disposal site Use grab dredger to for specific spot works Avoid over-spill of turbid water when operating the dredger Ensure correct connection of pipelines, including good seals, to prevent leakage of turbid water along the pipeline Test the dredger and pipelines in terms of leaks prior to start Check the loss of pressure along the pipeline immediately, and in the event of a leak, stop pumping and take action to clean up the spillage | Not yet started in the reporting period. Currently, only the work of Xiaohekou Bridge has been started | None |
| | | Protection of the drinking water in-take works | <ul style="list-style-type: none"> Prior to the start of dredging activity, liaise with relevant Health Administration Bureaux, local EPB, or local township/town to inform | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|---------------|---------------------------------------|--|---|-------------------|
| | | | <p>them of the works and the programme</p> <ul style="list-style-type: none"> • Continue to inform the local authorities during the dredging • Provide temporary water in-take works on floating pontoons connected to the main water conveyance pipeline • Close the permanent in-take works and position the floating pontoon at least 600m upstream or 300m downstream of the dredging works • Monitor river water quality during the dredging | | |
| | Spoil sites | Drainage from the dredged spoil sites | <ul style="list-style-type: none"> • For the seven pond disposal sites, drawdown the existing water levels in the ponds, to avoid over-spilling from the dredger pumping line. • Control the drainage of water from the ponds to avoid discharge of turbid water to canals and drainage channels. • In the later stages of reclamation of the disposal sites, use flocculants to speed up sedimentation • Regularly inspect the drainage channels to check for blockage of the drains and risk of localized flooding | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|---------------|------------------------------------|--|---|-------------------|
| | | | <ul style="list-style-type: none"> Rehabilitate and restore spoil disposal sites in accordance with agreed plan (agriculture or woodland). Conduct project completion audit to confirm that spoil disposal site rehabilitation meets required standards, contractor shall be liable in case of non-compliance. | | |
| | Spoil sites | Spread of disease vector | <ul style="list-style-type: none"> Dump the dredged spoil from sections of the channel where schistosomiasis is a risk at specially designated disposal sites (one of the seven ponds). Contain the site to avoid the spread of the host snail and schistosomes. | Not yet started in the reporting period | None |
| | Air quality | Odour from the dredged spoil sites | <ul style="list-style-type: none"> Undertake the dredging during the winter dry season as low temperatures help reduce generation of bad odour Locate the dump sites for the dredged spoil at least 100m from sensitive receptors | Not yet started in the reporting period | None |
| | Noise | Dredging and bank protection works | <ul style="list-style-type: none"> Select models of dredger with lower sound power levels Prohibit dredging and piling at night if possible | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|-----------------------------|------------------------------|-------------------------------------|---|--|-------------------|
| | Bank protection | Soil erosion | <ul style="list-style-type: none"> ● Realign Shuiyang River meanders during low flows ● Install bank protection including concrete formations and infill with soil and plants | Not yet started in the reporting period | None |
| Xuanzhou Multi-purpose Port | Water quality | Turbidity of Shuiyang River | <ul style="list-style-type: none"> ● Programme piling works for the new port during the dry season ● Install sheet piling and pile the foundations for the port in the dry to avoid increasing turbidity in the river | Complied. Complied | None |
| | Soil resources | Land raising | <ul style="list-style-type: none"> ● Drain the existing pond in the port area prior to land raising. ● Excavate spoil from the designated donor site close to the port and use it to raise the land for port area uses. ● Install temporary drainage and settlement tanks prior to discharge of storm water off site. ● Ensure that the material used in land raising is compacted. ● Implement dust suppression measures through land raising activities. | Complied Complied Complied Complied Complied | None |
| | Occupational health & safety | Awareness of disease prevention and | <ul style="list-style-type: none"> ● Construction workers must have physical examination before they start working on site. | Complied | None |
| | | | | | |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|---------------|--------------------------------|---|--|-------------------|
| | | safety | <ul style="list-style-type: none"> ● Provide annual health checks. ● If infectious disease is found, the patient must be isolated for treatment to prevent the disease from spreading. ● Establish health clinic at location where workers are concentrated, which should be equipped with common medical supplies and medication for simple treatment and emergency treatment for accidents. ● Designate people specially responsible for health and epidemic prevention, and responsible for the education and promotion on food hygiene and disease prevention so as to enhance workers' awareness of relevant health issues. ● Regular inspections to ensure there are no areas of stagnant water that would be breeding ground for malaria, encephalitis and dengue fever mosquitoes. ● Regular inspections to ensure that there is no breeding ground for the host snail for schistosomiasis ● Provide training to the workforce on disease prevention and safety awareness ● Undertake checks every six months | <p>Complied</p> <p>Not yet started in the reporting period</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> | |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|---|---------------|--------------------------------|---|--|-------------------|
| | | | for workforce working in areas / tasks with a moderate or high risk of contact with schistosomiasis; medicate if the disease is found. <ul style="list-style-type: none"> • Inform the local Schistosomiasis Prevention and Treatment Office and report the incidence to the local Health Administrative Department | No relevant diseases were found during the reporting period Complied, No relevant diseases were found during the reporting period | |
| <ul style="list-style-type: none"> • Operational stage | | | | | |
| Shipping | Shipping | Waste from ships | <ul style="list-style-type: none"> • Ships have to be equipped with sufficient storage for sewage and solid waste; • Discharge of wastewater to inland waterways exceeding the standards is prohibited; • Train ships' crews on the correct procedures for the safe disposal of solid waste and wastewaters; • Strengthen inspection of ships in compliance with the relevant standards; and • Wastewater and solid waste from ships can be accepted at the port for collection and treatment. | Not yet started in the reporting period | None |
| | | Noise | <ul style="list-style-type: none"> • be sounded for short durations, during the day, and in response to specific requirements | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|----------------------------------|---------------|--------------------------------|---|---|-------------------|
| | | | <ul style="list-style-type: none"> • Avoid unnecessary use of horn near residential areas • Use lights rather than horns at night to signal | | |
| | | Navigation safety | <ul style="list-style-type: none"> • Strictly follow navigation lanes, temporary waiting areas and anchorage areas, and use ship locks to manoeuvres | Not yet started in the reporting period | None |
| Shuiyang River Improvement Works | Ship lock | Wastewater | <ul style="list-style-type: none"> • Discharge of wastewater to Shuiyang Town sewerage system | Not yet started in the reporting period | None |
| | | Solid household waste | <ul style="list-style-type: none"> • Collect, store and dispose waste streams separately • Segregate household wastes with different coloured bins(organic, recyclable, and non-recyclable) and treat accordingly and appropriately • Separately store and treat hazardous wastes, e.g. oily rags, oil-contaminated soil | Not yet started in the reporting period | None |
| | | Fisheries | <ul style="list-style-type: none"> • Ensure the rules for the barrage are followed, including the impact on fish migration. The barrage can be partially or fully lowered so as to enable the migration of upstream | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|-----------------------------|-----------------|--------------------------------|---|---|-------------------|
| | | | fishes. | | |
| | Rubber barriers | Loss of water head | <ul style="list-style-type: none"> • Ensure the coordinated management of the two rubber barriers to maintain the water level of Shuiyang River | Not yet started in the reporting period | None |
| Xuanzhou Multi-purpose Port | Port operations | Air quality | <ul style="list-style-type: none"> • Use containers for freight if possible so as to avoid loading/unloading of bulk loose material on windy days. • Minimize drop heights to avoid overloading of delivery belts • Adopt dust-suppression methods such as water spraying, covering bulk materials with felts, and installing windbreaks around stockpiles • Provide watering facility in coal storage sites and ore storage sites for dust suppression. • Plant trees and set up fences around the site to prevent the dispersion of dust off site. | Not yet started in the reporting period | None |
| | | Noise | <ul style="list-style-type: none"> • Direct the ships in and out of ports to avoid the need for ships to use their horns • Maintain mobile and stationery plant according to the | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|---------------|--------------------------------|---|---|-------------------|
| | | | <p>manufacturer's instructions</p> <ul style="list-style-type: none"> • Monitor noise levels under routine and abnormal conditions, and respond to complaints. • Implement further mitigation measures in the event of exceeding noise standards. | | |
| | | Solid wastes | <ul style="list-style-type: none"> • Collect, store and dispose waste streams separately • Segregate household wastes with different coloured bins(organic, recyclable, and non-recyclable) and treat accordingly and appropriately in accordance with local EPB instructions • Separately store and treat hazardous wastes, e.g. oily rags, oil-contaminated soil | Not yet started in the reporting period | None |
| | | Water quality | <ul style="list-style-type: none"> • Periodic cleaning of oil separators and silt traps on storm-water drainage systems around the port [CHECK] • Put oily wastewater from maintenance sheds and other places through oil separator and | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|---------------|--------------------------------|---|---|-------------------|
| | | | <p>mix it with domestic sewage.</p> <ul style="list-style-type: none"> ● Periodic maintenance of small package plants set within the port areas, including disposal of sewage sludges by the municipal wastewater treatment plant ● Discharge wastewater treated with Grade-III procedure to the sewerage system serving the Xuanzhou Economic and Technological Development Zone | | |
| | | Emergency planning | <ul style="list-style-type: none"> ● Prepare an emergency plan ● Protect oil spillage equipment at the port ● Ships wishing to unload flammable, explosives, corrosive, poisonous and dangerous cargos are required to hang the required signals in compliance with the <i>Regulations for Supervision and Administration for Ships Carrying Dangerous Goods</i>. ● In the event of an emergency, the downstream drinking water in- | Not yet started in the reporting period | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Action |
|------|---------------|--------------------------------|---------------------|------------|-------------------|
| | | | takes must be shut | | |

Table A.5 : Specific Mitigation Measures for the Improvement Works of Ma'anshan North Passage Road

| Items | Impact factors | Potential impacts and/or issues | Mitigation measures | Compliance | Corrective actions |
|-------------------------------|-------------------------|--|--|---|--------------------|
| Detailed design stage | | | | | |
| General Highway Design Issues | Land and soil resources | Impact on agriculture from the loss of land, increased risk of topsoil loss and soil erosion | <ul style="list-style-type: none"> • Fine tune vertical and horizontal alignments • Balance cuts and fills as much as possible • Avoid deep cuts and high embankments to minimise earthworks • Minimise permanent and temporary land-take • Retain/incorporate landscape features of interest in design • Maximise the reuse of spoil within the construction or adjacent construction works. • Reach a consensus with APEPD/ local EPB in terms of spoil disposal sites, management and rehabilitation plan. • Remove and store topsoil (10-30cm) for restoration work prior to main earthworks. • Specify vegetation that serves specific bio-engineering | <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied, requirements have been specified in planning files</p> <p>Complied, relevant</p> | None |

| Items | Impact factors | Potential impacts and/or issues | Mitigation measures | Compliance | Corrective actions |
|-------|--|---|---|---|--------------------|
| | | | function. | requirements for vegetation have been set in planning files. | |
| | | | <ul style="list-style-type: none"> Design appropriate drainage systems for slopes to reduce soil erosion. | Complied. Drainage ditches for road-side slopes were designed during the project construction and excavation to prevent soil erosion. | None |
| | Extreme weather events due to climate change | Road surface cracking due to extreme hot or cold weather, landslide and flooding due to torrential rainfall | <ul style="list-style-type: none"> Consider potential impacts from extreme weather events due to climate change in designing road subgrade, pavement, road-side slopes, drainage system, bridges and culverts. Adopt appropriate protective measures such as vegetation cover, geotextiles, settling basins, permeable paving, infiltration ditches, stepped slopes, riprap, crib walls, retaining walls and intercepting ditches to reduce the speed of surface run-off. | Complied. Relevant protective measures were proposed in the construction design documents. For example, drainage ditches and sedimentation ponds were designed during the road subgrade construction. | None |
| | Health and safety | Promotion of non-motorized transport, | <ul style="list-style-type: none"> Design must ensure public health and safety. | Complied. Public health and safety were fully considered in design documents. | None |

| Items | Impact factors | Potential impacts and/or issues | Mitigation measures | Compliance | Corrective actions |
|----------------------------|----------------|-------------------------------------|--|---|--------------------|
| | | protection of vulnerable road users | <ul style="list-style-type: none"> Promote non-motorized traffic. Where possible, separate vehicles and NMT, and separate cyclists and pedestrians. Promote safe crossings for pedestrians Promote scheme lighting, where there is a H&S case and it does not cause light pollution in rural areas | <p>Complied.</p> <p>Complied.</p> <p>Complied.</p> <p>Complied. The scheme lighting did not disturb the daily living of nearby residents and cause light pollution during project construction.</p> | |
| | Air emissions | Construction transport emissions | <ul style="list-style-type: none"> Specify local materials from licensed providers that minimise transport distance. | Complied. Design documents specify that construction materials shall be better purchased from qualified local providers to minimise transport distance. | None |
| | GHG emissions | Energy efficiency | <ul style="list-style-type: none"> Consider energy efficient street lighting, such as LEDs or solar-powered lights | Complied. Design documents specify the use of energy efficient street lighting. | None |
| Design of bridge crossings | River erosion | Scour of river bed and banks | Design scour protection for the bridge piers and river banks | Complied. Scour protective measures for the bridge piers and river banks were designed. | None |
| Ma'anshan North | Traffic noise | Protection of sensitive | <ul style="list-style-type: none"> Design of low noise road pavement of 191,925 m² in | Complied. Noise mitigation measures for sensitive | None |

| Items | Impact factors | Potential impacts and/or issues | Mitigation measures | Compliance | Corrective actions |
|----------|---------------------------------|---------------------------------|---|---|--------------------|
| Corridor | | receptors | front of 34 sensitive points at Dachen, Zhongshan Village, Dayu, Chaomiaoji, Ruiqiao, Weiteng, Dajing, Xucun, Huanghe, Zhoucun, Xiong Zhuang, Hanwang, Wangzhengwu, Taodian, Bazou, Xiaozhuang, Ruicun/Weizhuang, Huangcun, Quanshuikou, Shanwang Village, Xiaolizhuang, Shanghezhuang, Jibaozi, Chenzhanglu, Haiwang Village, Zhongheji, Xiaoyuanzhuang, Gaozuji, Panxiao Village, Baozhuang, Tanzhuang, Dajiangzhuang, Xiaowang Village and Menlian zhuang. | receptors were clearly specified in design documents. | |
| | Health and Safety and Community | Local communities NMT | <ul style="list-style-type: none"> In urban areas, consider replacing the hard shoulder with pavements to separate pedestrians from the traffic. Town and village authorities to consider lighting in urban areas. | Complied. Relevant requirements of pedestrians and traffic were clearly specified in design documents. Complied. | None |
| | Construction nuisance | Haul roads | <ul style="list-style-type: none"> Identify the locations of the 53 km of haul roads to minimise environmental impacts and | Complied. Haul roads were clearly specified in design documents. | None |

| Items | Impact factors | Potential impacts and/or issues | Mitigation measures | Compliance | Corrective actions |
|---------------------------------------|-------------------|--|--|--|--------------------|
| | | | disturbance of local communities | | |
| | Infrastructure | Protection of assets | <ul style="list-style-type: none"> Ensure the design for Sima Bridge and allow for the upgrading of navigation on the river to Class IV | Complied. Design demands for a Class IV navigation for Sima Bridge. | None |
| Construction Stage | | | | | |
| Implementation of mitigation measures | Agricultural land | Minimize impact on farmland from land take and haulage | <ul style="list-style-type: none"> Minimise disruption outside of approved permanent and temporary land-take areas, install barriers and protective fencing, if appropriate to prevent encroachment on adjacent areas. Follow procedures for top soil stripping (see general good site practice guidance above) Use existing field roads as access roads where possible Temporary land-take areas to be cleared up and re-vegetated after the end of construction. | <p>Complied. Only Sima Bridge started installed with protective fencing to prevent encroachment on adjacent areas during the reporting stage.</p> <p>Complied. Relevant procedures for top soil stripping were followed during road construction.</p> <p>Instances of field roads used as access roads did not occur during the reporting phase.</p> <p>Recovery of temporary land-take areas did not occur since no projects were completed in the reporting period</p> | None |

| Items | Impact factors | Potential impacts and/or issues | Mitigation measures | Compliance | Corrective actions |
|-----------------------------|----------------|---|--|--|--------------------|
| | Noise | Protection of noise sensitive receptors | <ul style="list-style-type: none"> • Lay low noise asphalt during construction • Install noise insulation at the Taodian Health Clinic • Erect warning and no horn signs at 3 schools (Taodian Primary School, Gaozu Primary School and Baozhuang Primary School) and the Taodian Health Clinic | <p>No low noise asphalt was involved during the reporting stage.</p> <p>Not yet started in reporting period.</p> <p>Complied. Warning and no horn signs were erected at Taodian Primary School, Gaozu Primary School and Baozhuang Primary School.</p> | None |
| Operational Stage | | | | | |
| Road maintenance and safety | Traffic | Road condition | Regularly inspect and maintain the road surface and clean up the drains. | Not yet started in reporting period | None |
| | | Road safety and traffic accidents | Strictly enforce traffic laws to improve road safety and reduce traffic accidents. | Not yet started in reporting period | None |

Table A.6: Specific Mitigation Measures for the Improvement Works of Yimu Highway Kedian to Mujiating

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|-----------------------|---------------|--------------------------------|---------------------|------------|--------------------|
| Detailed Design Stage | | | | | |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|-------------------------------|-------------------------|--|--|---|--------------------|
| General Highway Design Issues | Land and soil resources | Loss of land, impact on agriculture, loss of topsoil and increased risk of erosion | <ul style="list-style-type: none"> • Fine tune vertical and horizontal alignments • Balance cut and fill as far as possible • Avoid deep cuts and high embankments to minimise earthworks • Minimise permanent and temporary land-take. • Retain/incorporate landscape features of interest in design. • Maximise reuse of spoil within the construction or adjacent construction works. • Agree spoil disposal sites, management and rehabilitation plan with APEPD / local EPB. • Remove and store topsoil (10-30cm) for restoration works prior to main | <p>Complied.</p> <p>Complied. Cut and fill were balanced as much as possible during the design process.</p> <p>Complied. The amount of permanent and temporary land-take areas were specified in design and landscape design was retained.</p> <p>Complied. Spoil would be reused or re-vegetated after the end of construction.</p> <p>Complied. Spoil disposal sites, rehabilitation, and soil and water conservation plans were all approved by local EPB.</p> <p>Complied. Topsoil restoration and vegetation</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|---|--|---|--|--|--------------------|
| | | | earthworks. <ul style="list-style-type: none"> Specify vegetation that serves specific bio-engineering functions. Design appropriate drainage systems for slopes to reduce soil erosion. | were specified in design documents. Complied. Drainage ditches for road-side slopes were designed during the project construction and excavation to prevent soil erosion. | |
| Design of road alignment, road surface, drainage and lighting | Extreme weather events due to climate change | Road surface cracking due to extreme hot or cold weather, landslide and flooding due to torrential rainfall | <ul style="list-style-type: none"> Consider potential impacts from extreme weather events due to climate change in designing road subgrade, pavement, road-side slopes, drainage system, bridges and culverts. Adopt appropriate protective measures such as vegetation cover, geotextiles, settling basins, permeable paving, infiltration ditches, stepped slopes, riprap, crib walls, retaining walls and intercepting ditches to reduce the speed of surface runoff. | Complied Complied .Relevant protective measures were proposed in construction design documents. For example, drainage ditches and sedimentation ponds were designed during the road subgrade construction | None |
| | Health and safety | Promotion of non-motorized transport, protection of vulnerable road | <ul style="list-style-type: none"> Design must ensure public health and safety. Promote non-motorized traffic. | Complied. Public health and safety were fully considered in design documents. Complied. Barrier-free | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|----------------------------|-----------------------|----------------------------------|---|---|--------------------|
| | | users | <ul style="list-style-type: none"> • Ensure barrier-free design for disabled people. • Where possible, separate vehicles and NMT, and separate cyclists and pedestrians. • Promote safe crossings for pedestrians. | design for disabled people, motor and non-motorized transport design were considered in design documents. Complied. | |
| | Air emissions | Construction transport emissions | <ul style="list-style-type: none"> • Specify local materials from licensed providers that minimise transport distance | Design documents specify that construction materials shall be better purchased from qualified local providers to minimise transport distance. | None |
| | GHG emissions | Energy efficiency | <ul style="list-style-type: none"> • Consider energy efficient street lighting, such as LEDs or solar-powered lights | Complied. Design documents specify the use of energy efficient street lighting. | None |
| Design of bridge crossings | River erosion | Scour of river bed and banks | <ul style="list-style-type: none"> • Design scour protection for the bridge piers and river banks • Zhanghe bridge with piped drainage and discharge to land | Complied. Scour protective measures for the bridge piers and river banks were designed. Complied. | None |
| Access | Construction nuisance | Haul roads | <ul style="list-style-type: none"> • Identify the locations of the haul roads to minimise environmental impacts and disturbance of local communities | Complied. The locations of haul roads were basically identified in the design stage. | None |
| Yimu Highway | Traffic noise | Protection of sensitive | <ul style="list-style-type: none"> • Design of low noise road pavement over 1800 m covering 40500 m² at 5 sensitive | Complied. Laying requirements of low noise | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|--------------------|-------------------|--------------------------------|--|---|--------------------|
| | | receptors | <p>points - Gutianxincun, Gutian Village, Yafutang, Shanggang Village and Bowen High School.</p> <ul style="list-style-type: none"> Design noise insulation for 1147 households in 22 sensitive receptor villages. Jiangcun, Kedian Village, Shangtanghu, Dagang Village, Wangcun, Shuguang Village 1, Shuguang Village 2, Gongyi Village, Meishan Village/Meihua Village, Tudiwan, Tangmuqiao, Huilongdun, Gongshan Town, Gongshan Village, Gaoling Village 1, Gaoling Village 2, Guolong, Haizijia, Haiquan/haijia, Huitouwu, Wuxia Temple and Shuicun Village. | <p>road pavement in relevant sensitive receptors were specified in design documents.</p> <p>Complied. Detailed design of noise insulation devices in sensitive receptors was specified in design documents.</p> | |
| | H&S and community | NMT and pedestrians | <ul style="list-style-type: none"> Review the provision for pedestrian crossings over the Class I highway section Review pedestrian safety for crossing Wuli intersection. Consider light-controlled crossing (without vehicle turning), overpasses and underpasses. | <p>Complied. Clear provision for pedestrian crossings over the Class I highway section was made in the design.</p> <p>Complied.</p> | None |
| Construction Stage | | | | | |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|---|---------------|---|--|---|--------------------|
| Implementation of noise mitigation measures | Noise | Protection of noise sensitive receptors | <ul style="list-style-type: none"> • Install noise insulation in 1147 properties • Lay low noise asphalt | Installation of noise insulation devices and asphalt roads pavement did not occur during the reporting stage. | None |
| Operational Stage | | | | | |
| Road maintenance and safety | Traffic | Road condition | Regularly inspect and maintain the road surface and clean up the drains | Not yet started in reporting period | None |
| | | Road safety and traffic accidents | Strictly enforce traffic laws to improve road safety and reduce traffic accidents. | Not yet started in reporting period | None |
| . | | | | | |

Table A.7: Specific Mitigation Measures for Improvement Works of S319 Erba to Wuwei

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective actions |
|---|----------------|--|---|---|--------------------|
| Detailed Design Stage | | | | | |
| Conservation of soil and land resources | Soil resources | Loss of land and topsoil and increased risk of erosion | <ul style="list-style-type: none"> • Minimise permanent and temporary land-take for development. • Retain/incorporate landscape features of interest in design. | <p>Complied. The amount of permanent and temporary land-take areas were specified in design and landscape design was retained.</p> <p>Complied. Spoil would be reused or re-vegetated after</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective actions |
|---|--|---|---|---|--------------------|
| | | | <ul style="list-style-type: none"> • Optimise balance between cut and fill and avoid deep cuts and high embankments to minimise earthworks. • Maximise reuse of spoil within the construction or adjacent construction works. • Agree spoil disposal sites, management and rehabilitation plan with APEPD/local EPB. • Remove and store topsoil (10-30cm) for restoration works prior to main earthworks. • Specify vegetation that serves specific bio-engineering functions. • Design appropriate drainage systems for slopes to reduce soil erosion. | <p>the end of construction.</p> <p>Complied. Spoil disposal sites, rehabilitation, and soil and water conservation plans were all approved by local EPB.</p> <p>Complied. Topsoil restoration and vegetation were specified in design documents.</p> <p>Complied. Drainage ditches for road-side slopes were designed during the project construction and excavation to prevent soil erosion.</p> | |
| Design of road alignment, road surface, drainage and lighting | Extreme weather events due to climate change | Road surface cracking due to extreme hot or cold weather, landslide and flooding due to torrential rainfall | <ul style="list-style-type: none"> • Consider potential impacts from extreme weather events due to climate change in designing road subgrade, pavement, road-side slopes, drainage system, bridges and culverts. • Adopt appropriate protective measures such as vegetation cover, geotextiles, settling basins, permeable paving, infiltration ditches, stepped slopes, riprap, crib walls, retaining walls and intercepting ditches to reduce the speed of surface run-off. | <p>Complied</p> <p>Complied. Relevant protective measures were proposed in construction design documents. For example, drainage ditches and sedimentation ponds were designed during the road subgrade construction</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective actions |
|----------------------------|-------------------|---|---|---|--------------------|
| | Health and safety | Promotion of non-motorized transport, protection of vulnerable road users | <ul style="list-style-type: none"> • Design must ensure public health and safety. • Promote non-motorized traffic. • Ensure barrier-free design for disabled people. | <p>Complied. Public health and safety were fully considered in design documents.</p> <p>Complied. Barrier-free design for disabled people, motor and non-motorized transport design were considered in design documents.</p> <p>Complied.</p> | None |
| | Air emissions | Construction transport emissions | <ul style="list-style-type: none"> • Specify local materials from licensed providers that minimise transport distance. | Complied. Design documents specify that construction materials shall be better purchased from qualified local providers to minimise transport distance. | None |
| | GHG emissions | Energy efficiency | <ul style="list-style-type: none"> • Consider energy efficient street lighting, such as LEDs or solar-powered lights | Complied. Design documents specify the use of energy efficient street lighting. | None |
| Design of bridge crossings | River erosion | Scour of river bed and banks | <ul style="list-style-type: none"> • Design scour protection for the bridge piers and river banks | Complied. Scour protective measures for the bridge piers and river banks were designed. | None |
| S319 Erba- | Noise | Traffic noise | <ul style="list-style-type: none"> • Design noise insulation for windows at 700 | Basically complied. Till | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective actions |
|--------------------|-----------------|--------------------------------|--|--|--------------------|
| Wuwei Section | | | households, two hospitals (the Economic Development Zone Wuwei County Health Centre and Boai Hospital) and 1 school (Banqiao Primary School). The beneficiaries reside in the following villages: Datan Village, Zhangwang Village, Chenzhuang, Xiaozhao, Gaoweiqian, Shangs, Lingjiawan / Dazhen, Huangcun, Jijiazhuang / Xiaozhang, Linghou / Xiaowang, Wanxu, Tans, Dais/Jiangs, Hualong/Yangs, Wuyi Village, Shazhuang Village, Zhangyu/Hudun, Yangmaozui, Zhangni Village, Lijiatan, Nianxi, Dingwu, Xinjianzhuang, Hexi/Xucun, Xingeng, Fengxu, Weigeng/Changba Village, Lijiaxu, and Liwei. | now, temporary noise barriers have been installed and no soundproof windows yet. | |
| | Health & safety | Accident risks | <ul style="list-style-type: none"> • Review the treatment of the edge of the highway and the avenue of trees, and the risk of off-road collisions • Review the need for the removal of the avenue or trees or provision of safety barriers • Develop the design of junctions along the rural section, to improve safety for movements to rural roads • Review the need for lighting in the rural section | <p>Complied</p> <p>Complied</p> <p>Complied</p> <p>Complied</p> | None |
| Construction Stage | | | | | |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective actions |
|---|---------------|---|---|---|--------------------|
| Implementation of noise mitigation measures | Traffic noise | Protection of noise sensitive receptors | <ul style="list-style-type: none"> ● Install noise insulation for properties ● Erect warning and no horn signs at the following locations: <ul style="list-style-type: none"> ■ Wuwei County Economic Development Zone Health Clinic ■ Bo'ai Hospital ■ Yongnan Center Primary School ■ Changba Primary School | Complied. Complied. Temporary noise barriers were installed during the reporting stage, and warning and no horn signs were erected at Wuwei County Economic Development Zone Health Clinic, Bo'ai Hospital and Changba Primary School. | None |
| Operational Stage | | | | | |
| Road maintenance and safety | Traffic | Road condition | Regularly inspect and maintain the road surface and clean up the drains. | Not yet started in the reporting period | None |
| | | Road safety and traffic accidents | Strictly enforce traffic laws to improve road safety and reduce traffic accidents. | Not yet started in the reporting period | None |
| . | | | | | |

Table A.8a: Specific Mitigation Measures for the Improvement Works of G206 Dongliu to Yaodu

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|-----------------------|---------------|--------------------------------|---------------------|------------|--------------------|
| Detailed Design Stage | | | | | |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|---|----------------|--|--|--|--------------------|
| Conservation of soil and land resources | Soil resources | Loss of land and topsoil and increased risk of erosion | <ul style="list-style-type: none"> • Minimise permanent and temporary land-take for development. • Retain/incorporate landscape features of interest in design. • Optimise balance between cut and fill and avoid deep cuts and high embankments to minimise earthworks. • Maximise reuse of spoil within the construction or adjacent construction works. • Agree spoil disposal sites, management and rehabilitation plan with APEPD/local EPB. | <p>Complied. Control road subgrade height(<8.0m) and gradient (1:1.5) along the whole line to reduce permanent land-take area; make full use of earth cut in the area to backfill and reduce the area of spoil disposal sites; tender rental resident buildings and reduce construction camp area.</p> <p>No feature landscape was found for retainment.</p> <p>Complied. The design fully considered the balance between cut and fill in line with local topography and project requirements.</p> <p>Complied. Maximise use of cut within the construction or adjacent areas to backfill under the precondition of meeting project requirements,</p> <p>Complied. Management and rehabilitation plans of</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|------|---------------|--------------------------------|--|--|--------------------|
| | | | <ul style="list-style-type: none"> • Remove and store topsoil (10-30cm) for restoration works prior to main earthworks. • Specify vegetation that serves specific bio-engineering functions. • Design appropriate drainage systems for slopes to reduce soil erosion. | <p>spoil disposal sites were considered and communicated with local EPB during the design stage.</p> <p>Complied. The design demands stratified excavation and centralized collection and storage of topsoil for land restoration and vegetation later .</p> <p>Complied. Setaria viridis seeds were sprayed on steep side slopes with no imminent construction plans to stabilize the slopes and prevent soil erosion.</p> <p>Complied. According to different features of road-side slopes, various preventions to be adopted, such as motar rubble, rectangle square network grass planting, three-dimensional network grass planting and building of low retaining wall at slope</p> | |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|---|--|---|---|---|--------------------|
| | | | | toe. | |
| Design of road alignment, road surface, drainage and lighting | Extreme weather events due to climate change | Road surface cracking due to extreme hot or cold weather, landslide and flooding due to torrential rainfall | <ul style="list-style-type: none"> Consider potential impacts from extreme weather events due to climate change in designing road subgrade, pavement, road-side slopes, drainage system, bridges and culverts. Adopt appropriate protective measures such as vegetation cover, geotextiles, settling basins, permeable paving, infiltration ditches, stepped slopes, riprap, crib walls, retaining walls and intercepting ditches to reduce the speed of surface run-off. | <p>Complied. Control the road-side height (<8m) and gradient (1:1.5) of road subgrade, adopt three-dimensional grass planting, geograde grass planting and build low retaining wall at slope toe. Build side ditches at both sides of subgrade, stage for heaping debris, intercepting ditches, flood intercepting ditches, surface drainage, medial strip French drains and other engineering measures to prevent soil erosion and falling rocks.</p> <p>Complied. Ditto.</p> | None |
| | Health and safety | Promotion of non-motorized transport, protection of vulnerable road | <ul style="list-style-type: none"> Design must ensure public health and safety. Promote non-motorized traffic. | <p>Complied</p> <p>Complied. There are pedestrian walkways+non-motorized lanes of 2.75 m</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|-------------------------------|---------------|----------------------------------|---|---|--------------------|
| | | users | <ul style="list-style-type: none"> Ensure barrier-free design for disabled people. | width at both sides of roads. To be confirmed. | |
| | Air emissions | Construction transport emissions | <ul style="list-style-type: none"> Specify local materials from licensed providers that minimise transport distance. | Complied. Choose qualified construction material providers near the project. Construction materials such as building stones and grits are abundant along the highway, and cement and lime are of standard specifications and enjoy convenient transportation. | None |
| | GHG emissions | Energy efficiency | <ul style="list-style-type: none"> Consider energy efficient street lighting, such as LEDs or solar-powered lights | Installation did not occur in the reporting period. Notification for improvement as soon as possible was sent to contractors. | None |
| Design of bridge crossings | River erosion | Scour of river bed and banks | <ul style="list-style-type: none"> Design scour protection for the bridge piers and river banks | Complied | None |
| G206 Dongliu to Yaodu Section | Noise | Traffic noise | <ul style="list-style-type: none"> Design noise insulation for 94 households in the sensitive receptor clusters in Weizhuang, Zhanggang, Liuchun Village | Complied. Above-mentioned households are to be installed with ventilation and noise | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|------|---------------|--------------------------------|---|--|--------------------|
| | | | <p>and the farm dormitory.</p> <ul style="list-style-type: none"> • Fine tune the vertical and horizontal alignments, to reduce the impacts on land-take, balance cut and fill, reduce the need for extensive slope remediation works, and increase the distance from sensitive receptors • Consider the possibility of using the spoil in land contouring to attenuate noise | <p>insulation for windows.</p> <p>Complied. In line with local topography and project requirements, the design plan fully considered the balance between cut and fill, sensitive receptors protection, and the amount and difficulty of later field operation.</p> <p>Complied. Design specifies that spoil whose soil texture meets engineering requirements should be used for road subgrade construction, side slope protection at later stage and afforestation and other soil rehabilitation.</p> | |
| | H&S | NMT and pedestrians | <ul style="list-style-type: none"> • Review the need for pedestrian walkways along this alignment and provision of pedestrian crossings for this dual three lane highway • Review the need to separate cyclists and pedestrians | <p>To be confirmed</p> <p>Complied. There are pedestrian walkways+non-motorized lanes of 2.75 m</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|---------------------------------------|-----------------|---|--|---|--------------------|
| | | | <ul style="list-style-type: none"> Review the need for lighting in the rural sections | <p>width at both sides of roads.</p> <p>Complied. Street lightings were designed at adjacent rural sections and crossings.</p> | |
| Construction Stage | | | | | |
| Implementation of mitigation measures | Traffic Noise | Protection of noise sensitive receptors | <ul style="list-style-type: none"> Provide noise insulation for windows at 94 households in the sensitive receptor clusters in Weizhuang, Zhanggang, Liuchun Village and the farm dormitory. | <p>In the reporting period, temporary fences were used as noise barriers, and noise insulation for windows were installed near the end of construction.</p> | None |
| | Slope Stability | Protection of new cuttings | <ul style="list-style-type: none"> Take care during excavations of deep cuttings to avoid creating slope collapse and mass movements. Use appropriate techniques to stabilize the slopes, including geo-technical, slope reinforcement and planting options. | <p>In the reporting period, road subgrade construction was basically completed and no earth excavation was underway.</p> <p>Complied. Major sections of current slopes at both sides of road were compacted or covered by geotechnic cloth. Setaria viridis seeds were sprayed on steep side slopes with no imminent construction</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|------|---------------|--------------------------------|--|---|--------------------|
| | | | <ul style="list-style-type: none"> • Install drainage to the top of the slope. | <p>plans. Complied. Drainage was installed to the top of some steep slopes.</p> | |
| | Ecology | Protection of natural habitats | <ul style="list-style-type: none"> • Minimize the construction programme for the sections between K0+000 to K2+300 and K15+000 to K16+580 to reduce impact on ecological features. • Avoid noisy activities such as blasting between the main bird nesting season May and June. • Prohibit blasting in the morning and at night. • Walkover survey prior to construction by trained wildlife and forestry experts to | <p>Complied. Construction camp and lines avoided above-mentioned sections; isolation belt was set up to strictly control work area during construction; no work was carried out in the morning or at night.</p> <p>Not applicable. In the reporting period, most of the work involved later-stage construction of road subgrade, bridge precasting, and preparation work for road surface construction. No noisy works or blasting was involved.</p> <p>Not applicable, ditto.</p> <p>Complied.</p> | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|-------------------|---------------|--------------------------------|--|--|--------------------|
| | | | <p>confirm works can go ahead.</p> <ul style="list-style-type: none"> • Identify trees to be preserved and clearly mark them, translocate other trees to new locations, and ensure adequate aftercare • If any protected species are observed along the alignment, take advice from ecologist on appropriate measures for translocation. • Provide environmental training on the importance of protecting habitats and wildlife to construction workforce • Prohibit the collection of timber, non-timber forestry products, hunting, and fishing in the Forestry Reserve by the construction workforce. • Prohibit the setting of fires in the woodland sections of the alignment. | <p>Not applicable. Vegetation along the line are common local species requiring no special protection.</p> <p>Yet to be found.</p> <p>Complied. Relevant training was provided to the construction workforce before entering the site.</p> <p>No above-mentioned phenomenon was spotted in the reporting period and relevant training was provided to workforce before entering the site.</p> <p>Complied.</p> | |
| Operational Stage | | | | | |
| Road | Traffic | Road condition | Regularly inspect and maintain the road | Not yet started in reporting | None |

| Item | Impact Factor | Potential Impact and/or Issues | Mitigation Measures | Compliance | Corrective Actions |
|------------------------|----------------------|---------------------------------------|--|-------------------------------------|---------------------------|
| maintenance and safety | | | surface and clean up the drains. | period | |
| | | Road safety and traffic accidents | Strictly enforce traffic laws to improve road safety and reduce traffic accidents. | Not yet started in reporting period | None |
| | | | | | |

5.2 APPENDIX II: ADDITIONAL INFORMATION

5.2.1 Representative Photographs for Subproject I: S367 Ma'anshan North Passage Road



Local project management office



Contract NO4-2 project office



Contract NO4-3 project office



Construction billboard



Sedimentation pond

5.2.2 Representative Photographs for Subproject II: S319 Erba-Wuwei Section



Gravel paved road for reducing fugitive dust emission from construction traffic



Section I road construction site



Section II road construction site



Section III bridge construction site



Mixing station material stockpiling



Installing steel hoardings to protect water bodies in bridge construction



Environmental protection billboard

5.2.3 Representative Photographs for Subproject III: Yimu Highway Kedian to Mujiating Section



Mixing station



Local project management office



Contract NO3-1 project office



Contract NO3-2 project office



Contract NO3-1 construction site and mixing station



Contract NO3-2 construction site and staff dormitory



Contract NO3-2 mixing station



Contract NO3-1 staff dormitory

5.2.4 Representative Photographs for Subproject IV: G206 Dongliu to Yaodu Section



Contract NO2-1 project office



Contract NO2-2 project office



Contract NO2-1 construction camp



Warning sign on forest fire prevention

Warning sign on no hunting



AIDS prevention and control poster at construction camp



Safety warning signs for entering construction sites





#1 borrow area with slope protection for soil erosion prevention



#2 borrow area with sedimentation pond to contain muddy runoff



#3 borrow area



#4 borrow area



Top soil storage area on #1 spoil disposal site



Re-vegetated spoil disposal site



Site entry/exit point paved with gravel



Compacted access road to reduce fugitive dust emission



Compacted subgrade to reduce fugitive dust emission



Road subgrade side slope for soil erosion prevention



Haul road shortly after watering



Sedimentation pond on construction site



Stair-shaped borrowing in borrow area



Xiaohuangni Lake construction site



Mixing station material stockpiling



Meigong Bridge construction site



4-chamber sedimentation tank in NO2-1 asphalt mixing station



3-chamber sedimentation tank in NO2-2 asphalt mixing station



Water sampling at Xiaohuangni Lake



NO2-2 bridge precasting yard



Ambient air quality monitoring at Zhazui



Ambient air quality monitoring at Yangjia



| | |
|--|------------------|
| Ambient air quality monitoring at asphalt mixing station | Noise monitoring |
|--|------------------|

5.2.5 Representative Photographs for Subproject V: Shuiyang River Waterway Improvement



Construction site notice board

Construction site environmental protection billboard



Construction sites near Xiaohoukou bridge location



Mixing station

precasting yard



Bridge








Sedimentation pond at mixing station



Access road to construction site at mixing station

5.2.6 Representative Photographs for Subproject VI: Xuanzhou Multipurpose Port

| | |
|--|--|
|  <p>A photograph of a modern building entrance with large glass doors. A red banner above the entrance reads '欢迎各级领导莅临检' (Welcome to the inspection of leaders at all levels). Two people are standing near the entrance.</p> |  <p>A long, colorful billboard with Chinese text and graphics, providing project information. It is located outdoors near a dirt road.</p> |
| <p>Local project management office</p> | <p>Project information billboard at construction site</p> |
|  <p>A wide, unpaved dirt road running alongside a body of water, leading towards a construction site.</p> |  <p>A construction site with a dirt road, a fence, and various construction materials and equipment. A sign with the characters '综合治理' (Comprehensive Management) is visible.</p> |
| <p>Access road to construction site</p> | <p>Construction camp site</p> |
|  <p>A concrete structure with a large, shallow pond, likely used for sedimentation at a mixing station.</p> |  <p>A large, open, unpaved area, likely the ground for a mixing station, with some buildings and a vehicle in the background.</p> |
| <p>Sedimentation pond at mixing station</p> | <p>Mixing station ground</p> |

5.2.7 Representative Photographs of Meetings, Seminars and Workshops



ADB review meetings



FFPMO comprehensive appraisal meeting



FFPMO comprehensive appraisal meeting



Management and training meeting of six subprojects by Liu Lingfeng



Management and training meeting of six subprojects by Xie Jun



ADB environmental experts training



ADB environmental experts training



Subproject I S367 Ma'anshan North Passage Road environmental management training



Subproject I S367 Ma'anshan North Passage Road site meeting



Subproject I S367 Ma'anshan North Passage Road public consultation



Subproject II S319 environmental management training



Subproject II S319 comprehensive appraisal meeting



Subproject III Yimu Highway environmental management training



Subproject III Yimu Highway construction commencement training seminar



Subproject III Yimu Highway public consultation



Subproject III Yimu Highway public consultation



Subproject IV G206 environmental management training



Subproject IV G206 site training seminar



Subproject IV G206 public consultation



Subproject V Shuiyang River environmental management training



Subproject V Shuiyang River public consultation



Subproject VI Xuanzhou Port public consultation



Subproject VI Xuanzhou Port public consultation

5.2.8 Photographs of Grievance Redress Mechanism



Subproject II S319 project complaint hotline



Subproject II S319 project complaint hotline



Subproject III Yimu Highway project complaint hotline



Subproject IV G206 project complaint hotline



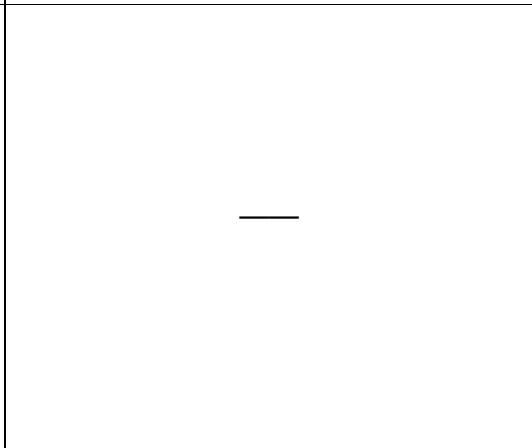
Subproject IV G206 project complaint box



Subproject V Shuiyang River project complaint hotline



Subproject VI: Xuanzhou Multipurpose Port project complaint hotline



5.3 APPENDIX III Related Attachments

Attachment III: Yimu Highway Trees Translocation Agreement

Attachment IV : Birds monitoring report

S320 路侧行道树移栽工程

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文
件

宿预县交通运输局

二〇一六年三月一日

合同协议书

业 主：南陵县交通运输局 (以下简称“业 主”)
承 包 人：芜湖景天园林工程有限公司 (以下简称“承 包 人”)

鉴于业主为实施 S320 路侧行道树移栽工程，接受乙方递交的投标文件，经双方协商并签订本合同如下：

第一条 合同标的与宗旨

本合同标的为修建 芜湖市南陵县弋牧公路(柯店至牧家亭段)路侧现状香樟行道树移栽至南陵县 S320 南铜路开发区段的移栽及管理等有关作业，运距 10Km 以内。

本合同以完成前款标的为目的，约定双方为实现该目的过程中的权利和义务。

第二条 合同标的的确定和解释

前条合同标的的范围，由业主提交的工程承包施工范围的书面说明和要求、技术规范 and 标准等文件、以及参考资料等予以确定和解释。

第三条 承包工期

本合同工程施工的承包期为 120 天；从开工日期起算，至交工日期止。

本合同工程维护的承包期（即“缺陷责任期”）为 24 个月，从交工日期起计算。

本合同工程的开工日期，为 2016 年 2 月 23 日，具体以开工报告批准日期为准。

本合同工程的交工日期，为承包人在合同工程实质上完工后，向业主递交工程交工验收所需的全部文件的日期；但在首次交工验收不符合本合同工程质量标准的情况下，交工日期为最后交工验收合格的日期。

本合同工程的竣工日期，按照工程竣工验收符合本合同工程质量标准的日期确定。

第四条 工程承包方式和金额

1、本合同工程承包方式为工程量清单所列的总额价和单价包干；承包人完成本合同工程施工及缺陷修复所需要的一切工作量（包括虽未在第二条所列文件中明确写明，但属于完成合同标的所需要的工作量），以及属于承包人完成工程承包施工及缺陷修复所需支出的全部费用（包括施工临时用地、需缴纳的税费等）和利润，均包括在包干总额价或单价中，业主不再另行支付。

2、本合同总价为人民币陆拾柒万贰仟壹佰壹拾叁元柒角贰分 (¥672113.72元)。

第五条 工程设计变更

工程设计变更增加或减少工程价格如果工程量清单中未包括适用于变更工程的单价，单价确定按如下原则：

1、本合同段有相似工程项目单价的，由监理工程师和承包人考虑工程项目的差异性，通过适当换算，在7天内确定一个合适的单价或总额价，报业主在15天内审核批准。

2、任何工程细目的施工方法或措施的改变，在完成本合同工程所需的范围内，均属于承包人的义务；非经业主书面同意变更工程单价，不得作为决算的依据。

3、变更工程的单价或总额价均应经业主审核批准。业主审核批准的变更工程的单价或总额价为工程价格增加或减少的最终依据。

除本条约定，以及双方在本合同或其他协议中另有明确约定外，任何其它情况（包括政策变更等）均不再变更工程单价。
承包方必须将一切款汇入承包方的公司账户，否则导致的一切后果由承包方负责，并向承包方承担未付款的违约责任。

第六条 工程价款的支付

工程完工验收合格付至60%，办理完结算并经审计确认后，付至审计确认价的80%，余款（无息）待质保期（2年）满（竣工验收通过后）一次性付清。

第七条 施工要求

（一）、行道树移植

一般规定

1、施工期间的环境保护

(1) 承包人应遵守国家和地方有关环境保护、控制环境污染的规定，采取必要的措施防止施工中的燃料、油、沥青、污水、废料和垃圾等有害物质对河流、湖泊、池塘和水库的污染，防治扬尘、汽油等物质对环境空气的污染，防治噪声对环境的污染，把施工对环境、空气和居民生活的影响减少到法规允许的范围内。

(2) 在居民集中居住区和靠近学校、医院等环境敏感区、噪声大的施工作业，应按监理工程师规定的作业时间施工。

(3) 本规范其它各章对环境保护的具体要求，承包人在施工阶段应予严格遵守。任何因施工造成的环境污染，承包人都有责任采取措施予以防治和消除，并且应保证业主免于承担由于这种污染而产生的一切索赔和罚款。

名称：芜湖辰天建设工程有限公司
账号：130720240900008808
开户行：芜湖市工商银行瑞山支行

第十六条 争议解决

为履行本合同而发生争议，双方同意提交当地仲裁委员会进行仲裁。

第十七条 合同文本和生效

本合同正本二份，副本八份，合同双方各执正本一份，副本四份，当正本与副本的内容不一致时，以正本为准。自双方签字盖章之日起生效。

业主单位：



法人代表：_____

或

其授权代表人：_____

年 月 日

杨海华

施工单位：



法人代表：_____

或

其授权代表人：_____

2016年3月1日



G206 Dongliu-Yaodu Section Project

Bird Ecological Monitoring Report

Hefei Changxin Environmental Protection Technology Co., Ltd.

March 2016

Table of Contents

| | |
|--|-----------|
| Chapter I Objective and Task of Bird Ecological Monitoring..... | 3 |
| 1 Natural Environment..... | 3 |
| 1.1 Geographic position | 4 |
| 1.2 Landform..... | 4 |
| 1.3 Climatic characteristics..... | 4 |
| 1.4 Hydrology and drainage.....La..... | 5 |
| 2 Objective of Bird Monitoring along G206 Dongliu-Yaodu Section Project..... | 5 |
| Chapter II Survey and Assessment Methods..... | 7 |
| 1 Field Survey..... | 7 |
| 1.1 Survey area setting..... | 7 |
| 1.2 Bird field survey methods..... | 8 |
| 2 Document Literature Reference..... | 8 |
| 3 Data Collection and Assessment Methods..... | 8 |
| 3.1 Statistic data processing methods..... | 8 |
| 3.2 Assessment method of influence on birds..... | 9 |
| Chapter III Bird Survey Results..... | 10 |
| 1 Specific Composition of Regional Birds..... | 10 |
| 2 Bird Survey Results..... | 11 |
| 2.1 Residence type and floristic characteristics of bird resources..... | 12 |
| 2.2 Species and quantity of birds in each survey area (habitat)..... | 13 |
| 2.3 Bird density and dominant species in each survey area (habitat)..... | 14 |
| 2.4 Diversity and uniformity of bird community species in each survey area (habitat).... | 14 |
| 2.5. Introduction of Dominant Species and Key protected Birds in All Habitats..... | 15 |
| Chapter IV Brief Analysis of Impact of Road Proposed on Birds Resources..... | 24 |

Chapter V Bird Protection Measures and Influence Mitigation Measures in the Area of Planned Road.....26

Appendix 1. List on birds potentially distributed in the project area.....28

Appendix 2. List of birds recorded in this survey.....37

Appendix 3. Distribution map of dominant birds in this survey.....42

Appendix 4 Diversity map of birds in this survey.....43

Chapter I Objective and Task of Bird Ecological Monitoring

1 Natural Environment

1.1 Geographic position

The project is located in Dongzhi County, on the south bank of the Yangtze River, with valley plain. It focuses on monitoring birds in the section between K6+000 and K15+000, with its four boundary points at 30°12'4"-30° 8'5"N and 116°58'19"-117° 1'E, mainly crossing and approaching [waterbodies](#) for Little Qili Lake, Little Huangni Lake, Quanshui Lake, Tonghu Lake and Yaodu River.

The project site is composed of alluvial deposit soil from the Yangtze River primarily and alluvial-lacustrine deposits secondarily with the thickness of about 20-25 m, or 34 m locally. Its deposits has an obvious "duel structure", with clay or loam in upper part and sand layer or gravel layer in lower part, presenting a narrow and long zonal distribution under the influence of regional structure and the Yangtze River fluviation. Situated in northern subtropical humid monsoon climate region, it enjoys obvious monsoonal character, four distinctive seasons, warm and humid climate yearly, plentiful rainfall, high humidity, adequate sunshine, hotness and rain in a season and long frost-free season. At the site, the perennial mean air temperature is 16.2°C and the annual change features of air temperature are: winter is cold, summer is warm, spring and autumn are warm, and the autumn temperature is remarkably higher than the spring temperature.

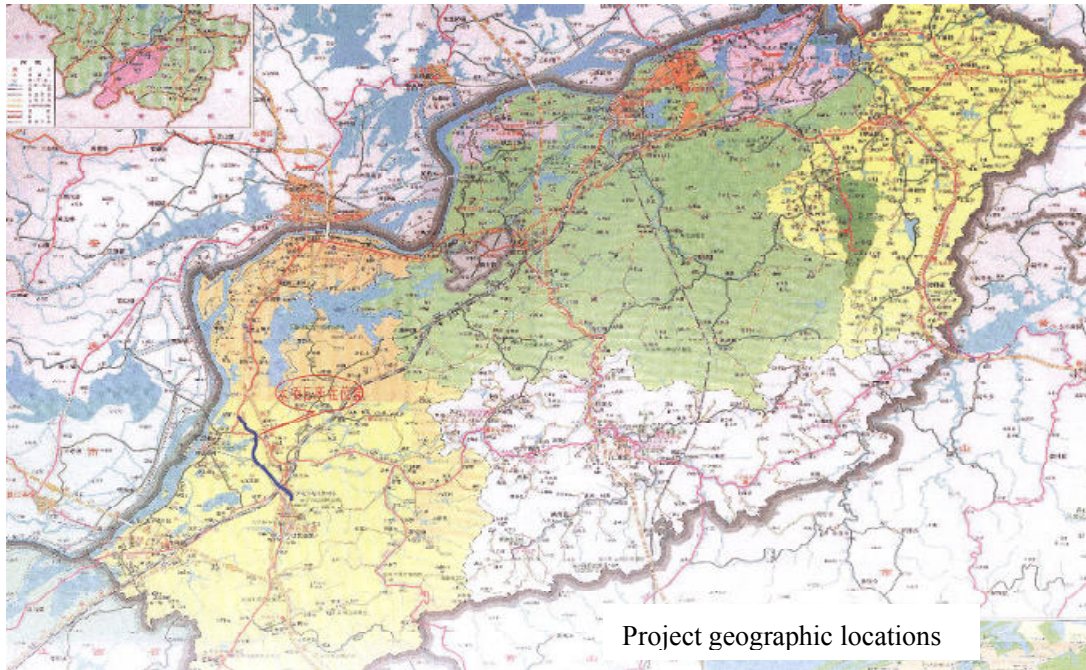


Fig. 1 Project geographic locations

1.2 Landform

The project is located in the northwest of Dongzhi County, mainly monitoring the section in downland lake and plain region, where hills, downland and lakes are cross-distributed, with a height of 200-300 m in high places, 50-100 m in mid-height places and even below 20 m locally. Landform here mainly consists of downland, shallow and flat polder areas, wetland along lakes, etc.

1.3 Climatic characteristics

The proposed project site is situated in northern subtropical humid monsoon climate region, enjoying obvious monsoonal character, four distinctive seasons, warm and humid climate yearly, plentiful rainfall, high humidity, adequate sunshine, hotness and rain in a season and long frost-free season.

At the site, the perennial mean air temperature is 16.2°C and the annual change features of air temperature are: winter is cold, summer is warm, spring and autumn are warm, and the autumn temperature is remarkably higher than the spring temperature.

The proposed project site has concentrated rainfall which changes greatly interannually and annually. Chizhou City has the multi-year average rainfall of 1,500-1,700 mm and the annual rainfall

concentrating in the flood season from May to September, with the flood easily occurring in spring and summer and the drought tending to occurring in hot autumn. Its years of drought and flood respectively account for 62% and 49%, with the great flood in 1996 due to the rainfall of 1,583 mm and the extreme drought in 1978 due to the rainfall of 405 mm.

1.4 Hydrology and drainage

The proposed project is mainly set along Yaodu River valley. Yaodu River, also known as Qianhe River, borders upon Huangpen River system in the northeast, Poyang Lake and Taibai Lake system in Jiangxi Province in the southwest and the Yangtze River in the north. It sources from the west slope of Lianghe Mountain Ridge of Qimen Mountain (elevation 1112 m) in the junction of Qimen County and Dongzhi County and flows northwestward through Makeng and changes northward in Shuanghekou, then westward through Huayuanli and Maple Gorge and changes northwestward in west Dongzhi County which is hereinafter referred to as new Yaodu River, next through Shiyin Hole and other places, out of hilly regions, around Qili Lake and finally into the Yangtze River via Dongliu Gate in the southwest of Dongliu Town. It has a drainage area of 896 km² and river length of 71 km.

The project is densely surrounded by lakes all the way, including Qili Lake, Little Qili Lake, Qiuyang Lake, etc., and mainly covers such water systems as Tonghu Lake, Little Huangni Lake, Quanshui Lake and Yaodu River Branch which are small regional lakes and branches and mainly used for flood discharge and farm irrigation.

2 Objective of Bird Monitoring along G206 Dongliu-Yaodu Section Project

The proposed G206 Dongliu-Yaodu Section Project is located in the areas along the middle and lower reaches of the Yangtze River in Anhui Province and in the northwest of Dongzhi County and borders on Little Qili Lake, Little Huangni Lake and Yaodu River. Road construction makes a certain influence on the structures and functions of lakes, lake wetland, forest land, farmland and other ecological systems nearby project. As a result, the Ecological impact of road construction process and put into operation upon completion of road need to be assessed correctly. According to the requirements of *ADB Loan Review Board Memo for Anhui Multimodal Sustainable*

Transportation Project from 24 to 28 August, 2015, a bird monitoring survey is required for the section from K6+000 to K15+000 regarding the G206 Dongliu-Yaodu Section Project.

The major objectives of this bird monitoring are to make clear the main species, quantity, and distribution of birds in lakes, lake wetland, forest land, farmland and other surrounding areas along the project through field survey and collection of historical documentations; to make a description of the activity routines, habit and migration path of key protected birds, including endangered birds and birds n great population quantity; to explain the impact of the construction process of G206 Dongliu-Yaodu Section Project on behaviors and activity modes of birds and the related species and put forward practical and feasible **impact** mitigation measures.

Chapter II Survey and Assessment Methods

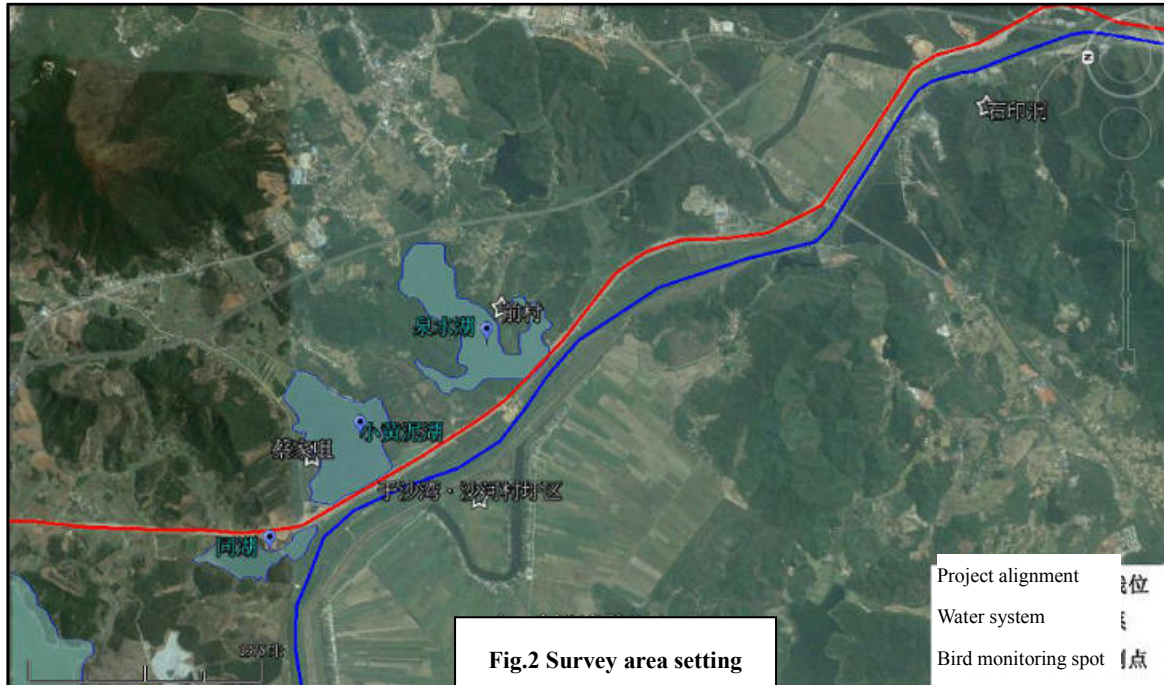
1 Field Survey

1.1 Survey area setting

In accordance with the requirements of *ADB Loan Review Board Memo for Anhui Multimodal Sustainable Transportation Project from 24 to 28 August, 2015*, a bird monitoring survey for the section from K6+000 to K15+000 is implemented from January 3 to 6, 2016, based on the characteristics of trend and surrounding environment of G206 Dongliu-Yaodu Section Project.

The principles of survey area setting are as follows: (1) the survey area should cover foraging and habitat type of typical birds; (2) the survey area should consider the areas with different construction practices, such as bywater bridge construction, subgrade construction, etc.; (3) the survey area should cover the areas where there may be valuable and rare protected birds.

Based on the Memo requirements and the habitat situation of birds along the line, the scope of bird monitoring is chosen in: (1) survey points and survey line transects in polder of Shawan Villiage and Shahe Villiage; (2) survey points survey line transects in Caijiazui beside Little Huangni Lake and Qian Village beside Quanshui Lake; (3) survey points survey line transects in Shiyin Hole.



1.2 Bird field survey methods

According to the environmental characteristics of survey area, the distribution and habitat of birds are divided into four types, including farmland, forest land, lakeshore and riverbank (wetland along the lake) and water surface. By means of the belt transect methods among farmland, forest land, lakeshore and riverbank (wetland along the lake), each survey area is provided with a belt transect according to each habitat type. Set the central line of belt transect while walking along a definite direction at a constant speed and observe with a double telescope and record the species, quantity, activity status and habitat location of birds within a scope of 25 m on both sides of the central line of belt transect. Regarding the water surface habitat, select the several fixed sampling spots on the water surface in the area, adopt the direct counting methods and make an observation with a Swarovski ATS 80 HD single-tube telescope to and then record the species, quantity and activity status of birds observed within a radius of 200 m.

1.3 Survey time

Based on the seasonal bird distribution, the main target of this bird monitoring for winter birds, survey time were selected for January 3 to 6, 2016, continued for 3 days. As for the cluster habit and

activity behavior of winter birds, the early morning (6:30-10:30) and at dusk (4:30-5:30) with higher activity intensity were selected to carry out the observation and survey of belt transects and sampling spots.

2 Document Literature Reference

Refer to the bird-related data in relevant survey areas in the species recourse system in the areas along the middle and lower reaches of the Yangtze River in Anhui, Chizhou Dongzhi region and Anhui Province, combine with the previous survey data of birds in survey areas to supplement the bird resource data in the region.

3 Data Collation and Assessment Methods

3.1 Statistic data processing methods

Berger-Parker dominance index (I) measurement is used to divide the quantity grade into dominant species, common species, rare species or occasional species. The calculation formula is $I = n_i / N$, in which n_i is the number of individuals in each statistical unit and N is the total number of individuals of all species in each statistical unit.

Bird density is calculated according to the formula $D = N/LW$ and $D = N/S$, in which D is bird density, N is quadrat and number of birds recorded in the quadrat, L is the length of line transect, W is the one-sided width of line transect and S is the counting area measured by sampling point methods. The index of species diversity is calculated by Shannon-Wiener (H) index: $H = -\sum P_i \ln(P_i)$, in which P_i is the probability of individual number of the i kind; the uniformity index is calculated by Pielou index (J): $J = H / H_{\max}$, in which H refers to the same meaning as mentioned above; $H_{\max} = \ln S$, S refers to the number of species.

3.2 Assessment methods of influence on birds

Apply the professional judgment methods to implement monitoring and brief analysis for the influence of G206 Dongliu-Yaodu Section Project on bird ecological environment. Describe the rule of daily activity, feeding habits and habitat selection of key birds and the migration habit of migrant birds to determine and evaluate the impacts of project construction on birds after obtaining the composition, density and diversity index of birds in each area in the protected zone.

Chapter III Bird Survey Results

1 Specific Composition of Regional Birds

By referring to the previous survey data of birds in the area around the project site and the relevant documentations, we have obtained 191 species of birds in the project area (as seen in Appendix 2), among which 87 species are water birds, accounting for 42.4% of total number of birds.

In terms of species composition, totally 113 species of non-passerine, 78 species of passerine are recorded, accounting for 59.2% and 40.8% of the total species of birds respectively. 191 species of bird are under 17 orders and 48 families. They are arranged in order as below according to the number of species: passeriformes (21 families, 78 species), Charadriiformes (5 families, 26 species), Anseriformes (1 family, 20 species), Ciconiiformes (3 families, 17 species), Gruiformes (3 families, 13 species), Lariformes (2 families, 6 species), Cuculiformes (1 family, 5 species), Falconiformes (1 family, 4 species), Coraciiformes (1 family, 4 species), Piciformes (1 family, 4 species), Podicipediformes (1 family, 3 species), Galliformes (1 family, 3 species), Columbiformes (1 family, 3 species), Pelecaniformes (1 family, 2 species), Strigiformes (1 family, 1 species), Apodiformes (1 family, 1 species), Upupiformes (1 family, 1 species).

Among 191 species of bird in this area, 19 species are national key protected birds, including 4 species are national first class protected animals: Black Stork (*Ciconia nigra*), Oriental White Stork (*Ciconia boyciana*), White Crane (*Grus leucogeranus*) and White-head Crane (*Grus monacha*); 15 species are national second class protected animals: Horned Grebe (*Podicepsa auritus*), Dalmatian Pelican (*Pelecanus crispus*), Chinese Egret (*Egretta eulophotes*), Eurasian Spoonbill (*Platalea leucorodia*), Little Swan (*Cygnus columbianus*), White-fronted Goose (*Anser albifrons*), Mandarin Duck (*Aix galericulata*), Hen Harrier (*Circus cyaneus*), Common Buzzard (*Buteo buteo*), Kestrel (*Falco tinnunculus*), Peregrine Falcon (*Falco peregrinus*), White-naped Crane (*Grus vipio*), Grey Crane (*Grus grus*), Lesser Coucal (*Centropus bengalensis*) and African Grass Owl (*Tyto capensis*).

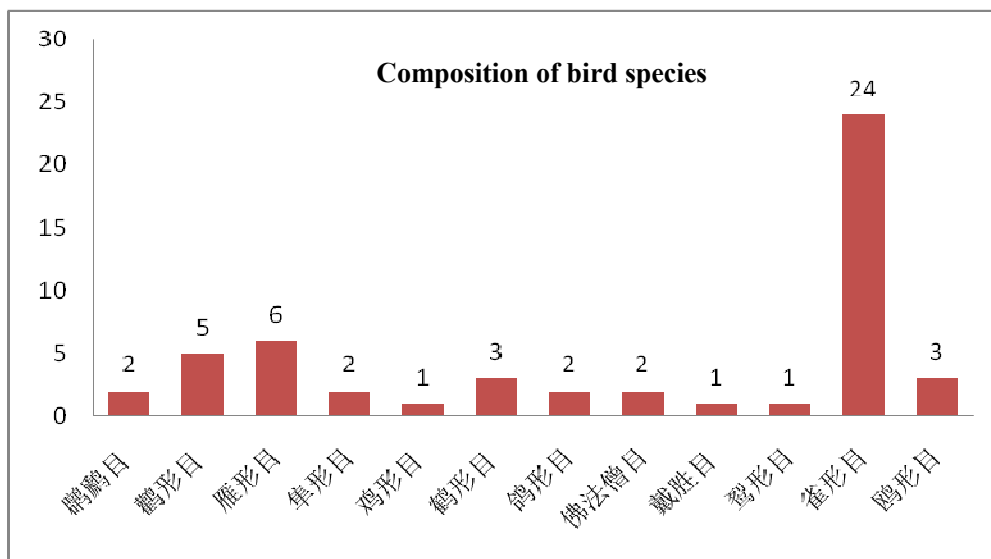
As for the avifaunal composition, 39 species among 191 species of bird are widespread species in the project area, accounting for 20.4% of the total number, thus presenting a characteristic of

transition from oriental realm to palearctic realm.

2 Bird Survey Results

This survey of birds involves 52 species under 12 orders and 28 families, among which there are 24 species under 16 families of Passeriformes, accounting for the largest proportion of 46.2% of the total surveyed birds. The birds are sequenced in order from the most and least birds composition of various orders: Passeriformes (18 families, 24 species), Anseriformes (1 family, 6 species), Ciconiiformes (1 family, 5 species), Gruiformes (1 family, 3 species), Lariformes (2 families, 3 species), Columbiformes (2 family, 2 species), Falconiformes (1 family, 2 species), Coraciiformes (1 family, 2 species), Upupiformes (1 family, 1 species), Piciformes (1 family, 1 species), Galliformes (1 family, 1 species), Upupiformes (1 family, 1 species).

This survey found no national first class protected birds, but covers 2 species of national second class protected birds (Kestrel, Peregrine Falcon), 1 species of Anhui Provincial first class protected birds (Cyanopica Cyana) and 14 species of Anhui Provincial second class protected birds which are mostly Anseriformes Anatidae and Passeriformes.



| | |
|-----|------------------|
| 鸊鷉目 | Podicipediformes |
| 鹤形目 | Ciconiiformes |
| 雁形目 | Anseriformes |

| | |
|------|---------------|
| 隼形目 | Falconiformes |
| 鸡形目 | Galliformes |
| 鹤形目 | Gruiformes |
| 鸽形目 | Columbiformes |
| 佛法僧目 | Coraciiformes |
| 戴胜目 | Upupiformes |
| 鸢行目 | Piciformes |
| 雀形目 | Passeriformes |
| 鸥形目 | Lariformes |

Fig. 3. Composition of birds around G206 Dongliu-Yaodu Section Project

2.1 Residence type and floristic characteristics of bird resources

Among 52 species of birds in the survey, the quantity of resident birds and migrant birds respectively accounts for 61.5% and 38.5% of total birds. There are 32 species of resident bird and respectively 2 and 18 species of summer birds and winter birds.

The floral composition of birds according to the survey is: 26 palaeartic species, accounting for 50.0% of the total surveyed birds; 16 and 10 widespread species and oriental species, respectively accounting for 30.8% and 19.2% of the total surveyed birds. As a result, it can be found that the widespread species in this area is the dominant species (as seen in Fig. 5).

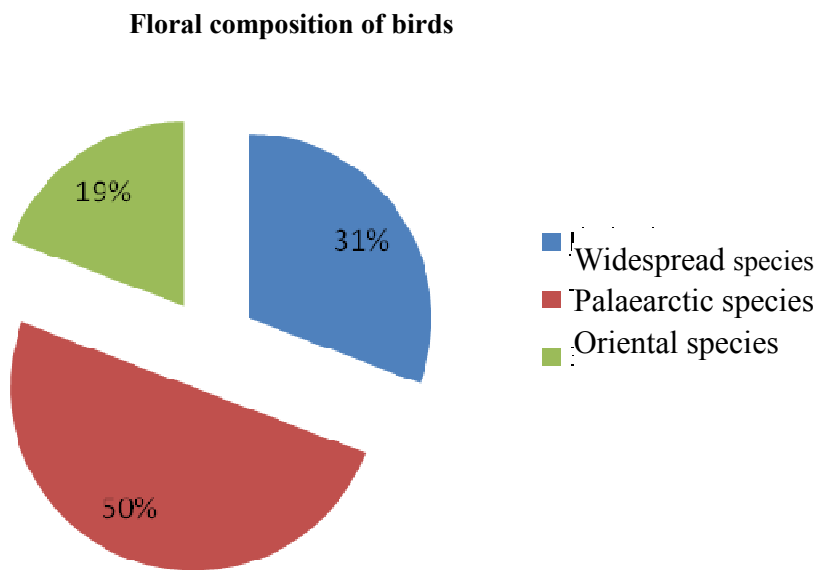
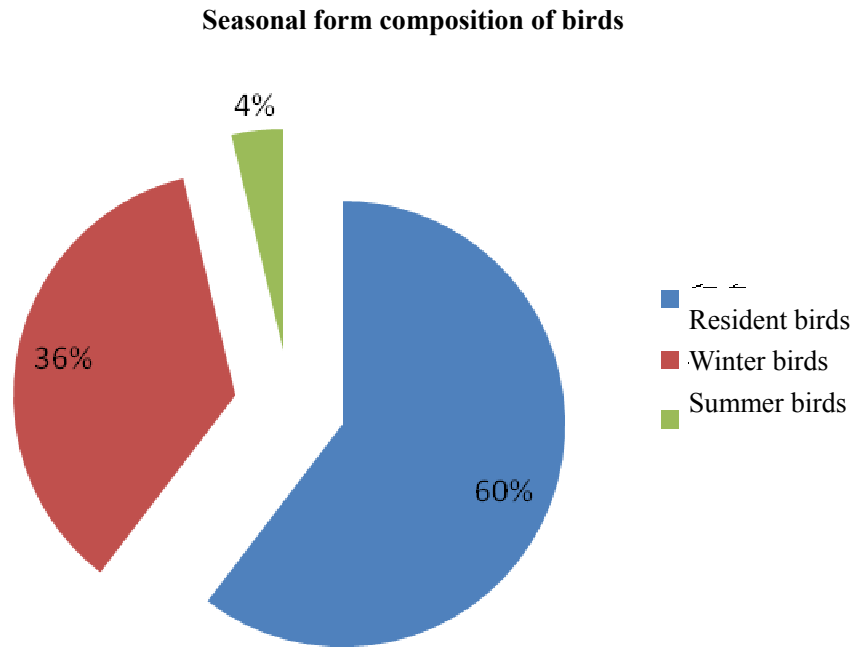


Fig. 4. Seasonal form and floristic characteristics of bird resources in this survey

2.2 Species and quantity of birds in each survey area (habitat)

The periphery of proposed G206 Dongliu-Yaodu Section Project may roughly be divided into four habitat types, including lake water, lakeshore/riverbank, forest land and farmland according to the

major habitat types of birds. Through finishing the observations, we have obtained the species and quantity of birds in each survey spot (habitat) as below:

Table 1 Type and quantity of birds in this survey

| Survey area | Habitat type | Species quantity | Quantity of individuals |
|--|---------------------|------------------|-------------------------|
| Polder areas in Shawan Village and Shahe Village | Farmland | 15 | 79 |
| Caijiazui | Lake water | 13 | 65 |
| | Forest land | 22 | 178 |
| | Lakeshore/Riverbank | 10 | 56 |
| Qian Village | Lake water | 9 | 55 |
| | Forest land | 15 | 103 |
| | Lakeshore/Riverbank | 14 | 63 |
| Shiyin Hole | Forest land | 14 | 77 |

2.3 Bird density and dominant species in each survey area (habitat)

Each habitat type in the peripheral habitat types of the proposed G206 Dongliu-Yaodu Section Project has a unique bird community compositions.

The density and dominant species of birds in four surveyed habitat types are: bird density in the forest land habitat is higher, among which the bird density in Caijiazui forest land habitat is the highest of 6.89 birds/ hm² (as seen in Table 2).

Table 2 Bird density in this survey

| Survey area | Habitat type | Bird density (bird/hm ²) | Dominant species | Scientific name |
|------------------------------------|--------------|--------------------------------------|---|--|
| Polder areas in Shawan Village and | Farmland | 3.12 | Long-tailed Shrike/ Ring-necked Pheasant | <i>Lanius schach</i> / <i>Phasianus colchicus</i> |

| | | | | |
|---------------|---------------------|------|--------------------------------|---|
| Shahe Village | | | | |
| Caijiazui | Lake water | 2.45 | Spot-billed Duck/ Mallards | <i>Anas poecilorhyncha</i> / <i>Anas platyrhynchos</i> |
| | Forest land | 6.89 | Sparrow/ Ashy Starling | <i>Passer montanus</i> / <i>Sturnus cineraceus</i> |
| | Lakeshore/Riverbank | 3.47 | White Wagtail / Water Rail | <i>Motacilla alba</i> / <i>Rallus aquaticus</i> |
| Qian Village | Lake water | 2.16 | Little Grebe | <i>Tachybaptus ruficollis</i> |
| | Forest land | 5.75 | Yellow-billed Grosbeak | <i>Eophona migratoria</i> |
| | Lakeshore/Riverbank | 3.53 | Common Moorhen / Water Rail | <i>Gallinula chloropus</i> / <i>Rallus aquaticus</i> |
| Shiyin Hole | Forest land | 4.56 | Oriental Turtle Dove | <i>Streptopelia orientalis</i> |

2.4 Diversity and uniformity of bird community species in each survey area (habitat)

By measuring the diversity and uniformity of bird community species in each survey area or habitat by use of Shannon-Wiener (H) [diversity](#) index and Pielou index (J), we have found that Caijiazui forest land habitat has the highest diversity index and Shiyin Hole forest land habitat has the highest uniformity (as seen in Table 3).

Table 3 Bird diversity index and uniformity in this survey

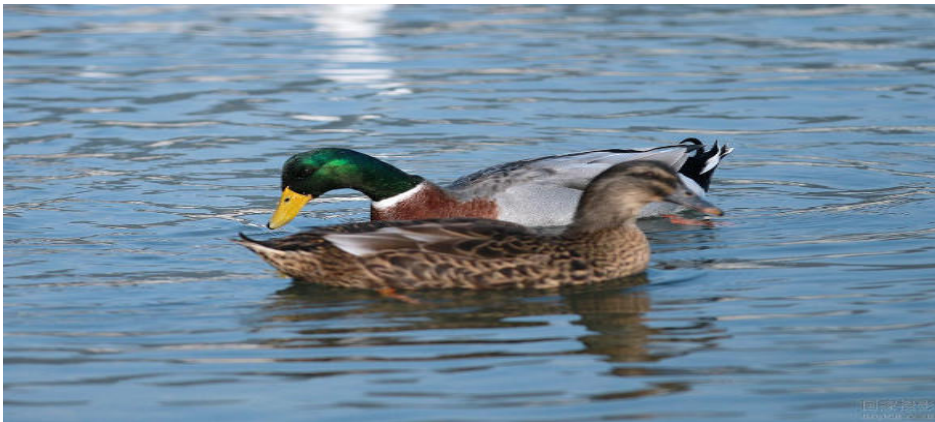
| Survey spot | Habitat type | Shannon-Wiener index | Pielou index |
|--|--------------|----------------------|--------------|
| Polder areas in Shawan Village and Shahe Village | Farmland | 2.273 | 0.839 |
| Caijiazui | Lake water | 2.075 | 0.809 |
| | Forest land | 2.296 | 0.743 |

| | | | |
|--------------|---------------------|-------|-------|
| | Lakeshore/Riverbank | 2.247 | 0.976 |
| Qian Village | Lake water | 1.880 | 0.856 |
| | Forest land | 2.246 | 0.829 |
| | Lakeshore/Riverbank | 2.118 | 0.829 |
| Shiyin Hole | Forest land | 2.262 | 0.857 |

2.5. Introduction of dominant species and key protected birds in all habitats

Key birds inhabiting in farmland habitats are Ring-necked Pheasant, Long-tailed Shrike, Anser Fabalis, etc.; key birds inhabiting in lake and water surface habitats are Spot-billed Duck, Mallards, kestrel and Little Grebe, etc.; key birds inhabiting in forest land habitats are Oriental Turtle Dove, Great Tit and Grey-capped Woodpecker, etc; key birds inhabiting in lakeshore/ bank habitats are Ruddy Shelduck, Common Moorhen and Coot, etc. Ecological habits of these key birds are concluded as follows:

(1) Mallards (*Anas platyrhynchos*)



They are a kind of provincial key protected animal of Anhui Province. In the region of G206 project, part of them are resident birds and part are winter birds. In summer, they principally breed in Northeast China lakes and wetlands; in winter, they migrate to the location of the project in the middle and lower reaches of Yangtze River. In daytime, they mostly gather a colony to inhabit on the lake surface and the river bank or hide in reeds. Up to the morning and dusk, they fly to the shallow water in farmland and lakes for foraging. They principally live on the vegetable food like leaves, buds, stems, algae and seeds of wild plants and also eat [the](#) animal-based food such as mollusks,

crustaceans and aquatic insects. They also often go to farmland after harvest to forage the cereals scattered on the ground during autumn migration and overwintering.

(2) Ruddy Shelduck (*Tadorna ferruginea*)



They are a kind of principal key protected animal of Anhui Province, which are winter birds in the region of the project. They are widely distributed in the middle and lower reaches of Yangtze River in winter. Most of them are active on broad water surface like lakes, reservoirs and ponds. They principally live on the vegetable plants such as leaves, buds, seeds of aquatic plants, seedlings of crops and cereals and also eat animal-based food such as insects, crustaceans, mollusks, shrimps, frogs, earthworms, froglets and fingerlings. They mostly forage in dusk and early morning, sometimes they also forage in daytime. It is common that pairs or several ones forage scattered grains on the plough of both sides of the river and in shallow water of water side and water surface as well.

(3) Spot-billed Duck (*Anas poecilorhyncha*)

They are a kind of principal key protected animal of Anhui Province, breeding from Northeast, Inner Mongolia, North China, Gansu, Ningxia and Qinghai in the Northwest to Sichuan in our country. They overwinter in the south of Yangtze River, southern Tibet and Taiwan, and part of them stay in zones of the middle and lower reaches of Yangtze River East China, South China all year

round, as well as Taiwan and Yunnan. They chiefly inhabit in inland zones of diverse lakes, reservoirs, rivers, ponds, estuaries, sandbanks and marshland. During migration and winter, they appear in coastal and farmland zones. Except for breeding season, they are usually active in groups and also mix groups with other ducks. When being active, they often make pairs or scatter to small groups to swim on the water surface; they primarily concentrate in sandbeaches on the bank or small islands in water when resting. In the early morning and dusk, they gather a group to fly to nearby farmland, ditches, ponds and wetland for foraging. They chiefly eat the vegetable food. Commonly, their principal food are leaves, tender shoots, stems and roots of aquatic plants, aquatic algae like *Codium* and algae floating on water, grass seeds and cereal seeds. And they also eat the animal-based food like insects and mollusks.

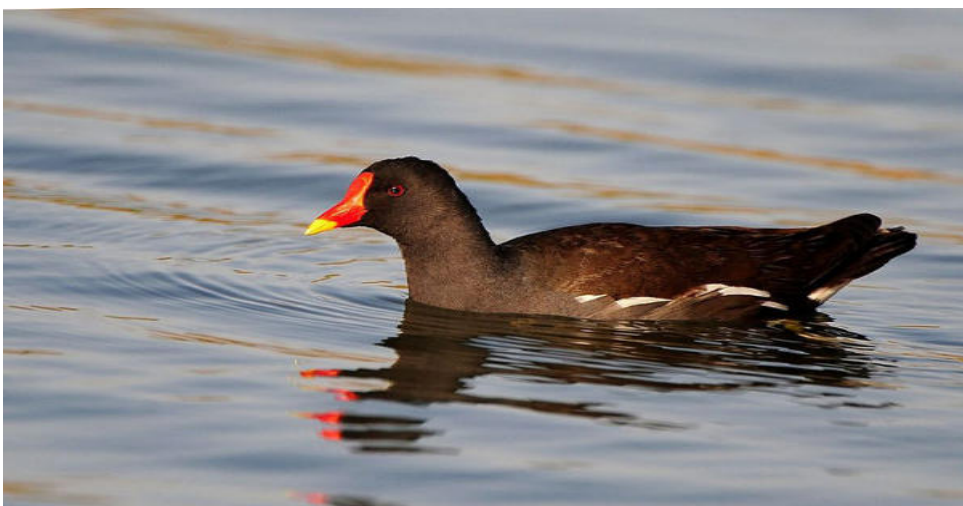


(4) Kestrel (*Falco tinnunculus*)



They are a kind of national II level key protected birds, which are resident birds in the region of the project. Their habitat adaptation is strong. They are commonly seen in mountain forests, low mountains and hills, grassland, wilderness, forest plains, mixed forests with sparse plants in mountains, cultivated land, wilderness shrub grassland, forest edges, glades, open forests, wilderness with growth of sparse trees, river valleys and farmland in the middle and lower reaches of Yangtze River. Kestrels live on small-size vertebrates such as rats, Passeriformes birds, frogs, lizards, squirrels, snakes, and also eat insects like locusts, grasshoppers and crickets.

(5) Common Moorhen (*Gallinula chloropus*)



They are one of the dominant species in lakeshores/riverbank habitat along the project, which are

resident birds in the region of the project with broad distribution and large quantity. They inhabit in fresh water wetland, marshes, lakes, reservoirs, reed ponds, ditches, paddy fields abundant in reeds, aquatic and emerged plants in the middle and lower reaches of Yangtze River. They also emerge in zones of forest edges, ditches on roadsides and lakes and marshes in sparse forests. They are active and forage in daytime, chiefly swimming along the edge of aquatic plants, sometimes they wade to forage along shallow water on water side as well. They principally eat the food such as tender leaves, buds, rhizomes of aquatic plants and aquatic insects, worms, spiders, mollusks, snails and insect larvae. Among them is given priority to with Animal-based food

(6) *Anser fabalis* (*Alcedo atthis*)



They are a kind of principal key protected animals of Anhui Province, which are winter birds in the region of the project. As large-size wild geese, they are commonly seen as a large-scale colony in the middle and lower reaches of the Yangtze River, and they ordinarily get together with swan geese when inhabiting. They are not easy to be approached for they have alert characteristics. In overwintering in the region, they chiefly live on grain seeds, beans, wheat seedlings, potato, sweet potatoes, buds and leaves of plants and a few of mollusks. They principally forage on land. Usually, they forage in farmland, grassland and marshes near their habitats, sometimes they fly to relatively distant foraging place. Foraging principally occurs in the morning and afternoon. At noon, they chiefly rest in water surface in the lake or on the sandbeach on shoreside.

(7) Coot (*Fulica atra*)



They have no protection level. In the region of the project, part of them are resident birds and most are winter birds. They are widely distributed with large quantity. They inhabit in still waters of large area with aquatic plants. They are good at swimming and can dive to prey on fingerlings and aquatic plants. Their tails hang down and heads swing forward and backward when swimming; they can dive for a long time in face of enemies. They are polyphagia birds, primarily eats the fingerlings, shrimps, aquatic insects, tender leaves, buds, fruits of aquatic plants, rose buds and other various shrub berries and seeds, and algae such as pondweed, amur foxtail, spirogyra, stonewort, hydrilla, ulothrix and najas marina brittle naiad as well. They explore to forage in soft soil or dead leaves, mainly seeking for invertebrates; their Species of the coarse beaks can tear down plants to eat the seeds, drupes, twigs and leaves, etc.

(8) Oriental Turtle Dove (*Streptopelia orientalis*)

They are included in *Lists of Terrestrial Wildlife under State Protection, which Are Beneficial or of Important Economic and Scientific Value*, which are resident bird in the region of G206 project. They are active in pairs or independently in open farming area, villages, around houses or near small ditches, foraging on the ground. Their food is principally cereal with kernels such as broomcorn, millet, sorghum, and they also eat the some seeds and kerns of camphor trees and newborn spiral shells, etc.



(9) Little Grebe (*Tachybaptus ruficollis*)



Small swimming bird, least concern species, a large number of resident birds exist in the project area. They often haunt singly or as a member of a pair, sometimes in 3-5 or more than 10. They usually forage by chasing into water in the daytime. They mainly feed on various small fishes, besides, some small aquatic invertebrates, such as shrimp, dragonfly larvae, tadpole, crustace, molluscs, and frog, and few aquatic plants like aqua-plant at times.

(10) Grey-capped Woodpecker (*Dendrocopos canicapillus*)



They are Anhui Provincial key protected animals, widely-distributed but less common. Resident birds exist in the G206 project area, mainly inhabiting in mountain lands, broad-leaved forest, mixed coniferous broad leaved forest, coniferous forest in plain, as well as spinney and secondary forest, and even in scattered arbors in the village edge and cultivated land. They often haunt singly or as a member of a pair, and family groups only occur during leaving nest with the young. They often play and forage in the upper part of the tree, and also downed log in the ground and forage in stumps at times.

(11) Long-tailed Shrike (*Lanius schach*)



They are Anhui Provincial key protected animals which are widely distributed in eastern and southern China. Resident birds exist in the G206 project area, breeding from April to July, with cupulate nest made of grass blade, bamboo leaf, twig, fern leaf, and other miscellaneous debris. They are inhabited in open plain and low mountain areas, haunting in countryside, orchard and bush, as well as grassland, bushwood, tea grove, clove forest and other open round. Standing in the low branch, they suddenly fly to catch flying inserts, and also often pounce on locust, beetle, and small birds on the ground.

(12) Ring-necked Pheasant (*Anas poecilorhyncha*)

They are Anhui Provincial key protected animals, and a large number of resident birds exist in the project area, widely distributed along the middle and lower in Yangzi River. They like to stay in hills with sprawling wilderness. In summer breeding season, they can be moved up the hillside. They proliferate and can transfer to high hillside in summer, and move to grassland near the mountain as well as the fields in winter. They feed on granivore, berry, seed, and inserts. They prefer to walk rather than fly for too long. During reproduction, they will simply nest in depressions of the bush or grass, with fallen leaves and withered grass inside.



(13) Great Tit (*Parus major*)



They are Anhui Provincial key protected animals, and resident birds exist in the project area. They

are inhabited in secondary broad-leaved forest, broad-leaved forest, and mixed coniferous broad-leaved forest in areas along the middle and lower of Yangzi River, also access to the woodland and coniferous forest. And which more active and bold nature, less fear of people. agile action, often jumping through branch, or low flying one tree to another with slight while singing. They mainly feed on leaf-beetles, scarab, tussock caterpillar, eucleid caterpillar, geometer caterpillar, culex, flowerfly, ant, bee, pine moth, hopper, stinkbug, ladybug, heuschrecken, etc.

Chapter IV Brief Analysis of Impact of Road Proposed on Birds Resources

This project will cause the existing massive habitats fragmentation in the area along the line, thus increasing the quantity of small plaque and edge of bird habitats, so that most birds and some other species, especially those are sensitive species to edge, which will avoid the roads duo to the decrease of habitat area and quality caused by noise and visual interference, etc. Due to the land occupation, construction, and emission of waste water and residue in the construction process, the environment along the project has changed, which causes the original inhabited birds in the region transfer to another place. Hence, the quantities and kinds of birds along the area will have certain decline in the early project construction.

(1) Impact of project implementation on widespread birds along the line

However, the survey discovers that along the section from Dongliu to Yaodu of G206 project proposed is mostly through farmland, lakeshore and riverside habitats, where have small quantities and kinds of birds, and the dominant species are mainly ring-necked pheasant, *Lanius tigrinus*, *Motacilla alba* and other resident birds, most of which are extremely habituated to artificial habitat and disturbance. Therefore, the above dominant species have strong adaptability of habitats, without height selection, so they have more choices for habitats around the project. In addition, as construction is gradually completed, trees and shrubs nearby project are bound to recover, thus providing more suitable habitats for the above birds with wider ecologic niche. Besides, some birds with strong adaptabilities, such as magpie, *Lanius schach*, Spotted Dove will quickly adapt to the artificial environment-road, and use it.

(2) Impact of project implementation on key protected birds along the project

This survey records 2 kinds of national key protected birds altogether: kestrel and peregrine falcon. They both are small birds of prey, as the top predators in the food chain, which have smaller distribution density, larger domain, smaller distribution population around the project, but wider range of activity. They mainly feed on small rodents, which are mostly located in farmland, water area, and open area in the edge of forest land. The kestrel and peregrine falcon within the project area due to the large range of activities, so they have relatively extensively food sources small birds, rodents, small reptiles and even larger size insects can be as a source of food, .During construction, as long as avoiding interfering their forestlands for reproduction, and the drive effect of high noise work control, this construction will have little impact on kestrel and peregrine falcon.

(3) Impact of project implementation on key regional protected birds

As the middle and lower reaches of Yangtze River (international water bird hot spot region), according to the data record of the location for the project, there exist large-size national level rare and protected water birds such as white-head cranes, white cranes, white storks, Dalmatian Pelican, etc. overwintering in project area, but there is no discovery in this investigation. This possibly because open water area of water bodies near the project such as Quanshui Lake, Xiaohuangni Lake and Tong Lake are relatively small, and fishery breeding exists in all the lake surface fundamentally; reclamation situation is serious along the lake. All these destroy the primitive habitat of the lake. Habitats characteristics have failed to conform to features of above-mentioned large-size birds on habitat selection, leading to no distribution of overwintering population, therefore, it is less likely that implement of G206 project will result in overwintering inhabitation's being affected for large-size rare birds.

(4) Impact on the concentrated habitats of birds

This survey discovers that woodland habitat has higher density of birds, diversity, and uniformity. But there are also fewer kinds and population quantity of non-national, and provincial key protected birds, in addition, the proposed G206 project is mainly through the lake and cultivated land, directly across the woodland is relatively few. Besides, in recent years, agricultural mechanization, rural road

construction and breeding birds in woodland, already have a certain anti-disturbance capabilities on noise, and nesting location itself is within the scope of human activities. Hence, the construction has little disturbance and impact on the area with various birds.

Chapter V Bird Protection Measures and Influence Mitigation Measures in the Area of Planned Road

(1) Block system and mitigation measure for corridor effect

The design and follow-up construction of the planned road G206 from Dongliu to Yaodu should reduce the corridor effect road, and the bridge and temporary facilities should avoid affecting the surrounding river system and hydrology, and try not to change the waterflow direction, or reduce the water-cross section, do not block the water flow, and try to lengthen the bridge to protect the natural vegetation under the bridge and culvert mouth in order to make the bridge and culvert the main passageway for the wildlife during this section, and provide convenient conditions for gene exchange and migration to the fishes, amphibians reptiles related to the birds during this section.

(2) Birds' habitat preservation measures

To reduce the effect of air-borne dust and muck on the surrounding environment during the construction, advanced construction technology should be adopted, such as double-wall steel cofferdams water proof is adopted for the construction of bridge's pier foundation, drill operation is carried out in the cofferdam. The waste residue produced in the construction should be transferred to designate place, and throwing the waste residue at the river way or lake beach is forbidden to prevent the raise of river bed resulting in loss of bird's habitat mudflat. When constructors work at wet land, temporary toilet and garbage can should be set and be disposed regularly by special-signed

person, and the sewage and waste should not be drained into wet land, and the construction materials shall not be discarded or stacked near the water to prevent the damages on birds and their food sources by the pollution and block the water.

(3) Wildlife preservation propaganda and construction management protection measures

The contractors and constructors should get lessons of environment protection and bird diversity preservation before the construction, and it is forbidden that constructors catch and kill bird around the construction site, or damage the vegetation or catch fishes, batrachia in the water which are important food source of bird. And provides that in the more concentrated period of the birds migration (Month 3-4 and 9-11 is the main birds migration time in the project area), the noise reduction shall be increased, and pause the construction that creates strong noise when necessary.

During the night construction, the influence of the light on the raptorial birds at night time should be considered, and the recorded phototaxis birds mainly include ardeidae, corncrake and etc., so, in the construction near water, the necessary shading facilities and lamplight control are suggested to be implemented in order to avoid the lamplight directly projected on water surface and reduce unnecessary lighting time, etc., in addition, can also be reduced the rate of accidentally injure of bird that fly into the construction area due to the lamplight attracting.

(4) Bird habitat recovery measures

After construction, the road should be afforested timely which include road on both sides of the mainline, median strip requires the different greening process, using landscape rebuilding to compensate the original land landscape damaged during the construction of road, so that the wildlife's habitat can be partially regenerated. In the landscape rebuilding, give more consideration to the adaption to local birds on nesting, breeding and foraging except greening tree species selection and collocation, and try to increase the fitness of local animals with the poor adaptability on to the rebuilding landscape.

Appendix 1 List on birds potentially distributed in the project area

| Bird Name | | Fauna type | Rsidence type | Protection or endangered grade |
|-----------|--------------------------|-------------------------------|---|--------------------------------|
| | Podicipedidae | Podicipediformes | | |
| | Grebe | Podicipedidae | | |
| 1 | Little grebe | <i>Tachybaptus ruficollis</i> | Widespread species Resident birds | |
| 2 | Great Crested Grebe | <i>Podiceps cristatus</i> | Palaeartic species Resident birds, Winter birds | |
| 3 | Grèbe Esclavon | <i>Podicepsa auritus</i> | Palaeartic species Winter birds | II |
| | Pelecaniformes | Pelecaniformes | | |
| | pelecanidae | Pelecanidae | | |
| 4 | Dalmatian Pelican | <i>Pelecanus crispus</i> | Palaeartic species Winter birds | II, Vulnerable |
| | Phalacrocoracidae | Phalacrocoracidae | | |
| 5 | Great Cormorant | <i>Phalacrocorax carbo</i> | Widespread species Winter birds | |

| | | | | | |
|----|----------------------|-------------------------------|--------------------|------------------------------|----------------|
| | Ciconiiformes | Ciconiiformes | | | |
| | Ardeidae | Ardeidae | | | |
| 6 | Heron | <i>Ardea cinerea</i> | Widespread species | Resident birds, Winter birds | |
| 7 | Purple Heron | <i>Ardea purpurea</i> | Widespread species | Summer birds | |
| 8 | Great Egret | <i>Egretta alba</i> | Widespread species | Winter birds | |
| 9 | Intermediate Egret | <i>Egretta intermedia</i> | Widespread species | Summer birds | |
| 10 | Egret | <i>Egretta garzetta</i> | Oriental species | Summer birds, Resident birds | |
| 11 | Egretta Eulophotes | <i>Egretta eulophotes</i> | Oriental species | Summer birds | II, Vulnerable |
| 12 | Cattle Egret | <i>Bubulcus ibis</i> | Oriental species | Summer birds | |
| 13 | Chinese Pond Heron | <i>Ardeola bacchus</i> | Oriental species | Summer birds | |
| 14 | Striated Heron | <i>Butorides striatus</i> | Oriental species | Summer birds | |
| 15 | Night Heron | <i>Nycticorax nycticorax</i> | Widespread species | Summer birds, Resident birds | |
| 16 | Ixobrychus Sinensis | <i>Ixobrychus sinensis</i> | Widespread species | Summer birds | |
| 17 | Cinnamon Bittern | <i>Ixobrychus cinnamomeus</i> | Widespread species | Summer birds | |
| 18 | Black Bittern | <i>Dupetor flavicollis</i> | Oriental species | Summer birds | |
| 19 | Eurasian Bittern | <i>Botaurus stellaris</i> | Palaeartic species | Winter birds | |
| | Ciconiidae | Ciconiidae | | | |
| 20 | Black Stork | <i>Ciconia nigra</i> | Palaeartic species | Winter birds | I |

| | | | | | |
|----|-----------------------------|----------------------------|--------------------|---------------|----------------------------|
| 21 | Oriental White Stork | <i>Ciconia boyciana</i> | Palaeartic species | Winter birds | I , Endangered |
| | Threskiornithidae | Threskiornithidae | | | |
| 22 | Eurasian Spoonbill | <i>Platalea leucorodia</i> | Palaeartic species | Winter birds | II |
| | Anseriformes | Anseriformes | | | |
| | Anatidae | Anatidae | | | |
| 23 | Cygnets | <i>Cygnus columbianus</i> | Palaeartic species | Winter birds | II |
| 24 | Swan Goose | <i>Anser cygnoides</i> | Palaeartic species | Winter birds | Endangered |
| 25 | Anser Fabalis Serirostris | <i>Anser fabalis</i> | Palaeartic species | Winter birds | |
| 26 | Greater White-fronted Goose | <i>Anser albifrons</i> | Palaeartic species | Winter birds | II |
| 27 | Lesser White-fronted Goose | <i>Anser erythropus</i> | Palaeartic species | Winter birds | Vulnerable |
| 28 | Greylag | <i>Anser anser</i> | Palaeartic species | Winter birds | |
| 29 | Tadorna Ferruginea | <i>Tadorna ferruginea</i> | Palaeartic species | Winter birds | |
| 30 | Bergander | <i>Tadorna tadorna</i> | Palaeartic species | Winter birds | |
| 31 | Mandarin Duck | <i>Aix galericulata</i> | Palaeartic species | Winter birds | II , Near threatened |
| 32 | Eurasian Wigeon | <i>Anas penelope</i> | Palaeartic species | Winter birds | |
| 33 | Falcated Teal | <i>Anas falcata</i> | Palaeartic species | Winter birds | Near threatened |
| 34 | Anas strepera | <i>Anas strepera</i> | Palaeartic species | Winter birds | |
| 35 | Sarcelle élégante | <i>Anas formosa</i> | Palaeartic species | Winter birds | Vulnerable |
| 36 | Greenwing | <i>Anas crecca</i> | Palaeartic species | Winter birds | |
| 37 | Mallard | <i>Anas platyrhynchos</i> | Palaeartic species | Winter birds, | |

| | | | | | |
|----|---------------------------|------------------------------|--------------------|------------------------------|----|
| | | | | Resident birds | |
| 38 | Anas poecilorhyncha | <i>Anas poecilorhyncha</i> | Widespread species | Resident birds | |
| 39 | Pintail | <i>Anas acuta</i> | Palaeartic species | Winter birds | |
| 40 | Sarcelle | <i>Anas querquedula</i> | Palaeartic species | 旅 | |
| 41 | Shoveller | <i>Anas clypeata</i> | Palaeartic species | Winter birds | |
| 42 | Tufted Duck | <i>Aythya fuligula</i> | Palaeartic species | Winter birds | |
| | Falconiformes | Falconiformes | | | |
| | Accipitridae | Accipitridae | | | |
| 43 | Hen Harrier | <i>Circus cyaneus</i> | Palaeartic species | Winter birds | II |
| 44 | Buse Variable | <i>Buteo buteo</i> | Palaeartic species | Winter birds | II |
| | Falconidae | Falconidae | | | |
| 45 | Kestrel | <i>Falco tinnunculus</i> | Widespread species | Resident birds | II |
| 46 | Peregrine Falcon | <i>Falco peregrinus</i> | Widespread species | Resident birds | II |
| | Galliformes | Galliformes | | | |
| | Phasianidae | Phasianidae | | | |
| 47 | Quail | <i>Coturnix japonica</i> | Palaeartic species | Resident birds, Winter birds | |
| 48 | Chinese Bamboo Partridge | <i>Bambusicola thoracica</i> | Palaeartic species | Resident birds | |
| 49 | Ring-necked Pheasant | <i>Phasianus colchicus</i> | Palaeartic species | Resident birds | |
| | Gruiformes | Gruiformes | | | |
| | Turnicidae | Turnicidae | | | |
| 50 | Yellow-legged Buttonquail | <i>Turnix tanki</i> | Palaeartic species | Winter birds | |
| | Gruidae | Gruidae | | | |

| | | | | | |
|----|-------------------------|---------------------------------|--------------------|---------------------------------|------------------------------|
| 51 | White Crane | <i>Grus leucogeranus</i> | Palearctic species | Winter birds | I , Critically endangered |
| 52 | White-naped Crane | <i>Grus vipio</i> | Palearctic species | Winter birds | II , Vulnerable |
| 53 | Grey Crane | <i>Grus grus</i> | Palearctic species | Winter birds | II |
| 54 | White-head Crane | <i>Grus monacha</i> | Palearctic species | Winter birds | I , Vulnerable |
| | Rallidae | Rallidae | | | |
| 55 | Slaty-breasted Rail | <i>Gallirallus striatus</i> | Oriental species | Summer birds | |
| 56 | Water Rail | <i>Rallus aquaticus</i> | Widespread species | 旅 | |
| 57 | Brown Crake | <i>Amaurornis akool</i> | Oriental species | Summer birds | |
| 58 | White-breasted Waterhen | <i>Amaurornis phoenicurus</i> | Oriental species | Summer birds | |
| 59 | Ruddy-breasted Crake | <i>Porzana fusca</i> | Oriental species | Summer birds | |
| 60 | Watercock | <i>Gallixrex cinerea</i> | Oriental species | Summer birds | |
| 61 | Common Moorhen | <i>Gallinula chloropus</i> | Oriental species | Resident birds, Summer birds | |
| 62 | Coot | <i>Fulica atra</i> | Widespread species | Winter birds | |
| | Charadriiformes | Charadriiformes | | | |
| | Jacanidae | Jacanidae | | | |
| 63 | Pheasant-tailed Jacana | <i>Hydrophasianus chirurgus</i> | Oriental species | Summer birds | |
| | Rostratulidae | Rostratulidae | | | |
| 64 | Greater Painted-snipe | <i>Rostratula benghalensis</i> | Palearctic species | Winter birds | |
| | Recurvirostridae | Recurvirostridae | | | |
| 65 | Black-winged Stilt | <i>Himantopus himantopus</i> | Widespread species | 旅 | |

| | | | | | |
|----|------------------------|----------------------------------|--------------------|----------------------------|-----------------|
| 66 | Pied Avocet | <i>Recurvirostra avosetta</i> | Palearctic species | Winter birds | |
| | Charadriidae | Charadriidae | | | |
| 67 | Northern Lapwing | <i>Vanellus vanellus</i> | Palearctic species | Winter birds | |
| 68 | Grey-headed Lapwing | <i>Vanellus cinereus</i> | Palearctic species | Summer birds | |
| 69 | Long-billed Plover | <i>Charadrius placidus</i> | Palearctic species | Summer birds, Winter birds | |
| 70 | Pluvier Petit-gravelot | <i>Charadrius dubius</i> | Palearctic species | 旅、Winter birds | |
| 71 | Kentish Plover | <i>Charadrius alexandrinus</i> | Palearctic species | 旅、Winter birds | |
| 72 | Greater Sand Plover | <i>Charadrius leschenaultii</i> | Palearctic species | 旅 | |
| | Scolopacidae | Scolopacidae | | | |
| 73 | Pintail Snipe | <i>Gallinago stenura</i> | Palearctic species | 旅 | |
| 74 | Swinhoe's Snipe | <i>Gallinago megala</i> | Palearctic species | 旅 | |
| 75 | Common Snipe | <i>Gallinago gallinago</i> | Palearctic species | 旅 | |
| 76 | Black-tailed Godwit | <i>Limosa limosa</i> | Palearctic species | 旅 | Near threatened |
| 77 | Eurasian Curlew | <i>Numenius arquata</i> | Palearctic species | Winter birds | |
| 78 | Eastern Curlew | <i>Numenius madagascariensis</i> | Palearctic species | 旅 | |
| 79 | Spotted Redshank | <i>Tringa erythropus</i> | Palearctic species | Winter birds | |
| 80 | Common Redshank | <i>Tringa totanus</i> | Palearctic species | Winter birds | |
| 81 | Marsh Sandpiper | <i>Tringa stagnatilis</i> | Palearctic species | Winter birds | |
| 82 | Common Greenshank | <i>Tringa nebularia</i> | Palearctic | Winter | |

| | | | | | |
|----|----------------------|-----------------------------------|--------------------|----------------|------------|
| | | | species | birds | |
| 83 | Green Sandpiper | <i>Tringa ochropus</i> | Palaeartic species | Winter birds | |
| 84 | Wood Sandpiper | <i>Tringa glareola</i> | Palaeartic species | Passing bird | |
| 85 | Chevalier Guignette | <i>Actitis hypoleucos</i> | Palaeartic species | Passing bird | |
| 86 | Temminck's Stint | <i>Calidris temminckii</i> | Palaeartic species | Passing bird | |
| 87 | Dunlin | <i>Calidris alpina</i> | Palaeartic species | Winter birds | |
| 88 | Ruff | <i>Philomachus pugnax</i> | Palaeartic species | Passing bird | |
| | Lariformes | Lariformes | | | |
| | Laridae | Laridae | | | |
| 89 | Black-tailed Gull | <i>Larus crassirostris</i> | Palaeartic species | Winter birds | |
| 90 | Larus Argentatus | <i>Larus argentatus</i> | Palaeartic species | Winter birds | |
| 91 | Black-headed Gull | <i>Larus ridibundus</i> | Palaeartic species | Winter birds | |
| 92 | Saunders' Gull | <i>Larus saundersi</i> | Palaeartic species | Winter birds | Vulnerable |
| | Sternidae | Sternidae | | | |
| 93 | Common Tern | <i>Sterna hirundo</i> | Widespread species | Summer birds | |
| 94 | Whiskered Tern | <i>Chlidonias hybridus</i> | Widespread species | Summer birds | |
| | Columbiformes | Columbiformes | | | |
| | Columbidae | Columbidae | | | |
| 95 | Ental Turtle Dove | <i>Streptopelia orientalis</i> | Widespread species | Resident birds | |
| 96 | Red Turtle Dove | <i>Streptopelia tranquebarica</i> | Oriental species | Summer birds | |
| 97 | Spotted Dove | <i>Streptopelia chinensis</i> | Oriental species | Resident birds | |
| | Cuculiformes | Cuculiformes | | | |
| | Cuculidae | Cuculidae | | | |

| | | | | | |
|-----|---------------------------|------------------------------|--------------------|----------------|----|
| 98 | Hawk Cuckoo | <i>Cuculus sparverioides</i> | Oriental species | Summer birds | |
| 99 | Indian Cuckoo | <i>Cuculus micropterus</i> | Widespread species | Summer birds | |
| 100 | Himalayan Cuckoo | <i>Cuculus saturatus</i> | Widespread species | Summer birds | |
| 101 | Koel | <i>Eudynamys scolopaceus</i> | Widespread species | Summer birds | |
| 102 | Lesser Coucal | <i>Centropus bengalensis</i> | Oriental species | Summer birds | II |
| | Strigiformes | Strigiformes | | | |
| | Tytonidae | Tytonidae | | | |
| 103 | African Grass Owl | <i>Tyto capensis</i> | Palearctic species | Resident birds | II |
| | Apodiformes | Apodiformes | | | |
| | Apodidae | Apodidae | | | |
| 104 | Pacific Swift | <i>Apus pacificus</i> | Oriental species | Summer birds | |
| | Coraciiformes | Coraciiformes | | | |
| | Alcedinidae | Alcedinidae | | | |
| 105 | Common Kingfisher | <i>Alcedo atthis</i> | Widespread species | Resident birds | |
| 106 | White-throated Kingfisher | <i>Halcyon smyrnensis</i> | Oriental species | Resident birds | |
| 107 | Black-capped Kingfisher | <i>Halcyon pileata</i> | Oriental species | Resident birds | |
| 108 | Ceryle Nudis | <i>Ceryle rudis</i> | Oriental species | Summer birds | |
| | Upupiformes | Upupiformes | | | |
| | Upupidae | Upupidae | | | |
| 109 | Hoopoe | <i>Eurasian Hoopoe</i> | Widespread species | Summer birds | |
| | Piciformes | Piciformes | | | |
| | Picidae | Picidae | | | |
| 110 | Jynx torquilla | <i>Jynx torquilla</i> | Widespread species | Passing bird | |
| 111 | Grey-capped Woodpecker | <i>Picoides canicapillus</i> | Widespread species | Resident birds | |

| | | | | | |
|-----|------------------------|---------------------------------|--------------------|----------------|--|
| 112 | Picoides Major | <i>Picoides major</i> | Widespread species | Resident birds | |
| 113 | Grey-headed Woodpecker | <i>Picus canus</i> | Widespread species | Resident birds | |
| | Passeriformes | Passeriformes | | | |
| | Alaudidae | Alaudidae | | | |
| 114 | Skylark | <i>Alauda arvensis</i> | Palearctic species | Winter birds | |
| 115 | Oriental Skylark | <i>Alauda gulgula</i> | Oriental species | Summer birds | |
| | Hirundinidae | Hirundinidae | | | |
| 116 | Sand Martin | <i>Riparia riparia</i> | Palearctic species | Summer birds | |
| 117 | Barn Swallow | <i>Hirundo rustica</i> | Palearctic species | Summer birds | |
| 118 | Red-rumped Swallow | <i>Hirundo daurica</i> | Widespread species | Summer birds | |
| 119 | Delichon Urbica | <i>Delichon urbica</i> | Palearctic species | 旅 | |
| | Motacillidae | Motacillidae | | | |
| 120 | Motacilla Alba | <i>Motacilla alba</i> | Palearctic species | Resident birds | |
| 121 | Grey Wagtail | <i>Motacilla cinerea</i> | Palearctic species | Summer birds | |
| 122 | Olive-backed Pipit | <i>Anthus hodgsoni</i> | Palearctic species | 旅 | |
| 123 | Water Pipit | <i>Anthus spinoletta</i> | Widespread species | Winter birds | |
| | Campephagidae | Campephagidae | | | |
| 124 | Swinhoe's Minivet | <i>Pericrocotus cantonensis</i> | Oriental species | Summer birds | |
| | Pycnonotidae | Pycnonotidae | | | |
| 125 | Collared Finchbill | <i>Spizixos semitorques</i> | Oriental species | Resident birds | |
| 126 | Brown-breasted Bulbul | <i>Pycnonotus xanthorrhous</i> | Oriental species | Resident birds | |
| 127 | Pycnonotus Sinensis | <i>Pycnonotus sinensis</i> | Oriental species | Resident birds | |

| | | | | | |
|-----|-------------------------|----------------------------------|--------------------|---------------------------------|--|
| 128 | Black Bulbul | <i>Hypsipetes leucocephalus</i> | Oriental species | Summer birds | |
| | Laniidae | Laniidae | | | |
| 129 | Bull-headed Shrike | <i>Lanius bucephalus</i> | Palearctic species | Summer birds | |
| 130 | Brown Shrike | <i>Lanius cristatus</i> | Palearctic species | Summer birds | |
| 131 | Long-tailed Shrike | <i>Lanius schach</i> | Oriental species | Resident birds | |
| 132 | Chinese Grey Shrike | <i>Lanius sphenocercus</i> | Palearctic species | Resident birds | |
| | Oriolidae | Oriolidae | | | |
| 133 | Black-naped Oriole | <i>Oriolus chinensis</i> | Widespread species | Summer birds | |
| | Dicruridae | Dicruridae | | | |
| 134 | Black Drongo | <i>Dicrurus macrocercus</i> | Oriental species | Summer birds | |
| 135 | Ashy Drongo | <i>Dicrurus leucophaeus</i> | Oriental species | Summer birds | |
| | Sturnidae | Sturnidae | | | |
| 136 | Crested Myna | <i>Acridotheres cristatellus</i> | Oriental species | Resident birds | |
| 137 | Black-collared Starling | <i>Gracupica nigricollis</i> | Palearctic species | Summer birds | |
| 138 | Red-billed Starling | <i>Sturnus sericeus</i> | Oriental species | Summer birds | |
| 139 | Ashy starling | <i>Sturnus cineraceus</i> | Palearctic species | Winter birds, Resident birds | |
| | Corvidae | Corvidae | | | |
| 140 | Eurasian Jay | <i>Garrulus glandarius</i> | Palearctic species | Resident birds | |
| 141 | Cyanopica Cyana | <i>Cyanopica cyana</i> | Palearctic species | Resident birds | |
| 142 | Cissa erythrorhyncha | <i>Urocissa erythrorhyncha</i> | Oriental species | Resident birds | |
| 143 | Grey Treepie | <i>Dendrocitta formosae</i> | Oriental | Summer | |

| | | | | | |
|-----|---------------------------|--------------------------------|--------------------|------------------------------|-----------------|
| | | | species | birds | |
| 144 | Magpie Robin | <i>Pica pica</i> | Palearctic species | Resident birds | Near threatened |
| 145 | Corvus frugilegus | <i>Corvus frugilegus</i> | Palearctic species | Winter birds | |
| 146 | Corvus corone | <i>Corvus corone</i> | Palearctic species | Winter birds | |
| 147 | Jungle Crow | <i>Corvus macrorhynchos</i> | Palearctic species | Winter birds | |
| 148 | Collared Crow | <i>Corvus torquatus</i> | Palearctic species | Winter birds, Resident birds | |
| | Turdidae | Turdidae | | | |
| 149 | Red-flanked Bluetail | <i>Tarsiger cyanurus</i> | Palearctic species | Winter birds | |
| 150 | Magpie Robin | <i>Copsychus saularis</i> | Oriental species | Summer birds | |
| 151 | Daurian Redstart | <i>Phoenicurus aureus</i> | Palearctic species | Winter birds | |
| 152 | Rhyacornis fuliginosus | <i>Rhyacornis fuliginosus</i> | Palearctic species | Winter birds | |
| 153 | 黑喉石鵲 | <i>Saxicola torquata</i> | Widespread species | Summer birds | |
| 154 | Grey-backed Thrush | <i>Turdus hortulorum</i> | Palearctic species | Winter birds | |
| 155 | Japanese Thrush | <i>Turdus cardis</i> | Widespread species | Passing bird | |
| 156 | Blackbird | <i>Turdus merula</i> | Oriental species | Resident birds | |
| 157 | Naumann's Thrush | <i>Turdus eunomus</i> | Widespread species | Winter birds | |
| | Monarchinae | Monarchinae | | | |
| 158 | Asian Paradise Flycatcher | <i>Terpsiphone paradisi</i> | Oriental species | Summer birds | |
| | Timaliidae | Timaliidae | | | |
| 159 | Masked Laughingthrush | <i>Garrulax perspicillatus</i> | Oriental species | Resident birds | |

| | | | | | |
|-----|----------------------------------|-----------------------------------|--------------------|----------------|-----------------|
| 160 | Moustached Laughingthrush | <i>Garrulax cineraceus</i> | Oriental species | Resident birds | |
| 161 | Rusty Laughingthrush | <i>Garrulax poecilorhynchus</i> | Oriental species | Resident birds | |
| 162 | Thrush | <i>Garrulax canorus</i> | Palaeartic species | Resident birds | Near threatened |
| 163 | Streak-breasted Scimitar Babbler | <i>Pomatorhinus ruficollis</i> | Oriental species | Resident birds | |
| 164 | Black-streaked Scimitar Babbler | <i>Pomatorhinus erythrocnemis</i> | | | |
| 165 | Rufous-capped Babbler | <i>Stachyris ruficeps</i> | Oriental species | Resident birds | |
| 166 | Red-billed Leiothrix | <i>Leiothrix lutea</i> | Oriental species | Resident birds | |
| | Paradoxornithidae | Paradoxornithidae | | | |
| 167 | Vinous-throated Parrotbill | <i>Paradoxornis webbianus</i> | Oriental species | Resident birds | |
| | Cisticolidae | Cisticolidae | | | |
| 168 | Zitting Cisticola | <i>Cisticola juncidis</i> | Oriental species | Resident birds | |
| 169 | Plain Prinia | <i>Prinia inornata</i> | Oriental species | Resident birds | |
| 170 | Sylviidae | <i>Sylviidae</i> | | | |
| 171 | Brown-flanked Bush Warbler | <i>Cettia fortipes</i> | Oriental species | Resident birds | |
| 172 | Black-browed Reed Warbler | <i>Acrocephalus bistrigiceps</i> | Palaeartic species | Summer birds | |
| 173 | Pallas's Leaf Warbler | <i>Phylloscopus proregulus</i> | Palaeartic species | Winter birds, | |
| 174 | Yellow-browed Warbler | <i>Phylloscopus inornatus</i> | Oriental species | Passing bird | |
| | Zosteropidae | Zosteropidae | | | |
| 175 | Japanese White-eye | <i>Zosterops japonicus</i> | Oriental species | Summer birds | |
| | Paridae | Paridae | | | |
| 176 | Coal Tit | <i>Parus ater</i> | Widespread species | Resident birds | |
| 177 | Tomtit | <i>Parus major</i> | Widespread | Resident | |

| | | | | | |
|-----|---------------------|-------------------------|--------------------|----------------|--|
| | | | species | birds | |
| | Fringillidae | Passeridae | | | |
| 178 | Russet Sparrow | <i>Passer rutilans</i> | Widespread species | Resident birds | |
| 179 | Sparrow | <i>Passer montanus</i> | Widespread species | Resident birds | |
| | Estrildidae | Estrildidae | | | |
| 180 | White-rumped Munia | <i>Lonchura striata</i> | Oriental species | Resident birds | |
| | Fringillidae | Fringillidae | | | |

Appendix 2 List of birds recorded in this survey

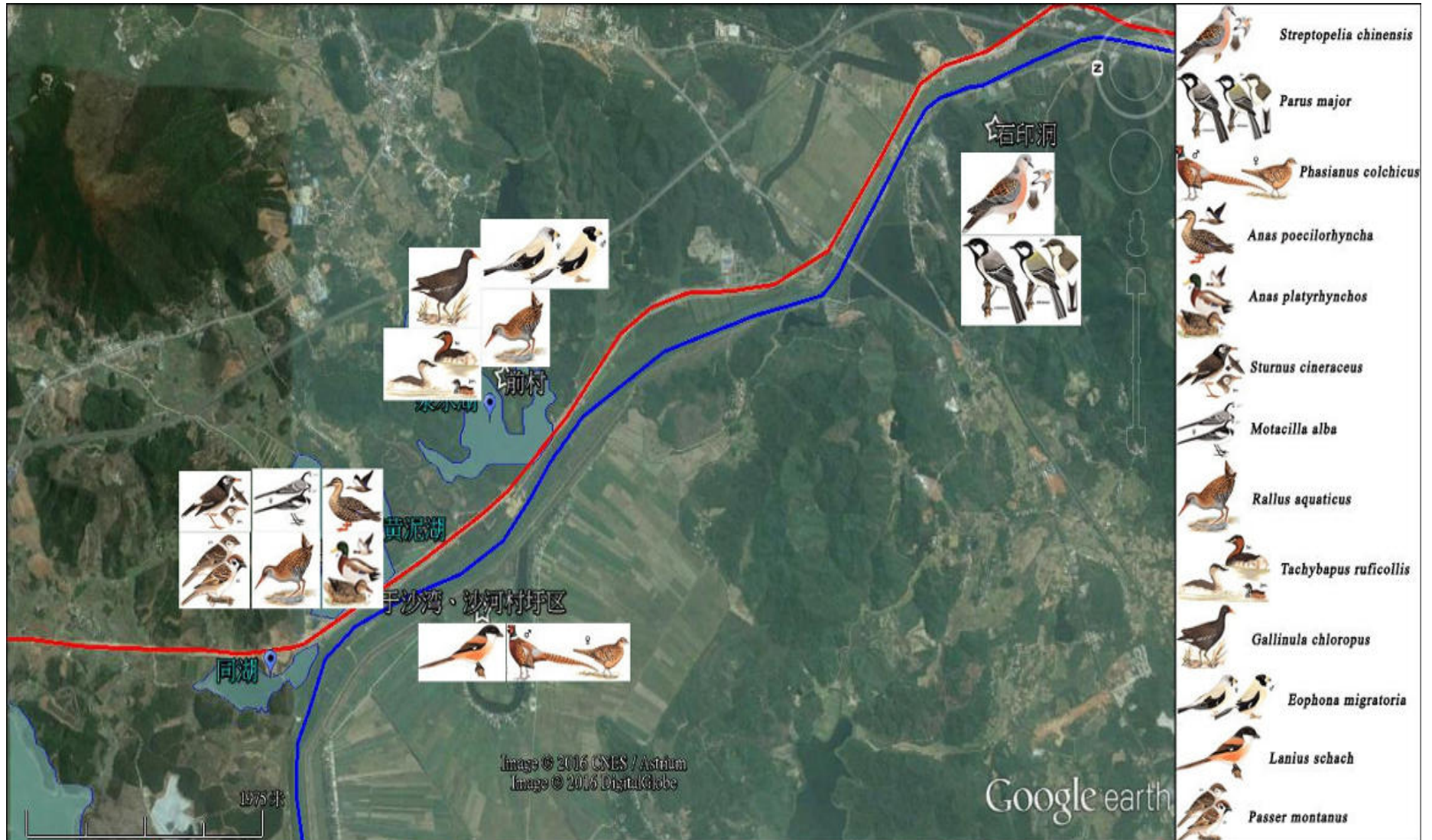
| Order | Family | Chinese Name | Latin Name | | | | | Population | Fauna type | Residence type | Preservation grade |
|------------------|---------------|--------------|-------------------------------|----------|----------|----------------------|---------------|------------|--------------------|----------------|--------------------|
| | | | | Farmland | Forestry | Lakeshore/River bank | Water surface | | | | |
| Podicipediformes | Podicipedidae | 小鸊鷉 | <i>Tachybaptus ruficollis</i> | | | | ☐ | ++ | Widespread species | Resident birds | |
| | | 凤头鸊鷉 | <i>Podiceps christatus</i> | | | | ☐ | + | Palearctic species | Winter birds | |
| Ciconiiformes | Ardeidae | 苍鹭 | <i>Ardea cinerea</i> | | | ☐ | ☐ | + | Oriental species | Summer birds | |
| | | 夜鹭 | <i>Nycticorax nycticorax</i> | | | ☐ | ☐ | + | Widespread species | Resident birds | |
| | | 池鹭 | <i>Ardeola bacchus</i> | | | | ☐ | + | Oriental species | Resident birds | |
| | | 绿鹭 | <i>Butorides striatus</i> | | | ☐ | ☐ | + | Widespread species | Resident birds | Province II |
| | | 白鹭 | <i>Egretta garzetta</i> | | | ☐ | ☐ | ++ | Widespread species | Resident birds | Province II |
| Anseriformes | Anatidae | 罗纹鸭 | <i>Anas falcata</i> | | | | ☐ | + | Palearctic species | Winter birds | Province II |
| | | 绿头鸭 | <i>Anas platyrhynchos</i> | | | | ☐ | + | Palearctic species | Resident birds | Province II |
| | | 斑嘴鸭 | <i>Anas poecilorhyncha</i> | | | | ☐ | ++ | Widespread species | Resident birds | Province II |
| | | 赤麻鸭 | <i>Tadorna ferruginea</i> | | | ☐ | ☐ | + | Palearctic species | Winter birds | Province II |
| | | 豆雁 | <i>Anser fabalis</i> | ☐ | | ☐ | ☐ | + | Palearctic | Winter | Province II |

| | | | | | | | | | | | |
|-------------------|--------------|-------|---------------------------------|---|---|---|--|-----|--------------------|----------------|-------------|
| | | | | | | | | | species | birds | |
| | | 白额雁 | <i>Anser albifrons</i> | □ | | □ | | + | Palearctic species | Winter birds | Province II |
| Falconiformes | Accipitridae | 红隼 | <i>Falco tinnunculus</i> | | | □ | | + | Palearctic species | Winter birds | State II |
| | | 游隼 | <i>Falco peregrinus</i> | | | □ | | + | Palearctic species | Winter birds | State II |
| Galliforms | Phasianidae | 环颈雉 | <i>Phasianus colchicus</i> | □ | □ | □ | | + | Palearctic species | Resident birds | Province II |
| Gruiformes | Rallidae | 黑水鸡 | <i>Gallinula chloropus</i> | | | □ | | +++ | Oriental species | Resident birds | |
| | | 普通秧鸡 | <i>Rallus aquaticus</i> | | | □ | | + | Widespread species | Resident birds | |
| | | 白骨顶 | <i>Fulica atra</i> | | | | | + | Widespread species | Winter birds | |
| Columbiformes | Columbidae | 山斑鸠 | <i>Streptopelia orientalis</i> | | □ | □ | | ++ | Widespread species | Resident birds | |
| | | 珠颈斑鸠 | <i>Streptopelia chinensis</i> | □ | □ | □ | | + | Oriental species | Resident birds | |
| Coraciiformes | Alcedinidae | 普通翠鸟 | <i>Alcedo atthis</i> | | | | | + | Widespread species | Resident birds | |
| | | 斑鱼狗 | <i>Ceryle rudis</i> | | | | | + | Oriental species | Resident birds | |
| Upupiformes | Upupidae | 戴胜 | <i>Upupa epops</i> | | □ | □ | | + | Widespread species | Resident birds | Province II |
| 鸢形目 Piciformes | Picidae | 星头啄木鸟 | <i>Dendrocopos canicapillus</i> | | | | | + | Widespread species | Resident birds | Province II |
| Passeriformes | Alaudidae | 云雀 | <i>Alauda arvensis</i> | □ | | □ | | ++ | Palearctic | Winter | |

| | | | | | | | | | | | |
|--|-------------------|------|-------------------------------|---|---|---|---|-----|--------------------|----------------|-------------|
| | | | | | | | | | species | birds | |
| | Motacillidae | 白鹡鸰 | <i>Motacilla alba</i> | | □ | | □ | + | Palearctic species | Resident birds | |
| | | 树鹨 | <i>Anthus trivialis</i> | | □ | □ | | + | Palearctic species | Winter birds | |
| | Pycnonotidae | 白头鹎 | <i>Pycnonotus sinensis</i> | □ | □ | □ | □ | +++ | Palearctic species | Resident birds | |
| | Laniidae | 棕背伯劳 | <i>Lanius schach</i> | □ | | | | ++ | Oriental species | Resident birds | Province II |
| | Dicruridae | 黑卷尾 | <i>Dicrurus macrocercus</i> | □ | □ | □ | □ | + | Oriental species | Summer birds | |
| | Sturnidae | 灰椋鸟 | <i>Sturnus cineraceus</i> | □ | □ | | | +++ | Palearctic species | Resident birds | |
| | | 丝光椋鸟 | <i>Sturnus sericeus</i> | | □ | | | ++ | Widespread species | Resident birds | |
| | | 黑领椋鸟 | <i>Gracupica nigricollis</i> | | □ | | | + | Palearctic species | Resident birds | |
| | Corvidae | 喜鹊 | <i>Pica pica</i> | □ | □ | □ | | ++ | Widespread species | Resident birds | |
| | | 大嘴乌鸦 | <i>Corvus macrorhynchos</i> | | □ | □ | | + | Palearctic species | Winter birds | |
| | | 灰喜鹊 | | | | □ | | ++ | Widespread species | Resident birds | Province I |
| | Timallidae | 黑脸噪鹛 | <i>Garrulax perspicilatus</i> | | □ | | | + | Oriental species | Resident birds | |
| | Turdidae | 乌鸫 | <i>Turdus merula</i> | □ | □ | | | + | Oriental species | Resident birds | Province II |
| | Paradoxornithidae | 棕头鸦雀 | <i>Paradoxornis</i> | | | □ | | + | Oriental | Resident | |

| | | | | | | | | | | | |
|------------|--------------|-------|--------------------------------|---|---|---|---|-----|--------------------|-----------------|-------------|
| | | | <i>webbianus</i> | | | | | | species | birds | |
| | | 震旦鸦雀 | <i>Paradoxornis heudei</i> | | | □ | | + | Palearctic species | Resident birds | |
| | Sylviidae | 大苇莺 | <i>Acrocephalus orientalis</i> | | | □ | | + | Palearctic species | Passing Migrant | |
| | Paridae | 大山雀 | <i>Parus major</i> | | □ | | | + | Palearctic species | Resident birds | Province II |
| | Passeridae | 麻雀 | <i>Passer domesticus</i> | □ | □ | □ | | +++ | Widespread species | Resident birds | |
| | Fringillidae | 黑尾蜡嘴雀 | <i>Eophona migratoria</i> | □ | □ | □ | | +++ | Palearctic species | Resident | |
| | Emberizidae | 黄喉鹀 | <i>Emberiza elegans</i> | | □ | □ | | ++ | Palearctic species | Winter birds | |
| | | 田鹀 | <i>Emberiza rustica</i> | □ | | □ | | + | Palearctic species | Winter birds | |
| | | 苇鹀 | <i>Emberiza pallasi</i> | | | □ | | ++ | Palearctic species | Winter birds | |
| | Remizidae | 中华攀雀 | <i>Remiz consobrinus</i> | | | □ | | ++ | Palearctic species | Winter birds | |
| Lariformes | Laridae | 银鸥 | <i>Larus argentatus</i> | | | | □ | + | Widespread species | Winter birds | |
| | Sternidae | 白额燕鸥 | <i>Sterna albifrons</i> | | | | □ | + | Palearctic species | Summer birds | |
| | | 须浮鸥 | <i>Chlidonias hybrida</i> | | | | □ | + | Palearctic species | Winter birds | |

Appendix 3 Distribution map of dominant birds in this survey



Appendix 4 Diversity map of birds in this survey

