

INTEGRATED SAFEGUARDS DATA SHEET CONCEPT STAGE

Report No.: ISDSC1176

Date ISDS Prepared/Updated: 27-Jan-2015

Date ISDS Approved/Disclosed: 22-Feb-2015

I. BASIC INFORMATION

A. Basic Project Data

| | | | |
|---|---|-----------------------|------------------------------|
| Country: | Ukraine | Project ID: | P151701 |
| Project Name: | Community Social Support Project (P151701) | | |
| Task Team Leader(s): | Yuliya Smolyar,Klavdiya Maksymenko | | |
| Estimated Appraisal Date: | 26-Oct-2015 | Estimated Board Date: | 24-Mar-2016 |
| Managing Unit: | GSPDR | Lending Instrument: | Investment Project Financing |
| Sector(s): | Other social services (50%), Sub-national government administration (25%), Public administration- Other social services (25%) | | |
| Theme(s): | Social Inclusion (30%), Other social protection and risk management (30%), Decentralization (20%), Participation and civic engagement (20%) | | |
| Financing (In USD Million) | | | |
| Total Project Cost: | 0.00 | Total Bank Financing: | 0.00 |
| Financing Gap: | 0.00 | | |
| Financing Source | | | Amount |
| Borrower | | | 0.00 |
| International Bank for Reconstruction and Development | | | 0.00 |
| Total | | | 0.00 |
| Environmental Category: | B - Partial Assessment | | |
| Is this a Repeater project? | No | | |

B. Project Objectives

The proposed Project Development Objective (PDO) is to improve living conditions and services in targeted communities and to enhance capacity of local governments to manage local development needs in a participatory and accountable manner.

C. Project Description

The PDO would be achieved through: (i) investments in infrastructure and basic services micro-projects identified by community members as their development priorities (including inter-community micro-projects that would support agglomeration or ‘right-sizing’ of very small communities into more sustainable structures); (ii) investments in the provision of social care to vulnerable groups in communities; (iii) improvements in local governments’ capacity to manage community development through participatory methods and to carry out social needs assessments to identify better and cover vulnerable populations with social care services. A consistent use of citizen engagement mechanisms in local governments practices would be a cross-cutting approach in project activities.

The proposed project has three components:

Component 1. Community development support (indicative loan amount US\$180 million). This component would support community-prioritized infrastructure and service delivery initiatives (micro-projects).

Component 2. Capacity building, monitoring and knowledge transfer (US\$15 million). This component would finance technical assistance and other services, training, events, and goods that would be necessary to ensure successful implementation and sustainable outcomes of community micro-projects.

Component 3. Social policy development (US\$5 million). The component (technical assistance) would aim to strengthen national policies that underpin activities implemented at the local level and to refine them based on the evidence collected from the ground.

D. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

The proposed project would build upon a previous Social Investment Fund project, and would support the rehabilitation and reconstruction of existing municipal/social infrastructure, facilities and social services in target communities. Various selection criteria, targeting different types of social needs and vulnerabilities, will be used to identify the target communities. The project would cover the entire country, including conflict-affected areas in the east that are now in Government control, where there has been substantial destruction of infrastructure. Micro-projects to be financed would be identified by community members through a participatory process. Tentatively, the maximum grant for micro-projects is expected to be about \$150,000.

In the eastern region, in areas where there are large numbers of Internally Displaced Persons (IDPs) and little prospect of their returning to their homes in the foreseeable future, there is the potential for exacerbating social tensions. The aim of the project is for the availability of these resources to decrease rather than increase tensions that exist, particularly between resident and IDP groups. It will therefore ensure a very inclusive, equitable and transparent process for sub-project selection and implementation.

The recently completed Recovery and Peace-building Assessment provides an understanding of the socio-political context, which will be reflected in detailed guidance for the subproject selection process in the project Operational Manual. Also, the project will support capacity-building on the participatory process for local governments and actors prior to starting the process of sub-project selection.

E. Borrowers Institutional Capacity for Safeguard Policies

Components 1 and 2 would be implemented by the Ukrainian Social Investment Fund (USIF). USIF

is an autonomous public nonprofit institution created by the Government Resolution in 2000. The Fund has extensive experience in implementation of community participation projects. In 2002-2008, USIF implemented the World Bank loan of USD50 million that supported rehabilitation of small scale community infrastructure in over 600 communities throughout Ukraine. According to the ICR, this “project was in general compliance with agreed environmental requirements during project implementation”. On-site verification by the Bank confirmed satisfactory compliance with environmental safeguards. Since then USIF has carried out similar activities for German government and EU (both via KfW), for the total amount of about Euro 25 million. That has brought the overall count to above 1,000 community micro-projects. Recently, the USIF has been engaged to implement civil works under the Bank-funded Social Safety Net Modernization (SSNM) project but the works have not started yet.

The Ministry of Social Policy (MSP) would implement the Component 3. The Ministry also has experience with Bank-funded projects. It is currently implementing a SSNM project whose activities are related to the proposed project in terms of improving social care services policy and delivery models.

F. Environmental and Social Safeguards Specialists on the Team

Alexei Slenzak (GENDR)

II. SAFEGUARD POLICIES THAT MIGHT APPLY

| Safeguard Policies | Triggered? | Explanation (Optional) |
|---|-------------------|---|
| Environmental Assessment OP/BP 4.01 | Yes | OP 4.01 is triggered. The project is Environmental Category B ("low B"). The environmental issues are expected to be the standard issues associated with small scale infrastructure rehabilitation and reconstruction. Because the specific investments will be identified by target communities during project implementation, an Environmental and Social Management Framework (ESMF) will be prepared for the project and disclosed prior to Appraisal for public consultations, and EMPs will be prepared for sub-projects as needed. |
| Natural Habitats OP/BP 4.04 | No | |
| Forests OP/BP 4.36 | No | |
| Pest Management OP 4.09 | No | |
| Physical Cultural Resources OP/BP 4.11 | No | |
| Indigenous Peoples OP/BP 4.10 | No | |
| Involuntary Resettlement OP/ BP 4.12 | No | OP 4.12 is not triggered, as any sub-projects requiring land acquisition will be excluded from financing. This will be clearly defined in the POM. |

| | | |
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| Safety of Dams OP/BP 4.37 | No | |
| Projects on International Waterways OP/BP 7.50 | No | |
| Projects in Disputed Areas OP/BP 7.60 | No | |

III. SAFEGUARD PREPARATION PLAN

A. Tentative target date for preparing the PAD Stage ISDS: 05-Oct-2015

B. Time frame for launching and completing the safeguard-related studies that may be needed.

The specific studies and their timing¹ should be specified in the PAD-stage ISDS:

The Environmental and Social management Framework (ESMF) will pin down the social risks and the social assessment that has been undertaken to assess the potential for conflicts and other social risks, and will recommend measures to minimize them. The methodology and the measures of the risk mitigation and social cohesion promotion activities will be described in the POM. The ESMF will be prepared by the Borrower during project preparation.

Before appraisal the ESMF will be disclosed on the web-site of the implementing agency (USIF). It will also be disclosed through the web-sites of respective local administrations once the project locations have been identified. The hard copy will be available in the office of the implementing agency and in the administrations. The consultations on ESMF will be held in Kiev with participation of the wide range of CSOs and other interested stakeholders.

As project implementation starts, the information meeting and the consultations will be held in every participating rayon. The rayon level consultations will be facilitated by USIF that will also be responsible for taking up all the queries resulting from them.

IV. APPROVALS

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|----------------------------------|--|-------------------|
| Task Team Leader(s): | Name: Yuliya Smolyar,Klavdiya Maksymenko | |
| <i>Approved By:</i> | | |
| Regional Safeguards Coordinator: | Name: Agnes I. Kiss (RSA) | Date: 18-Feb-2015 |
| Practice Manager/ Manager: | Name: Andrew D. Mason (PMGR) | Date: 22-Feb-2015 |

¹ Reminder: The Bank's Disclosure Policy requires that safeguard-related documents be disclosed before appraisal (i) at the InfoShop and (ii) in country, at publicly accessible locations and in a form and language that are accessible to potentially affected persons.