

PROJECT KAUFMANN - CHILE
Environmental and Social Action Plan (ESAP)

No.	Aspect	Action	Deliverable	Delivery date
PS 1: Assessment and Management of Environmental and Social Risks and Impacts				
1.1	Policy	Institute a project-level E&S policy covering the activities of the Project and defining E&S objectives and principles.	Copy of policy.	Prior to First Disbursement Date.
1.2	Identification of Risks and Impacts	Include in contracts with Kaufmann a requirement for risks and impacts associated with Project activities to be identified and managed.	Copy of draft contract model with Kaufmann.	Prior to signing any contracts with Kaufmann.
1.3	Organizational Capacity	Appoint and maintain appropriately qualified personnel to develop and implement the Project's environmental and social (E&S) requirements.	1. CV(s) and employment contract(s) of appointed personnel.	1. Prior to First Disbursement Date.
1.4	Organizational capacity and competency	1. Develop an annual training plan for the bus operator's personnel, including drivers and maintenance staff, addressing topics such as passenger and community safety, emergency response, and energy efficiency.	1. Copies of training materials.	1. Two months after First Disbursement Date.
		2. Implement the plan.	2. Evidence that all drivers and maintenance staff have received the training.	2. As part of the ESCR.
1.5	External Communications and Grievance Mechanisms	1. Develop a community grievance mechanism to receive and process any complaints from members of communities surrounding the Project's bus maintenance workshops.	1. Community grievance mechanism.	1. Four months after First Disbursement Date.
		2. Publicize the grievance mechanism details and the methods to access it in the surrounding communities.	2. Evidence of grievance mechanism publication	2. Four months after First Disbursement Date.
1.6	Ongoing Reporting to Affected Communities	1. Develop an External Communication Procedure.	1. External Communication Procedure.	1. Four months after First Disbursement Date.
		2. Provide periodic reports on the Project's E&S management actions.	2. E&S reports.	2. As part of the ESCR.
		3. Disseminate the Project's E&S actions among the communities surrounding the workshops where bus maintenance will be performed, including information on the grievances processed through the community grievance mechanism	3. Evidence of dissemination	3. As part of the ESCR.
PS 2: Labor and Working Conditions				
2.1	Policies and Procedures	Institute a human resources policy and related procedures that set out the Company's approach to managing workers.	Copies of policies and procedures.	Prior to First Disbursement Date.
2.2	Protecting the Workforce	1. AS part of the ESMS, institute a sourcing policy that addresses child and forced labor.	1. Sourcing policy incorporated into Kaufmann's ESMS.	1. Four months after First Disbursement Date.
		2. AS part of the ESMS, develop a supply chain management procedure that covers the spare parts and other materials to be procured to service the Project's buses.	2. Supply chain management procedure incorporated into Kaufmann's ESMS.	2. Four months after First Disbursement Date.
2.3	Occupational Health & Safety	1. Assess the occupational health & safety risks (including emergency risks) and impacts specific to the bus maintenance workshops.	1. Risk and impact assessment.	1. Four months after First Disbursement Date.
		2. Develop procedures for mitigating each risk and impact identified	2. Mitigation procedures.	2. Four months after First Disbursement Date.
		3. Implement the procedures.	3. Report to Lenders on performance.	3. As part of the ESCR.
PS 3: Resource Efficiency and Pollution Prevention				
3.1	Hazardous Materials Management	1. Develop a procedure for the safe storage and handling of hazardous materials at the workshops.	1. Hazardous materials management procedure.	1. Prior to First Disbursement.
		2. Implement the procedure.	2. Report of implementation.	2. As part of the ESCR.
		3. Conduct an analysis of alternatives for the disposal of used bus batteries, considering the legal, environmental, and social implications and of each	3. Battery disposal management plan.	3. Four months after First Disbursement.

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		alternative. Incorporate the analysis and its conclusions into a management plan for the storage, handling and disposal of used batteries.		
		4. Implement the plan.	4. Report on implementation.	4. As part of the ESCR.
		5. Produce a management plan for the disposal of decommissioned buses based on an analysis of alternatives, that considers the legal, environmental, and social implications and of each alternative.	5. Bus disposal management plan.	5. Four months after First Disbursement.
		6. Implement the bus disposal management plan	6. Evidence of implementation	6. As part of the ESCR.
PS 4: Community Health, Safety, and Security				
4.1	Emergency Preparedness and Response	1. Develop an Emergency Preparedness and Response Plan ("EPRP") covering the activities at each maintenance workshop involved in the Project.	1. EPRP	1. Prior to First Disbursement.
		2. Implement the EPRP.	2. Report to Lenders on EPRP implementation.	2. As part of the ESCR.