



Regional: Strengthening ADB's Safeguard Policy Provisions and Procedures

Project Name	Strengthening ADB's Safeguard Policy Provisions and Procedures	
Project Number	54203-001	
Country	Regional	
Project Status	Proposed	
Project Type / Modality of Assistance	Technical Assistance	
Source of Funding / Amount	TA: Strengthening ADB's Safeguard Policy Provisions and Procedures	
	Technical Assistance Special Fund	US\$ 1.00 million
	Technical Assistance Special Fund	US\$ 1.00 million
Strategic Agendas	Environmentally sustainable growth Inclusive economic growth	
Drivers of Change	Governance and capacity development Knowledge solutions Private sector development	
Sector / Subsector	Multisector - ADB's corporate management, policy and strategy development	
Gender Equity and Mainstreaming	Some gender elements	
Description	<p>The knowledge and support technical assistance (TA) will strengthen the Safeguard Policy Statement (SPS) policy provisions and procedures to enhance its effectiveness and relevance to the changing needs of the Asian Development Bank's (ADB) borrowers and clients, and develop the capacity of developing member countries (DMCs) to uphold safeguards in development projects. It will take into consideration the recommendations of the Independent Evaluation Department's (IED) Corporate Evaluation on the effectiveness of the 2009 SPS in achieving ADB's safeguard objectives. The TA is aligned with the first operational priority of Strategy 2030 on addressing remaining poverty and inequality; and the sixth operational priority on strengthening governance and institutional capacity in relation to upholding safeguards. The TA concept was endorsed during the corporate priority framework meeting in November 2019 and is included in the 2020 management approved results-based work plan of the Sustainable Development and Climate Change Department (SDCC).</p>	
Project Rationale and Linkage to Country/Regional Strategy	<p>The ADB Board approved the SPS in July 2009 and it came into effect in January 2010. The SPS consolidated three previous policies on environment, involuntary resettlement, and indigenous peoples. The SPS (para 82) mandated a policy review by IED five years after becoming effective to assess its effectiveness in achieving ADB's objectives. The IED 2019 corporate evaluation was preceded by an operational review of the SPS in 2014 and 2016.</p> <p>Over the past 10 years, ADB has made significant efforts to improve the capacity of DMCs and its clients to manage social and environment risks. At the same time, the country context, development needs, systems, and capacities of DMCs and clients have evolved considerably. ADB has rolled out new lending instruments (i.e. policy-based loan, results-based loan, and other new lending modalities) which require different approaches in assessing and managing safeguards risks. Between 2010-2018, the size of ADB's portfolio doubled, with increase private sector financing; and non-infrastructure sector projects (health, education, technical vocational education and training), where the capacities of the executing and implementing agencies are weak. The shift from predominately standalone investment projects to a more diverse portfolio including multitranches financing facility, policy-based loans, and results-based lending, wherein interlinkages between social and environment risks are complex, and processing times are shorter, create challenges for current safeguard processes and resources. The SPS did not anticipate the current increases in private sector financing, greater diversity in the use of financial intermediaries, general corporate finance loans, private equity investments and other innovative financing modalities. Furthermore, for the Strategy 2030 period, there is a need to consider the suitability of the existing safeguard policy framework to fragile and conflict affected situations (FCAS) and small island developing states (SIDS). Thus, there is a need to examine whether the current SPS provisions remain relevant and robust enough to ensure SPS policy objectives are fully implemented.</p>	
Impact	Implementation of environment and social safeguards improved	
Outcome	Governance and capacity of DMCs to uphold environmental and social safeguards improved	
Outputs	SPS policy provisions strengthened	
	SPS procedures streamlined and requirements improved	
	Stakeholder engagement plan implemented	
	Preparatory measures for roll-out of the revised safeguard policy implemented	
Geographical Location	Regional	
Summary of Environmental and Social Aspects		
Environmental Aspects		
Involuntary Resettlement		
Indigenous Peoples		
Stakeholder Communication, Participation, and Consultation		
During Project Design		
During Project Implementation		

Business Opportunities	
Consulting Services	TBD
Procurement	TBD

Responsible ADB Officer	Abbas, Zehra
Responsible ADB Department	Sustainable Development and Climate Change Department
Responsible ADB Division	SDSS
Executing Agencies	Asian Development Bank 6 ADB Avenue, Mandaluyong City 1550, Philippines

Timetable	
Concept Clearance	14 Jul 2020
Fact Finding	-
MRM	-
Approval	-
Last Review Mission	-
Last PDS Update	31 Jul 2020

Project Page	https://www.adb.org/projects/54203-001/main
Request for Information	http://www.adb.org/forms/request-information-form?subject=54203-001
Date Generated	03 August 2020

ADB provides the information contained in this project data sheet (PDS) solely as a resource for its users without any form of assurance. Whilst ADB tries to provide high quality content, the information are provided "as is" without warranty of any kind, either express or implied, including without limitation warranties of merchantability, fitness for a particular purpose, and non-infringement. ADB specifically does not make any warranties or representations as to the accuracy or completeness of any such information.