Resettlement Plan (Draft)

June 2022

India: Assam South Asia Subregional Economic Cooperation Corridor Connectivity Improvement Project

Project: Hamren to Howraghat Tiniali Vis Tumpreng, Hojai & Nilbagan (A21)

Prepared by Project Management Unit (PMU), Public Works Roads Department (PWRD), Government of Assam for the Asian Development Bank.

CURRENCY EQUIVALENTS

(as of 9 June 2022)

Currency unit - Indian rupee (INR/Rs.)

INR 1.00 = \$ 0.01287 \$1.00 = INR 77.7195

ABBREVIATIONS

ADB - Asian Development Bank

AH - Affected Household AP - Affected Person

ARNIP - Assam Road Network Improvement Project (Renamed to

ASCCIP)

ASCCIP - Assam SASEC Corridor Improvement Project

BPL - Below Poverty Line

CPR - Common Property Resources

Col - Corridor of Impact

CSC - Construction Supervision Consultant
DC - District Collector/Deputy Commissioner

DF - Displaced Family
DP - Displaced Person
DPR - Detailed Project Report
EA - Executing Agency

FGDs - Focus Group Discussions

GO - Government Order
GOA - Government of Assam
GOI - Government of India

GRC - Grievance Redress Committee
GRM - Grievance Redress Mechanism

Ha - Hectare

IA - Implementing Agency
 IPP - Indigenous Peoples Plan
 IR - Involuntary Resettlement

LA - Land Acquisition
LHS - Left Hand Side

NA/NR - Not Available/Not Responded
NGO - Non-Governmental Organization

NH - National Highway
NTH - Non-Titled Holder
OBC - Other Backward Class
PAF - Project Affected Family
PAP - Project Affected Person
PIA - Project Influence Area
PIU - Project Implementation Unit

PMU - Project Implementation Of PMU - Project Management Unit PRoW - Proposed Right-of-Way

PWRD - Public Works (Roads) Department R&R - Rehabilitation and Resettlement

RHS - Right Hand Side
RP - Resettlement Plan

RoW - Right-of-Way

RFCTLARRA - Right to Fair Compensation and Transparency in Land

Acquisition, Rehabilitation and Resettlement Act, 2013

ARFCTLARRR - Assam Right to Fair Compensation and Transparency in Land

Acquisition, Rehabilitation and Resettlement Rules, 2015

RIA - Resettlement Implementing Agency

SASEC - South Asia Subregional Economic Coordination

SC - Scheduled Caste

SIA - Social Impact Assessment

SH - State Highway

SPS - Safeguard Policy Statement

SoR - Schedule of Rates ST - Scheduled Tribes

TH - Title Holder

WHH - Women Headed Household

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EXECUTIVE SUMMARY

A. Scope of the Project

- 1. The Government of Assam has proposed flagship program, named as Asom Mala as a long-term programme for fueling economic growth as well as improving the road infrastructure towards Sustainable Development Goals and achieving Assam Vision 2030, through improvement and upgradation of various State Highways and Major District Road. Asom Mala is an umbrella programe with financial assistance from various financial institutes, like the Asian Development Bank (ADB), the Asian Infrastructure Investment Bank (AIIB), National Bank for Agriculture and Rural Development (NABARD), as well as the State Own Fund. The Assam SASEC Corridor Improvement Project(ASCCIP (ARNIP)) is one of the projects under Asom Mala program, which is being proposed to be executed with financial assistance from the Asian Development Bank.
- 2. The proposed ASCCIP (ARNIP) project will support upgradation and improvement of the identified 6 roads sub-projects totaling of about 313 km spread across 9 districts of the State. This Resettlement Plan (RP) assesses the involuntary resettlement impacts resulting from the acquisition of private land and the impacts on squatters, encroachers and tenants occupying the Right of Way (RoW). It outlines mitigation measures in line with State and National Acts, Rules, and Policies and ADB's Safeguard Policy Statement 2009.

B. Project Road Description

3. This Resettlement Plan report illustrates about the sub-project Hamren to Howraghat Tiniali via Tumpreng, Hojai & Nilbagan road (A21). The project road traverses near Hamren at intersection of SH-18 and crosses State Highway 16 at Donkamukam and NH-54 at Nilbagan and terminates at Howraghat Tiniali junction with NH-29. The terrain along the project stretch is predominantly plain/rolling except Km. 0.000 to Km. 13.700 is hilly terrain. This project road is located in West Karbi Anglong, Hojai and Karbi Anglong districts of Assam state. West Karbi Anglong & Karbi Anglong districts are falling under 6th schedule area. The total design length of project road is about 75.403 kms. The Project corridor is primarily proposed to be improved to a two-lane with paved shoulders configuration.

C. Scope of the Land Acquisition and Resettlement Impacts

- 4. The objective of this Resettlement Plan (RP) is to assist the affected people to improve or at least restore their living standards to the pre-project level. This RP captures the involuntary resettlement impacts arising out of the proposed improvements to the proposed project road under ASCCIP (ARNIP). The document describes the magnitude of impact, mitigation measures proposed, method of acquisition of land, eligibility criteria for availing benefits, baseline socio-economic characteristics, entitlements based on type of loss and tenure, the institutional arrangements for delivering the entitlements and the mechanism for resolving grievances and monitoring.
- 5. The requisition for land prepared on the detailed design and the number of titleholders based on the revenue records has been captured. The proposed project road will involve the acquisition of 18.0421 ha of private land belonging to 404 landowners and require 7.1628 ha of government and 3.9533 ha of forest lands. The proposed project road will involve the acquisition of 17.4833 ha of Non-Cadastral Land, in the hilly regions of Karbi Anglong districts.
- 6. The improvements proposed will impact to 372 private structures physical displacement of 37 households, economic displacement of 110 households, physical and economic displacement of 5 households. Out of the total affected structures 28.49% are permanent structures, 19.89% are semi permanent structures, 45.97% of the structures are

temporary in nature and 5.65% are other category structures. In addition, 93 common property resources will also be affected. In addition to the 404 affected title holders, 8 encroachers, 73 squatters, 220 tenants also would be affected. In all, the project will cause impact to 705 households comprising of 2565 persons.

7. The project road of Hamren to Howraghat Tiniali is categorized as Category A for Involuntary Resettlement as per Screening Criteria of ADB SPS 2009, as the Displaced Persons (DP) is 2565 persons, which is more than 200.

D. Impact on Indigenous People

- 8. The project stretch passing through Karbi Anglong district which is one of the autonomous council districts and designated as schedule VI tribal area. The major scheduled tribes living in the project area are Karbis, Kukris, Rengmas, and Chakmas. It is understood from social survey that there are significant impacts on indigenous people. They have different levels of socio-economic status, having a collective attachment to their traditional customs and habitat; and needs separate attention to address their issues pertaining to provision of basic services. It is therefore required to address the issues of tribal population within the project intervention area so that there is no adverse impact on the tribal people and tribal people are also equally get benefitted from the project intervention. Their economic, social, and legal status limits their capacity to defend their rights in the mainstream society and may restrict their ability to participate in the benefits from development project.
- 9. All of them depend on leased land to earn their living either as cultivators or wage workers. As the majority populations in scheduled district, the scheduled tribes share their co-identity of scheduled tribes with other tribes. They together with other rural dwellers share vulnerabilities in coping with rapid urbanization, finding employment, and getting higher education opportunities for their children.
- 10. Out of total 372 private structures, 92 structures belong to tribal households and out of this 29 are fully affected (more than 40% of its portion), and the rest 63 will be partially affected and will remain viable for use (after renovation). However, a proper assessment on the structure's viability have been conducted by respective PWD (Building) Department to determine whether the remaining structure is feasible or usable in consultation with the affected HH. If the remaining structure is unusable or not feasible, then the total structure is compensated. A total of 132 numbers of displaced families including 40 numbers of tenants. The household income of around 31% (9 out of 29.) of the displaced households is less than Rs. 5,000 per month. Among the total displaced households (29), the majority (19 nos.) of DPs are doing business/ trade followed by agriculture (4 nos.), private and government service (6 nos.) etc. Among the total 29 families, 27 are Hindus and 2 families belong to Christian. A separate Indigenous People Plan has been prepared to address the involuntary resettlement issues, including the acquisition of land, of the affected tribal household. Consultation, Participation, and Information Disclosure.
- 11. Engaging the community and enhancing public understanding about the project and addressing the concerns and issues pertaining to compensation, rehabilitation and resettlement, consultations, individual interviews and focus group discussions were carried out amongst the affected persons, during the socio-economic survey as part of the detailed project report (DPR) for the project. The COVID-19 safety protocols at that time of the consultations were also followed. A number of Consultation throughout the project are also being proposed.
- 12. A total of 25 public consultations, including consultations with women, Businesspersons, Traders, Squatters, Farmers, Residents, Affected Persons and Vulnerable Families, were done in the project planning stage. A total of 310 persons attended and

expressed their views and suggestions for the project. Consultations with local Leaders have also been done. The majority of the people are in favour of implementation of the project but concerned about the rate of compensation, employment opportunity and timely implementation of the project.

- 13. Information will be disseminated to DPs at various stages. Information including magnitude of loss, detailed asset valuations, entitlements and special provisions, grievance procedures, timing of payments, displacement schedule, civil works schedule will be disclosed by the PIU with the assistance from the agency hired for implementing the RP.
- 14. The vernacular translated summary of the RP would provide details of the project, magnitude of impact to land and assets, eligibility and entitlement, institutional arrangement and grievance redressal process and will also be disclosed.

E. Legislative Framework, Entitlements, Assistance and Benefits

- 15. The entitlements for the Assam SASEC Corridor Improvement Project ASCCIP (ARNIP) is based on State laws and regulations of Direct Purchase Policy 2021, Assam Government Policy on conversion of Eksonia Land to Periodic Patta Land, Assam Land Multiplier, Assam RFCTLARR Rules 2015, Resettlement Policy Framework (Addendum) for Assam State Roads Project February 2018, Transfer of Non-Cadastral Land; The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement (RFCTLARR) Act 2013 and ADB's Safeguard Policy Statement (SPS) 2009.
- 16. The acquisition of required private land for the project will be through the Direct Purchase policy of the State. The landowners will get an additional incentive of 25%, on the compensation calculated as per provisions of Section 26 to 30 & Schedule I of RFCTLARR Act 2013 and the R&R benefits will be deemed to be inclusive in the Direct Purchase Price, fixed through negotaiations with the landowners. If the negotiations fail for any reason or other, that particular land will be acquired through the Assam RFCTLARR Rules 2015. Whereas, for the Non-Cadastral land requirements in Karbi Anglong & West Karbi Anglong districts, the project will follow the conventional method of transfer of Non-Cadastral Land.
- 17. The entitlements for the landowners, whose land will be acquired through Assam RFCTLARR Rules 2015 and for the non-Titleholder affected families of ASCCIP (ARNIP) are based on State and National Acts, Rules and Policies, viz., Direct Purchase Policy of Assam, 2021; The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement (RFCTLARR) Act, 2013; Assam RFCTLARR Rules 2015; etc. and ADB's Safeguard Policy Statement (SPS) 2009. Whereas, for the usufruct families of Non-Cadastral land will be treated as Leaseholder.
- 18. For title holders as well as Non-Titleholders, the date of notification to landowners under Direct Purchase will be treated as the cut-off date. Whereas, for the users of the non-Cadastral land in Karbi Anglong and West Karbi Anglong district, the cut-off date will be date of Joint Mesaurement Survey. There will be adequate notification of cut-off date during the Joint Mesaurement Survey and measures will be taken by the district administration to prevent encroachments and/or squatting after the cut-off date is established.
- 19. The Entitlement Matrix (EM) for eligibility, entitlement of compensation and assistance to persons affected due to improvement and upgradation of roads in externally aided projects for secondary state roads have been approved by the Cabinet and notified vide No. DA5R.30/2021/18 dated 10th December 2021 and also published in Assam Gazzate dated 22 December 2021. This Entitlement Mtrix summarizes the types of losses and the corresponding nature and scope of entitlements and follows National/State Laws, in particular the Direct Purchase Policy, the Right to Fair Compensation and Transparency in Land

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Acquisition, Rehabilitation and Resettlement Act, 2013 and the Requirements II on Involuntary Resettlement of the Safeguard Policy Statement of the Asian Development Bank, 2009. The total resettlement cost for the project is estimated at INR. 774.61 million.

F. Grievance Redress Mechanism

20. The Grievance Redressal Committee (GRC) will be established at site or PIU level, at the Project level, i.e., PMU level and at State level. The grievance can be received at any level without any hierarchy and the Grieance Redress Mechanism does not hinder any aggrieved person to move to the Court of Law. The GRC will receive, evaluate and facilitate the resolution to the complainant with corrective actions proposed using understandable and transparent processes on the social and environmental aspects that are gender responsive, culturally appropriate, and readily accessible to all segments of the affected people.

G. Institutional Arrangements

- 21. The Public Works (Roads) Department of Assam will be the Executing Agency (EA) for this Project. The Chief Engineer (EAP), P.W. (Roads) Department will be the Project Director (PD). The office of the Chief Engineer (EAP) will be the Project Management Unit (PMU) of Asom mala program. An Executive Engineer as Nodal Officer and other officials will assist the PD in PMU. The PMU will be responsible for overall execution and technical supervision, monitoring, and financial control of the project. A Program Coordination and Management Consultant (PCMC) has been mobilized to provide high quality technical advice and implementation support to PMU for all the project components under Asom Mala program.
- 22. The PWRD, GoA had already established separate state road divisions in districts/ divisions and these divisions will perform as the Project Implementation Units (PIU) in the respective geographical areas under the divisions. The PIUs will be headed by Executive Engineer, other officials and will be assisted by RP Implementation Agency (RIA) to implement safeguard activities. The PIU will undertake internal monitoring and supervision and record observations throughout the project period to ensure the safeguards and mitigation measures. PIU will be responsible to implement all the project related activities in their respective districts/ divisions including the road strengthening and widening works, implementation of road rehabilitation works, land acquisition and forest clearances, preparation and implementation of performance-based or other maintenance contracts, implementation of activities under the road safety component, and coordination with local administration and local communities to seek their support.

I. PROJECT DESCRIPTION

A. Background

- 1. The Government of Assam has proposed flagship program, named as Asom Mala as a long-term programme for fueling economic growth as well as improving the road infrastructure towards Sustainable Development Goals and achieving Assam Vision 2030, through improvement and upgradation of various State Highways and Major District Road. Asom Mala is an umbrella programe with financial assistance from various financial institutes, like the Asian Development Bank (ADB), the Asian Infrastructure Investment Bank (AIIB), National Bank for Agriculture and Rural Development (NABARD), as well as the State Own Fund.
- 2. The Assam SASEC Corridor Improvement Project (ASCCIP (ARNIP)) is one of the projects under Asom Mala program, which is being proposed to be executed with financial assistance from the Asian Development Bank. The proposed ASCCIP (ARNIP) project will support upgradation and improvement of the identified 6 roads sub-projects totaling of about 313 km spread across 9 districts of the State.

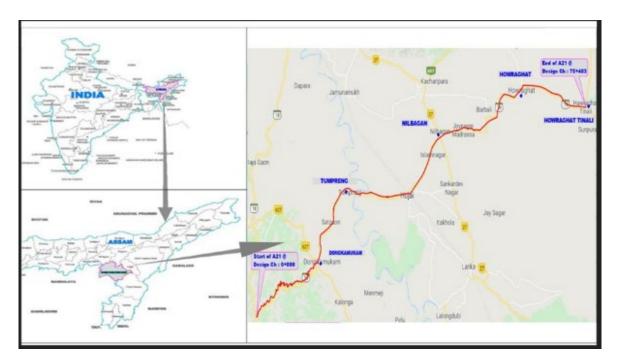
SNo 1 2	Table 1: List Districts Chirang Bongaigaon	t of projects Road No A03 A04	proposed under ASCCIP (ARNIP) Road Name Chapaguri Bhutan via Amguri Jogighopa Oudubi Salbari Bongaigaon	Length 40.776 36.791
3	West Karbi Anglong, Hojai & Karbi Anglong	A21	Hamren Tumpreng Hojai Nilbagan Howraghat	75.403
4	Cachar	A25	Borkhola Khambar Bazar Kalain	21.387
5	Karimganj & Hailakandi	A26	Bhanga to Hailakandi via Baribgool and Rakhalbasti	47.387
6	Dima Hasao	A23	Haflong Tiniali Dehangi Haflong Jatinga	91.300
		Tota	al	313.044

3. The Project Management Unit (PMU) of the Assam Public Works Roads Development (APWRD) has prepared this Resettlement Plan (RP) for the project Hamren-Tumpreng-Hojai-Nilbagan Howraghat (A21), being one of the 6 roads proposed under ASCCIP (ARNIP). This RP assesses the involuntary resettlement impacts resulting from the acquisition of private land and the impacts on squatters and encroachers occupying the Right of Way (RoW).

B. Hamren Tumpreng Hojai Nilbagan Howraghat (A21)

4. The project, Hamren Tumpreng Hojai Nilbagan Howraghat road, involves improvements to about 75.403 kms. The Project corridor is primarily proposed to be improved to a two-lane with paved shoulders configuration. However, 4-lane configuration is also proposed at some urban stretches. This project corridor is located in West Karbi Anglong, Hojai and Karbi Anglong districts. Out of 3 districts, West Karbi Anglong and Karbi Anglong is falling under VIth schedule area under the constitution of India. The project road traverse through 54 villages of Hamren, West Ranglehang and East Rangkhang taluka of West Karbi Anglong, Hojai and Kapashbari taluka of Hojai, Howraghat and langatar taluka of Karbi Anglong District. The chainage wise list of villages/settlements and widening plan are provided in **Appendix 1.** The key plan of the project road is presented below in **Figure 1.**

Figure 1: Key Plan of Hamren-Howraghat Tiniali Road Section



5. Considering the projected traffic on the project road, the following improvements are proposed: 2 lanes with paved shoulder for a maximum design speed of 20 (minimum)/100 (maximum) kmph in plain/rolling/hilly terrain. The proposed corridor of impact (CoI) varies from 12.5 mtrs to 24 mtrs depending on factors like cross sections and urban, rural, terrain conditions etc. There are 16 bus shelter, 16 bus bay with Bus Shelter, 1 Level crossing, 1 major and 5 minor new bridges, 2 major and 38 minor junctions, 16 re-alignment and no service road proposed on the project road. Considering all proposed features additional land requirement for the proposed road is 46.6415 Ha (18.0421 Ha is Private Land and 3.953 Ha is Forest Land, 7.1628 Ha is Government Land and Non-Cadastral Land is 17.4833). More specifically, the following major components in the form of Cross-section parameters for 2-lane with paved shoulder Road in Open area and Built-up area (Plain/Rolling/Hilly terrain) is given in **table 2**, further alignment configurations and carriageway configurations in **Appendix 2**:

Table 2: Cross-Section Parameters of Project Road

S.	Improvements	In Open area (Plain/Rolling	In Built-up area (Plain/Rolling	In Open area (Hilly
No	Parameters	terrain)	terrain)	terrain)
1	Carriageway Width	7.0 m	7.0 m	7.0m
2	Paved Shoulder Width	2 x 1.5 m	2 x 1.5 m	2 x 1.5 m
3	Earthen Shoulder Width	2 x 1.0 m	-	2 x 1.5/1.0 m
4	Roadway Width	12.00 m	13.00 m	11.0/12.0 m
5	Footpath cum Covered Drain Width	-	2 X 1.5 m	-
6	Right of Way	20 m	15 m	Varies
7	Design Speed	80 kmph to 100 kmph	40 kmph to 65 kmph	20 kmph to 50 kmph

6. The carriageway width of the road is varying from Single Lane to Intermediate Lanes with earthen shoulder of approx. 0.5m to 1.5m. The existing pavement of the road is of flexible type for most of the stretch. The condition of the flexible pavement road sections varies from fair to good. As observed, most of the flexible pavement stretch is in poor condition and

require reconstruction. The condition of the existing earthen shoulder is poor for most of the stretch with shoulder drop-off and stagnant water at many locations. The land use pattern along the project highway consists of built-up as well as agricultural area. The available RoW in the project road is 20m and proposed corridor of impact (CoI) varies from 15 mtrs to 24 mtrs depending on factors like urban, rural, terrain conditions etc.

- The start of the project road is Hamren which connects to Diphu, Dhansiri and Rongpahar Railway Station, Hojai Railway station, Dimapur airport, Guwahati International airport and Lokpriya Gopinath Bordoloi international airport. Comfortable access to business centers and cities as well as points of tourist interest are Amlakhi, Bokajan, Bokulia, Manja, Tumpung, Diphu, Akashiganga, Dikrut Waterfall (also Paklongkam), Garampani (also Langkar-om), Kaiphlangso, Silbheta in Karbi Anglong district and Natun Bazar Namgarh, Hoze akhanda mandli, Gita temple, Sree Sree Bishnu Temple, Mansa temple, Hojai Eidgah and Bore Mosque etc.in Hojai district.
- The climate resilient construction will ensure all weather hurdle free connectivity between the villages and town. Roads that are built to be climate resilient can tremendously enhance the adaptive capacity of a country like India. It can augment other adaptation measures as well. Climate resilient of this road can help in providing people a route to reach safety during calamities and ensure adequate service levels of the road network under extreme weather conditions. The map of the project road is presented below:

Project Road

C. **Profile of the Project Area**

- This project corridor is located in West Karbi Anglong, Hojai and Karbi Anglong districts and spreads across the mouza of Hamren, West Ranglehang East Rangkhang of West Karbi Anglong district, Hojai Yogijaan, Na Mati in Hojai district and Kapash Bari, Howraghat, and Langatar Mouza in Karbi Anglong district.
- West Karbi Anglong: Karbi Anglong district is divided into two districts, viz., Karbi 10. Anglong and West Karbi Anglong in 2016. The Karbi Anglong District is situated in the central part of Assam. It is bounded by Golaghat district in the east, Meghalaya and Morigaon district

in the west, Nagaon and Golaghat district in the north and N.C. Hills district and Nagaland in the south. The district headquater is Diphu. West Karbi Anglong is located between 250 33' N to 26035' N Latitude and 920 10' to 930 50' E Longitude.

- 11. The Undivided Karbi Anglong district covers an area of 10,434 sq km. As per the Census of India (2011) total population of Karbi Anglong (both Karbi Anglong and West Karbi Anglong districts) is 9,56,313. However, West Karbi Anglong district has a population of 3,00,320, out of which 1,53,763 are males and 1,46,557 are females. The sex ratio is 973 females per 1000 male. Literacy rate is 85.19%, of which 90.19% are males and 80.19% are females.
- 12. **Hojai:** Hojai is a newly formed district which is carved out from Nagaon district in Assam state of India. It is situated at the southeastern part of the Nagaon district and surrounded by the Karbi Anglong district in it's East, West, South and North-East part. Headquarters of the district is situated at Sankardev Nagar, which is about 8 Km away from the Hojai town.
- 13. The district has total geographical area 1,456 sq. km. The district has a population of 9,31,218 which includes male population of 476,480 and females 454,738 with a population density of 639.6 person per sq. km. Sex ratio of Hojai is 954 females for every 1000 males. The average literacy rate of the district was 75%, compared to the national average of 72%. Male literacy rate of district is 80% and that of female is 70%. The scheduled castes and scheduled tribes accounts for 11.08% and 3.01% of the population respectively.
- 14. The district had a total of 176,788 households. There was a total of 316,533 workers, comprising 909,84 cultivators, 346,92 main agricultural labourers, 5,523 in household industries, 101,146 other workers, 841,88 marginal workers, 23031 marginal cultivators, 295,46 marginal agricultural labourers, 5,199 marginal workers in household industries and 264,12 other marginal workers.
- 15. **Karbi Anglong:** The Karbi Anglong District is situated in the central part of Assam, bounded by the state of Nagaland and Golaghat district in east, Hojai district in the west, Golaghat and Nagaon district in the north and Dima Hasao district and Nagaland in the south. Headquarters of the district is situated at Diphu. The district with dense tropical forest covered hills and flat plains is situated between 250 33' 260 35' North Latitude and 920 10'- 930 50' East Longitudes.
- 16. The district has total geographical area 10,484 sq. km. The district has a population of 9,56,313 which includes male population of 4,90,167 and females 4,66,146 with a population density of 92 person per sq. km. Sex ratio of Karbi Anglong is 951 females for every 1000 males. The average literacy rate of the district was 69%, compared to the national average of 72%. Male literacy rate of district is 76% and that of female is 62%. The scheduled castes and scheduled tribes accounts for 59.4% and 13.9% of the population respectively. The district had a total of 177,146 households.
- 17. There was a total of 1,83,441 workers, comprising 1,63,800 cultivators, 205,95 main agricultural labourers, 5,085 in household industries, 71,002 other workers, 122,959 marginal workers, 592,20 marginal cultivators, 403,57 marginal agricultural labourers, 4,776 marginal workers in household industries and 186.06 other marginal workers.

D. Project Impacts

18. The towns and villages along the project road will improve connectivity with the 2 National Highways, on the north it is covered by NH-36 and on the east by NH-39. This will lead to industrial growth along the project road that will result in employment generation.

Further, the improvements and designs proposed under this road will improve connectivity for the local community living in the vicinity, to markets, health care facilities, and educational institutions. However, the improvements will involve the acquisition of private land for widening and geometric improvements. Moreover, it will also have impacts on non-titled holders using the Right of Way (ROW).

19. The proposed project road will involve the acquisition of 18.0421 Ha of private land belonging to 404 landowners, the transfer of 7.1628 Ha of government land and will impact 372 private structures. Also, there are also acquisition of 17.4833 Ha of Non-Cadastral land and 3.9533 Ha of forest land. The impact to 372 private structures will cause the physical displacement of 37 residential HHs, economic displacement of 110 commercial HHs, physical and economic displacement of 5. A total of 82 commercial tenants will be affected by the project. The number of total affected persons is 2565, of which 1354 are titled APs and 1211 non-titled APs, and 275 Vulnerable Households. A total of 372 structures will be affected and 1033 trees on private land will have to be removed. A total of 93 Common Property Resources (CPRs) like bus shelters and other public infrastructure will be affected. The involuntary resettlement impacts are summarised in **Table 3**.

Table 3: Summary of Involuntary Resettlement Impacts

	·	Extent /
S. No	Impact	Numbers
1	Private Land Acquisition (Ha)	18.0421
2	Government Land Required (Ha)	7.1628
3	Non-Cadastral Land Required (Ha)	17.4833
4	Forest Land Required (Ha)	3.9533
5	Temporary Land Acquisition (Ha)	Nil
	Total Affected Households (AHHs) (Losing only strip of land	
6	113+Land & structures (TH) 291+Encroacher 8+Squatters	705
	43+Kiosks 30+ Tenants 220)	
7	Total affected TH households	404
7a	Titleholders Losing only strip of land	113
7b	Titleholders losing land (significant)	113
7c	Title holders losing land and building	291
8	Total affected non-title holders (without titleship)	301
8a	Encroachers	8
8b	Squatters	43
8c	Kiosks (Squatter)	30
8d	Tenants	220
9	Physically Displaced Households (Loss of Residence)	37
10	Economically Displaced Households (Loss of Shop including kiosks)	110
11	Economically Displaced Titleholders losing land ¹	113
12	Physically and Economically Displaced Households (Loss of Residence cum Shop)	5
13	Non-Significant Impact on the structure affected household ²	345
	(Structures HHs 207 + Tenants HHs 138)	
14	Total Affected Persons (APs)	2565
15	Titled APs	1354
16	Non-titled APs	1211
17	Total Affected Vulnerable Households	275

¹ Agricultural landowners who lose 10% or more of their land.

² Where the impact to asset / structure is less than 40% of the total area, then such impacts are categorized as non-significant impacts as the DP is neither physically nor economically displaced

S. No	Impact	Extent / Numbers
18	Significantly Affected Vulnerable Households	103
19	Affected Private Structures	372
20	Affected Private Trees	1033
21	Affected Common Property Resources (CPRs)	93

Source: Census and Social Survey, March-September 2020.

20. Based on the census survey, 103 vulnerable households were identified as significantly affected irrespective of title or non-title holders, 8 women-headed households, 32 scheduled caste households, 26 scheduled tribe, 32 households were below poverty line and 5 households of elderly people.

Table 4: Impact to Vulnerable Category (mutually exclusive)

S.	Vulnerability	Title	Non		Percenta		
No	Type	Holder	Encroacher	Squatter	Tenants	Total	ge
	Women						
	Headed						
1	Household	6	-	-	2	8	7.77
	Scheduled						
2	Caste	7	1	2	22	32	29.63
	Scheduled						
3	tribes	19	1	0	6	26	24.07
	Below poverty						
4	line	18	-	6	8	32	29.63
5	Elderly	5	-	-	-	5	4.63
	Landless						
6	Families 3	NA	-	-	-	-	-
Total Vulnerable		55	2	8	38	103	100

Source: Census and Social Survey, March-September 2020.

E. Minimizing Involuntary Resettlement and Mitigating Compensation Delivery Risks

- 21. Measures were taken to minimise adverse involuntary resettlement impacts by adopting concentric widening in built-up sections and reducing the proposed right-of-way to 15m. The available right-of-way (RoW) was utilised to the maximum, thereby reducing additional land requirements for the proposed widening. In order to minimize the adverse impact on the properties and loss of livelihood two bypasses are proposed (one at 47+200 to 50+100 and send at 54+600 to 57+800), which saved demolition of 170 buildings (67 and 107 respectively). Vacant land has been used for (wherever it is possible) geometrical corrections (realignments) and the same has also reduced the impact on the properties and subsequent physical and economical displacements.
- 22. To ensure the delivery of compensation is made transparently and safe, the land acquisition process generally follows the national procedure to mitigate any risk of noncompliance to applicable requirements. The compensation amount is agreed with the affected persons following the final award and each and every affected person (authorized representative for each household) will be required to have a bank account. The resettlement implementation NGO will facilitate the ID cards and opening of bank accounts and this information will form part of the microplan which will be submitted to the jurisdictional resettlement officer for compensation disbursement. The bank account provides for a safe

³ Identification of Landless Affected Persons/Families will be verified during further enquiry following national procedure. Once verified, appropriate assistance (as per EM) shall be provided.

way of transferring compensation and resettlement and rehabilitation assistance, including ensuring account is in the name of husband and wife, where applicable. The implementation NGO will ensure the consultation for LAR will continue and any grievance redressal issues are captured and acted upon.

F. Impact to Indigenous Peoples

- 23. The detail assessment of Indigenous people will be prepared in stand alone indigenous people plan report (IPP) based on the census & socio-economic survey and consulatations conducted with all the affected tribal households. However, below section deals with brief impact on indigenous peoples for the proposed road
- 24. The project stretch passing through Karbi Anglong district which is one of the autonomous council districts and designated as schedule VI tribal area. The major scheduled tribes living in the project area are Kukris, Rengmas, karbis and Chakmas. It is understood from social survey that there are impacts on indigenous people. They have different levels of socio-economic status, having a collective attachment to their traditional customs and habitat; and needs separate attention to address their issues pertaining to provision of basic services. It is therefore required to address the issues of tribal population within the project intervention area so that there is no adverse impact on the tribal people and tribal people are also equally get benefitted from the project. Their economic, social, and legal status limits their capacity to defend their rights in the mainstream society and may restrict their ability to participate in the benefits from development project.
- 25. Out of total 372 private structures, 92 structures belong to tribal households and out of this 29 are fully affected (more than 40% of its portion), and the rest 63 will be partially affected and will remain viable for use (after renovation). However, a proper assessment on the structure's viability have been conducted by respective PWD (Building) Department to determine whether the remaining structure is feasible or usable in consultation with the affected HH. If the remaining structure is unusable or not feasible, then the total structure is compensated. A total of 132 numbers of displaced families including 40 numbers of tenants. The household income of around 31% (9 out of 29.) of the displaced households is less than Rs. 5,000 per month. Among the total displaced households (29), the majority (19 nos.) of DPs are doing business/ trade followed by agriculture (4 nos.), private and government service (6 nos.) etc. Among the total 29 families, 27 are Hindus and 2 families belong to Christian. A separate Indigenous People Plan has been prepared to address the involuntary resettlement issues, including the acquisition of land, of the affected tribal household.

II. SCOPE OF LAND ACQUISITION AND RESETTLEMENT

A. Introduction

26. The project road involves improvements to 75.403 km of the Hamren to Howrahghat (A21) with two lanes with paved shoulders. The improvement works including re-alignments, bypasses, geometric improvements, junction improvements and provision of drains and foot paths in built up locations.

B. Scope of Land Acquisition

- 27. The exact alignment of the existing Right of Way (RoW) was determined using village maps and verified in the field in cadastral areas of Hojai and plains of Karbi Anglong districts. In these areas, the existing RoW is not sufficient to meet the design requirements of the proposed cross sections. The private land required for the proposed improvements is 18.0421 Ha. The private land proposed for acquisition is mostly strips of land. Further, 7.1628 Ha of government land and 3.9533 Ha of forest land will also be required to be transferred for the proposed improvements. There are also 17.4833 ha of Non-Cadastral Land, in the hilly regions of Karbi Anglong districts, will be required for the project. The existing right-of-way (ERoW) of the project roads belongs to Govt of Assam, as per convention of Assam no permission is required for construction of the road within the Govt land. However, the PWRD will pay compensation for the affected structures/facilities of government departments within the additional required govt land. There are no legacy issues related to the use of government land in this project.
- 28. The land acquisition plan (LAP) and the requisition for the additional land have been prepared and the precise number of titleholders and extent of land lost will be updated once the joint measurement survey with the revenue people (in Cadastral Areas) and with the Autonomous District Council and Gaon Buras (in Non-Cadastral areas) is completed. The details of the types of private land proposed for acquisition are presented in **Table 5 & 6.**

Table 5: Category of Land being Acquired

S. No	Type of Ownership	Extent (in hectare)
1	Private	18.0421
2	Forest	3.9533
3	Government land	7.1628
4	Non-Cadastral Land	17.4833
	Total	46.6415

Source: LAP prepared by DPR Consultants, June 2021.

Table 6: Classification of Loss of Private Land and Impacts

		Area in Hectare				
S. No	Project Component	Pvt.	Govt	Non- Cadastral	Forest	Total
	Land along the					
1	road for widening	3.9966	3.9641	16.2132	3.9533	28.1272
2 Bypass		14.0455	3.1987	1.2701	0	18.5143
	Total	18.0421	7.1628	17.4833	3.9533	46.6415

Source: LAP prepared by DPR Consultants, June 2021.

29. The extent of land lost and the scale of impact to titleholders and village wise land requirement is presented in table 7 and 8 respectively. Around 72.03% of households (291 HH out of 404 total lands affected HH) will lose less than 10% of their total land holdings. These data will be updated after the completion of the Joint Measurement Survey.

Table 7: Intensity of Land Impact

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		Number of Affected			
S. No	Scale of Impact	Household	Percent		
1	Less than 10%	291	72.03		
2	10% and Below 25%	62	15.35		
3	Above 25% and Below 33.3%	12	2.97		
4	Above 33.3% and Below 50%	19	4.70		
5	Above 50% and Below 75%	14	3.47		
6	Above 75%	6	1.49		
	Total	404	100		

Source: LAP prepared by DPR Consultants, June 2021.

Table 8: Village Wise Additional Land Acquisition Requirement

S.		nage	Additional Land Acqui		-	sition Area (Hectare)		
No	Start	End	Village name	Total	Govt.	Pvt.	Forest	
1	0+000	3+350	Sumopong	3.64	0.00	3.64	0.00	
2	3+350	10+500	Linchika	9.86	0.00	9.86	0.00	
3	10+500	13+700	Mulokbong	3.99	0.00	3.99	0.00	
4	13+700	15+200	Taralangso Bl No 4	1.67	1.28	0.40	0.00	
5	15+200	16+100	Taralangso Blk No 2	0.31	0.00	0.31	0.00	
			Cheng Biri Block No					
6	15+550	16+000	1	0.35	0.00	0.35	0.00	
7	16+100	16+700	Taralangso BL no 1	0.30	0.02	0.28	0.00	
			Phankari Gaon Block					
8	16+000	16+700	No.4	0.26	0.05	0.21	0.00	
			Phankari Gaon Block					
9	16+700	17+200	No.3	0.02	0.00	0.02	0.00	
			Phankari Gaon Block					
10	17+200	17+800	No.2	0.03	0.00	0.03	0.00	
11	17+800	18+500	Donka No 1	0.82	0.45	0.38	0.00	
12	18+500	21+100	Donka No. 2	0.18	0.08	0.10	0.00	
13	21+100	22+150	Pub Ghilani	0.12	0.00	0.12	0.00	
14	22+150	22+700	Khanajan BL No. 2	0.00	0.00	0.00	0.00	
15	22+700	23+750	Khanajan BL No. 1	0.00	0.00	0.00	0.00	
16	23+750	25+650	Sat Gaon	0.00	0.00	0.00	0.00	
17	25+650	26+350	Bhelapara	0.02	0.02	0.00	0.00	
18	26+350	27+250	Mugasong	0.01	0.00	0.01	0.00	
19	27+250	28+000	Tumpreng No. 1	0.01	0.00	0.01	0.00	
20	28+000	29+800	Tumpreng	0.34	0.34	0.00	0.00	
21	29+800	32+650	Reserve Forest	3.95	0.00	0.00	3.95	
			Uttar Kumorakata					
22	32+650	33+800	Part 1	1.14	1.14	0.00	0.00	
23	34+000	34+200	Uttar Kumorakata	0.06	0.00	0.06	0.00	
24	33+750	34+200	Dakhin Kumurakata	0.80	0.24	0.56	0.00	
25	34+200	35+800	Maina Patahr	0.39	0.37	0.02	0.00	
26	34+500	35+750	Matikhola	0.05	0.05	0.00	0.00	
27	35+750	37+750	Singari Basti	0.07	0.02	0.05	0.00	
28	37+550	37+600	Dakhin Bidyanagar	0.01	0.01	0.00	0.00	
29	37+750	38+750	Uttar Bidyanagar	0.00	0.00	0.00	0.00	
30	38+750	39+550	Hojai town 3	0.11	0.02	0.09	0.00	
31	39+550	41+000	Hojai Town 2	0.38	0.38	0.00	0.00	
			Pachim Dhaniram					
32	41+000	42+600	Pather	0.04	0.04	0.00	0.00	
					<u> </u>			

S.	Chai	nage		Acqu	Acquisition Area (Hectare)		
No	Start	End	Village name	Total	Govt.	Pvt.	Forest
33	42+600	43+950	Dimrupar	0.06	0.04	0.01	0.00
34	43+950	45+350	Fatehpur	0.02	0.00	0.02	0.00
35	45+350	46+350	Islampur	0.02	0.00	0.02	0.00
36	46+350	48+200	Jayantia Basti	1.75	0.00	1.75	0.00
37	48+200	49+200	Nilbagaon	1.88	0.22	1.65	0.00
38	49+350	50+750	Mathigaon	1.98	0.05	1.93	0.00
39	49+100	51+100	Singi Par	0.37	0.20	0.17	0.00
40	51+100	51+550	Saharan	0.00	0.00	0.00	0.00
41	51+550	52+650	Sowpur	0.04	0.00	0.04	0.00
42	52+650	53+800	Sutarpur	0.01	0.01	0.00	0.00
43	53+800	56+100	Sabajpur	3.08	0.37	2.71	0.00
44	56+100	56+700	Rohini Pathar	1.32	0.07	1.26	0.00
45	56+750	58+650	Dighal Jarani	3.13	0.42	2.71	0.00
46	58+650	60+650	Barhawar	1.46	0.02	1.44	0.00
47	60+650	62+000	Moudanga Pathar	0.58	0.27	0.31	0.00
48	61+850	63+700	Debasthan	0.33	0.16	0.18	0.00
49	63+700	65+100	Howraghat Town	1.26	0.81	0.44	0.00
50	64+800	66+900	Howraghat Gaon	0.00	0.00	0.00	0.00
51	66+900	68+800	Dakhin Borbil Gaon	0.14	0.00	0.14	0.00
52	68+800	71+150	Beloguri 2	0.03	0.00	0.03	0.00
			Gorokhia Pukuri Part				
53	71+200	73+450	2	0.07	0.01	0.06	0.00
54 73+350 75+400 kospul		kospukhuri	0.19	0.02	0.17	0.00	
		Tota	propored by DDD Consultan	46.64	7.16	35.53	3.95

Source: LAP prepared by DPR Consultants, June 2021.

C. Impact on Structures

30. The improvements proposed will cause impact to 372 private structures and 93 common property resources. Further, there are 220 tenants who will be affected. 76.61% (285 out of 372) of the structures are significantly affected (10% or above), while for the remaining 23.39%, structures will bear non-significant impacts.

D. Loss of Private Structures

31. 78.23% of the affected structures are owned by titleholders, 2.15% are owned by encroachers and 19.62% are owned by squatters with 30 kiosks found in the entire stretch. The ownership details of the affected private structures are presented in **Table 9**.

Table 9: Ownership of Private Structures

S. No	Ownership	Resi.	Comm.	Res cum- Comm.	Others	No. of Stru.	%
	Titled holders & Usufruct land						
1	holders	66	189	17	19	291	78.23
2	Encroachers	-	8	-	-	8	2.15
3	Squatters	6	63	2	2	73	19.62
Total		72	260	19	21	372	100
%		19.35	69.89	5.11	5.65	100	

Source: Census and Social Survey, March-September 2020.

32. 28.49% structures that are permanent in nature, 19.89% of the structures are semipermanent, 45.97% are permanent structures and others constitute 5.65%. The type of construction of the affected structures is presented in **Table 10**.

Table 10: Type of Construction of the Affected Structures

S. No	Type of Structure	Number of Structures	Percentage
1	Permanent	106	28.49
2	Semi-permanent	74	19.89
3	Temporary	171	45.97
	Others (Boundary Wall, Gate,		
4	toilet, etc)	21	5.65
	Total	372	100

Source: Census and Social Survey, March-September 2020.

E. Magnitude of Impact on Structures

33. The extent of loss to structure and its use is presented in **Table 11**. Out of 372 HHs affected, 87 HHs will have less than 10% impact. The structure affected more than 40% of its portion is considered as displaced structure at this stage. During the RP implementation, a proper assessment on the structure's viability will be conducted in consultation with its owner to determine whether the house is fully affected by a qualified engineer in consultation with the affected HH. Out of 372 affected structures 72 are residential, 260 are commercial, 19 are residential cum commercial structures and 21 are Others (Boundary Wall, Gate, toilet, etc).

Table 11: Use by Extent of loss to the Affected Structures

S.				Res cum-			
No	Impact	Resi.	Comm.	Comm.	Others	Total	%
1	Less than 10%	19	58	9	1	87	23.39
2	≥ 10% and < 20%	10	29	2	2	43	11.56
3	≥20% and < 40%	6	63	3	5	77	20.70
4	≥40% and ≤ 100%	37	110	5	13	165	44.35
	Total	72	260	19	21	372	100

Source: Census and Social Survey, March-September 2020

F. Loss of Livelihood

34. The Project causes significant impact to 110 commercial establishments and 5 residences cum commercial establishments. Further there are 113 landowners and 82 commercial tenants who would also face economic displacement. The category of impacts causing loss of livelihood is presented **Table 12**.

Table 12: Loss of Livelihood

S. No	Category of Loss	Number of Displaced Households	Number of Displaced Persons
1	Owners of Business	115	518
2	Commercial Tenants	82	332
3	Employees	-	-
4	Significantly affected landowners	113	-

S. No	Category of Loss	Number of Displaced Households	Number of Displaced Persons
	Total	310	850

Source: Census and Social Survey, March-September 2020.

G. Loss of Trees

35. The Project will require removal of 1033 private trees belonging to the DFs. All other trees getting affected in this project belong to the government and the re-planting of government trees will be done in accordance with the State norms and regulations.

H. Loss of Common Property Resources

36. The project will affect 93 common property resources as per the census survey undertaken. Out of these, 60 are government buildings, 51 of which will be fully affected. The PIU, with the support of RP implementation consultant, will consult the trustees of the places of worship, institutions, and local Panchayat, will facilitate in the relocation of these for the affected 25 places of worship. The common property resource that is getting affected in the project is presented in **Table 13.** No economic activities are identified in any of the affected community properties. All religious properties will be compensated/relocated in consultation with the concerned communities by PIU in support of RISA during prior to the commencement of civil works. In case of affected CPRs need to incur any expenses related to any ceremonies, etc. it will be examined case by case and appropriate decision would be taken by the respective project implementation unit.

Table 13: Loss of Community Structures

S. No	Type of Community Asset	Number of Structures	Partially affected	Fully affected	%
1	Government Properties	60	9	51	64.52
2	Religious Properties	25	4	21	26.88
3	Community Properties	8	1	7	8.60
Total		93	14	79	100

Source: Census and Social Survey, March-September 2020.

III. SOCIO-ECONOMIC INFORMATION PROFILE

A. Involuntary Resettlement Impacts

37. This RP is based on the census and socio-economic survey carried out on 17th March 2020 to 3rd September 2020 based on final and detailed design of the road project. The RP will be updated by PWRD on completion of "Joint Measurement Survey" as per land acquisition procedure, if any substantial changes are noticed. The census survey identified 372 total households losing their structures and the salient findings are presented in the following sections.

B. Methodology Adopted

- 38. The census survey enumerated all private assets/properties and common property resources within the proposed corridor of impact (CoI) varying from 15 mtrs to 24 mtrs depending on factors like urban, rural, terrain conditions and re-alignments sections. For every affected household, a pretested structured questionnaire was administered during the census survey. The survey recorded details of: (i) identity of the Displaced Families (DF); (ii) tenure; and (iii) type, use and extent of loss to the DFs.
- 39. In addition to recording the above information, detailed socio-economic characteristics, including demographic profile of members of the household, standards of living, inventory of physical assets, vulnerability characteristics, indebtedness level, health, and sanitation, and ascertaining perceptions about project, resettlement options and compensation, were collected from all main building affected households. All structures were photographed and numbered for reference and record. Details of common property resources within the PRoW were also recorded.
- 40. The affected households were categorized based on the severity of impact as significant (loss of 10 percent and above of the productive asset or structure) and non-significant (loss of less than 10 percent of the productive asset or structure). The summary of Affected Households and the summary of Affected Common Property Resources are presented in *Appendix-3 & 4*.
- 41. The RP is based on the final engineering design, the information provided in the RP is based on census and socio-economic survey of affected structures owners. RP will be updated on completion of 'Joint Measaurement Survey' as per land acquisition procedure. Socio economic survey has been conducted for all the physically and economically displaced affected households. The socio-economic survey was carried out amongst 203 out of 247 significantly affected households (includes both physical and economic displacement) losing either their place of residence or place of business or both; 44 households were not available despite multiple visits. The details are provided in **Tables 14 & 15** based on the current survey data. The survey details are analyzed and presented in the following sections.

C. Demographic Profile of Project Displaced Households

(i) Household by Sex

42. Out of 203 DFs, 7 households are headed by female and the remaining 196 households headed by male. Male account for 96.55% and female account for 3.45% percent.

(ii) Household by Religion

43. The majority of 115 displaced households are Hindus (46.56%), followed by Muslims (34.82%) (86 HHs), Christian (0.81%) (02 HHs) **(Table 14).**

Table 14: Household by Religion

S.		Title	Non-				
No	Religion	Holder	Encroacher	Squatter	Tenants	Total	%
1	Hindu	49	3	7	56	115	46.56
2	Muslim	51	1	17	17	86	34.82
3	Christian	2	0	0	0	2	0.81
4	NA/NR	16	0	19	9	44	17.81
	Total	118	4	43	82	247	100

Source: Census and Social Survey, March-September 2020.

(iii) Household by Social Group

44. Amongst the 247 DFs, 96 HHs (38.87%) belong to the general category, 40 HHs (16.19%) belonged to the other backward class, 33 HHs (13.36%) Scheduled Caste category and 34 HHs are scheduled tribe (13.77%). The details of social categories in the project area are presented in **Table 15**.

Table 15: Household by Social Category

S.		Title	Non-	er			
No	Religion	Holder	Encroacher	Squatter	Tenants	Total	%
1	General	52	1	15	28	96	38.87
	Other Backward						
2	Class	19	1	7	13	40	16.19
	Scheduled						
3	Caste	6	1	2	24	33	13.36
	Scheduled						
4	Tribes	25	1	0	8	34	13.77
5	NA/NR	16	0	19	9	44	17.81
	Total 118 4 43 82				247	100	

Source: Census and Social Survey, March-September 2020.

(iv) Household by Size of Family

45. Amongst the 247 DFs, family of size 5 to 6 members constituted to 51.01%, followed by 3 to 4 members constituting (22.67%), 33 households having above 6 members (13.36%) and up to 2 members constituting 19.70% (40 HHs). The details of size of family in the project road are presented in **Table 16.**

Table 16: Size of the households

S.	Size of the	Title	Non-				
No	Family	Holder	Encroacher Squatter Te		Tenants	Total	%
1	Up to 2	11	0	11	10	32	12.96
2	3 to 4	19	0	6	31	56	22.67
3	5 to 6	63	4	22	37	126	51.01
4	Above 6	25	0	4	4	33	13.36
5	Total	118	4	43	82	247	100

Source: Census and Social Survey, March-September 2020.

(v) Age group of DPs

46. Out of the 203 displaced households, comprising a total of 1078 persons (Men: 593 and Women: 485) will be affected by the road. The majority of them, numbering 626 persons belong to the age group 18 to 60 years [58.07% (Male 354 and female 272)], 336 persons belong to the age group of 0 to 18 years category [31.17% (Men 169 and Women 167)],

followed by 79 persons belonging to the age group above 60 years [7.33% (Men 51 and Women 28)] and 37 persons among the displaced belonged to the 15 to 17 years age group [Men 19 and Women 18)]. The details of Age group of DPs being affected in the project are summarized in **Table 17**.

Table 17: Age Group of DPs

SI.			_			Total	Share to total
No.	Age Group	Male	%	Female	%	DPs	DPs (%)
1	0-14 years	169	15.68	167	15.49	336	31.17
2	15-17 Years	19	1.76	18	1.67	37	3.43
3	18-60 Years	354	32.84	272	25.23	626	58.07
	Above 60						
4	Years	51	4.73	28	2.60	79	7.33
	Total	593	55.01	485	44.99	1078	100

Source: Census and Social Survey, March-September 2020.

D. Socio-economic Profile

(i) Educational level of DPs

47. The educational profile of DPs, having more than 6 years of age has been taken into the account. The educational level of about 58.25% of the displaced persons is between secondary level [(Class 6th and 12th) (258 Men and 162 Women)], 19.97% belonging to the primary level category (Class 1st to 5th), 9.85% of them only finished graduation among the displaced. Uneducated constituted 8.46% of the displaced (28 Men and 33 Women).

Table 18: Educational level of DPs

SI.	Educational		Male	F	emale		Γotal
No.	Category	Number	Percentage	Number	Percentage	Number	Percentage
1	Illiterate	28	3.88	33	4.58	61	8.46
2	Literate	5	0.69	16	2.22	21	2.91
	Primary (class 1-						
3	5)	74	10.26	70	9.71	144	19.97
	Secondary						
4	(Class 6-12)	258	35.78	162	22.47	420	58.25
	Higher						
5	(graduate)	43	5.96	28	3.88	71	9.85
6	Technical	2	0.28	2	0.28	4	0.55
Tota		410	56.87	311	43.13	721	100

Source: Census and Social Survey, March-September 2020.

(ii) Occupation of DPs

48. Here, occupational profile of DPs, having more than 14 years of age and below the age of 60 years has been considered. Amongst 621 PAPs, 17.71% of men and 91.88% of women are not in the work force, comprising largely of children, students, elderly, housewives and women who do not work outside home. Among the workforce, majority of the PAFs were engaged in business activities 34.84%, 4.19% are non-agriculture labour, 2.09% are engaged in cultivation, 1.45% are agriculture labour, 2.74% and 2.90% are government and private service respectively. The table depicts that the majority of the PAPs (50.08%) are in the category of not in workforce as the household members were engaged in education and elderly PAPs. The details of occupational status of displaced persons are summarized in **Table 19.**

Table 19: Occupation of DPs

S.		N	lale	Fer	nale	7	otal
No	Occupational		Percentag	Numbe	Percent	Numbe	Percentag
	Pattern	Number	е	r	age	r	е
	Private						
1	Service	16	4.57	2	0.74	18	2.90
2	Govt. Service	10	2.86	7	2.58	17	2.74
	Business/Trad						
3	е	204	58.29	13	4.80	217	34.94
4	Agriculture	13	3.71	0	0.00	13	2.09
5	Agri. Labour	9	2.57	0	0.00	9	1.45
	Non Agri.						
6	Labour	26	7.43	0	0.00	26	4.19
7	Self Employed	10	2.86	0	0.00	10	1.61
8	Non-Working	62	17.71	249	91.88	311	50.08
Tota	ıl	350	56.36	271	43.64	621	100

Source: Census and Social Survey, March-September 2020.

(iii) Income of Household

49. The pattern of monthly family income range of DFs were as follows: 23.08% of the DFs earned up to Rs.5000; 9.72% between Rs.5001 to 10,000; about 29.96% between Rs.10,001 to 15,000; 3.24% between Rs.15001 to Rs.25,000 and 16.19% above 25001. The income of households is summarized in below **table no. 20**. Monthly income taken not only from head of the households but also other members of the families and other earning sources. As per the latest Planning Commission, Government of India estimate (C. Rangarajan Report on Poverty, 2014), any person having monthly per capita consumption and expenditure (MPCE) of Rs. 972 in rural area and Rs. 1,407 in urban area is considered to be living below poverty line. Based on this calculation of poverty line figure, average monthly household MPCE in rural area of project corridor comes as Rs. 972/multiplied by average count in households and accordingly 24 affected families are coming under BPL. The average monthly income level of families is Rs. 15093/-.

Table 20: Monthly Household Income of DFs

	Monthly		Non-Title Holder				
	Family						
	Income	Title					
S. No	Range	Holder	Encroacher	Squatter	Tenants	Total	%
1	Up to 5000	24	2	7	24	57	23.08
	6,000 to						
2	10,000	16	0	4	4	24	9.72
	10,000 to						
3	15,000	34	0	12	28	74	29.96
	15,001 to						
4	20,000	4	1	1	2	8	3.24
5	>25,000	22	0	3	15	40	16.19
	Not						
6	disclosed	18	1	16	9	44	17.81
	Total	118	4	43	82	247	100
The av	erage monthl	y family i	ncome is Rs.	15093			

Source: Census and Social Survey, March-September 2020.

(iv) Indebtedness of Households

50. Among 247 displaced households, 15 HHs (6.07%) had borrowed from banking institutions, 18 (7.29%) from money lenders. 214 HH (86.64%) had not made any borrowings.

Table 21: Indebtedness of DFs

S. No	Indebtedness	Number	Percentage
1	Banking Institutions	15	6.07
2	Money Lenders	18	7.29
3	None	214	86.64
	Total	247	100

Source: Census and Social Survey, March-September 2020.

51. Among those who had borrowed from banks, 60.00% (9 HHs) have borrowed a sum of above Rs.1,00,000.

Table 22: Extent of Loan taken - Bank

S. No	Amount Borrowed	Number	Percentage
1	≤ 10,000	0	0.00
2	> 10,000 and ≤ 25,000	0	0.00
3	> 25,000 and ≤ 50,000	2	13.33
4	> 50,000 and ≤ 1,00,000	4	26.67
5	> 1,00,000	9	60.00
	Total	15	100

Source: Census and Social Survey, March-September 2020.

52. With regard to the purpose of borrowings from the bank, 13.33% (2 HHs) reported that they had borrowed for purpose of agriculture; and another 60.00% (9 HHs) for house construction / repair and 6.67% (1 HHs) for educational loan.

Table 23: Purpose of Loan - Bank

S. No	Borrower	Number	Percentage
1	Agriculture	2	13.33
2	Business investment	0	0.00
3	Medical expenses	0	0.00
4	Wedding / Family function	0	0.00
5	House Construction / Repair	9	60.00
6	Educational Loan	1	6.67
7	Others	3	20.00
	Total	15	100

Source: Census and Social Survey, March-September 2020.

53. Among those who had borrowed from money lenders, 9 households had borrowed sums ranging > 50,000 and $\leq 1,00,000$, 4 households > 25,000 and $\leq 50,000$, 3 households above Rs. 1,00,000 and 2 households > 10,000 and $\leq 25,000$.

Table 24: Extent of Loan taken - Money Lenders

S. No	Amount Borrowed	Number	Percentage
1	≤ 10,000	0	0
2	> 10,000 and ≤ 25,000	2	0
3	> 25,000 and ≤ 50,000	4	0
4	> 50,000 and ≤ 1,00,000	9	20
5	> 1,00,000	3	80
	Total	18	100

Source: Census and Social Survey, March-September 2020.

54. 18 households had borrowed from money lenders, of them 8 (44.44%) households for repairing their house, 5 households for other things (27.78%), 3 households for business investment (16.67%) and 02 households for agriculture related activities (11.11%).

Table 25: Purpose of Loan - Money Lenders

S. No	Borrower	Number	Percentage
1	Agriculture	2	11.11
2	Business investment	3	16.67
3	House Construction / Repair	8	44.44
4	Others	5	27.78
	Total	18	100

Source: Census and Social Survey, March-September 2020

(v) Health and Sanitation

55. 247 DFs surveyed on the project road reported of having taken treatment, of them 138 HHs from Govt. PHC, 12 HHs from private doctor/clinic, 02 households have taken medicines from pharmacy without doctor prescriptions and 95 households did not respond.

Table 26: Place of Treatment

	1400 2011 1400 01 110441110111								
S.	Diago	Title	Title Non-Title Holder			Total	%		
No	Places	Holder	Encroacher	Squatter	Tenants	TOLAI	/0		
1	Govt PHC	62	1	18	57	138	55.87		
2	Privat Doctor/ Clinic	7	0	1	4	12	4.86		
3	Pharmacy	1	0	0	1	2	0.81		
4	No Response	48	3	24	20	95	38.46		
	Total	118	4	43	82	247	100		

Source: Census and Social Survey, March-September 2020

56. Most of the DFs (37.25%) reported that they were aware of HIV/AIDS and 29.15% (72 HHs) reporting not being aware of HIV/AIDS.

Table 27: Awareness to HIV/AIDS

S.	Awaranasa	Title	Non-	Title Holde	r	Total	%
No	Awareness	Holder	Encroacher	Squatter	Tenants	TOLAI	70
1	Aware	34	1	11	46	92	37.25
2	Not aware	42	0	13	17	72	29.15
3	Did not respond	42	3	19	19	83	33.60
	Total	118	4	43	82	247	100

Source: Census and Social Survey, March-September 2020

57. Among those who were aware of HIV/AIDS, 35.22% reported that they are aware on how it spreads and 31.17% had no knowledge how it spreads.

Table 28: Level of HIV/AIDS Awareness

Awarenss to Mode		Title	Non-	r			
of HIV AIDS Sp		Holder	Encroacher	Squatter	Tenants	Total	%
Awareness to mode of HIV	Yes	33	0	11	43	87	35.22
AIDS spread	No	43	1	13	20	77	31.17
Did not respo	nd	42	3	19	19	83	33.60
Total		118	4	43	82	247	100

Source: Census and Social Survey, March-September 2020.

58. 51.09% percent of DFs reported that the source of information about HIV/AIDs was television broadcasting, 35.87% through print media and 10.87% through campaign by the Government.

Table 29: Source of HIV/AIDS Information

S.		Title	Non-Title Holder				
No	Source	Holder	Encroacher	Squatter	Tenants	Total	%
1	Print Media	13	1	4	15	33	35.87
2	Television	21	0	3	23	47	51.09
3	Govt Campaign	4	0	0	6	10	10.87
4	Not disclosed	0	0	0	2	2	2.17
	Total	38	1	7	46	92	100

Source: Census and Social Survey, March-September 2020.

59. Regarding child delivery, 59.11% reported that the last delivery of child in their household took place in Government Hospital and 3.24% in Private Hospital.

Table 30: Child Delivery

145.5 55. 51.114 25.115.								
	Title	Non-						
Places	Holder	Encroacher	Squatter	Tenants	Total	%		
Government								
Hospital	66	1	18	61	146	59.11		
Private Hospital	5	0	1	2	8	3.24		
Midwife at Home	0	0	0	0	0	0.00		
Village elder at								
Home	0	0	0	0	0	0.00		
No response	47	3	24	19	93	37.65		
Total	118	4	43	82	247	100		

Source: Census and Social Survey, March-September 2020.

(vi) Impact to Vulnerable Households

(vii) The project will have an impact on 275 vulnerable households, in which impact will be significant on 103 households. The significantly affected vulnerable households constitute 7 women headed households (7.77%), None disabled headed household, 32 belong to scheduled caste (29.63%), 26 PAPs belong to scheduled tribes (24.07%), 32 of them are below the poverty line (29.63%) and 05 of them are elderly (4.63%). The vulnerable status of significantly impacted DFs in the project, which is mutually exclusive in the order of priority as presented in Table 31.

Table 31: Vulnerable (mutually exclusive)

S.	Vulnerability	Title	Non-	Non-Title Holder			
No	Type	Holder	Encroacher	Squatter	Tenants	Total	%
	Women						
	Headed						
1	Household	6	0	0	2	8	7.77
	Scheduled						
2	Caste	7	1	2	22	32	29.63
	Scheduled						
3	tribes	19	1	0	6	26	24.07
	Below poverty						
4	line	18	0	6	8	32	29.63
5	Elderly	5	0	0	0	5	4.63
	Landless						
6	Families 4						
То	tal Vulnerable	55	2	8	38	103	100

Source: Census and Social Survey, March-September 2020.

E. Key Socio-economic Indicators

60. The key socio-economic indicators established based on the census and socioeconomic survey carried out amongst the DFs from 17th March 2020 to 3rd September 2020 are presented below. These indicators would form the baseline indicators that would be compared with the evaluation carried out by the independent external evaluation agency.

Table 32: Key Socio-economic Indicators

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S. No	Indicator	Unit	Value/Figure			
a)	Income (N =203)					
1	Monthly family income	Average	15093			
b)	Business establishment					
2	Significant Impact	Nos.	115			
b)	Housing/Shop Characteristics (N=165)					
3	Permanent	%	30.91			
4	Semi-permanent	%	18.79			
5	Temporary	%	50.30			
c)	Ownership (Structures=372)					
6	Owned	%	78.23			
7	Squatter	%	19.62			
8	Encroachers	%	2.15			
c)	Family Characteristics					
9	Family size (DPs1078/DFs203)	Average	5.3			
10	Women headed household	%	2.83			
Jource: Census and Social Survey, March-Sentember 2020						

Source: Census and Social Survey, March-September 2020.

F. Resettlement Preferences

61. At the outset of the survey the land acquisition procedures/options as per EM were explained to the PAPs, which includes the resettlement assistances. Further the DFs were asked to indicate their choice in resettlement and rehabilitation option of self-managed - cash

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⁴ Identification of Landless Affected Persons/Families will be verified during further enquiry following national procedure. Once verified, appropriate assistance (as per EM) shall be provided. The landless people will be considered as vulnerable families.

assistance or project supported housing/livelihood assistance. The majority of 63.97% preferred cash assistance, followed by 9.72% preferred project assisted house/shop and 26.32% being undecided. Sixty-Five PAPs did not decide to where to relocate and about their resettlement option.

Table 33: Resettlement Preferences

S.		Title	Non-	Non-Title Holder			
No	Preference	Holder	Encroacher	Squatter	Tenants	Total	%
	Self-managed -						
1	Cash Grant	70	2	21	65	158	63.97
	Project assisted -						
2	House/Shop	18	0	6	0	24	9.72
	Undecided/Owner						
	is						
3	Absent/Refused	30	2	16	17	65	26.32
	Total	118	4	43	82	247	100

Source: Census and Social Survey, March-September 2020.

Table 34: Project Assisted House/Shop - Location Preferences

S.	Droforonoo	Title Non-Title Holder			Total	0/	
No	Preference	Holder	Encroacher	Squatter	Tenants	TOLAI	%
1	Same settlement	0	0	0	0	0	0
2	Any where	0	0	0	0	0	0
3	Undecided	30	2	16	17	65	100
	Total	30	2	16	17	65	100

Source: Census and Social Survey, March-September 2020.

G. Profile of Women Headed Household (WHH)

62. As per the findings of social survey, the proposed project will impact 18 WHHs, of which 13 of them are title holders and 5 are non-title holders. The analysis of impact on the scale of severity reveals that out of 18 WHHs, 6 WHHs titleholders and 02 WHHs (tenants of commercial structure) are significantly impacted, leading to physical displacement. The details of WHHs likely to be affected by the project corridor are presented in the following table 35.

Table 35: Women Headed Household - Significance of Impact

	Women Headed		Total	Physically
S. No	Affected Household	Type of Impact	Number	displaced
1	Title holders	Land with Structure	13	6
2		Squatters	0	0
3		Encroachers	0	0
4		Tenants	5	2
5	Non-Titled Holders	Employees	0	0

Source: Census and Social Survey, March-September 2020.

63. As per analysis of census data 8 structures belonging to WHHs will be affected by the project corridor, of which 5 belongs to residential category and 3 commercial categories.

Table 36: WHH - Use of the Affected Structures

S. No	Use of Structure	Title Holder	Tenant (NTH)	Total	%
1	Residential	5	0	5	62.50
2	Commercial	1	2	3	37.50
	Residence cum				
3	Commercial	0	0	0	0.00
4	Kiosks	0	0	0	0.00
	Others (CW, lean to roof,				
5	toilet, etc)	0	0	0	0.00
	Total	6	2	8	100

Source: Census and Social Survey, March-September 2020.

64. Out of the 8 women headed households, the monthly household income of 3 households each (37.50%) are earning above Rs. 25,000, 3 households each earning from Rs. 10,000 to 15,000 and the other one reported earnings upto Rs.5000.

Table 37: Monthly Household Income of WHH

S. No	Monthly Family Income Range	Title Holder	Tenant (NTH)	Number	%
1	Up to 5000	0	1	1	12.50
2	6,000 to 10,000	0	0	0	0.00
3	10,000 to 15,000	3	0	3	37.50
4	15,001 to 20,000	0	0	0	0.00
5	>25,000	2	1	3	37.50
6	Not disclosed	1	0	1	12.50
	Total	6	2	8	100

Source: Census and Social Survey, March-September 2020.

IV. CONSULTATION, PARTICIPATION, AND INFORMATION DISCLOSURE

A. Consultation in the Project

65. To engage with the community and enhance public understanding about the Project and address the concerns and issues pertaining to compensation, Rehabilitation and resettlement, individual interviews, focus group discussions (FGD) and meetings were undertaken amongst the various sections of Affected Persons (APs) and other stakeholders. These consultations were undertaken during the census and socio-economic survey that was carried out as part of the detailed project report (DPR) for the Project. The opinions of the APs, stakeholders and their perceptions were obtained during these consultations. The consultations with the APs and other stakeholders will continue throughout the RP implementation period.

B. Methods of Consultation

- 66. Local people and PAPs were informed 7 days before the meetings over the phone and through the panchayat Pradhan, market president, village representative/ member of Panchayat/ Municipality for venue, date, time and agenda of the meeting. The consultations were conducted in public halls, religious places, marketplaces, government offices with different stakeholders ensuring uninterrupted attendances from all the villagers and interested persons. Care was taken on the venue date and time of the meeting, so that there will be no hindrances for the weaker section of the people, viz., housewives, elderly persons, physically challenged persons etc.
- 67. The COVID-19 safety protocols at that time of the consultation were also followed. In view of the prevailing COVID-19 pandemic, the participants of the meetings had taken additional measure by using sanitizer, masks and maintain social distancing to avoid the spread of the disease. The participants were encouraged to avoid contact with each other as far as possible and wash their hands at regular intervals. The SES and Census Survey took place from January to March, 2020 and Revalidation of the SES and Census Survey took place from May 2021 to July 2021. Public Consultation was undertaken throughout the project life. During the first phase there was no restriction however the survey was suddenly aborted in March, 2020. During the second phase there was restrictions like a) assembling of more than 4 persons b) maintaining social distance c) using and maintaining health hygiene material and behaviors and d) Lockdown which was followed for conducting the survey.
- 68. Apart from the consultations done during the feasibility study and preparation of the resettlement plan by the DPR consultant and PIU officers, a good number of consultations were done by the ADB consultants also immediately after the government lifted the restrictions on social gatherings. These consultations were done with general community at multiple locations (where social sensitivity is high) covering the subjects such as discussion on entitlement matrix of the project, grievance redressal mechanism and timeline of the project. Separate consultations with commercial owners/tenants of bypass proposed town locations and gender consultations with various women's groups and adolescent girls were also done.
- 69. Consultations and discussions were held during the census and socio-economic survey with project affected persons (APs), project beneficiaries, officials of the Highways Department, the implementing agency, the officials of the Revenue Department and the elected members of the local self-government.
- 70. During the census and socio-economic survey consultations were held with affected households, commercial establishment owners along the Project Road, officials of the district administration and elected members of the local Panchayat. In order to hear and address the

concerns of women, women were encouraged to participate and express their concern during consultations. The consultation methods are detailed in the following table.

Table 38: Consultation Methods Stakeholders

Methods

Affected Persons

Affected Persons

Local Community

Local Body Elected Members

Highways and Revenue Department officials

Census and Socio-economic Survey Focus Group Discussions (FGDs)

Focus Group Discussions (FGDs)

Individual Interviews, discussion

Individual meeting/interview, discussion

APs and General Public Consultation Meetings

71. During the census and socio-economic survey, 13 FGDs were conducted in the subproject road, giving priority to settlements and sections where impacts to private assets and property are most significant. Consultations addressed all relevant aspects of the proposed road design, details of the private and public land required and impact to private property. Further, 12 public consultation meetings were held with affected persons, owners of commercial establishment along the subproject road, officials of the district administration and elected members of the local panchayat. However, the project preparatory team and the staff of the PWRD has done numerous micro consultations with individuals as they go back and forth in the project area.

Table 39: Place of Consultation and Number of Participants

S. No.	Village Name	Date	Districts	No. of Participants	Types of PC/FGD
1	Tarve Kedokh (Km.0.010)	02/09/2020	West Karbi Anglong	16	Consultation with Affected Persons
2	Hamren (Km. 0.500)	07/12/2019	West Karbi Anglong	10	Consultation with Residents and Shop Owner
3	Hamren DC Office	02/03/2020	West Karbi Anglong	6	Consultation with Deputy Commissioner, Revenue official, PWRD
4	Donkamukam (km. 18.200)	03/09/2020	West Karbi Anglong	15	Consultation with Affected Persons
5	Satgaon (Km. 25.500)	07/12/2019	West Karbi Anglong	07	Consultation with Residents and Shop Owner
6	Tumpreng Bazar (Km. 29.710)	03/09/2020	West Karbi Anglong	16	Consultation with affected people and Shop keepers
7	Kumarakata (Km. 34.500)	28/08/2020	Hojai	15	Consultation with Shopkeeper and Residents
8	Dimrupar (Km. 42.400)	05/03/2020	Hojai	23	Consultation with Farmers and Shop keepers (FGD)
9	Islam Nagar (Km. 45.000)	05/03/2020	Hojai	6	Consultation with Shop keepers (FGD)
10	Joy Nagar (Km. 50.700)	07/03/2020	Hojai	16	Public Consultation
11	Sabajpur	31/08/2020	Hojai	17	Consultation with

S. No.	Village Name	Date	Districts	No. of Participants	Types of PC/FGD
	(Km.54.900)				Shopkeepers and Farmers (FGD)
12	Barhwar (Km. 60.700)	06/09/2020	Hojai	84	Consultation with Madrassa Committee Members (FGD)
13	Debasthan (Km. 63.670)	02/09/2020	Hojai	34	Consultation with Shopkeepers and Farmers (FGD)
14	Howraghat (Km. 64.050)	22/02/2020	Karbi Anglong	14	Consultation with Shopkeepers (FGD)
15	Howraghat Town (Km. 64.100)	04/09/2020	Karbi Anglong	8	Consultation with Shopkeepers (FGD)
16	Gorakhia Pukhari (Km. 73.430)	04/09/2020	Karbi Anglong	13	Consultation with Farmers and Residents
17	Howraghat Tiniali (Km. 75.400)	04/09/2020	Karbi Anglong	10	Consultation with Shopkeepers (FGD)
18	Singari Basti (Km. 36.630)	11/07/2021	Hojai	17	Consultation with Women (FGD)
19	Linchika (Km. 5.800)	12/07/2021	West Karbi Anglong	8	Consultation with Women (FGD)
20	Tumpreng Bazar (Km. 29.800)	15/07/2021	West Karbi Anglong	24	Consultation with Shopkeepers (FGD)
21	Satgaon (Km. 24.000)	15/07/2021	West Karbi Anglong	15	Consultation with Shopkeepers (FGD)
22	Dongkamukam (Km. 18.760)	15/07/2021	West Karbi Anglong	12	Consultation with Shopkeepers (FGD)
23	Barhwar (Km. 60.600)	15/07/2021	Hojai	13	Consultation with Residents
24	Tumpreng (Km. 29.800)	28-07- 2021	West Karbi Anglong	62	Consultation with Affected Tribal Persons
25	Linchika (Km. 5.800)	28-07- 2021	West Karbi Anglong	29	Consultation with Affected Tribal Persons

C. Outcome of the Consultations

72. People were aware about the improvements proposed for the road projects but were not aware about specific details of the PRoW, shift in centerline and the method of valuation for land and building, payment of compensation and other Rehabilitation and resettlement measures. The salient points of the consultations are summarised in the following **Table 40**. Dissemination of draft Entitlement matrix through consultations in the vernacular language (Assamese) were discussed to all PAFs and clarifications regarding their doubts were also explained by consultant. Signed attendance are being prepared and samples are attached in the RP as **Appendix 5**.

Table 40: Summary of Consultation Outcome

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested	
	16		How will be the Compensation provided?	• This was informed that affected private land and assets on it will be acquired through Direct Purchase Policy of the State. They will get additional 25% over and above of the compensation calculated as per Section 26 to 30 and Schedule I of RFCTLARR 2013. The R&R assistances will be deemed to be included in the additional 25% compensation, as per the provision of Direct Purchase Policy of the State.	
	02/09/2020	Persons	Land acquisition should be minimised	wherever it is feasible reducing the acquisition is incorporated.	
			employment for the local people?	that affected private land and assets on it will be acquired through Direct Purchase Policy of the State. They will get additional 25% over and above of the compensation calculated as per Section 26 to 30 and Schedule I of RFCTLARR 2013. The R&R assistances will be deemed to be included in the additional 25% compensation, as per the provision of Direct Purchase Policy of the State. • Suggestions were addressed after consultation with the design Engineers, and wherever it is feasible reducing the acquisition is incorporated. • They were informed that local people will be given preference to work as laborers depending upon their skill • They were informed that they will get opportunity to work as laborers depending upon their skill • They were informed that they will get opportunity to work as laborers depending upon their skill • They were informed that they will get opportunity to work as laborers during construction • Maximum widening will be done without much acquisition other than wherever required extra land for bus bays, tuck lay bays etc. • The design team have taken enough care	
			will start. Whether the local People can work	informed that they will get opportunity to work as	
2	2 (Km. 3.500) 07/12/2019 S	Hamren 10 Market Consultation with Shopkeepers and residents		widening will be done without much acquisition other than wherever required extra land for bus bays, tuck lay bays etc.	
_			 How far the impact is going to happen? 	have taken enough care to reduce the impact in terms of number of structures affected	

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			Effect of the land acquisition on asset	• The stakeholders were told that their concerns would be considered and the affected private land and assets on it will be acquired through Direct Purchase Policy of the State.
3	Conference Hall, DC Office West Karbi Anglong 2/03/2020	6 Consultation with Deputy Commissioner, Revenue official, PWRD	How will be the Compensation provided?	This was informed that affected private land and assets on it will be acquired through Direct Purchase Policy of the State. They will get additional 25% over and above of the compensation calculated as per Section 26 to 30 and Schedule I of RFCTLARR 2013. The R&R assistances will be deemed to be included in the additional 25% compensation, as per the provision of Direct Purchase Policy of the State.
			Land acquisition should be minimised	wherever it is feasible reducing the acquisition is incorporated.
				 They were informed that local people will be given preference to work as laborers depending upon their skill
4	Donkamukam (km. 18.200) 03/09/2020	15 Consultation with Affected Persons	 Provision of Compensation provided? 	• This was informed that affected private land and assets on it will be acquired through Direct Purchase Policy of the State. They will get additional 25% over and above of the compensation calculated as per Section 26 to 30 and Schedule I of RFCTLARR 2013. The

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
				R&R assistances will be deemed to be included in the additional 25% compensation, as per the provision of Direct Purchase Policy of the State.
			Land acquisition should be minimised	 Suggestions were addressed after consultation with the design Engineers, and wherever it is feasible reducing the acquisition is incorporated.
				 They were informed that local people will be given preference to work as laborers depending upon their skill
				 Measures can be taken to avoid impact on more number of structures thereby saving the livelihood of people. The alignments will be subsequently adjusted taking into accounts key concerns.
5	Satgaon Market 07/12/2019	7 Consultation with residents and shop owner	know whether the squatters and	This was clarified that the non- titleholder will get compensation against the structure and trees in addition to various resettlement allowances as per their eligibility and entitlements of the Entitlement Matrix.
			Cash compensation at replacement value will be given?	The stakeholders were told that their concerns would be considered and any impact on land, asset or livelihood would be compensated as per Government of India RFCTLARR 2013 and Assam Rules 2015.
6	Tumpreng 03/09/2020	16 Consultation with Shopkeepers	will be getting any	 This was informed that affected private land and assets on it will be acquired through Direct

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
		and residents (FGD)	loss of their land and other assets.	Purchase Policy of the State. They will get additional 25% over and above of the compensation calculated as per Section 26 to 30 and Schedule I of RFCTLARR 2013. The R&R assistances will be deemed to be included in the additional 25% compensation, as per the provision of Direct Purchase Policy of the State.
			be placed near to	• It was intimated that these concerns will be taken care during the implementation stage and also the suggestions for the sign boards have been given already in the design.
				has been suggested with in available RoW
				 They were informed that local people will be given preference to work as laborers depending upon their skill
7	Kumarakata 28/08/2020 3.30 PM	15 Consultation with Shopkeepers	Minimization of Impact on Structures and land	lany impact on land accet
		and Residents	Compensation/ Assistance for temporary disruption in business	icompensation and

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			 Provision of Service lanes on both sides of the existing road 	feasible the service lane
			 People wanted to know when the work will start. Whether the local People can work as laborers during project work? 	• They were informed that they will get opportunity to work as unskilled laborers during construction, as per the feasibility.
	Dimrupar 05/03/2020	23 Consultation	A significant number of businessmen were tenants, they requested for assistance if displaced Provision for restoration of livelihood	 Provisions for assistance to tenants is there in resettlement framework, if displaced, they will be assisted as per provision Adequate support provisions for SC, ST and other vulnerable households for livelihood restoration have been integrated in resettlement
8	11.00 AM with Farme and Shop	and Shop keepers	Avoid acquisition of land	framework The Farmers were told that all the efforts are being made not to acquire the private land for proposed road. However, if required, the land will be acquired through Assam Rules 2015.
			 Requested for Covered Drain and Provision of Bus Stops 	 Covered drains and bus stops have been integrated into the detailed designs
9	Islam Nagar 05/03/2020 5.25 PM	6 Consultation with Shopkeepers	People wanted to know whether they will be getting any compensation for the loss of their land and other assets.	• This was informed that affected private land and assets on it will be acquired through Direct Purchase Policy of the State. They will get additional 25% over and above of the compensation calculated as per Section 26 to 30 and Schedule I of RFCTLARR 2013. The R&R assistances will be deemed to be included in the additional 25%

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
				compensation, as per the provision of Direct Purchase Policy of the State.
			 Provision of Service lanes on both sides of the existing road 	feasible the service lane
				has been suggested with in available RoW
				• They were informed that local people will be given preference to work as laborers depending upon their skill
			Minimization of Impact on Structures and land	• They were told that If technically not feasible, any impact on land, asset or livelihood would be compensated as per Government of India RFCTLARR 2013 and Assam Rules 2015.
10	Joy Nagar 07/03/2020	16 Public	 Suggested widening of road within available ROW 	• Suggestions will be addressed after consultation with the design Engineers, if feasible
	9.27 AM	Consultation	 Wayside facilities such as parks, toilet 	No provision within the project preview
			Employment for the local people.	 They were informed that local people will be given preference to work as laborers depending upon their skill
			 Are the non- titleholders entitled for the benefits? 	• It was clarified that non-titleholders will get the benefits except for the cost of land.

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			 Compensations for squatters and encroachers 	• This was clarified that the non- titleholder will get compensation against the structure and trees in addition to various resettlement allowances as per their eligibility and entitlements of the Entitlement Matrix
11	Sabajpur 31/08/2020 12.50 PM	17 Consultation with Shopkeepers	displaced family for the restoration of their livelihood;	Adequate support provisions for SC, ST and other vulnerable households for livelihood restoration have been integrated into the resettlement framework
		& Farmers	 Transport and communication problem 	 They were told that subproject road will provide better connectivity and a faster transportation to distance places
				 They were informed that local people will be given preference to work as laborers depending upon their skill
			 Suggestions regarding location of Bus Stops. 	 Suggestions of the community regarding the provision of bus stop have been integrated into the design.
12	Barhwar 06/09/2020 9.15 AM	84 Consultation with Madrassa Committee	Demolition of Madrassa (Km. 60.700) which is 46 years of old. Requested to shift the	consulted during construction. Relocation site and process will be finalized by the
		Members		● They were informed that local people will be given preference to work as laborers depending upon their skill
13	Debasthan 02/09/2020 10.00 AM	34 Consultation with Shopkeepers	Minimization of Impact on Structures and land	 It is suggested to widen the alignment within available RoW. If technically not feasible,

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
		and Farmers		any impact on land, asset or livelihood would be compensated as per Government of India RFCTLARR 2013 and Assam Rules 2015.
			should be widened to double lane	construction
			employment for the local people. • Provision of	feasible the service lane
			Will the roads be widened within RoW?	Maximum widening will be done without much acquisition other than wherever required extra land for bus bays, tuck lay bays etc.
14	Howraghat (Km. 64.000) 22/02/2020	14 Consultation with Shopkeepers (FGD)	Effect of the land acquisition on asset	• The stakeholders were told that their concerns would be considered. The affected private land and structures, un-movable assets on it will be purchased through Direct Purchase Policy of the state
			 Can you avoid demolition of structures and widen the road? 	• Measures taken to avoid impact on more number of structures by reducing RoW and thereby saving the livelihood of people. The alignment has been subsequently adjusted taking into accounts key concerns.

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			They wanted to know whether the squatters and encroachers will get compensation or not.	• This was clarified that the non- titleholder will get compensation against the structure and trees in addition to various resettlement allowances as per their eligibility and entitlements of the Entitlement Matrix;
			 Awareness about the project and project features 	 The participants were made apprised about the project and road alignment.
	Howraghat	8		• During consultation it is observed that here land is very much fertile and land rate is also high. Ensured them that all the efforts are being made not to acquire the private land for up gradation of road. However, if required, the land will be acquired through Assam Rules 2015 and compensation would be given as per resettlement framework
15	Town (Km. 64.100) 04/09/2020	Consultation with Shopkeepers (FGD)	about the	be paid as per approved Resettlement
			know whether the squatters and	• This was clarified that the non- titleholder will get compensation against the structure and trees in addition to various resettlement allowances as per their eligibility and entitlements of the Entitlement Matrix;
			Employment Opportunity	 They were informed that local people will be given preference to work as laborers depending upon their skill

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
16	Gorakhia Pukhari (Km. 73.430) 04/09/2020	13 Consultation with Farmers and Residents	compensation for the loss of their land and other assets. • Provision of Employment to the affected household • Road should be	that they will get the compensation and assistances as per the provision of Resettlement Framework. • Employment in the road construction work as semi-skilled and unskilled workers to be made available to eligible person. However, permanent job is not feasible within the project preview. • Road widening
				has been suggested with in available RoW Road safety signs
			measures	would be provided at various locations to avoid accidents
			Suggested construction of road within timeline	 All efforts are being giving from all sources for speedy implementation of work
			 restoration of livelihood; 	 As per Resettlement Framework adequate support will be provided to the displaced family for the restoration of their livelihood;
17	Howraghat Tiniali (Km. 75.400) 04/09/2020	10 Consultation with Shopkeepers (FGD)	Land acquisition should be minimised	 Suggestions were addressed after consultation with the design Engineers, and wherever it is feasible reducing the acquisition is incorporated.
			 Will there be employment for the local people? 	depending upon their skills
			 Suggestion for Market Complex 	 There is no provision for market complex within the project

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			Cash compensation at replacement value	preview As per the Resettlement Framework, compensation will be provided at replacement value
18	Singari Basti (Km. 36.630) 11/07/2021	17 (All Female) Consultation with Women	proposed project on urgent requirement. • Women were in favor of skill development training	 All efforts are being giving from all sources for speedy implementation of work As per Resettlement Framework adequate support will be provided to the displaced family for the restoration of their livelihood by providing training to eligible families; Suggestions were
	11/07/2021	(FGD)	 If possible, land acquisition should be minimised Safety provisions near Schools and Built-Up section Suggestions were addressed after consultation with the design Engineers, and wherever it is feasible reducing the acquisition is incorporated. They were informed that safety provisions like breaker, footpath, streetlights will 	
19	Linchika (Km. 5.800) 12/07/2021	8 (All Female) Consultation with Women	Participants were in favour of	be provided during project implementation. • All efforts are being giving from all sources for speedy implementation of work • Suggestions were addressed after consultation with the
19	12/01/2021	(FGD)	development training	•

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			Ambulance Services" will	that state govt already
			 Safety provisions near Schools and Built-Up section 	 They were informed that safety provisions like breaker, footpath, streetlights will be provided during project implementation.
				 All efforts are being giving from all sources for speedy implementation of work
			If possible, land acquisition should be minimised	
20	Tumpreng Bazar		development training	• As per Resettlement Framework adequate support will be provided to the displaced family for the restoration of their livelihood by providing training to eligible families;
	(Km. 29.800) 15/07/2021	with Shopkeepers (FGD)	 Safety provisions near Schools and Built-Up section They we informed that safe provisions like breake footpath, streetlights we be provided during projection 	informed that safety
			 Transparency in award of compensation for both land and structures. 	lmaintained and i
			 Direct transfer of compensation to their account. 	• They were informed that compensation will be directly paid to their account.

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
		15 (Male 9 & 6 Female) Consultation with Shopkeepers (FGD)		 All efforts are being giving from all sources for speedy implementation of work
			If possible, land acquisition should be minimised	
21	Satgaon (Km. 24.000) 15/07/2021		development training	• As per Resettlement Framework adequate support will be provided to the displaced family for the restoration of their livelihood by providing training to eligible families;
			 Safety provisions near Schools and Built-Up section 	• They were informed that safety provisions like breaker, footpath, streetlights will be provided during project implementation.
	Dongkamukam (Km. 18.760) 15/07/2021	12 (Male 7 & Female 5) Consultation with Shopkeepers (FGD)		 All efforts are being giving from all sources for speedy implementation of work
			If possible, land acquisition should be minimised	 Suggestions were addressed after consultation with the design Engineers, and wherever it is feasible reducing the acquisition is incorporated.
22			 Safety provisions near Schools and Built-Up section 	• They were informed that safety provisions like breaker, footpath, streetlights will be provided during project implementation.
			Transparency in award of compensation for both land and structures.	They were informed that transparency will be maintained and
			• Direct transfer of compensation to	

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			their account.	compensation will be directly paid to their account.
			more consultations for	They were informed that consultations will be organised for same.
				All efforts are being giving from all sources for speedy implementation of work
23	Barhwar (Km. 60.600) 16-07-2021	13 (All Male) Consultation with Residents	 If possible, land acquisition should be minimised 	
				compensation will be paid as per Government Rules.
				 All efforts are being giving from all sources for speedy implementation of work
	Tumpreng (Km. 29.800) 28-07-2021	62 (Male 47 & Female 15) Consultation with Affected Persons	If possible, land acquisition should be minimised	design Engineers, and wherever it is feasible reducing the acquisition is incorporated.
24			 Safety provisions near Schools and Built-Up section 	 They were informed that safety provisions like breaker, footpath, streetlights will be provided during project implementation.
			 Transparency in award of compensation for both land and structures. 	• They were informed that transparency will be maintained, and compensation will be provided as per the Government Rules.
			 Direct transfer of compensation to 	• They were informed that

SI. No.	Location/Date /Time	No. and Profile of Attendees	Issue Discussed	Measures Suggested
			their account.	compensation will be directly paid to their account.
			 Participants were demanding for more consultations for clarification of compensations. 	
			Grievance redressal mechanism should establish.	They were informed that GRM will be established to resolve all kind of issues.
				All efforts are being giving from all sources for speedy implementation of work
	Linchika (Km. 5.800) C 28-07-2021 W		If possible, land acquisition should be minimised	 Suggestions were addressed after consultation with the design Engineers, and wherever it is feasible reducing the acquisition is incorporated.
		29 (Male 25 & Female 4) Consultation with Affected Tribal Persons		They were informed that issue will be discussed with concerned authority.
25			 Transparency in award of compensation for both land and structures. 	imaintained and
			 Direct transfer of compensation to their account. 	They were informed that compensation will be directly paid to their account.
				• They were informed, 100% sources of water will be restored.

D. Information Dissemination During Census & Socio -economic Survey Revalidation

73. In Hamren to Howaraghat Tiniali road, census and socio-economic survey was carried out from 17th March 2020 to 3rd September 2020 by DPR consultant. The PAPs were briefed about the project, draft entitlement matrix, tentative timeline of project implementation etc., during census and socio-economic survey. All the assets (buildings/structures) falling within

the proposed ROW based on the final design were identified with structured numbers. The snapshots of the activity are shown below. During the census survey and consultation with the stakeholders, information related to the entitlement matrix, such as compensations for land & structures, assistances and allowances for both TH & NTH, grievance Redressal mechanisms, technical details of the project, the importance of the project, importance of the project, cut-off date, etc were disclosed to the public verbally.

Figure 2: Photographic documentation of the consultation Snapshots and Attendance Sheets of consultations are given below





Consultation with Affected Persons at Tarve Kedokh village



Consultation with Deputy Commissioner, Revenue official, PWRD Hamren



Consultation with Affected Persons at Donkamukam



Consultation with Shopkeepers and residents at Tumpreng Bazar



Consultation with Shopkeepers and Residents at Kumarakata

Consultation at Dimrupur Village





Consultations with Public at Islamnagar

Consultation with Madrassa Committee members at Joy Nagar





Consultation at Sabajpur Village (Km. 54.900)

Consultation with Madrassa Committee members at Barhwar (Km. 60.708)





Consultation at Debasthan Village





Consultations with Affected Shopkeepers at Howraghat Market





Consultation with DPs at Howraghat Bus Stand

Consultation with Affected Shopkeepers at Howraghat Tiniali



Consultation with Farmers and Residents at Gorakhia Pukhuri Village





Consultation with Women Group at Singari Basti

Consultation with Tribal Women at Linchika





Consultation with Businessmen at Tumpreng Bazar

Consultation with Tribal Women at Satgaon



Consultation with Tribal People at Donkamukam

Consultation with Tribal People at Tumpreng with ADB Team



Consultation with Tribal People at Linchika Village with ADB Team

E. Plan for further Consultation in the Project

- 74. The extent and level of involvement of stakeholders at various stages of the project from design stage and through the RP implementation will open up the line of communication between the various stakeholders and the project implementing authorities, thereby aiding the process of resolving conflicts at the early stages of the project rather than letting it escalate into conflicts resulting in implementation delays and cost overrun. Participation of the local community in decision-making will help mitigate adverse impacts.
- 75. Further, successful implementation of the RP is directly related to the degree of involvement of those affected by the Project Road. Consultations with DPs will be conducted regularly during the RP implementation. The PMU, PIU and the RP Implementing Agency (RIA) will be responsible for conducting these consultations. The proposed consultation plan will include the following.
 - i. In case of any change in the project design, the DPs and other stakeholders will be consulted regarding the factors that necessitate the change, efforts taken to minimize resettlement impacts and mitigation measures available.
 - ii. The PMU, with the assistance of the RIA, will carry out information dissemination sessions in the project area.
 - iii. During the implementation of the RP, the RP IP will organize public meetings, and will appraise the communities about the schedule/progress in the RP and civil works implementation, including awareness regarding road safety and HIV AIDS prevention.
 - iv. Consultations and focus group discussions will be conducted with vulnerable groups like women headed households, ST and SC to ensure that the vulnerable groups understand the process and that their needs are specifically taken into consideration.
- 76. A Public Consultation and Disclosure Plan will be finalised by the PIU in consultation with the Project PMU as per the tentative schedule given in the following table.

Table 41: Public Consultation and Disclosure Plan

Activity	Task	Period	Agencies	Remarks
Screening of Project and stakeholder Identification	Identifying built-up sections and assessment of likely impact	September 2020	DPR Consultants	Completed
Census and Socioeconomic survey	Identifying DPs and collected socioeconomic information on DP's. Carrying out consultations to capture issues and concerns of people and incorporate in the design.	September 2020	DPR Consultants	Completed
Census and Socioeconomic survey of Landowners & Joint Measurement Survey	Census and Socio- economic survey and updating RP	July- September 2021	PIU/RIA	Yet to be Completed
Web disclosure of the RP	RP posted on Highways and ADB website	September 2022	PIU / ADB	
RP disclosure meetings	Carryout consultations with DPs on significance	December 2022	PMU/PIU/R IA	After RP is approved
Project information Dissemination	Project commencement details and scheduling of civil works	December 2022	PMU/PIU/R IA	
Consultation with DPs	Throughout RP implementation and formal consultation meetings to be held at least once in every quarter	Throughout RP implementation	PMU/PIU/R IA	
Dissemination of monitoring reports	Internal and external monitoring reports will be uploaded in the website of Highways along with corrective actions taken, if any.	Throughout RP implementation	PIU	
Dissemination of GRC actions	Summary of complaints received, and	Throughout RP implementation	PIU	

Activity	Task	Period	Agencies	Remarks
	action taken will be uploaded in the website of Highways			

F. Disclosure

- 77. The RP will be disclosed by the PMU and ADB upon its review and approval and uploaded in the APWRD website along with a vernacular summary translated in local language. The RP will be available at the office of the PMU, PIU, RIA. PAPs will be explained about the compensation during house visits by RIA staff and in the public disclosure and award enquiry meetings.
- 78. Information will be disseminated to DPs at various stages. Information including magnitude of loss, detailed asset valuations, entitlements and special provisions, grievance procedures, timing of payments, displacement schedule will be disclosed by the PMU/PIU with assistance from the RIA hired for assisting in RP implementation.
- 79. Hard copies of the full resettlement plan will also be made available at: (i) the offices of the PMU and PIU; (ii) office of the Deputy Commissioners; (iii) Mouza Offices; and (iv) Offices of the Panchayat / Union / Municipality / Corporation, as soon as the plans are available.
- 80. Electronic version of the RP will be placed on the official website of the project. In addition, all safeguard documents including the list of eligible DPs will be made available on the website throughout the life of the project.

V. POLICY AND LEGAL FRAMEWORK

A. Background

81. The Assam SASEC Corridor Improvement Project (ASCCIP (ARNIP)) has prepared draft an Entitlement Matrix (EM) to mitigate the involuntary resettlement impacts that can arise in this project. The EM complies with the provisions of the Assam Government Direct Purchase Policy, 2021, Assam Government Policy on conversion of Eksonia Land to Periodic Patta Land, Assam Land Acquisition Notification No. RLA 300/20013/Pt-II/7 dated 22nd December 2014, Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, (RFCTLARR) 2013; The Assam Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Rules, 2015, Resettlement Policy Framework (Addendum) for Assam State Roads Project, February 2018, the entitlement matrix approved by the Government of Assam (No. 606 Dispur Wednesday, 22nd December 2021), Convention of Assam on transfer of the Non-Cadastral Land and the Asian Development Bank's (ADB's) Safeguards Policy Statement, 2009.

B. National Legislations, Policies and ADB Policy

82. The policy and legal framework for the project are based on National/State laws:

C. Direct Land Purchase Policy of Assam

- 83. The required additional private land for Asom Mala will be preferably acquired as per the provisions of the Direct Purchase Policy of Assam, 2021, which is named as "Land acquisition through direct purchase by way of negotiated settlement as first option, for improvement and upgradation of State Highways and Major District Roads under Asom Mala Program and Externally Aided Projects (EAP)", as notified in the Assam Gazette Notification No. DA5R.80/2020/3 dated 20th January 2021.
- 84. The private land for this project will be acquired through Direct Purchase with approved principles and guidelines. It will fast track the land acquisition process from about 54 months (on normal Acquisition process) to about 6 months (Direct Purchase).
- 85. The important steps and salient features of the Direct Purchase Policy are:
 - i. The PWRD will finalize the minimum land requirement and give requisition to the concerned Deputy Commissioner/ District Collector (DC) in Form-A (Form-1 previously).
 - ii. The Policy constitutes a District Level Land Purchase Committee (DLLPC) with concerned DC as Chairman and Additional Deputy Commissioner (Revenue) as Member Secretary. The DLLPC have Revenue officials, representation from PWRD, PWD (Building) and may also have representation from other required departments.
 - iii. The Revenue Circle Officer will conduct joint inspection of the requisite land along with representation from PWRD. The area of the land and immovable properties attached on it willbe measured, mapped and ownership details will be updated.
 - iv. The DLLPC will invite the landowners and a list of landowners agreed for Direct Purchase will be published inviting objections, within 1 month, regarding interest and ownership of the land etc.
 - v. DLLPC will prepare the valuation of land and assets, as per provisions of Section 26 to 30 & Schedule I of RFCTLARR Act 2013 with multiplier of market rate defined in Assam. The landowners will get an incentive of additional 25%, inclusive of R&R Benefits, on the compensation calculated.
 - vi. A pre-informed negotiation(s) with the respective landowners will be carried out

- by DLLPC. The settlement reached in the negotiation shall be recorded in Agreement. The land will be possessed and registered through paying the negotiated price through electronic transfer totheir respective bank accounts.
- vii. In the event of any owner refusing to sell the land, any unresolved disputed ownership or court cases, the respective land will be acquired through regular land acquisition process of Assam.

D. Conversion of Eksonia Land to Periodic (Myadi) Patta Land

- 86. Eksonia 5 Land can be converted to Periodic Patta Land (PPL) by applying before the concerned Circle Officer under whose jurisdiction the Eksonia land falls on plain paper affixing appropriate Court fee clearly mentioning the land schedule. In rural areas however, provisions for initiating suo-moto conversion process have also been made where the concerned Land Recorder (Lot Mandal) shall submit conversion proposal to the Circle Officer.
- 87. There are certain restrictions on the conversion of the Eksonia Land:
 - i. No portion of the annual lease falling within 22.5 meters (75 ft.) from the center line of PWD Roads/ NH will be converted into periodic.
 - ii. No portion of the annual lease falling within 15 meters (50 ft.) from the center line of roads, other than PWD/ NH, will be converted into periodic.
 - iii. Areas falling within the mining lease shall not be converted into periodic.
 - iv. Lands falling near the registered beel and fishery must not be converted into periodic.
 - v. Minimum area allowed to be converted into periodic is up to the ceiling limit in rural areas which is inclusive of the area already held or converted into periodic lease.
 - vi. Land falling within the radius of 10 KMs from the boundary in case of Guwahati Municipal Corporation and 3 KMs in case of other Municipal and Revenue town should not be converted by the Circle Officer.
- 88. The Eksonia Holder needs to pay premium for conversion of his Eksonia land to Periodic Patta (Ownership) land. The rate of premium for conversion, as per the Revenue and Disaster Management Department of Govt. of Assam, is presented in Table 42.

Table 42: Conversion Rate of Eksonia Land to Periodic Patta Land

SI. No.	Purpose	Guwahati City	Other Municipal Towns	<10 km of Guwahatiand <3 km of other Municipal Towns	Rural Areas
1	Residential	50% of Market Rate	50% of Market Rate	50% of Market Rate	Rs. 40 per Bigha
2	Commercial	100% of Market Rate	100% of Market Rate	100% of Market Rate	75% of Market Rate
3	Agricultural	-	-	50% of Market Rate	Rs. 20 per Bigha

Source: Website of Revenue and Disaster Management, Govt. of Assam. https://landrevenue.assam.gov.in/information-services/conversion-of-land-from-annual-patta-to-periodic-patta, dated 8th July 2020.

The Eksonia Land is the land settled for one year mostly in Villages as Annual Patta land (APL) or three years mostly in Towns, as Short Lease Patta Land (SLPL). The Eksonia Land holder are not a Land Holder but a Settlement Holder only to whom settlement of land is offered only for one year or three years, in rural and urban areas respectively. Except for the hereditary right, the Settlement Holders has no transferable right over the Eksonia Land.

89. In case of acquisition of Eksonia Land, the Eksonia Holder is entitled to get the market value of the land deducting the amount payable as conversion premium.

E. Compensation Methodology as per Direct Purchase Policy of State

- 90. In the States policy of Direct Purchase of land, the affected land, immovable assets and other assets attached with the land will be evaluated by the District Level Direct Purchase Committee (DLLPC) according to sections 26 to 30 & Schedule I of RFCTLARR Act 2013 along with Multiplication factor of Assam. The Landowners under the Direct Purchase will also have an incentive of 25% over and above the evaluated compensation.
 - i. The landowners will get an incentive of 25%, inclusive of R&R Benefits, on the compensation calculated as per provisions of Section 26 to 30, Valuation of immovable assets & Schedule I of RFCTLARR Act 2013, as he has readily agreed to be a part of the project.
 - ii. The Price of Direct Purchase (DP) will be:
 - iii. DP = $2.5 \times \{ (R \times M \times A) + (B + O) \} + [0.12 \times Y \times \{ (R \times M \times A) + (B + O) \}]$
 - iv. Where: R is the base rate of Land, M is the Multiplier, A is the affected area, B is the market value of Buildings, O be cost of all immovable assets & standing crops & Y is the year from date of notification to award of compensation
 - v. The Direct Purchase method will be all encompassing and inclusive of all compensation and R&R benefits, as specified in 2nd Schedule of RFCTLARR Act 2013 or in applicable R&R benefits for Titleholders. The purchase price of land shall be fixed based on negotiations and mutual consent, hence no separate rehabilitation and resettlement benefits shall be payable to the land owners.
 - vi. If, however, negotiation fails under the Direct Purchase process, the project will acquire land for the subproject following Assam RFCTLARR Rules 2015 based on RFCTLARR Act 2013, and shall adopt the procedures set in the RPF and what is indicated in the Entitlement Matrix.
 - vii. Land acquisition and resettlement impacts of the project will be compensated in accordance with the entitlement matrix. All compensation and assistance will be paid to displaced persons prior to displacement or commencement of civil works.

F. The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013

- 91. The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act 2013 (RFCTLARR) provides government policy for land acquisition as well as rehabilitation and resettlement. It replaces the Land Acquisition Act, 1894 and National Rehabilitation and Resettlement Policy, 2007 and the effective date is 1st January 2014.
- 92. The RFCTLARR Act 2013 has four schedules for minimum applicable norms for compensation based on market value, multiplier and solatium; resettlement and rehabilitation (R&R) entitlements to landowners and livelihood losers; and facilities at resettlement sites for displaced persons, besides providing flexibility to states and implementing agencies to provide higher norms for compensation and R&R. It also provides the baseline for compensation and has devised a sliding scale which allows States to fix the multiplier on basic rate of land depending on distance from urban centers. The aims and objectives of the Act are as follows:
 - i. To ensure, gentle, participative, informed and transparent process for land acquisition.
 - ii. Provide just and fair compensation to the displaced families whose land has been acquired or proposed to be acquired or already affected by such acquisition.

- iii. Make adequate provisions for displaced persons for their rehabilitation and resettlement.
- iv. Ensure that outcome of obligatory acquisition should be such that the displaced persons become partners in development activities, which would lead to an improvement in their post- acquisition social and economic status.
- 93. Schedule I of the RFCTLARR outlines the proposed minimum compensation based on a multiple of market value. Schedule II outline the resettlement and rehabilitation entitlements to landowners and livelihood losers, which shall be in addition to the minimum compensation as per Schedule I.

G. Valuation of Land Compensation as per RFCTLARR Act 2013

- 94. The Deputy Commissioner/ District Collector and Additional Deputy Commissioner (Revenue) of the respective district is the authority to finalize the valuation of the land and immovable assets including the standing crops and trees for land acquisition. The valuation will follow sections 26 to 30 & Schedule I of RFCTLARR Act 2013 along with Multiplication factor of Assam (Notification No. RLA 300/2013/Pt-II/7 dated 22nd Dec 2014) in following procedures:
 - i. The requisition of required land for the project will be prepared on available records of land collected from the Revenue Department and submitted to the respective Deputy Commissioner by Chief Engineer (EAP).
 - ii. The ownership, category, area etc. of the affected land and immovable properties and standing trees, crops have been verified, measured and mapped through Joint Measurement Survey at site by the Revenue officials along with representatives of PWRD and PWD (Building) Department.
 - iii. The base rate of land (Sub-section (1) of Section 26 of RFCTLARR Act 2013) will be determined by the highest value among:
 - The market value, if any, specified in the Indian Stamp Act 1899 for the registration of sale deeds or agreements to sell, as the case may be, in the area, where the land is situated:
 - The average sale price of similar type of land situated in the nearest village or nearest vicinity area; and
 - Consented amount of compensation as agreed upon under sub-section (2) of section 2 of RFCTLARR Act 2013 in case of acquisition of lands for private companies or for public private partnership projects
 - iv. The market value of land shall be multiplied by a factor (Sub-section (2) of Section 26 of RFCTLARR Act 2013), of i) 1.00 (One) for land in urban areas or, ii) 1.5 (one and half) if the radial distance of the land is up to 10 km from the nearest urban area or, iii) 2.00 (two) if the radial distance of the land is beyond 10 km from nearest urban area (Ref. Notification No. RLA 300/2013/Pt-II/7 dated 22nd Dec 2014 of Govt. of Assam)

H. Valuation of Building and Structure:

95. Market value of building and other immovable property and assets attached to the land will be calculated by PWD (Building) department as per current PWD Schedule of Rates, without any depreciation.

I. Valuation of Trees & Perennial Crops, Fruit Trees.

 Compensation for trees based on timber value at market price will be determined by the Revenue Department and Forest Department. Compensation for perennial crops, fruit trees and horticulture, at Net Present Value on remaining productive years will be determined by the Agriculture Department and Revenue Department. ii. A Solatium of 100% on the compensation amount of land, immovable assets attached with the land and standing crops will be added to determine the total compensation.

J. Assam RFCTLARR Rules, 2015

- 96. The Assam Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Rules, 2015 (Assam RFCTLARR Rules 2015), based on provisions of RFCTLARR Act 2013, has been in effect since 31st July, 2015 to the whole state of Assam. The Rule defines the following sections of:
 - i. Requirement of consent from the displaced persons
 - ii. Update of government's land records before the acquisition
 - iii. Details in compensation amount (multiplier, etc.).

K. Resettlement Policy Framework (Addendum) for Assam State Roads Project, February 2018

- 97. The Government approved the land and resettlement and rehabilitation (R&R) policy framework and Entitlement Matrix indicating range of compensation/ assistance to the various impact categories vide Notification No. RBPC. 723/2010/75 dated 17th April 2012. The R&R policy framework has been revised by amending the Entitlement Matrix in February 2018. The revised entitlement provisions provided in the Addendum is applicable retroactively for land notified for acquisition after 1st January 2014.
- 98. The salient features of entitlement and eligibility of Compensation and R&R Assistance provisions in the revised R&R Policy for Assam State Roads Project are:
 - i. Titleholder Displaced families
 - Land Acquisition through Assam Land Act, 1964 but compensation on RFCTLARR Act 2013.
 - Provision of 25% additional value of the partially affected structure.
 - Annuity or lumpsum of employment benefits to those become landless or already be marginal landowners and who lose more than 1 hectare of unirrigated or half hectare ofirrigated land.
 - ii. Tenants
 - Rental assistance for 6 months @ Rs. 2,000/- or Rs. 3,000/- pm for rural and urban Residential Persons along with shifting assistance of Rs. 10.000/-
 - One time grant @ Rs. 25,000/- for commercial tenants and entitlements of ResidentialTenants.
 - One-month notice or compensation for lost crop at market value of the yield determined by the Agricultural Department for Agricultural tenants.
 - iii. Non-titleholder
 - Replacement cost of affected structure without depreciation, right to salvage materials and advance notice for harvesting crops for Encroachers.
 - Shifting allowances of Rs. 10,000/- in addition to the entitlements of the Encroachers for Squatters with Transitional allowances @ Rs. 12,000/- for Vulnerable Squatters.
 - Mobile Vendors or kiosks are entitled to shifting allowances of Rs. 10,000/only.
 - iv. Others
 - Rs. 25,000/- of subsistence allowance for livelihood losers.
 - Affected Community assets to be reconstructed.
 - Unforeseen impacts to be documented and mitigated on the principles of framework.

L. Process of Land Transfer in Non-Cadastral Land in Assam

- 99. The hill districts of Dima Hasao, Karbi Anglong and West Karbi Anglong have Non-Cadastral land maintained by Autonomous District Council (ADC). The land is village land and Individuals or households do not own land in a village. The Village Headman/ Gaon Bura 6 of each village community, on behalf of the ADC, maintains, supervise, allocate and re-allocate land parcels to each household of the village for residential, cultivation or other commercial activities. The households occupy a piece of land for a specific period of time, usually for five years which is renewable. There is also village common land, which are used for the village as a whole.
- 100. The acquisition of housing, land and other assets will attract full replacement costs, based on fair market value, transaction costs, interest accrued, transitional and restoration costs, and other applicable payments, if any. The Gaon Bura will receive the compensation and R&R assistances on behalf of the village. He will rehabilitate the affected families and resettle them by re-allocating land from common village land.
- 101. The first step in acquiring land in Non-Cadastral land is to obtain a no-objection-certificate (NOC) from the relevant ADC in consideration of PWRD's request of land for the project.
- 102. The second step is to issue notice through the Revenue Officer of the ADC and Gaon Buras regarding the land acquisition for a public purpose. Once noticed, the Revenue Officer, the gaon bura, the Government Department or the agency that has requested land for a public purpose, and the current land users or occupiers or their representatives meet at each potentially affected village for a joint 'spot verification' of land. During the joint spot verification, the land to be acquired for the project will be identified, and land holding patterns, village boundaries, its trees, crops, buildings, and other assets will be recorded. Such data and information will become the basis for compensation determination.
- 103. The third step in acquiring common village land begins with the Gaon Bura issuing a 'no- objection certificate' (NOC) to each household to hold the land in the village. This is because such land is falling into the 'non-cadastral' land category over which no land records or survey maps are available. The demarcation of existing/proposed ROW to be marked on hand drawn land maps and tracing maps will be prepared for the finalization of land acquisition plan with the help of local revenue officials and village council. The Revenue Officer of the ADC "allocates" a piece of village common land to each household, based on the current land use and on the 'no objection certificate' issued by the Gaon Bura. Thus the "land user" becomes an "interested party" to the land, thereby becoming eligible to receive a compensation package for losing the interests in land for a public purpose.
- 104. The fourth step is consultations among the affected households, their representatives, ADC officials, Gaon Bura, PWRD representatives, and the revenue officer to arrive at a fair compensation comprising land compensation and jirat of the affected property.
- 105. The fifth step is the Revenue Officer obtains the consent of the affected villagers in writing in the presence of the Gaon Bura and other land users with the NOC to handover their landholding to the land requiring Department or agency.
- 106. The sixth step is that the Revenue Officer with the help of the Land Record Officer drafts the 'bill of compensation' based on the joint spot verification, land measurements, records of the jirat values, individual consent of each land user to handover the land parcel, the estimates of land values as per the rates for land approved by the autonomous district

⁶ The Gaon Bura is the administrative head of the village on behalf of the Autonomous District Council (ADC).

council, and the rates approved by the Public Works Department (PWD) of Assam for civil structures and buildings.

- 107. The seventh step is to finalize the bills of compensation by the Revenue Officer of the ADC. These documents will be submitted to the competent authority Executive Member of Revenue and the Deputy Commissioner of the ADC for approval.
- 108. The eighth step is that the executive member of revenue and the Deputy Commissioner after thorough scrutiny and examination, forward the bills of compensation to the Assam Government's Revenue and Disaster Management Department to sanction the total compensation amount. The department sanctions the amount and obtains the required funds from the land requiring agency and places the funds at the disposal of the Deputy Commissioner of the ADC for payment of land compensation and jirat to the affected persons.
- 109. The ninth step is the payment/disbursement of compensation to each land user by an account payee cheque through the ADC and Gaon Bura.

M. ADB's Safeguards Policy Statement (SPS), 2009

- 110. The ADB's Safeguard Policy Statement (SPS) 2009 describes the policy objective, its scope and triggers and principles of (i) environmental safeguards; (ii) involuntary resettlement safeguards; and (iii) indigenous people's safeguards. The objectives of involuntary resettlement safeguards are: (i) avoid involuntary resettlement where possible; (ii) if avoidance is not possible, minimize involuntary resettlement by exploring project and design alternatives; (iii) enhance, or at least restore, the livelihoods of all displaced persons in real terms relative to pre-project levels; and (iv) improve the standards of living of the displaced poor and other vulnerable groups.
- 111. The involuntary resettlement safeguards policy covers physical displacement (relocation, loss of residential land, or loss of shelter) and economic displacement (loss of land, assets, access to assets, income sources, or means of livelihoods) as a result of; (i) involuntary acquisition of land, or (ii) involuntary restrictions on land use or on access to legally designated parks and protected areas. It covers them whether such losses and involuntary restrictions are full or partial, permanent or temporary.
- 112. The three important elements of ADB's SPS (2009) are: (i) compensation at replacement cost for lost assets, livelihood, and income prior to displacement; (ii) assistance for relocation, including provision of relocation sites with appropriate facilities and services; and (iii) assistance for rehabilitation to enhance or at least restore the livelihood to all displaced persons relative to pre-project levels and to improve the standard of living of displaced poor and other vulnerable groups.
- 113. Replacement cost is defined as(i) fair market value; (ii) transaction costs; (iii) interest accrued, (iv) transitional and restoration costs; and (v) other applicable payments, if any.

N. Comparation of Government and ADB Policies

114. Overall, the new Act now bridges the gaps between the Gol policy and ADB's SPS, 2009. In particular, the Act require social impact assessments for projects involving land acquisition, although it sets a minimum threshold of people affected for this provision to apply, while this is not required in the SPS. The Act also expands compensation coverage by a solatium of 100 percent of all compensation amounts. Overall, the RFCTLARR Act, 2013 brings the value of compensations for land and structures higher than replacement cost, which is the principle on which compensations are calculated under SPS. The Act furthermore is in line with ADB requirement that compensation be paid prior to project taking possession

of any land.

115. The outstanding differences between the government and ADB policy is the establishment of a cut-off date for compensation or entitlements to non-title holders. The RFCTLARR Act, 2013 specifies that only non-titleholders residing on any land for the preceding three years or more will be entitled for compensation and assistance as per this Act. This gap has been bridged by incorporating the cut-off date for non-titleholders will be the end date of census survey which is provided in the entitlement matrix. Moreover, unlike SPS the new Land Act does not have special provisions for vulnerable households but only for scheduled castes and scheduled tribes. Special measures for vulnerable households have been included in this RP.

116. A significant development in Government statute is the notification of 'The Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013', which has repealed the Land Acquisition Act of 1894 (as amended in 1984). This Act significantly decreases the gap between the LA Act 1894 and ADB's SPS. The Act also provides for value of structures, trees, plants, or standing crops affected to be compensated at market value along with a solatium of 100 percent. The Act furthermore meets ADB requirement as Section 38 (1) of RFCTLARR Act mandates payment of compensation and Rehabilitation and resettlement entitlements prior to project taking possession of the land. Comparison of Central and State Government Acts and Policies with ADB SPS 2009 and gap assessment and gap filling measures adopted in this project against all the SR II policy principles is presented in Table 43.

Table 43: Comparison of Central and State Government Acts and Policies with ADB

SPS 2009 and gap assessment and gap filling measures

			ADB Safeguard		Measures to
S.	No.	Aspect	Requirement	RFCTLARR Act 2013	Bridge the GAP
	-	Existence of	ADB SPS 2009	The Administrator for	The
		Policy	prescribes existence of	R&R is required to	Resettlement
		Framework	Resettlement	prepare Rehabilitation	Framework for
			Framework for the	and Resettlement	Asommala
			entire project/ program.	Scheme covering	program is
				details of impacts and	prepared.
				R&R entitlements for	
				affected	
		_		people (Clause 16).	
		Project	Screen the project to	There is no provision for	Screening of all
		Screening	identify past, present	screeningand	sub- projects in
			and future involuntary	categorization for	line with the
			resettlement impacts	deciding depth of social	IR checklist of
			and risks. Conduct	assessment. However,	ADB, towards
			survey and/or census	provision exists (Clause	enabling
			of displaced persons,	7 (5)} for post SIA	identification of
			including a gender	appraisal of SIA Report	the potential
			analysis, specifically related to resettlement	by Expert Group to	resettlement
			related to resettlement	ensure public purpose	impacts and associated risks.
				and that potential	associated risks.
				benefits outweigh the social costs and	
				adverse social impacts.	
	3	Assessment	ADB SPS 2009	The SIA is expected	Assessment of
	-	of Alternatives	emphasizes on	{Clauses 4 (4) & 8 (2)}	alternatives to
			assessment of	to ascertain that land to	avoid or
			alternatives to avoid or	be acquired is absolute	minimize the
			minimize involuntary	bare minimum and	Resettlement
			Resettlement Impact	Govt. to ensure	impact to the

S. No.	Aspect	ADB Safeguard Requirement	RFCTLARR Act 2013	Measures to Bridge the GAP
			minimum displacement of people and minimum adverse impact on affected individuals.	feasible alternative is provisioned
4	Social Impact Assessment	Social Impact Assessment (SIA) to identify the impacts, risks and views of potential project- affected Persons and communities	It is obligatory for the Government if it intends to acquire land for a public purpose to carry out a SIA study in consultation with concerned local Govt., at village level or ward level in the affected area {Clause 4 (1)}, which also involves public hearing, publication and appraisal. The RFCTLARR Act 2013 detailed preparation of SIA study under Chapter 2, Section 4 through 9.	Social Impact Assessment (SIA) is provisioned with SIA Report at each and every sub-project
5	Consultation with stake holders	Carryout consultations with displaced persons, host communities and concerned NGOs. Inform all displaced persons of their entitlements and resettlement options	Whenever a Social Impact Assessment is required to be prepared under section 4, the appropriate Government shall ensure that a public hearing is held at the affected area, after giving adequate publicity about the date, time and venue for the public hearing, to ascertain the views of the affected families to be recorded and included in the Social Impact Assessment Report.	No gap between SPS and RFCTLARR.
6	Cut-off Date	ADB SPS 2009 specifies provision Cut- off Date, prior to which, the DPs are eligibility for their entitlement	Those living 3 years prior to acquisition will be considered for benefits.	The date of preliminary notification for land acquisition/ land purchase will be treated as Cut-off date for the title-holder displaced families as well as for the Non-Titleholder displaced families. Whereas, for the users of the non-

		ADB Safeguard		Measures to
S. No.	Aspect	Requirement	RFCTLARR Act 2013	Bridge the GAP
				Cadastral land in Karbi Anglong and West Karbi Anglong district, the cut-off date will be date of Joint Mesaurement Survey.
7	Preparation of R&R Compensation and assistance	plan and indigenous peoples plan, if required, elaborating on displaced persons' entitlements, theincome	Under second schedule it is mentioned that resettlement entitlement will benefit the families whose livelihood is primarily dependent on landacquired.	No gap between SPS and RFCTLARR. Resettlement Framework for the program and RP for each subproject will be prepared.
8	Replacement Cost of loss of assets	Compensation at Replacement Cost and Provision of full compensation without anydeduction	The RFCTLARR Act 2013 provides the market value to be determined as section 26: a) The multiplying factor by which the market value of land is multiplied will be 1 to 2 based on distance of the land from nearest urban area, which may be notified by the appropriate Government; b) The market value of the buildings, other immovable assets, trees and plants, standing crops attached to the land will be determined as Section 29 and c) The Solatium Equivalent to 100% of the compensation of (a) + (b), will be added to yield the final Compensation.	If the replacement cost of the asset is higher than the final compensation cost, the Entitlement Matrix willcover the difference amount as Special Resettlement Assistance and will be payable to the respective affected family.
	Resettlement Assistance for displaced	Provide physically and economically	Provisions for all allowance givenin Second Schedule.	Entitlement Matrix outlines the eligibility
	persons	with adequate Resettlement Assistanceof	Schedule II provides R&R package for land owners and livelihood losers including	and entitlement for compensation and assistance

S. No.	Aspect	ADB Safeguard Requirement	RFCTLARR Act 2013	Measures to Bridge the GAP
	•	subsistence/transition, shifting allowance	landless and special provisions for Scheduled Tribes.	for DPs of different categories.
10	Compensation for non-title holders	Ensure that displaced persons without titles toland or any recognizable legal rights to land are eligible for resettlement æsistance and compensation for loss ofnon-land assets.	RFCTLARR Act 2013 does not specify to compensate or Resettlement assistance the non-title holders	The Entitlement Matrixwill cover adequate compensation and Resettlement assistance for the non- titleholder DPs at par with the title-holder DPs, but other than land compensation, for these categories.
	Livelihood Restoration	There should be livelihood restoration measures of the economic DPs to restore their livelihoods atleast to the preproject level or higher.	Provides for training and livelihoodrestoration measures under Second Schedule which also includes a job in the project activities if the scope is available.	
11	Special assistance for vulnerable households	There should be special assistance for vulnerable, viz., landless, womenheaded households, elderly, children, disabled, Scheduled Tribe, BPL etc.	There are specific provisions for scheduled Castes and Scheduled Tribes under Section 41 and 42, plus additional benefits as given in Second Schedule.	Entitlement Matrix Covers the landless, women- headed households, elderly, children, disabled, scheduled caste and scheduled tribe, BPL affected families.
12	Negotiated Settlement	transparent, consistent, and equitable manner if land acquisition is through negotiated settlement to ensure that those people who enter into negotiated settlements will maintain the same or better income and livelihood status	RFCTLARR only apply in case of land acquired/purchased for PPP projects and for Private Companies. Section: 2. (2), and 46.	Provisions outlined in ADB SPS along with the law/ policy of the state of Assam and similar practices in different states will be followed for the project.
13	Public disclosure	Disclose a draft resettlement plan, including documentation of the	Provisions for Publication of SIA study under Section 6, Publication of the	In addition to the publishing of the approved resettlement plan,

o N		ADB Safeguard	DECT. 4 DD 4 1 0040	Measures to
S. No.	Aspect	Requirement	RFCTLARR Act 2013	Bridge the GAP
		consultation process in a timely manner, before project appraisal, in an accessible place and a form and language(s) understandable to displaced persons and other stakeholders. Disclose the final resettlement plan and its updates to displaced persons and other Stakeholders	Rehabilitation and Resettlement Scheme under Section 18 and 19. The approved Rehabilitation and Resettlement Scheme is required to be made available in the local language to the local Govt. and in the offices of the District Collector, the Sub-Divisional Magistrate and the Tehsil, and is also required to be published in the affected areas and uploaded on the website of the Government (Clause 18).	the RF includes provision for disclosure of the various documents pertaining to RP implementation.
14	Taking over possession before Payment of compensation	Pay compensation and provide other Resettlement entitlements before physical or economic displacement. Implement the resettlement plan under close supervision throughout project implementation.	As per Clause 38 (I), The Collector shall take possession of land after ensuring that full payment of compensation as well as rehabilitation and resettlement entitlements are paid or tendered to the entitled persons within a period of three months for the compensation and a period of six months for the monetary part of rehabilitation and resettlement entitlements listed in the Second Schedule commencing from the date of the award made under section 30.	No gap between SPS and RFCTLARR.

S. No.	Aspect	ADB Safeguard	RFCTLARR Act 2013	Measures to
3. NO.	Aspect Grievance	Requirement Client to establish a	The Act has a detailed	Bridge the GAP A Grievance
15	Grievance Redress Mechanism	client to establish a suitable grievance mechanism to receive and facilitate resolution of the concerns or complaints of people adversely affected by social impacts of project and inform DPs of availability of mechanism.	grievance redress mechanism proposed at all levels starting from the gram sabha up to the Government level. The Act envisages establishment of Land Acquisition Rehabilitation and Resettlement Authority in each State by the concerned State. Government to hear disputes arising out of projects where land acquisition has been initiated by the State Government or its	A Grievance Redress Mechanism, from village level to state level, will be put in place to hear and consider grievances of DPs. The decisions taken by Grievance Redress Committees about eligibility and entitlements are enforced.
16	Monitoring	Monitor and assess resettlement outcomes, their impacts on the standards of living of displaced persons, and whether the objectives of the resettlement plan have been achieved by considering the baseline conditions and the results of resettlement monitoring. Disclose monitoring reports.	agencies (Chapter VIII). The Act provides for National Monitoring Committee for rehabilitation and resettlement and reporting requirements (Chapter VII) The Central Government may, whenever necessary for national or inter-State projects, constitute a National Monitoring committee for reviewing and monitoring the implementation of rehabilitation and resettlement schemes or plans under this Act.	For project with appropriate monitoring And reporting mechanism and frequency will follow ADB SPS based on subproject categorization.

O. Involuntary Resettlement Safeguard Principles for the Project

- 117. Based on the above analysis of the government provisions and ADB policy, the following resettlement principles have been adopted for this Project:
 - i. land acquisition, and other involuntary resettlement impacts will be avoided or minimized exploring all viable alternative sub-project designs;
 - ii. where unavoidable, time-bound resettlement plans (RPs) will be prepared, and APs will be assisted in improving or at least regaining their pre-program standard of living;
 - iii. consultation with APs on compensation, disclosure of resettlement information to APs, and participation of APs in planning and implementing sub-projects will be ensured;
 - iv. vulnerable and severely affected households will be provided special

- assistance;
- v. payment of compensation to APs including non-titled persons (e.g., informal dwellers/squatters, and encroachers) for acquired assets at replacement rates;
- vi. payment of compensation and resettlement assistance prior to the contractor taking physical acquisition of the land and prior to the commencement of any construction activities;
- vii. provision of income restoration and Rehabilitation; and
- viii. Establishment of appropriate grievance redressal Mechanism.

P. Assam Gazette Notification No. DA5R.30/2021/18 dated 10th December 2021

118. The honorable Governor of Assam has accorded "Entitlement matrix for Eligibility, entitlement of Compensation and assistance to persons affected due to improvement and upgradation of roads in externally aided projects for secondary state roads as enunciated in the enclosed document (Appendix 6). It is into force with effect from the date of publication in the Assam Gazette and will remain in operation till such time as the State Govt may consider fit and proper. The Government also reserves the right to make any amendment to make any amendment to the Entitlement Matrix from time to time. This Entitlement Matrix envisages all affected households and persons losing assets and livelihood and defines the entitlement of compensation and resettlement assistance depending on the nature of ownership rights on lost assets and extent of impacts including socio-economic vulnerability of the displaced persons. The Entitlement Matrix summarizing all possible types of losses and corresponding nature and scope of entitlements, in accordance with the principles of the Resettlement Planning Framework of ANRIP.

VI. ENTITLEMENTS, ASSISTANCES AND BENEFITS

A. Introduction

119. The project will have two types of affected persons i.e.: (i) persons with formal legal rights to land lost in its entirety or in part; and (ii) persons who have neither formal legal rights nor recognized or recognizable claims to such land. The involuntary resettlement requirements apply to both these types of affected persons.

B. Eligibility Criteria

- 120. In accordance with the involuntary resettlement policy principles of this project, the affected persons falling in any of the following three categories will be eligible for compensation, and rehabilitation and resettlement assistance:
 - (i) those who have formal legal rights to land lost in its entirety or in part (title holders / pattadars);
 - (ii) those who lost the land they occupy in its entirety or in part and have no formal legal rights to such land, but who have claims to such lands that are recognized or recognizable under national/state laws (forest dwellers); and
 - (iii) those who lost the land they occupy in its entirety or in part and have neither formal legal rights nor recognized or recognizable claims to such land (non-titled holders such as squatters and encroachers).
- 121. **Cut-off Date**: For title holders as well as Non-Titleholders, the date of notification to landowners under Direct Purchase will be treated as the cut-off date. Whereas, for the users of the non-Cadastral land in Karbi Anglong and West Karbi Anglong district, the cut-off date will be date of Joint Mesaurement Survey. There will be adequate notification of cut-off date during the Joint Mesaurement Survey and measures will be taken by the district administration to prevent encroachments and/or squatting after the cut-off date is established.
- 122. There will be adequate notification and dissemination of the cut-off date and measures will be taken to prevent encroachments/squatting after the cut-off date is established. Non-title holders who settle in the affected areas after the cut-off date will not be eligible for compensation. They however will be given sufficient advance notice (90 days) to vacate the premises and dismantle affected structures prior to project implementation. The project will recognize both licensed and non-licensed vendors and titled and non-titled households.

C. Entitlement Matrix

- 123. The Entitlement Matrix (EM) for eligibility, entitlement of compensation and assistance to persons affected due to improvement and upgradation of roads in externally aided projects for secondary state roads have been approved by the Cabinet and notified vide No. DA5R.30/2021/18 dated 10th December 2021 and also published in Assam Gazzate dated 22nd December 2021 (*Appendix 6*). This Entitlement Mtrix has been presented in Table 44for the ASCCIP (ARNIP), which summarizes the types of losses and the corresponding nature and scope of entitlements and follows National/State Laws, in particular the Direct Purchase Policy, the Right to Fair Compensation and Transparency in Land Acquisition, Rehabilitation and Resettlement Act, 2013 and the Requirements II on Involuntary Resettlement of the Safeguard Policy Statement of the Asian Development Bank, 2009.
- 124. Compensation for land and structure, in accordance with the eligibility and entitlement, will be paid prior to physical and economic displacement. However, any long-term rehabilitation measures like training for skill development and annuity for life, if any, will continue for a longer period and such rehabilitation measures will not be a bar to commence civil works.

Table 44: Entitlement Matrix

SI.		Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues			
		negotiated settlemen	t through the State Policy of "Direct Purchase Policy of private L	and for Linear Projects of Asom Mala			
	and EAP"						
		Direct purchase of Land with negotiation through District Level Land Purchase Committee (DLLPC) headed by the Deputy Commissioner, Additional					
		Deputy Commissioner (Revenue) as Member Secretary and comprising officials of the revenue, public works (buildings) and land acquisition					
_	departments.		T				
1.	Titleholder -	A. Loss of Land	1. The base rate of land (Sub-section (1) of Section 26 of				
	Titleholder/Land	(Homestead Land,	RFCTLARR Act 2013) will be determined by the highest value				
	owners and	Commercial Land,	among:	as per provisions of Section 26 to 30,			
		Agricultural Land or	The market value, if any, specified in the Indian Stamp Act				
	(Eksonia holder/	Vacant Plot)	1899 for the registration of sale deeds or agreements to sell, as the				
	Land occupiers with claims/ rights		case may be, in the area, where the land is situated; The average sale price of similar type of land situated in the	The Price of Direct Purchase (P) will be:			
	recognized under		nearest village or nearest vicinity area; and	X Y X (R X M X A) + (B + O)] + [0.12 X Y X (R X M X A) + (B + O)]			
	State/ Central laws,		Consented amount of compensation as agreed upon under	Where			
	whose rights on the		sub-section (2) of section 2 of RFCTLARR Act 2013 in case of				
	land assigned		acquisition of lands for private companies or for public private				
	under any laws of		partnership projects	A is the affected area			
	the State, etc.		2. The market value of land shall be multiplied by a factor				
	,		through Notification No. RLA 300/2013/Pt-II/7 dated 22nd Dec 2014				
			of Govt. of Assam (Sub-section (2) of Section 26 of RFCTLARR Act	standing crops			
			2013), of	Y is the proper or improper fraction of			
			■ 1.00 (One) for land in urban areas or,	year from date of notification to award			
			■ 1.50 (one and half) if the radial distance of the land is up to				
			10 km from the nearest urban area or,	Compensation shall not account for any			
			2.00 (two) if the radial distance of the land is beyond 10 km				
			from nearest urban area	The Eksonia holder, whose Eksonia			
			3. In case of acquisition of Eksonia Land, situated under				
			permissible limits as per the Revenue and Disaster Management				
			Department of Govt. of Assam, the Eksonia Holder is entitled to get	Leasenoider.			
			the compensation of the landowner as described above, subject to				
			conversion of the Eksonia land to Myadi Patta Land, as specified by the Poyenus and Disaster Management Department of Coyt, of				
			by the Revenue and Disaster Management Department of Govt. of Assam.				
			4. Solatium will be 100% on the compensation calculated as				
			specified above.				
			5. The land owner will also get an additional incentive of 25%				

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
01.	Littled i cison	Type of Loss	on total compensation after Solatium, calculated as specified above	Remarks and implementation issues
			6. The land value defined u/s 26 of RFCTLARR Act 2013, will	
			also attract an amount calculated @ 12% per annum for the period	
			commencing on and from the date of notification ⁷ till the date of	
			award (Ref. sub-section (3) of Section 30 of RFCTLARR Act 2013).	
		B. Loss of Structure	1. Replacement value of building and other immovable	Compensation Building/ structures will
		and other	property and assets attached to the land will be calculated by the	
		immovable assets	competent Engineer or any other specialist in the relevant field (Ref.	
		including Trees and	sub-section (1) of Section 29)	timber value to be determined by the
		standing crops,	Or, A few typical specifications of different categories of all	
		attached to the land	possible immovable assets, attached to land may be defined. The	
			guidance price of these typical assets may be prepared and vetted	
			through appropriate authority. The valuation of immovable assets	
			attached to the land will be calculated on pro-rata basis of the	'
			guidance price, without depreciation, of the respective asset.	
			2. Market Value of trees and plants attached to the land will	
			be calculated by the experienced persons in the field of agriculture,	
			forestry, horticulture, sericulture or any other relevant field, as the	
			case may be (Ref. sub-section (2) of Section 29).	
			3. Market value of standing crops damaged during the	
			process of land acquisition will be calculated by the experienced	
			persons in the field of agriculture (Ref. sub-section (3) of Section	
			29).	
			4. Solatium will be 100% on the compensation calculated as	
			specified above.	
			5. The land owner will also get an additional incentive of 25%	
			on total compensation after Solatium, calculated as specified above	
			6. Right to salvage materials in favour of the owner of the	
			affected building/ structure, if the incumbent demolishes the	
			affected part of the building/ structure by own self.	
		C. Rehabilitation &		The direct purchase price shall be fixed
		Resettlement	included in the additional 25% allowances on Direct Purchase	
		Assistance	Price.	Hence no rehabilitation and
				resettlement benefits shall be payable
				to landowners under Direct Purchase.

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⁷ General Notification to the landowners as per Section 4.4 (Step 4) of the Notification No. DA5R.80/2020/3 dt 20 Jan, 2021by the District Level Land Purchase Committee.

SI. Entitled Person			Remarks and Implementation Issues
		rocess in the event of any owner refusing to sell the land or any of the	
		or for unresolved dispute of ownership or court cases, the respective	
		Fair Compensation and Transparency in Land Acquisition, Rehabi	ilitation and Resettlement Rules 2015 &
RFCTLARR Act 2			
2. Titleholder - Lan		1. Replacement of land for land, where feasible. Provision of	
Owners as	Homestead Land,	stamp duty, land registration fee, capital gains tax, value added tax	
recorded in	Agricultural Land or	incurred for replacement land, and other charges related to the	, , , ,
revenue records,		replacement of the land	completion.
Eksonia holder/		<u>OR</u> ,	If B is the base rate of land, M is the
Land occupiers		2. Land will be acquired under Assam RFCTLARR Rules	
with claims/ rights		2015 and Compensation at Replacement Value of land, (calculated	
recognized under		through RFCTLARR Act 2013 and Resettlement Planning	$L = 2 \times (B \times M \times A).$
State/ Central law		Framework for ASRP 2018) will be the highest among:	
whose rights on t		a) The base rate of land (Sub-section (1) of Section 26 of	
land assigned		RFCTLARR Act 2013) will be determined by the highest value	
under any laws of	S OT	among:	notification, then L will be incremented
the State		The market value, if any, specified in the Indian Stamp Act	
		1899 for the registration of sale deeds or agreements to sell, as the	$Ly = L + (0.12 \times Y \times B \times A)$
		case may be, in the area, where the land is situated; or	The commercial of only an exillation
		The average sale price of similar type of land situated in the	
		nearest village or nearest vicinity area; or Consented amount of	
		compensation as agreed upon under sub-section (2) of section 2 of	
		RFCTLARR Act 2013 in case of acquisition of lands for private	
		, ,	
		,	
		companies or for public private partnership projects, b) The market value of land shall be multiplied by a factor through Notification No. RLA 300/2013/Pt-II/7 dated 22nd Dec 2014 of Govt. of Assam (Sub-section (2) of Section 26 of RFCTLARR Act 2013), of 1.00 (One) for land in urban areas or, 1.50 (one and half) if the radial distance of the land is up to 10 km from the nearest urban area or, 2.00 (two) if the radial distance of the land is beyond 10 km from nearest urban area c) Additional amount calculated @ 12% per annum, for period from date of notification of Social Impact Assessment study till date of award of the District Collector/ Deputy Commissioner, on market value of land calculated u/s 26 of RFCTLARR Act, 2013 as a standalone component, i.e., not to be counted for the purpose of	

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
			Multiplication factor and Solatium	•
			d) In case of acquisition of Eksonia Land, situated under	
			permissible limits as per the Revenue and Disaster Management	
			Department of Govt. of Assam, the Annual Patta Holder is entitled	
			to get the compensation of the land as described above, subject to	
			conversion of Eksonia Land to Myadi Patta Land as specified by the	
			Revenue and Disaster Management Department of Govt. of	
			Assam.	
			e) If the left-over land plot is non-feasible or non-economic or	
			unusable or if the land owner desires that the whole land to be	
			acquired, the PWRD GOA or the Deputy Commissioner/ District	
			Collector may acquire the total land of the land owner	
			f) If the damage (if any) sustained by the landowner, at the	
			time of the Deputy Commissioner/ District Collector taking	
			possession of the land, by reason of severing such land from his	
			other land and where land has been bisected by the acquisition an	
			amount calculated at ten (10) per cent of the amount determined	
			under sl. a) and b) above shall be paid.	
		B. Loss of Structure	1. Replacement with a constructed house as per Prime	
		and other	Minister Gramin Awas Yojana (PMGAY) specification for rural	
		immovable assets	areas or constructed house, not less than 50 square meter plinth	
		including Trees and	area in urban areas, where feasible (Ref. Schedule II of RFCTLARR	
		standing Crops,	2013). Provision of stamp duty, other fees payable for registration	
		attached to the land	of house allotted and any other tax and other costs incurred for	
			replacement house	
			OR,	Company of the Deliberation of the section of the
			2. a) Market value of building and other immovable property and	
			assets attached to the land will be calculated by the competent	
			Engineer or any other specialist in the relevant field (Ref. sub-	
			section (1) of Section 29) Or, A few typical specifications of different categories of all	timber value to be determined by the
			Or, A few typical specifications of different categories of all possible immovable assets, attached to land may be defined. The	
			guidance price of these typical assets may be prepared and vetted	
			through appropriate authority. The valuation of immovable assets	
			attached to the land will be calculated on pro-rata basis of the guidance price, without depreciation, of the respective asset. b) Market Value of trees and plants attached to the land will be calculated by the experienced persons in the field of agriculture,	avoiding harvest season

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
			forestry, horticulture, sericulture or any other relevant field, as the	acquisition to be accommodated to the
			case may be (Ref. sub-section (2) of Section 29).	extent possible
			c) Market Value of standing crops damaged during the	
			process of land acquisition will be calculated by the experienced	
			persons in the field of agriculture (Ref. sub-section (3) of Section 29).	finalize compensation rates in consultation with affected people.
			d) Solatium will be 100% on the compensation calculated as	
			specified above.	Payment of compensation to the joint
			e) The land owner will get additional 25% value on the replacement cost of the affected part of the structure without	husband and wife bank account.
			solatium, for any partially acquired structure (if the remainder is still	
			viable).	The viability of the remainder structure
			f) Right to salvage materials in favour of the structure owner	
			of the affected building/ structure, if the incumbent demolishes the	
			affected part of the building/ structure by own self within the	'
			stipulated period.	
			g) Fees, taxes, stamp duty, and other charges related to	
			replacement structure	
			h) At least 90 days advance notice to shift	
		C. Rehabilitation &	1. Employment opportunity or Payment of lumpsum amount	
		Resettlement	of Rs. 5,70,000 (Rupees five lakhs seventy thousand only) or	
		Assistances 8	Annuity of minimum of Rs. 2,280 (Rupees two thousand two	
			hundred eighty only) per month per family for twenty years with	
			appropriate indexation to the Consumer Price Index for Agricultural	
			Labourers, as per Schedule 2 of RFCTLARR Act 2013, will be	
			provided to all those landowner families, whose livelihood is	
			primarily dependant on land acquired	$\frac{\frac{CPI_{Apr\ 2021} - CPI_{Jan\ 2014}}{CPI_{Jan\ 2014}} = 100 \times \frac{159.3 - 139.7}{139.7}$
			2. One-time financial assistance of Rs. 57,000/- (Rupees fifty-	1 4 4 9 9 9 7
			seven thousand only) as transportation cost for shifting of the	where, CPI _{Jan 2014} = 139.7 & CPI _{Apr 2021}
			family, building materials, belongings and cattle, if any 3. One-time Resettlement Assistance of Rs. 57,000/-	= 159.3 in Assam with Base Year 2012
			(Rupees fifty-seven thousand only) for each displaced family	= 100 ⁹
			Trapees my-seven mousand only for each displaced family	

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⁸ Financial assistance and/or allowances has been appropriately indexed to the Consumer Price Index (CPI) to ensure real value of assistance from when it was effected in January 2014.

⁹ Ref. Ministry of Statistics and Programme Implementation, Govt. of India Press Releases on Consumer Price Index Numbers on Base 2012 = 100 of March 2014 (http://mospi.nic.in/sites/default/files/press_release/t4_12mar14.pdf) and of May 2021. (http://www.mospi.nic.in/sites/default/files/press_release/CPI%20Press%20Release%20May2021.pdf).

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
SI.	Entitled Person	Type of Loss	4. Subsistence allowance of Rs. 3,420/- (Rupees three thousand four hundred twenty only) per month for one year, or, Rs. 41,040/- (Rupees forty-one thousand forty only) one-time, for each displaced family, require to relocate 5. Any displaced family losing cattle sheds and/ or Petty Shops are entitled for at least Rs. 28,500/- (Rupees twenty-eight thousand five hundred only) or, any amount specified as the appropriate Government, towards reconstruction of the Cattle Shed and/ or Petty Shops respectively Or, If Displaced Person falls under the categories of Artisan, Small Traders, Self-employed persons or any other similar categories, One-time financial assistance of amount, as notified by the appropriate Government but not less than Rs. 28,500/- (Rupees twenty-eight thousand five hundred only). 6. If the displaced family faced displacement or resettlement from any other project or this project previously, the displaced family will be entitled for an additional compensation equivalent to that of the compensation and assistance determined for all the cases as described above under Sl. 2A, 2B & 2C (1 through 7) for their second or successive displacements 7. Three (3) months' advance notice to affected parties to	In addition to the Rs. 57,000 provided to all vulnerable affected people, the Vulnerable affected households will: 1. Receive preference in income restoration training program under the project 2. Preference in employment under the project during construction, in accordance with qualification required. 3. Assistance to access to basic utilities and public services.
3.	Agricultural Tenants, Sharecroppers & Leaseholders of Land including Holders of Eksonia, which cannot be converted	A. Loss of Leased/ Sharecropping/ Tenancy Land B. Loss of Structure and other immovable assets including Trees and	harvest crops, fruits, flowers or product and by-products from the affected trees/ crops etc. Landowners will reimburse tenants, sharecroppers and leaseholders with respective land rental deposit for unexpired tenancy/ lease, if any. Employment opportunity or Payment of lumpsum amount of Rs. 5,70,000 (Rupees five lakhs seventy thousand only) or Annuity of minimum of Rs. 2,280 (Rupees two thousand two hundred eighty only) per month per family for twenty years with appropriate indexation to the Consumer Price Index for Agricultural Labourers, as per Schedule 2 of RFCTLARR Act 2013, will be provided to all those landowner families, whose livelihood is primarily dependant on land acquired. 1. Three months' advance notice to affected parties to shift and harvest crops, fruits, flowers or product and by-products from the affected trees/ crops etc. 2. Cash compensation at replacement value, for loss of	cannot be regularised by whatsoever the reason, will also be considered as Leaseholder. The RP Implementation Agency will verify the reimbursement of rental deposit for unexpired tenancy/ lease, if any.

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
		standing Crops,	immovable assets, and market value for standing crop/ trees/	•
		attached to the land	horticulture, as estimated u/s 29 of RFCTLARR Act 2013 &	
			calculated by:	
			Concerned PWD (Building) for Building/ structure and other	
			immovable assets attached with the land	
			Concerned Forest Department or similar authority for	
			Timber trees	
			Concerned State Agriculture Extension Department or	
			similar authority for standing crops	
			Concerned Horticulture Department or similar authority for	
			horticulture and/ or perennial trees	
			3. Right to salvage materials in favour of the structure owner	
			of the affected building/ structure, if the incumbent demolishes the	
			affected part of the building/ structure by own self within the stipulated period.	
			4. Fees, taxes, stamp duty, and other charges related to	
			replacement structure	
		C. Rehabilitation &	1. One-time financial assistance of Rs. 57,000/- (Rupees fifty-	Financial assistance and/or all R&R
		Resettlement	seven thousand only) as transportation cost for shifting of the	
		Assistances 10	family, building materials, belongings and cattle, if any	indexed to the Consumer Price Index
			2. One-time Resettlement Assistance of Rs. 57,000/-	
			(Rupees fifty-seven thousand only) for each displaced family	assistance from effective date of
			3. Livelihood restoration of Rs. 41,040/- (Rupees forty-one	January 2014.
			thousand forty only) one-time, for each displaced family (in line of	
			subsistence allowance as per Schedule II of RFCTLARR Act 2013)	
			4. Any displaced family losing cattle sheds, if any, are entitled	CPI Jan 2014 139.7
			for at least Rs. 28,500/- (Rupees twenty-eight thousand five	= 14.03%, say 14%
			hundred only) or, any amount specified as the appropriate	where, CPI _{Jan 2014} = 139.7 & CPI _{Apr 2021} = 159.3 in Assam with Base Year 2012
			Government, towards reconstruction of the Cattle Shed.	= 109.3 1 Assam with base Year 2012 = 100 1
			5. If the entitled person (EP) opts for alternative livelihood	- 100
			training for self or for any of his/ her family member will be entitled	
		L	to have the training from National Skill Development Corporation or	

¹⁰ Financial assistance and/or allowances has been appropriately indexed to the Consumer Price Index (CPI) to ensure real value of assistance from when it was effected in

January 2014.

11 Ref. Ministry of Statistics and Programme Implementation, Govt. of India Press Releases on Consumer Price Index Numbers on Base 2012 = 100 of March 2014.

(http://mospi.nic.in/sites/default/files/press_release/t4_12mar14.pdf) and of May 2021

(http://www.mospi.nic.in/sites/default/files/press_release/CPI%20Press%20Release%20May2021.pdf)

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
			Assam Skill Development Mission or Assam State Urban Livelihood Mission or Assam State Rural Livelihood Mission, as the case may be. The cost of training will be capped to Rs. 41,040/- per family and it will be borne by the Project Authority.	
4.	Non-titleholders (Encroacher or Squatter)	A. Loss of Land Land used residential/commercial or any other purpose	 No entitlement for loss of land, in which the Encroacher has extended their land boundary onto the existing government land or RoW, for residential or commercial or residential-cum-commercial or any other purposes. No entitlement for loss of land, in which the Squatter have occupied public/ government lands, without any formal agreement, for residential or commercial or residential-cum-commercial or any other purposes. 	
		B. Loss of Structure and other immovable assets including Trees and standing Crops, attached to the land	 At least 3 months advance notice to shift or to demolish the partial structure. Encroachers and squatters shall be paid the replacement cost of affected part of the structures and all other immovable assets attached to the land, without depreciation. Right to salvage materials without any fee or charge in favour of the structure owner/occupier of the affected building/structure, if the incumbent demolishes the affected part of the building/ structure by own self within the stipulated period. 	other immovable assets shall be determined in accordance with the typical specifications for immovable assets as defined and approved by Appropriate Authority defined u/s 29 of
		C. Rehabilitation & Resettlement Assistances 12	1. One-time financial assistance of Rs. 57,000/- (Rupees fifty-seven thousand only) as transportation cost for shifting of the family, building materials, belongings and cattle, if any for families getting physically displaced (require relocation). 2. One-time Resettlement Assistance of Rs. 57,000/- (Rupees fifty-seven thousand only) for each displaced family 3. Subsistence allowance @ Rs. 3420/- per month or one-time allowance of Rs. 41,040/- (Rupees forty-one thousand forty only) to displaced family (<i>in line of subsistence allowance as per Schedule II of RFCTLARR Act 2013</i>) 4. Any displaced family losing cattle sheds and/ or Petty Shops, or the displaced Person falls under the categories of Artisan, Small Traders, Self-employed persons or any other similar categories, if any, are entitled for at least Rs. 28,500/- (Rupees	allowances has been appropriately indexed to the Consumer Price Index (CPI) to ensure real value of assistance from effective date of January 2014.

¹² Financial assistance and/or allowances has been appropriately indexed to the Consumer Price Index (CPI) to ensure real value of assistance from when it was effected in January 2014.

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
			twenty-eight thousand five hundred only) or, any amount specified	= 100 ¹³
			as the appropriate Government.	
			5. If the entitled person (EP) opts for alternative livelihood	
			training for self or for any of his/ her family member will be entitled	
			to have the training from National Skill Development Corporation or	
			Assam Skill Development Mission or Assam State Urban Livelihood	
			Mission or Assam State Rural Livelihood Mission, as the case may	
			be. The cost of training will be capped to Rs. 41,000/- per family	
_	T	A 1 (11	and will be borne by the Project Authority.	
5.	Tenants and Leaseholders of	A. Loss of Land Homestead Land/	No entitlement for loss of land, in which the Tenants or	
	Residential/	Commercial Land	Leaseholders occupy their residential or commercial or residential- cum-commercial structure under tenancy/ lease holding with some	
	Commercial or	Commercial Land	financial arrangements with the landlords, which may or may not be	
	Residential-cum-		properly documented or legalized.	
	Commercial	B. Loss of Structure	Structure owners will reimburse tenants and leaseholders with	
	structures	and other	rental deposit for unexpired tenancy/ lease for fully impacted	
	Structures	immovable assets	structure	
		minio vabio accoto	Or, Lease/ Rental agreement with reduced rent/ lease rate in	
			appropriate to be continued after reconstruction of the partly	
			affected but feasible remaining structure, as the case may be	
			2. Replacement cost of part or whole of structure constructed	
			by the tenant/ leaseholder, and this will be deducted from the	
			compensation amount of the owner.	
			3. Right to salvage material of the portion constructed by	
			tenant/leaseholder	
		C. Rehabilitation &	1. Three months' advance notice to affected parties to vacate	
		Resettlement	the building/structure (residence, shop, etc.)	allowances has been appropriately
		Assistances 14	2. On time Rental Allowances @ of Rs. 2,600/- (Rupees two	
1			thousand six hundred only) per month in rural areas and Rs. 3,900/-	
			(Rupees three thousand nine hundred only) per month in urban	
			areas, for 6 (six) months (Ref. Addendum to Resettlement Planning	
			Framework for ASRP, Feb 2018 & regular rental increment of 10%	Inflation Rate = $100 \times$

¹³ Ref. Ministry of Statistics and Programme Implementation, Govt. of India Press Releases on Consumer Price Index Numbers on Base 2012 = 100 of March 2014. (http://mospi.nic.in/sites/default/files/press_release/t4_12mar14.pdf) and of May 2021 (http://www.mospi.nic.in/sites/default/files/press_release/CPI%20Press%20Release%20May2021.pdf)

14 Financial assistance and/or allowances has been appropriately indexed to the Consumer Price Index (CPI) to ensure real value of assistance from when it was effected in

January 2014.

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
			per year) 3. One-time financial assistance of Rs. 57,000/- (Rupees fifty-seven thousand only) as transportation cost for shifting of the family, belongings and cattle, if any (Ref. Schedule II of RFCTLARR 2013) for physically displaced family. 4. Tenants of Commercial or Residential-cum-Commercial structures will be entitled for one-time financial grant of Rs. 28,500/- (Rupees twenty-eight thousand five hundred only) for loss of trade/self-employment 5. Tenants of Commercial or Residential-cum-Commercial structures will be entitled Livelihood restoration of Rs. 41,040/- (Rupees forty-one thousand forty only) one-time, for each displaced family (in line of subsistence allowance as per Schedule II of RFCTLARR Act 2013) 6. If the entitled person (EP) opts for alternative livelihood training for self or for any of his/ her family member will be entitled to have the training from National Skill Development Corporation or Assam Skill Development Mission or Assam State Urban Livelihood Mission or Assam State Rural Livelihood Mission, as the case may be. The cost of training will be capped to Rs. 41,000/- per family and will be paid by the Project Authority.	$\frac{CPI_{Apr2021}-CPI_{Jan2014}}{CPI_{Jan2014}} = 100 \times \frac{159.3-139.7}{139.7}$ = 14.03%, say 14% where, CPI $_{Jan2014} = 139.7$ & CPI $_{Apr2021} = 159.3$ in Assam with Base Year 2012 = 100 15
6.	Any persons, other than the persons mentioned above, losing his/ her Livelihood/ source of Income	Rehabilitation & Resettlement Assistances 16	1. Livelihood restoration of Rs. 41,040/- (Rupees forty-one thousand forty only) one-time, for each displaced family (<i>in line of subsistence allowance as per Schedule II of RFCTLARR Act 2013</i>) 2. If Displaced Person falls under the categories of Artisan, Small Traders, Self-employed persons or any other similar categories, One-time financial assistance of amount, as notified by the appropriate Government but not less than Rs. 28,500/- (Rupees twenty-eight thousand five hundred only). 3. If the entitled person (EP) opts for alternative livelihood training for self or for any of his/ her family member will be entitled to have the training from National Skill Development Corporation or	allowances has been appropriately indexed to the Consumer Price Index (CPI) to ensure real value of assistance from effective date of January 2014. $Inflation\ Rate = 100 \times \frac{CPI_{Apr\ 2021}-CPI_{Jan\ 2014}}{CPI_{Jan\ 2014}} = 100 \times \frac{159.3-139.7}{139.7}$

¹⁵ Ref. Ministry of Statistics and Programme Implementation, Govt. of India Press Releases on Consumer Price Index Numbers on Base 2012 = 100 of March 2014 (http://mospi.nic.in/sites/default/files/press_release/t4_12mar14.pdf) and of May 2021 (http://www.mospi.nic.in/sites/default/files/press_release/CPI%20Press%20Release%20May2021.pdf)

16 Financial assistance and/or allowances has been appropriately indexed to the Consumer Price Index (CPI) to ensure real value of assistance from when it was effected in

January 2014.

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
			Assam Skill Development Mission or Assam State Urban Livelihood	
			Mission or Assam State Rural Livelihood Mission, as the case may	= 100 ¹⁷
			be. The cost of training will be capped to Rs. 41,000/- per family	
			and will be borne by the Project Authority.	
7.	Any families	Loss of Permanent/	Three months' advance notice to affected parties	
	operating their	Temporary Access	2. Provision of proper alternate access or temporary access	
	Agricultural Land,		during the duration of the temporary loss of access, as the case	
	Residence and/ or		may be	
	Commercial		3. Contractor's actions must ensure there is no income/	
	establishments		access loss through provision of access etc.	
	etc. adjacent to		4. Restoration/ enhancement of the affected access, land,	
	project road		structure, utilities and common property resources	
8.		Temporary Loss of	1. Three months' advance notice to affected parties	Any land required by the project on
	or legalizable title,	Land	2. Rent at market price or mutually agreed land rental value	
	tenants/		during the duration of the temporary loss of land	consultation and negotiation with the
	leaseholders		3. Restoration of the affected land to pre-project level or better	landowner.
9.	Business owners,	Temporary Loss of	1. Provision of one-month advance notice to affected parties,	
	Traders, Self-	Livelihood/ source	including the duration and type of disruption	
	employed,	of Income	2. Assistance to mobile vendors/ hawkers to provide	
	tenants/		temporarily shifting locations for their continued economic activity	
	leaseholders,		3. For construction activities involving unavoidable livelihood	
	employees/		disruption, Cash compensation on minimum wage or average	
	workers, kiosks/		earning per month for the loss of income/ livelihood for the duration	
	vendors		of disruption to ensure there is no income or access loss	
			4. Restoration of affected land, structure, utilities	
10.	Loss of CPR	Community Assets	The affected Common Property Resources, other than religious	
			and Community Structures, will be replaced or rehabilitated or	
			reconstructed as required in agreement with the local community in	
			alternate land by the Project through contractor.	agreed upon).
			Or, Cash compensation of the replacement value of the CPR	
			structure (including the religious structures and community	
			structures) for the re-construction or rehabilitation as per agreed	
			mechanism between the Project Authority and the concerned	l •
			community.	reconstruction of CPRs.

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¹⁷ Ref. Ministry of Statistics and Programme Implementation, Govt. of India Press Releases on Consumer Price Index Numbers on Base 2012 = 100 of March 2014. (http://mospi.nic.in/sites/default/files/press_release/t4_12mar14.pdf) and of May 2021. (http://www.mospi.nic.in/sites/default/files/press_release/CPI%20Press%20Release%20May2021.pdf).

SI.	Entitled Person	Type of Loss	Entitlement (Compensation & Assistance)	Remarks and Implementation Issues
11	All vulnerable	Vulnerable Affected	1. One Time lumpsum Assistance of Rs. 57,000 (Rupees	The Executing Agency shall verify the
	displaced	Person	fifty-seven thousand only)	vulnerable families be identified during
	persons		2. Priority in income restoration training programs	the census and implementation of the
			3. Priority in employment under the project during	project.
			construction as qualified	Kiosk shall not be considered under
			4. Assistance to basic utilities and public services.	vulnerable category.
12.	Any person or	Any other	Any unforeseen impacts, if any, will be documented and	
	family or CPRs	unforeseen impacts	incorporated in the Entitlement Matrix and will be mitigated based	
	with unforeseen		on the principles agreed upon this framework.	
	loss			

VII. RELOCATION OF HOUSING AND SETTLEMENTS

A. Physically displaced households

125. Among all the surveyed 372 affected structures, 42 households (37 residence + 5 residence and commercial) will require physical relocation as their residential structure will no longer be viable (Please refer Table 2). This section discusses about the residential impacted households. As per the census survey, all the PAPs have opted for cash compensation. All the displaced families will get three-month time to relocate to a new location on receipt of total compensation. Time extension for relocation can also be granted on a case to case based on the situation. RP will be updated on completion of 'land acquisition award' as per land acquisition procedure.

B. Physical Relocation Allowances Provided by the Project

126. In addition to the replacement cost of structure, any affected non-title holder household who is physically displaced from his/her residence or residence cum commercial structure as a result of the project will receive the following relocation allowances. Landless residential squatters shall be paid the replacement cost of structures. All residential squatters are eligible for the replacement cost of structure and other immovable assets shall be determined in accordance with the typical specifications for immovable assets as defined and approved by Appropriate Authority defined u/s 29 of RFCTLARR Act 2013.

C. Relocation Strategy

127. The Rehabilitation and Resettlement Benefit for titleholder displaced families will be deemed included in the additional 25% allowances on Direct Purchase Price. Rehabilitation and Resettlement Benefits as per schedule 2 of RFCTLARR Act 2013 will be applicable for the PAFs opted for normal land acquisition procedures.

D. Physical Relocation Allowances Provided by the Project

128. As part of the implementation activity, the PIU with the help of the RP implementation agency will consult each and every DPs to obtain their choice based on the options available to them. If any of the displaced family finds difficulty in self resettlement, RIA shall facilitate those PAPs to resettle in coordination with PIU, including provision of individual house sites. This project does not expect development of resettlement sites for residential displaced households.

VIII. INCOME RESTORATION AND REHABILITATION

A. Loss of Livelihood in this project

129. Each displaced person whose income or livelihood is affected by the project will be assisted to improve or at least restore this livelihood to pre-project level. Livelihood restoration allowance for the Displaced Persons have been provisioned in the Entitlement Matrix. The Project Implementation Unit (PIU) with support from RP Implementation Agency, will verify and assess the respective member of the DFs, on training need of him/ her or any of his/ her family members, to develop appropriate income restoration program. If the DP opted for livelihood training for any of his/ her family member, up to Rs. 41,040/- the amount same as livelihood restoration allowance/ subsistence allowance, will be paid to the respective Training Agency, as training fees, provided over and above of all other Resettlement Assistances.

130. The project road will cause the loss of livelihood of 310 households, Details are summarized in below table.

Table 45: Loss of Livelihood

Type of livelihood impact	No. HH
No. HH losing commercial structures or rent from commercial	110
structures	
No. HH losing commercial cum residential structures	5
No. tenants losing rented commercial structures	82
No. employees of affected commercial structures	00
No. of titled holders losing agricultural land	113
Total	310

B. Entitlements for Loss of Livelihood

131. This resettlement plan includes measures to improve or at least restore income and livelihood of affected persons to pre-project levels. The entitlement matrix includes the following measures for the temporary or permanent loss of livelihood.

Table 46: Entitlement for Loss of Livelihood

Entitlement	for Loss of Live	elihood
	Number of	
Category of Impact	APs	Provisions
In case of LA through Direct Purchase: Agricultural landowners whose primary source of livelihood is lost due to the land acquired (Reduction in income)	113	The landowner will also get an additional incentive of 25%, which includes R&R benefit.
In case of LA through RFCTLARR Rules 2015: Agricultural landowners whose primary source of livelihood is lost due to the land acquired (Reduction in income)	113	_
Titleholder: losing commercial structures or rent from commercial structures	67	One-time Subsistence Allowance of Rs. 41,040/-
Squatter: loss of commercial Building	44	One-time Subsistence Allowance of Rs. 41,040/-
Tenants: Loss of business	82	One-time rental allowances @ of Rs. 2600/- in

Entitlement	t for Loss of Live	elihood
	Number of	
Category of Impact	APs	Provisions
		rural areas and Rs. 3,900/- per month in urban areas, for 6 months. • One-time financial transportation assistance of Rs. 57,000/- for shifting of the family, building materials, belongings and cattle, if any. • One-time financial grant of Rs. 28,500/- for loss of trade/self employment • One-time Subsistence Allowance of Rs. 41,040/-

132. Effort will be made by the PIU with the support of the RIA to assist the DP in their effort to restore their income. If the DP so desires, the R&R assistances can be utilised to deliver suitable income restoration activities in order to leverage on the existing skills of the DP.

C. Special Measures to Support Vulnerable Groups

- 133. As per the Census Survey 103 vulnerable households are affected by the Project Road. The following special assistance measures will be provided to physically displaced vulnerable households:
- 134. 103 HH will be eligible to participate to the income improvement program (see description below). All vulnerable HHs based on Entitlement Matrix shall be assessed. All vulnerable HHs will be eligible for getting assistance from various ongoing government schemes. All Vulnerable families (except Kiosk) may receive a one-time vulnerable assistance of Rs. 57,000/-.

D. Income Restoration Measures

- 135. The entitlement proposed under this project has adequate provisions for restoration of livelihood of the affected persons. Wherever feasible and if the DP so desires, income restoration schemes will be identified and implemented by the PIU with the assistance of the RP implementing agency.
- 136. Towards this the DP will be guided and assisted by the PIU with the support of the RIA, in effectively using the compensation and R&R assistances towards establishing an income generating activity and re-establishing the shop/kiosk or utilising the amount for buying land or taking land on lease. The compensation for land and assets and the R&R assistances arrived at in accordance with the provisions of the Entitlement Matrix are adequate to restore the income levels. Further, efforts will be made to provide employment to the DPs during the construction phase by facilitating their engagement by the civil works contractor. The PIU in consultation with the RIA, should ensure that local people and in particular the willing DPs are engaged by the contractor in suitable civil work as stipulated in the contract.
- 137. Further, the PIU with the assistance of the RP implementing agency will make the training need assessment and will impart training to the eligible DPs, in particular to the vulnerable DPs, for income restoration and skill up-gradation as necessary.

IX. RESETTLEMENT BUDGET AND FINANCING PLAN

A. Introduction

- 138. The resettlement cost estimate as per State's policy of Direct Purchase of land for this project include compensation for private land, immovable assets and other assets attached with the land has been evaluated for titleholders according to sections 26 to 30 & Schedule I of RFCTLARR Act 2013 along with Multiplication factor of Assam. The Landowners under the Direct Purchase has also been provided an incentive of 25% over and above the evaluated compensation which includes R&R benefits, on total compensation after Solatium. The compensation for structures at replacement cost without depreciation.
- 139. In case of Acquisition through Assam RFCTLARR Rules 2015, the resettlement cost estimate for the project include compensation for private land determined in accordance with section 26 to 30 & Schedule 1 of RFCTLARR Act 2013 and by adopting the multiplying factor adopted in accordance with the State specific multiplication factor with 100% solatium. Budgetary provisions have been made for the compensation for structure at replacement cost without depreciation, resettlement, and rehabilitation assistances for non-title holders in accordance with the provisions of the EM of ASCCIP (ARNIP) and the cost of RP implementation, including the cost of resolving grievances. The total resettlement cost for the project is **INR 774.61 million**. The major heads of budget items are listed below.

B. Compensation

140. **Private Land:** The compensation for private land has been calculated as an average of replacement cost of land in rural and semi-urban area adjoining the road corridor. The replacement cost of land is collected from Department of Revenue Circle of Karbi Anglong, Hojai & West Karbi Anglong as guideline value. Accordingly, for budgetary purposes, the replacement cost for land has been calculated village wise. The multiplying factor as per Notification No. RLA 300/2013/Pt-II/7 dated 22nd Dec 2014 of Govt. of Assam (Sub-section (2) of Section 26 of RFCTLARR Act 2013) has been taken 2 as an average, based on the radial distance of the land is up to 10 km from the nearest urban area. Land acquisition cost is presented in the following table.

Table 47: Total Land Cost

S. No	Pvt. Acq. Area (ha)	Cost	Factor*2	Solatium (100%)	Total Cost	Cost in Cr.
1	35.14	99905965.89	99905965.89	149858948.8	299717897.7	29.97

141. **Structure:** The compensation for structures have been arrived at based on prevailing (year 2020 -21) PWD Schedule of Rates, Govt of Assam for building works, material and labour. Rate fixed for each category along with the quantity is given in **Table 48.** However, at the time of disbursement of the compensation, the competent authority will value each structure to arrive at the replacement cost as per the current rate. The solatium of 100% on structure rate is adopted for only titleholders.

C. Assistances

142. All other unit rates are as per the minimum provisions contained in RFCTLARR Act and as per the draft approved EM. For budgeting purposes, the titleholders (landowner) will also get an additional incentive of 25%, which includes R&R benefits, on total compensation after Solatium and for non-titled displaced persons all R&R assistance as per RFCTLARR Act will be provided.

D. Compensation for Community Assets and Government Structures

143. The replacement cost for the place of worship and other government and community structures has been budgeted as a lumpsum **Rs. 3.60 crore** to cover the cost of reconstruction. However, the actual cost will be assessed by the Valuation Committee at the time of implementation.

E. RP Implementation Cost

144. The cost of hiring RIA for assisting PIU in RP implementation has been provided with a budget of Rs.50,00,000, for intermittent inputs and the RP implementation is expected to be completed in 24 months including disbursement of compensation and Rehabilitation and resettlement assistance for land acquired under RFCTLARR Act. A budgetary cost for external monitoring and evaluation has also been envisaged, as this Project is a Category-A for IR, a budgetary provision of Rs.10,00,000 has been made available for hiring of a consultant for the same. The budgetary provision for meeting administrative expenses is included as part of the project cost. A lumpsum provision of Rs.5,00,000 towards grievance redressal Mechanism related expenses has been budgeted. Further, a lump sum provision of Rs.50,000 to meet disclosure expenses and a lump sum provision of Rs.5,00,000/- for staff training, in particular the PIU and RIA staff involved in RP implementation, has also been budgeted.

F. Source of Funding and Fund Flow

145. The Government of Assam will provide adequate budget for all land acquisition compensations, R&R assistances, and RP implementation costs from the counterpart funding. The funds as estimated in the budget for a financial year and additional fund required based on revised estimates, shall be available at the disposal of the Chief Engineer (EAP) at the beginning of the financial year and from whom PIU will draw the required funds. The Chief Engineer (EAP) will be the Project Director (PD), Government of Assam's Public Works Roads Department (PWRD), being the EA for this project, will provide necessary funds for compensation for land and structure and the cost of resettlement assistance in a timely manner. The RIA under the PIU will facilitate disbursements, but the responsibility of ensuring full and timely payment to displaced persons will be that of PIU.

G. Resettlement Budget Estimates

146. The budget for this project is based on data and information collected during census and socio-economic surveys conducted during March-June 2020. The unit rates for structure have been worked out from the PWD plinth area rates for 2020-21. The total budget for LA, RR and implementation cost is estimated at INR 774.61 million. A detailed budget estimate for the Project is given along with item wise cost break-up in the following table.

H. Disbursement of Compensation and Assistances

- 147. In order to ensure that: (i) the DP need not make frequent visits to his/her bank for depositing the physical paper instruments; (ii) s/he need not apprehend loss of instrument and fraudulent encashment; and (iii) the delay in realization of proceeds after receipt of paper instrument is obviated, all disbursement of compensation for land and structure and R&R assistances shall be done only through Electronic Clearing Service (ECS) Mechanism and charges for ECS, if any, will be borne by PIU. If the DPs destination branch does not have the facility to receive ECS (Credit), then the disbursement shall be done through respective lead banks' IFSC (Indian Financial System Code). Payment through account payee cheques will be made only if payment trough ECS is not possible, and no cash payment will be made.
- 148. The RIA and PIU, while collecting bank particulars from the APs, will also check with the respective bank branches if the branch has ECS (Credit) Mechanism, and if not, details

accounts are to be opened, preference will be given to bank's having ECS (Credit) facility.

of lead bank offering the facility will be collected to facilitate ECS transfer. Wherever new

Table 48: R & R Cost

		Table 48: F	V & K CUS	<u> </u>				
Item			Baesd on Average circle			Factor (1.5	Solatium	Cost (in
No	Item	Input Unit	arte	Quantity	Amount	Rural)	(100%)	Crore)
1	Compensation for Title Holder (Structures + Land) As p	er direct Purchase	Policy					
1.1	Land Cost	Hectare	Baesd on Average circle arte	35.1367	99905965.89	149858948.8	299717897.7	29.97
1.2	Temporary Structures and Solatium 100% (Titleholder)	Sq.m	6,000	1551.25	9307500		18615000	1.86
1.3	Semi-permanent Structures and Solatium 100% (Titleholder)	Sq.m	12,000	1196.61	14359320		28718640	2.87
1.4	Permanent Structures and Solatium 100% (Titleholder)	Sq.m	17,500	4111.75	71955625		143911250	14.39
1.5	Compound Wall and Solatium 100% (Titleholder)	Running meter	3,000	448.10	1344300		2688600	0.27
Total Cost								
	Additional Ince	ntive Of 25% of To	tal Compe	nsation				12.34
		Grand Total						61.71
Chap Struc	ter-37 Plinth Area Rates, Page No. 529 of Schedule of Rat tures.	es for P.W.D. Build	dings (Civil	Works) for	2020-2021 has	been reffered	to evaluate the	cost of
2	Comensation for Non-Title Holders (Structures)							
2.1	Temporary Structures (Non-Titleholder)	Sq.m	6,000	755.00				0.45
2.2	Semi-permanent Structures (Non-Titleholder)	Sq.m	12,000	1058.65				1.27
2.3	Permanent Structures (Non-Titleholder)	Sq.m	17,500	130.85				0.23
2.4	Compound Wall (Non-Titleholder)	Running meter	3,000	2.75				0.00
		Total						1.95
3	R&R Assistance Non-Title Holders (Encroachers & Squa	atters)						
3.1	One-time financial assistance for transportation cost for shifting of the family, building materials, belongings and cattle, if any for families getting physically displaced (require relocation).	One Time	57,000	49				0.28
3.2	One-time Resettlement Assistance for each displaced family	One Time	57,000	49				0.28

Item			Baesd on Average circle			Factor (1.5 incase of	Solatium	Cost (in
No	Item	Input Unit	arte	Quantity	Amount	Rural)	(100%)	Crore)
3.3	One time Assistance for Vulnerable Displaced Families	One Time	57,000	14				0.08
3.4	One-time Subsistence Allowance for each displaced family, Require to Relocate	One Time	41,040	44				0.18
3.5	One time Assistance for losing Cattle Shed and/ or Petty Shops	One Time	28,500	8				0.02
3.6	Livelihood Training for self or for any of his/ her family member	One Time	41,000	49				0.20
		Total						1.04
4	R&R Assistance Non-Title Holders (Tenants and Leaseh	olders)						
4.1	On Time Rental Allowances @ of Rs 2,600 per month in Rural Areas for Six Months	One Time	15,600	82				0.13
4.2	On Time Rental Allowances @ of Rs 3,900 per month in Urban Areas for Six Months	One Time	57,000	0				0.00
4.3	One-time financial assistance for transportation cost for shifting of the family, building materials, belongings and cattle, if any for families getting physically displaced (require relocation).	One Time	57,000	82				0.47
4.4	One time Assistance for Vulnerable Displaced Families	One Time	57,000	38				0.22
4.5	One time grant for commercial or residential cum commercial structures	One Time	28,500	82				0.23
4.6	One-time Subsistence Allowance to each displaced family for Livlihood restoration	One Time	41,040	82				0.34
4.7	Livelihood Training for self or for any of his/ her family member	One Time	41,000	82				0.34
		Total						1.72
5	Government Properties							
5.1	Bus Stop	Unit	50000	1				0.005
5.2	BW	Sq.m	3000	486.8				0.15
5.3	Other Government Buildings	Sq.m	12000	559.1				0.67
		Total						0.82

Item No	ltem	Input Unit	Baesd on Average circle arte	Quantity	Amount	Factor (1.5 incase of Rural)	Solatium (100%)	Cost (in Crore)
6	Religious Properties							
6.1	BW	Sq.m	3000	243.75				0.07
6.2	Other Religious Properties (Temples, Shrine, Graveyard etc.)	Sq.m	12000	1960.13				2.35
		Total						2.43
7	Community Properties							
7.1	BW	Sq.m	3000	36				0.01
7.2	Other Community Properties	Sq.m	12000	288				0.35
		Total						0.36
8		Administ	rative Expe	nses				
8.1	Training for staff	LS	-	-				0.05
8.2	NGO for RP Implementation	LS	-	-				0.50
8.3	Monitoring and Evaluation consultants	LS	-	-				0.10
8.4	GRC Expenses	LS	-	-				0.05
8.5	Disclosure	LS	-	-				0.05
		Total	•					0.75
	Sub	Total (1+2+3+4+5+	+6+7+8)					70.77
		Contingency @ 1	0%					7.08
		Grand Total (In Cr	ore)					77.46
		Total in Million IN	IR					774.61

Notes:

^{1.} The Structure cost is based on the Chapter-37 Plinth Area Rates, Page No. 529 of Schedule of Rates for P.W.D. Buildings (Civil Works) for 2020-2021 has been referred to evaluate the cost of Structures.

The CPR relocation cost is based on the local market rates, based on discussion with local people during survey.
 The R&R Assistance derived based on the Entitlement Matrix of ASCCIP (ARNIP).

X. GRIEVANCE REDRESS MECHANISM

A. Introduction

149. Grievance Redress Mechanism (GRM) will be established to receive, acknowledge, evaluate, and facilitate the resolution to the complainant with corrective actions proposed using understandable and transparent processes on the social and environmental aspects that are gender responsive, culturally appropriate, and readily accessible to all segments of the affected people. The GRM will aim to provide a time-bound and transparent mechanism to voice and resolve social and environmental concerns linked to the project. Records of grievances received, corrective actions taken, and their outcomes shall be properly maintained. The complainant may take recourse to the Court of law, if dissatisfied with the verdict of the GRM.

B. Grievance Redress Mechanism

- 150. The grievance redress mechanism and procedure are depicted in **Figure 4**. The project specific GRM is not intended to bypass the government's own redress process; rather it is intended to address affected people's concerns and complaints promptly, making it readily accessible to all segments of the affected people, and is scaled to the risks and impacts of the project.
- 151. The PMU and PIUs, supported by RP Implementing Agencies, will make the public aware of the GRM through public awareness campaigns. The grievances can be raised through various methods:
 - i. Modules in e-portal/ website of Asom Mala;
 - ii. Dropping complaints in grievance boxes placed in the offices of a) PMU, b) respective PIUs and c)Implementing Agencies;
 - iii. E-mails to respective email address;
 - iv. SMS or WhatsApp to respective mobile number(s) dedicated for GRM and
 - v. Using the complaint register and complaint forms available at the office of PMU/ PIU/ RP Implementing Agency; and
 - vi. Provision for oral submission (mainly for illiterate people) of grievances will also be implemented in the project. All the project staff will be sensitized about the same.
- 152. All the documents will be made available to the public including information on the contact number, e- mail addresses, addresses of the respective offices of PMU/ PIU/ RP Implementing Agency and contact person for registering grievances, and will be widely disseminated throughout the project area by the safeguard officers in the PMU and PIUs assisted by the RP Implementation Agencies.
- 153. **First Level:** All the documents will be made available to the public including information on the contact number, e- mail addresses, addresses of the respective offices of PMU/ PIU/ RP Implementing Agency and contact person for registering grievances, and will be widely disseminated throughout the project area by the safeguard officers in the PMU and PIUs assisted by the RP Implementation Agencies.
- 154. **Second Level:** The complainant may contact PIU/ RP Implementing Agency to file complaints on non- resolution at the subproject site level. The address and contact number of the PMU office will be provided in the project information leaflet. The PIU, assisted by RP Implementing Agency, is the second level of GRM which offers the fastest and most accessible mechanism for resolution of grievances. The PIU, assisted by RP Implementation Agency, will be designated as the key officers for grievance redress. Resolution of complaints will be done within 15 working days. At this stage, the RP Implementation Agency will inform

the PMU for additional support and guidance in grievance redress matters, if required. Investigation of grievances will involve site visits and consultations with relevant parties (e.g., persons, community, contractors, traffic police etc.). Grievances will be documented and details of the complainant (name, address, date of complaint, etc.) will be included, unless anonymity is requested. A tracking number will be assigned to each grievance. The local GRC will meet as necessary when there are grievances to be addressed. The local GRC will suggest corrective measures at the field level and assign clear responsibilities for implementing its decision within fifteen (15) working days. The contractor will have observer status on GRC.

- 155. **Third Level:** The Resettlement Officer and Environmental Officer of PMU will activate the third level of GRM. The PIU assisted by the RP Implementation Agency will refer the unresolved issues (with written documentation) to the PMU. The complainant can also directly place his/ her grievances to the PMU. The PMU, assisted by PCMC, will enquire about the grievances and the unresolved complaints will be heard in the Grievance Redress Committee (GRC). The displaced person/ complainant representatives can also present his/her concern/issues, if required. The process will facilitate resolution through mediation.
- 156. **Fourth Level:** If a grievance cannot be resolved directly by the Contractors (first level), the PIUs assisted by RP Implementing Agency (second level) or PMU and GRC, assisted by PCMC (third level), the case will be escalated to the Land Acquisition and Resettlement and Rehabilitation Authority of the State (fourth level), which will give a decision within 6 months. At any point in the redressal process the aggrieved person can approach the Land Acquisition and Resettlement and Rehabilitation Authority.
- 157. Alternatively, the displaced person can also seek alternative redress through the appropriate court of law. If unsatisfied with the decision, the existence of the GRC will not impede the complainant's access to the Government's judicial or administrative remedies.

C. Grievance Redress Committee (GRC)

- 158. A Grievance Redress Committee (GRC) will be established at the PWRD state level and at the PIU level to assure accessibility for DPs. The GRCs are expected to resolve grievances of the eligible persons within a stipulated time period of 15 working days at the PIU level and a month at the PMU level.
- 159. The functions of the GRC are as follows: (i) resolve problems quickly and provide support to complainant/ affected persons/ affected communities arising from various issues of water supply, waste disposal, traffic interference and public safety as well as social and resettlement related issues such as land acquisition, temporary or permanent access control; asset acquisition; and eligibility for entitlements, compensation and assistance; (ii) reconfirm grievances of persons/ communities, categorize and prioritize them and aim to provide solutions within stipulated time; and (iii) report to the aggrieved parties about developments regarding their grievances and decisions of the GRC.
- 160. The PIU level (second level) GRC will comprise of the:
 - i. Representative of PIU, above the rank of Sub-Divisional Officer
 - ii. Resettlement Officer, PIU supported by RP Implementation Agency
 - iii. Environmental Officer, PIU supported by RP Implementation Agency
 - iv. A representative from local person of repute and standing in the society or elected representative from Panchayet /Zilla Parishad/District Council.
 - v. Women representative from a relevant agency which could be from the government, or RPImplementation Agency or local community
 - vi. A representative from Vulnerable Affected Persons
 - vii. A representative of the local Deputy Commissioners office (land), if the

- grievance is of land acquisition related issues;
- viii. A representative of local Pollution Control Authority (for environmental issues related grievances); and
- ix. A representative from IP community for IP related issue, if any.
- 161. The PMU level (third level) GRC will comprise of the:
 - i. Chief Engineer (EAP), PWRD, GoA or any authorized person, who should not be below the rank of Executive Engineer.
 - ii. Nodal Officer, Asom Mala Member Secretary
 - iii. Resettlement Officer, PMU assisted by PCMC
 - iv. Environmental Officer, PMU assisted by PCMC
 - v. Representatives from local person of repute and standing in the society or elected representative from Panchayet/ Zilla Parishad/ District Council
 - vi. Representative from the PIU, assisted by RP Implementation Agency
 - vii. A representative from IP community for IP related issue, if any
 - viii. Representative from local forest authority, if grievances of forest aspects;
 - ix. Representative from Pollution Control Board, if grievances of environmental aspects; and
 - x. Representative of the Land Revenue department if grievances of land related issues.

D. Land Acquisition, Rehabilitation and Resettlement Authority

- 162. For speedy disposal of disputes relating to land acquisition, compensation, rehabilitation and resettlement, the Government of Assam has established the Land Acquisition Rehabilitation and Resettlement Authority. The GoA with the consent of the Guwahati High Court has declared courts of the respective District Judges to act as Land Acquisition, Rehabilitation and Resettlement Authority. The authority consists of one person called the Presiding Officer of the Authority who is appointed by the GoA in consultation with the Chief Justice of the Guwahati High Court through notification in official gazette. He shall hold the office for 3 years and shall be supported by Registrar and other officers.
- 163. The Authority shall, for the purposes of its functions under this Act, shall have the same powers as are vested in a civil court under the Code of Civil Procedure, 1908. The Authority shall dispose any matter within six months from the date of its receipt. All proceedings before the Authority shall be judicial proceedings and shall take place in public. No civil court (other than the High Court or the Supreme Court) shall have jurisdiction to entertain any dispute relating to land acquisition in respect of which the Collector or the Authority is empowered by or under this Act, and no injunction shall be granted by any court in respect of any such matter. Applications first go to Collector and within 30 days s/he can refer (if required) to the Authority. Such application can also be made within six weeks by the applicant (if s/he or his/ her representative is personally present) after the date of award, and in other cases within six months. Collector may also accept any application within one year if s/he feels appropriate. Interests are payable for delayed and excess payments.

E. Grievance Redress Process

164. The Grievance Redress Process is presented in **Figure** 4.

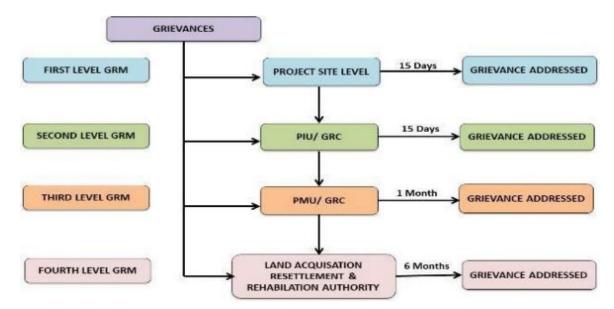


Figure 3: Social and Environmental Grievance Redress Process

- 165. The grievances will be documented and personal details (name, address, date of complaint, etc.) will be included unless anonymity is requested. A tracking number will be assigned to each grievance, including the following elements:
 - i. initial grievance sheet with an acknowledgement of receipt to the complainant;
 - ii. grievance monitoring sheet with actions taken (investigation, corrective measures); and
 - iii. closure sheet, one copy of which will be handed over to the complainant after he/she has agreed to the resolution and signed off.
- 166. The updated register of grievances and complaints will be available to the public at the PIU/ PMU offices. Should the grievance remain unresolved, the person can seek alternative redress through the appropriate court of law which will be the last level recourse.
- 167. The local communities in project areas shall be informed on grievance redress procedure and contact persons for lodging complaint/s. All the parties involved in project implementation i.e. contractor, CSC/AE, and PIU shall maintain complaint registers at their respective offices.

F. Costs

168. All costs involved in resolving the complaints (meetings, consultations, communication, and information dissemination) will be borne by the PMU.

G. Recourse to ADB's Accountability Mechanism

169. If the complainant does not accept the project-level Committee decision, he/she can submit directly (in writing) to the ADB's Resident Mission or South Asian Transport and Communication Division. If such efforts to resolve the issue fail, the affected person may submit their complaint in writing directly to the ADB Accountability Mechanism: http://www.adb.org/AccountabilityMechanism/default.asp.

XI. INSTITUTIONAL AND IMPLEMENTATION ARRANGEMENTS

A. Institutional Arrangements

170. The Government of Assam's Public Works Roads Department (PWRD) will be the executing agency for the Hamren-Howaraghat Tiniali Road. The Chief Engineer (EAP) will be the Project Director (PD) of Project Management Unit (PMU). PD PMU will be assisted by an Executive Engineer as Nodal Officer of Asom mala program. **Figure 5** shows the implementation arrangement for Hamren-Howraghat Tiniali Road.

Commissioner & Special Secretary PWRD, GoA External Monitor Chief Engineer (EAP) PCMC CSC/ Authority Engineer Accounts Branch Nodal Officer, Asom Mala PMC **Executive Engineer** & Executive Engineer **Deputy Accounts Officer** Assistant Executive Engineer Assistant Engineer/ JE AE (Social/LA) AE (Environment) Senior Assistant Civil Works Contractor AE (Utility Shifting & IT) AE (Technical Staff) RP Implementation Agency

Figure 4: Implementation Arrangement for Environmental and Social Safeguards

B. Program Management Unit (PMU)

- 171. The PMU will be responsible for overall execution and technical supervision, monitoring, and financial control of the project. The PMU will be assisted by Program Coordination and Management Consultant (PCMC).
- 172. The PMU will be responsible for the following:
 - appointing Independent External Monitors, RP Implementing Agencies, Authority Engineers, Contract Supervision Consultants, Civil work contractors, other Implementing Agencies for PIU level/ Contract level/ Sub-project level/ PMU level, as and when where required;
 - ii. liaising with district administration for direct purchase or land acquisition
 - iii. preparation of Resettlement Framework, Indigenous Peoples Framework, if any, Environmental Framework for ASCCIP (ARNIP) of Asom Mala program
 - iv. review and approving of Resettlement Plan (RP), Environmental Management Plan (EMP) and all other social and environmental safeguards documents and reports:
 - v. ensuring timely disbursement of compensation and assistance to the displaced persons in close coordination with the concerned line departments;
 - vi. monitoring of implementation and monitoring of RP and EMP;
 - vii. Proactive and timely measures to address all social and environment safeguards including measures and clearances;
 - viii. monitoring, addressing and resolving grievances;

- ix. ensuring availability of budget for implementation activities; and
- x. ensuring disclosure of relevant frameworks, implementation and management plans and monitoring documents.
- 173. The PMU will seek government clearances for submission and disclosure of the social and resettlement monitoring report to ADB. It will also coordinate with national and state agencies to resolve inter- departmental issues, if any.

C. Project Implementation Unit (PIU)

- 174. PWRD had already established separate state road divisions in districts/ divisions. These will be responsible to implement all the project related activities in the West Karbi Anglong, Hojai and Karbi Anglong districts including the road strengthening and widening works, implementation of road rehabilitation works, land acquisition and forest clearances, preparation and implementation of performance-based or other maintenance contracts, implementation of activities under the road safety component, and coordination with local administration and local communities to seek their support.
- 175. The PWRD's Superintending Engineers (SEs) in the field will closely monitor and guide the field divisions in implementing all the project related activities in their respective jurisdiction. The SEs will undertake quarterly management meetings with the contractors; coordinate with district administration, forest department, water supply, electricity, and revenue departments to resolve any land acquisition, site readiness, material availability, and law and order or social issue.
- 176. The PIUs will be assisted by RP Implementation Agency (RIA) to implement safeguard activities. The PIU will undertake internal monitoring and supervision and record observations throughout the project period to ensure that the safeguards and mitigation measures are provided as intended.

D. Land Acquisition, Rehabilitation and Resettlement

- 177. The District Level Direct Purchase Committee (DLLPC) of Hojai district, with the Deputy Commissioner (DC), Hojai as Convener and Additional Deputy Commissioner (ADC), Hojai as Member-Secretray will be responsible for land acquisition through Direct Purchase. The DC office will be supported by the respective Revenue Circle Office in carrying out land acquisition and payment of Direct Purchase Price of the project affected families.
- 178. The Project Director, ASCCIP (ARNIP) will be overall in charge of land acquisition and R&R implementation and will coordinate with the PWRD division office (PIU) Diphu and office of the Deputy Commissioner (DC), Diphu in RP implementation and LA. The RP Implementation Agency (RIA) will assist the PIU on the R&R implementation of the affected families. The PIU in assistance with RIA, if any, will be entrusted with responsibilities of the implementation of the RP involving: (i) acquisition of land and assets through DLLPC; (ii) payment of compensation for land and assets through DLLPC; (iii) disbursement of resettlement assistances to the non-titleholder affected families; (iv) development of resettlement sites, if necessary.

E. RP Implementation Agency (RIA)

179. The RIA will play a key role in the implementation of the RP. An RP Implementation Agencies will be hired to assist PIU to, (i) implement the Subproject Resettlement Plans (ii) conduct consultations and create public participation in the project and conduct verification surveys and (iii) update respective Subproject Resettlement Plan and Indigenous Peoples Plan, if required, in line with the Resettlement Planning Framework and Indigenous Peoples

Planning Framework, respectively.

- Key activities of the RIA in relation to resettlement planning and implementation include: (i) assist PIU in verification and updating, if required, the detailed census and socioeconomic survey of displaced persons carried out during DPR preparation based on detailed design, and verify the identity of below poverty line, female-headed, and other vulnerable households affected by land acquisition and involuntary resettlement and issue ID cards; (ii) prepare micro plan and get vetted by PIU; (iii) facilitate the process of disbursement of compensation to the DPs – coordinating with the PIU and informing the displaced persons of the compensation disbursement process and timeline; (iv) assist DPs in opening bank accounts explaining the implications, the rules and the obligations in having a bank account, process of disbursement adopted by APWD and how s/he can access the resources s/he is entitled to; (v) assist the DPs in ensuring a smooth transition (during the part or full relocation of the affected persons), helping them to take salvaged materials and shift; (vi) in consultation with the DPs, inform the PIU about the shifting dates agreed with DPs in writing and the arrangements they desire with respect to their entitlements; (vii) organize training programs to the vulnerable for income restoration; (viii) conduct meaningful consultations throughout the RP implementation and ensure disclosure of resettlement plans in an accessible manner to the displaced persons; (ix) assist DPs in grievance redressal process; (x) assist PIUs in keeping detailed records of progress and establish monitoring and reporting system of RP implementation; and (xi) act as the information resource centre for community interaction with the project and maintain liaison between community, contractors and project management and implementing units during the execution of the works. The resettlement plan Implementation consultant will facilitate in integrating such households with the other relevant housing schemes of various government agencies.
- 181. The PMU shall ensure that adequate resources are allocated to the RIA and a detailed work plan will need to be submitted by the RIA in the Inception Report. Terms of reference for the recruitment of RIA for RP implementation support is given in *Appendix-7*.

(i) Micro plan

- 182. The LA&RR implementation support agency will prepare the draft micro plan, village wise for the project road detailing the type of loss, tenure of the AP, vulnerability status and the entitlements as per the provisions of the Entitlement Matrix (EM) and beneficiary bank details. The draft micro plan will be disclosed in the jurisdictional village panchayat where the APs are living/having business, and 7-days after the disclosure, the R&R award enquiry will be held by the Deputy Commissioner.
- 183. Based on the R&R award enquiry outcome, the RIA will submit the final micro plan to RO, PIU for verification and onward transmission to Project Director, PIU through the SpI DRO, PIU. The Project Director, PIU, after scrutiny of the micro plan will accord approval for the disbursement of the R&R entitlements.

(ii) R&R Award Process

- 184. The PIU, in assistance with the District Administration, will hold R&R award enquiry for the non-Titleholder affected families in the project area and will send prior intimation to all concerned APs either through the jurisdictional revenue officer or through the RIA.
- 185. During the R&R award enquiry, each AP will be informed about the type of loss and tenure as recorded during census and socio-economic survey and verified subsequently, and the entitlements due to the AP as per the provisions contained in the EM. All the APs will be given an opportunity to be heard and concerns if any, will be addressed. The R&R proceedings will be recorded and copy of the R&R award will be issued to the AP then and

there.

F. Program Coordination and Management Consultant (PCMC)

- 186. A Program Coordination and Management Consultant (PCMC) has been mobilized to provide high quality technical advice and implementation support to PWRD for all the project components under Asom Mala program. The PCMC will support the Program Management Unit (PMU) for all aspects of this project road.
- 187. A Social Specialist will also be appointed as part of the PCMC team to (i) prepare RPF and IPPF for ASCCIP (ARNIP); (ii) provide advice on policy reforms, if required; (iii) review the Social Assessment and Resettlement Plans prepared by the DPR Consultants in the planning phase; (iv) assist in the monitoring and supervision of ongoing subprojects and implementation of Resettlement Plan and IPP, if required; (v) monitor the implementation of R&R scheme carried out by the PIU level RP Implementation Agencies; and (vi) ensure all subprojects meet safeguard requirements as agreed in the loan covenant and in line with this Resettlement Planning Framework. In addition, (s)he shall play a central role in ensuring capacity building on resettlement management of the PMU, RP Implementation Agencies and line departments through capacity development support and training.

G. Construction Supervision Consultant (CSC)/ Authority Engineer (AE)

- 188. The Authority Engineer is the supervising authority for contractors that follow the EPC modality. They are also responsible for reviewing and approving the detailed engineering design prepared by the EPC contractor. The Construction Supervision Consultant is the supervising authority for contractors following item rate contract. Other than the difference mentioned above, the following are the responsibilities of the AE and CSC:
 - i. Review the Social and Environmental safeguard documents and reports to understand the background of social and environmental issues of the respective project corridor.
 - ii. Review required sub-plans such as traffic management plan, health and safety plan, waste management plan etc. prepared by the contractor
 - iii. Provide on-site training and technical guidance to the contractor workers as necessary
 - iv. Review the monthly reports prepared and submitted by the contractor
 - v. Where necessary identify the need for corrective actions and issue official notices to the contractor to implement the corrective actions with clear timeline
 - vi. If there are any complaints or grievances, facilitate consultations with the respective complainant and ensure the grievances are addressed in accordance with the GRM system
 - vii. Regularly convene meetings to discuss progress or issues on social and environment safeguards to ensure that all parties (contractor, PIU, PCMC) are on the same page on requirements and milestones for safeguards.

H. External Monitoring

- 189. A third-party monitoring agency will be recruited to monitor and evaluate the implementation of all the resettlement plans of the proposed Project. The objectives of the external monitoring are to:
 - i. Assess the implementation progress of RP as per the RPF provisions and processes and its compliance with ADB's Safeguard Policy Statement (SPS) 2009;
 - ii. Monitor the schedules and the implementation targets;
 - iii. Evaluate whether the objectives and outcomes of RP are met the project roads. The External Monitoring Team will have at least 1 team leader, 3 key experts

and several field staff.

I. Establishment of a Management Information System (MIS)

190. A well-designed MIS will be created and will be maintained at PMU and PIU level. The MIS will be supported with approved software and will be used for maintaining the APs baseline socio-economic characteristics, developing pre-defined reports, algorithms and calculations based on the available data and updating tables/fields for finding compensation and assistances, tracking the land acquisition and resettlement progress. The individual entitlements, compensation calculations, structure valuation, etc. will be updated using MIS software. In addition, land acquisition notices, identity cards will also be generated thorough MIS. All quires will be generated and the baseline data will also be maintained and updated as needed. The data and information required for periodical progress reports will be generated using MIS database. The required computer terminals and software will be established at PIU level in order to feed the data to be maintained in the web with backup at PMU.

J. Capacity Building of PMU/PIU

191. The staff of PIU, RIA and the staff of PMU, who are involved in LA and R&R will require to be familiar with land acquisition procedures and ADB Social Safeguards policy requirements. In order to build the capacity of the PMU and the PIU, an orientation and training in resettlement management at the beginning of the project will be undertaken. The training activities will focus on issues concerning (i) principles and procedures of land acquisition, (ii) public consultation and participation, (iii) entitlements and compensation disbursement mechanisms, (iv) Grievance redressal, and (v) monitoring of resettlement operation.

XII. IMPLEMENTATION SCHEDULE

A. Introduction

- 192. Resettlement activities will be coordinated with the timing of civil works. The required coordination has contractual implications, and will be considered in procurement and bidding schedules, award of contracts, and release of cleared sections to project contractors. The project will provide adequate notification, counselling, and assistance to affected people through the RP implementing agencies so that they are able to move or give up their assets without undue hardship before commencement of civil works and after receiving the compensation.
- 193. The RP implementing agencies (RIA) staff will provide project LA and R&R related information to the PAPs, provide details of entitlements and procedures for getting compensations and availing R&R assistance. The agencies will also provide assistance in updating the RP particularly with PAP and their asset details, facilitating issue of ID cards, opening of bank accounts, facilitate implementation of livelihood and income restoration program, coordinate with other various agencies, conduct awareness program for HIV/AIDS, health and hygiene, road safety, gender and human trafficking. All other activities related to implementation and land acquisition will be undertaken simultaneously.

B. Schedule for Project Implementation

- 194. The proposed RP implementation activities are divided into three broad phases viz. project preparation phase, RP implementation phase, and monitoring and reporting phase, and the activities envisaged in each phase is discussed below.
- 195. **Project Preparation Phase:** The activities to be performed in this phase include: (i) the formation and staffing of the PIUs; (ii) submission of the RP to ADB for approval; (iii) the appointment of the RP implementation agency; and (iv) the establishment of GRCs. The information dissemination and stakeholder consultations will commence in this stage and continue until the end of the project.
- 196. **RP Implementation Phase:** In this phase, the key activities to be carried out include: (i) joint verification; (ii) valuation of structures; (iii) LA notification; (iv) preparation of micro plan; (v) LA and R&R award enquiry; (vi) approval of final micro plan; (vii) identification and development of resettlement site; (viii) payment of compensation for land and structure; (ix) payment of other rehabilitation assistances; (x) relocation of DPs to resettlement site; and (xi) issuing site clearance certificate to enable commencement of civil works.
- 197. **Monitoring and Reporting Phase:** Internal monitoring will commence as soon as RP implementation begins and continue till end of RP implementation. External monitoring will also commence from the beginning of RP implementation.

C. RP Implementation Schedule

- 198. An implementation schedule for land acquisition, payment of compensation and resettlement and Rehabilitation activities in the project including various sub tasks and timeline matching with civil work schedule is provided in the work plan. The following are the key implementation activities that are presented in the work plan.
 - i. Updating of RP based on design changes if any
 - ii. Approval of RP and Disclosure
 - iii. Appointment of RP implementation agency and External Monitoring consultants

- iv. Constitution and notification of GRCs
- v. LA Notification
- vi. Verification of APs and Notification of AP list
- vii. Obtaining options for resettlement and choice of resettlement site location
- viii. MIS in operational for tracking LA and R&R Implementation progress
- ix. Structure Valuation
- x. Disclosure of Micro plan (list of eligible APs and their entitlements)
- xi. Issue of Identity cards
- xii. R&R Award including assistance for non-title holders
- xiii. Relocation of CPRs
- xiv. Payment of R&R assistance
- xv. Allotment of house sites or development of Resettlement sites
- xvi. Shifting of DPs of alternative resettlement sitesxvii. LA Award and disbursement of compensationxviii. R&R Award including assistance for title holders
- xix. Certification of payment of LA and R&R assistance for first milestone
- xx. Certification of payment of LA and R&R assistance for second milestone
- xxi. Impact Evaluation
- 199. **Coordination with Civil Works:** The land acquisition and resettlement implementation will be co-coordinated with the timing of procurement and commencement of civil works. The required co-ordination has contractual implications, and will be linked to procurement and bidding schedules, award of contracts, and release of encumbrance free land to the contractors. The project will provide adequate notification, counselling and assistance to APs so that they are able to move or give up their assets without undue hardship before commencement of civil works and after receiving the compensation and R&R assistances.
- 200. The relocation of common property resources will be linked to handing over of encumbrance free land to the contractors. The handing over of land to the contractor will be organised in two sections. Sections having no IR impact and non-significant impacts will be in the first milestone and will be handing over after signing of the contract and by the financial closure date, and the rest within one year/one and half years of contract signing as spelled out in the respective civil work contracts.
- 201. An implementation schedule for resettlement and rehabilitation activities for the project including various sub-tasks and timeline matching with civil work schedule is prepared and presented in the form of **Table 49**. However, the sequence may change, or delays may occur due to circumstances beyond the control of the Project and accordingly the time can be adjusted for the implementation of the plan.

Table 49: Indicative Implementation Schedule

SI.		Year 1		Year 2				Year 3					
No.	Activity	1	2	3	4	1	2	3	4	1	2	3	4
Proje	ct Preparation												
1	Identifications of project roads												
2	Conduct socio-economic survey, census												
	Prepare social safeguard planning documents (RPF,due diligence reports, RPs)												
	Recruitment and confirmation of PWRD HQEnvironment and Social Unit staff												
5	Confirmation of PIU-level social safeguard												

SI.		Y	ear	1		Y	ear	2		Ye	ear	3	
No.	Activity	1	2	3	4	1	2	3	4	1	2	3	4
	focal points												
	ADB and Government approval of Social Safeguard Planning Document for sample roads												
7	ADB and Government approval of Social Safeguard Planning Document for nonsample roads												
8	Procurement of civil works												
9	Procurement of RAP Implementation Agency (ifrequired)												
Land	Acquisition for Non-Sample Projects (if req	uire	ed)										
10	Land Acquisition (for non-sample roads, if required)												
11	Payment of Compensation (if required)												
12	Relocate houses, shops, businesses												
13	Clear the ROW												
Reha	bilitation of DPs												
14	Income Restoration (if required)												
15	Restoration of Community Resources												
Cons	truction												
16	Issue notice for commencement of civil works												
17	Civil works												
Ongo	oing Activities												
18	Management Information System												
19	Grievance Redressing												
20	Consultations with DPs												
21	Internal Monitoring												
22	External Monitoring – if significant impact is present												

XIII. MONITORING AND EVALUATION

A. Introduction

202. The objective of monitoring is to provide the PMU and PIU with an effective tool for assessing Rehabilitation progress, identifying potential difficulties and problems areas and provide an early warning system for areas that need correction. Continuous supervision and periodic monitoring are an integral part of successful implementation. Monitoring is a warning system for project managers and a channel for the APs to express their needs and reactions to the programme.

B. Internal Monitoring

203. Internal monitoring will be undertaken by the PMU with assistance from PCMC. Internal monitoring will ensure land acquisition and resettlement activities implemented as per the approved Resettlement Plans in accordance with the Resettlement Planning Framework. The PIU, assisted by RIA, will prepare quarterly progress reports and submit to the PMU. The PMU will prepare semi-annual monitoring reports and submit to ADB. These reports will describe the progress of the implementation of resettlement activities and any compliance issues and corrective actions. These reports will closely follow the involuntary resettlement monitoring indicators agreed in the approved Resettlement Plan.

204. Internal monitoring will include:

- Administrative monitoring: daily planning, implementation, feedback and troubleshooting, individual DP database maintenance, and progress reports.
- ii. **Socio-economic monitoring**: case studies, using baseline information for comparing DP socio- economic conditions, evacuation, demolition, salvage materials, morbidity and mortality, community relationships, dates for consultations, and number of appeals placed; and
- iii. **Impact evaluation monitoring**: Income standards restoration and socioeconomic conditions of affected persons. Monitoring and evaluation documenting progress on RP implementation and completion reports will be provided to PMU for review and approval from ADB.

C. External Monitoring

205. An external monitoring agency will be recruited by the EA under ADB financing. The team will be composed of a team leader experienced in resettlement and land acquisition, and three key experts, in additional to support and field staff. The external monitoring will include but not limited to: (i) assess the RPs' implementation and compliance with ADB's Safeguard Policy Statement (2009); (ii) review and verify the monitoring reports prepared by PMU/PIU; (iii) monitor the work carried out by RIA and provide training and guidance on implementation; (vi) review the grievance redressal Mechanism and report on its working; (v) mid-term impact assessment through sample surveys amongst displaced persons for midterm corrective action; (vi) consultation with APs, officials, community leaders for preparing review report; (vii) assess the resettlement efficiency, effectiveness and efficiency of PMU, impact and sustainability, and drawing lessons for future resettlement policy formulation and planning. Some of the important tasks of external monitoring is the feedback of the APs who receives compensation and assistance and also alerts on the risks, non-compliances and early warnings in the implementing.

D. Indicative Indicators

206. Indicators will be developed for internal monitoring and external monitoring purposes and integrated in the MIS. The **Table 50** below shows indicative indicators for monitoring.

Table 50: Indicative Indicators for Monitoring

	J: Indicative Indicators for Monitoring Manitoring Indicators
Monitoring Issues	Monitoring Indicators
Budget and time frame	Have all resettlement staff been appointed and mobilized for field and office work on schedule?
	Have capacity building and training activities been completed on schedule?
	Are resettlement implementation activities being achieved according to agreed implementation plan?
	Are funds for resettlement being allocated to resettlement agencies on time? Have resettlement office received the scheduled funds?
	Have funds been disbursed according to RF?
	Has the land been made encumbrance-free and handed over to the contract or in time for project implementation?
Delivery of entitlements	Have all affected persons received entitlements according to numbers and categories of loss set out in the entitlement matrix?
	How many affected households have relocated and built their new structures at new locations?
	Are income and livelihood restoration activities being implemented as planned? have affected businesses received entitlements?
	Have the community structures (e.g. mosque, club, etc.) been compensated and rebuilt at new sites?
	Have all processes been documented?
	Are there discrepancies between the estimated number of affected persons as per the RP/RP addendum and actual numbers
	Share of CCL disbursement vs total TH affected
	Share of TH who have received "top ups"
	Share of TH who have received entitlement benefits
	Share of NTH who have been compensated market value for loss of structure, tree or crops
	Share of NTH who have received entitlement benefits
Relocation assistance	Has LARRIC prepared a list of alternative places to rent/buy?
	Have affected households benefitted from support of LARRIC to find alternative place to rent/buy? If so, how many and what services were provided?
	provided:

Monitoring Issues	Monitoring Indicators
Consultation, grievances, and special issues	Have resettlement information brochures/leaf lets been prepared and distributed? have consultations taken place as scheduled, including meetings, groups, and community activities? Have any affected persons used the grievance reduced? What grievance were reised?
	redress procedures? What grievances were raised? What were the outcomes?
	Have conflicts been resolved?
	Have grievances and resolutions been documented? have any cases been taken to court?
Resettlement Benefit/Impacts	What changes have occurred in patterns of occupation compared to the pre-project situation?
	What changes have occurred in income, expenditure and livelihood patterns compared to pre- project situation?
	How many physically displaced households have relocated? Where are they relocated (i.e. remaining plot of land, newly purchased plot, rented plot/structure)
	 How many households have purchased plot?
	What was compensations/resettlement benefits spent on?
	Have the participants of the livelihood training program used their new skills? What was the seed grant spent on?

E. Reporting Requirements

207. The following reports will be prepared and submitted to the PMU, EA or project team at the Asian Development Bank.

Table 51: Reporting Requirements

				Submitted
Type of Report	Content	Frequency	Responsibility	to
RP update	Provide the updated list of affected persons after the joint verification survey	Till the end of Project	RIA / PIU/Monitoring Consultant	PMU/EA/ADB
Addendum(s)	Detail the land acquisition and resettlement impacts, socioeconomic survey of affected persons, mitigation and Rehabilitation measures, budgetary	To be prepared when either condition below is met: (i) for sections of project where design is not final (ii) for unforeseen activities with resettlement impacts	_	PMU/EA/ADB

Type of Depart	Content	Fraguenay	Deeneneihility	Submitted
Type of Report	Content requirements and timeline for implementation	Frequency	Responsibility	to
Monthly/Quarterly progress report	acquisition and resettlement activities, progress on indicators, results, issues affecting performance, constraints, variation from RP (if any) and reason for the same and corrections recommended	Monthly/Quarterly	RIA/ Monitoring Consultant	PMU
Semi-annual resettlement monitoring report	Progress on land acquisition and resettlement activities, indicators, variations if any with explanation and outcome, recommended corrective actions	Semi-annually	PMU	ADB
External monitoring report	Progress on land acquisition and resettlement activities, indicators, variations if any with explanation and outcome, affected person's satisfaction with process, compliance with ADB's SPS, corrective actions recommended	Semi-annually	External Monitor	PMU/EA/ADB
Resettlement completion report	Overall narrative of the land acquisition and resettlement process, outputs and outcomes of indicators from baseline, key	Once	PMU	ADB

				Submitted
Type of Report	Content	Frequency	Responsibility	to
	variations/			
	changes, lessons learned			
Resettlement evaluation report	Overall assessment of the land acquisition and resettlement process, compliance with ADB's SPS, indicators achievement when compared to baseline, lessons learned	Once	External Monitor	PMU/EA/ADB