

# Procurement Risk Assessment

---

June 2018

## TAJ: National Disaster Risk Management Project

In preparing any country program or strategy, financing any project, or by making any designation of or reference to a particular territory or geographic area in this document, the Asian Development Bank does not intend to make any judgments as to the legal or other status of any territory or area.

I.	<b>THE PROJECT .....</b>	<b>4</b>
II.	<b>INTRODUCTION.....</b>	<b>5</b>
III.	<b>OVERVIEW OF PUBLIC PROCUREMENT IN TAJIKISTAN .....</b>	<b>5</b>
IV.	<b>PROCUREMENT OF GOODS AND CONSULTING SERVICES .....</b>	<b>6</b>
V.	<b>PROJECT PROCUREMENT RISK ASSESSMENT .....</b>	<b>9</b>
A.	Overview.....	9
B.	Strengths.....	11
C.	Weaknesses.....	12
D.	Procurement Risk Assessment and Management Plan (P-RAMP) for Committee of Emergency Situations and Civil Défense. ....	12
E.	CONCLUSION .....	14
VI.	<b>OVERALL PROCUREMENT RISK RATING OF CESC D .....</b>	<b>14</b>

**APPENDICES:**

1. **Appendix 1:** Project Procurement Risk Assessment Questionnaire
2. **Appendix 2:** Project Procurement Risk Analysis
3. **Appendix 3:** Procurement Plan

## **ABBREVIATIONS**

ADB	–	Asian Development Bank
CAB	–	Central Authorized Body
EA	–	Executing Agency
FED	-	Financial and Economic Department
GOT	–	Government of Tajikistan
IA	–	Implementing Agency
IFI	–	International Financing Agency
JICA	-	Japan International Cooperation Agency
OCB	-	Open Competitive Bidding
PRA	-	Project Procurement Risk Assessment
PIG	-	Project Implementation Group
PPL	-	Public Procurement Law
PFD	-	Planning and Financing Division
SIPMC	-	State Investment and Property Management Committee
UNCITRAL	-	United Nations Commission on International Trade Law
WB	-	World Bank

## I. THE PROJECT

1. The proposed project will support the Government of the Republic of Tajikistan in managing the expected increased severity and frequency of disasters triggered by natural hazards in the country and reducing socio-economic vulnerability of Tajikistan to natural hazards. In particular, the project will (i) support efforts of the Government in mainstreaming disaster risk management (DRM) in development planning, and (ii) lay a foundation for establishing a sustainable institutional and financial mechanism that enables Tajikistan to effectively deal with disasters in the long-term.

2. The impact of the project is Tajikistan's resilience to disasters enhanced, aligned with (i) National Development Strategy 2016–2030 and Midterm Development Program 2016–2020<sup>1</sup>; (ii) ADB's Country Partnership Strategy for Tajikistan 2016–2020<sup>2</sup>; and (iii) National Disaster Management Strategy <sup>3</sup>The outcome of the project will be reduced economic losses of Tajikistan due to natural hazards.

3. The project will have 3 outputs:

- (i) Output 1: Disaster risk management mainstreamed in government institutions;
- (ii) Output 2: Capacity to manage natural hazards and minimize losses strengthened;
- (iii) Output 3: Roadmap for investments and sustainable financing developed.

4. A grant not exceeding \$10.0 million from ADB's Special Funds resources (Asian Development Fund) under the disaster risk reduction financing to help finance the project <sup>4</sup>.The government will finance taxes and duties estimated at \$1.0 million. Climate adaptation is estimated to cost \$3.0 million. ADB will finance 100% of adaptation costs.

5. The project implementation period will be January 2019 – December 2023. The Committee of Emergency Situations and Civil Defense (CESCD) will be the executing agency (EA). A project implementation group (PIG) will be established in the EA for implementing the project, which shall be supported by project implementation consultants. The EA will be responsible for (i) overseeing the PIG for the day-to-day implementation of the project, and (ii) being the party to sign all contracts with consultants and contractors employed by the project. The PIG will have full time dedicated staff from the EA, headed by a Project Director. The PIG tasks will include, among others, the procurement, accounting, monitoring, and supervision of the project, as well as the necessary liaison with the EA, contractors, suppliers, and ADB. The EA/PIG will also be responsible for compliance with grant covenants. Other relevant agencies will designate focal persons for the project who shall be responsible for coordination of activities related to their respective agencies with the EA/PIG during implementation.

---

<sup>1</sup> Ministry of Economic Development and Trade. 2016. *National Development Strategy and Midterm Development Plan*. Dushanbe.

<sup>2</sup> ADB. 2016. *Country Partnership Strategy: Tajikistan, 2016–2020*. Manila Source: <https://www.adb.org/sites/default/files/institutional-document/190300/cps-taj-2016-2020.pdf>

<sup>3</sup> Government of Tajikistan. 2010. *National Disaster Management Strategy. 2010-2015*. Dushanbe; and Government of Tajikistan. 2018. *Draft National Disaster Management Strategy, 2018-2030*. Dushanbe.

<sup>4</sup> ADB.2016. *Concessional Assistance Policy 2016*. Manila.

## II. INTRODUCTION

6. The project procurement risk assessment (PPRA) was prepared in accordance with the ADB's *Guide on Assessing Procurement Risks and Determining Project Procurement Classification*.<sup>5</sup> Tajikistan - Country Procurement Status Review was conducted by World Bank (WB) in collaboration of ADB and other international financial institutions (IFIs).<sup>6</sup>

7. The PPRA was undertaken during May 2018. Preparation activities included reviewing documents, ADB's ongoing procurement experience, and interviews with counterpart staff and discussions with stakeholders. The PPRA includes assessment of the proposed EA of the project.

## III. OVERVIEW OF PUBLIC PROCUREMENT IN TAJIKISTAN

8. Tajikistan's public procurement regulatory framework is generally recognized as compliant as to International Good practice as defined by the 2011 United Nations Commission on International Trade Law (UNCITRAL)-Model Law on Public procurement. Public Procurement in Tajikistan is regulated by the Public Procurement Law (PPL) enacted in 2006, with a series of amendments thereafter (latest amendment in 2012). The Government of Tajikistan (the Government) also introduced a set of "Rules on bid opening applicable to procurement of goods, works, and services within the context of state investment projects" (Rules) in January 2011, which were amended in 2013. In Tajikistan, all procurements are routed through the State Committee for Investment and State Property Management (SCISPM), established under a Presidential Decree. According to the Rules, SCISPM is the designated "Central Authorized Body" (CAB) to centralize the bid opening process under state investment projects financed by various donors and IFIs, including WB and ADB. However, the process and procedures followed by ADB supersedes the State Procurement Rules.

9. The Rules require that all bids and bid evaluation reports for procurement under such projects be cleared by the CAB before these reports are submitted to the financing institution concerned.

10. PPL is generally referred to for all procurements. However in its application there are a number of shortcomings which include: (i) complex and unclear legal framework; (ii) unclear and sometimes conflicting roles of the regulatory body; (iii) insufficient institutional and legislative safeguards for the integrity of review procedures; (iv) lack of sufficient detail in procedural rules, and absence of standard bidding documents, guidelines on procurement procedures and clear definition of qualification requirements and evaluation criteria; and (v) besides conflict of interest, no clear statement relating to corruption, fraud, or coercive and collusive practice is included in the PPL.

11. Overall procurement capacity of the CESC, with governance and integrity risks identified as "**Moderate**". The fiduciary risks for ADB require close oversight of procurement transactions and contract execution.

---

<sup>5</sup> ADB. 2015. *Guide on Assessing Procurement Risks and Determining Project Procurement Classification*. Manila

<sup>6</sup> ADB. 2015. Tajikistan Pilot Country and Sector Agency Procurement Risk Assessment

#### IV. PROCUREMENT OF GOODS AND CONSULTING SERVICES

12. All procurement of goods will be undertaken in accordance with ADB's *Procurement Policy and Procurement Regulations for ADB Borrowers: Goods, Works, Nonconsulting and Consulting Services* (2017, amended from time to time). The procurement plan shall indicate review procedures, methods, packages and thresholds. Open competitive bidding (OCB) procurement method will apply to (i) goods contracts valued at least \$2.0 million with international advertisements; (ii) goods contracts valued from \$100,001 and \$1,999,999 with national advertisements; and (iii) services — the project implementation consultants will be advertised internationally. OCB for goods will use the single-stage, one-envelope bidding procedure. Requests for quotations will be sought for goods and services valued up to \$100,000. The bidding documents will be prepared for all procurement packages and request for proposals (RFPs) for the two consultancy packages.

13. All advance contracting will be undertaken in conformity with ADB's *Procurement Policy and Procurement Regulations for ADB Borrowers: Goods, Works, Nonconsulting and Consulting Services* (2017, amended from time to time)<sup>7</sup>. The issuance of invitations to bid under advance contracting will be subject to ADB approval. The borrower, EA and PIG have been advised that approval of advance contracting does not commit ADB to finance the project. Advance contracting actions will be completed before approval of the project for recruitment of PIC services to mobilize them immediately after the grant is declared effective, to avoid start up implementation delays. The Government has been advised that (i) approval of advance contracting does not commit ADB to finance the project; and (ii) the issuance of invitations to bid under advance contracting will be subject to ADB approval.

##### A. Expected Procurement

14. The main procurement foreseen under the project includes: (i) goods consisting of equipment for communication, seismological and hydrological modelling, radar monitoring, machinery for pre-disaster readiness and required hardware/software for disaster management information system and early warning system; and (iii) consulting services to assist the project.

15. The following provisions will be needed to ensure compliance with ADB's procurement procedures:

- i. All bidding will require prior ADB review;
- ii. Bidding shall not be restricted. All registered firms meeting required qualifications shall be allowed to participate;
- iii. To increase participation, procurement documents including drawings, specifications and forms will be translated into the national language by the project implementation consultant hired under package 1 and package 2;
- iv. Advertisement of bids shall be posted on the National Procurement Notice Board and placed in national newspapers of wide circulation. In addition, International advertisement shall be posted on the ADB website. Details for OCB with national advertisement are below in Box 1;

---

<sup>7</sup> The document is available electronically from the ADB website (<https://www.adb.org/sites/default/files/procurement-regulations-adb-borrowers.pdf>)

- v. Specifications for goods will consist of items general descriptions of quality and performance. The use of brands and models will be avoided. To the extent possible International standards will be specified, especially for the procurement of equipment;
- vi. Bidding time allowed for OCB with national advertisement will not be less than **28 days** and for OCB with international advertisement not less than **42 days**;
- vii. Where required, bid security will be in the form of a bank guarantee from a reputable bank or bid securing declaration as per bidding documents;
- viii. Bids shall be opened in public, immediately after deadline for submission of bids,
- ix. The date and time for the public opening will be provided in the bid announcement and in the bidding documents;
- x. The contract shall be awarded to the bidder which offered substantially responsive and lowest evaluated bid (technically responsive bid that offers the lowest evaluated price);
- xi. Bids shall not be rejected, and new bids solicited without ADB's no objection;
- xii. All goods and services will be procured from eligible ADB countries;
- xiii. Contract award notices will be published on websites of SCISPM and CESC, and national newspapers for all contracts awarded; and
- xiv. For complaints submission, contracts will include provision of contact information for ADB's appointed officer at the Resident Mission.

**Box 1. Open Competitive Bidding – National Advertisement**

**A. Regulation and Reference Documents**

1. The procedures to be followed for national competitive bidding shall conform to the provisions prescribed in the national legislation, with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

**B. Procurement Procedures**

2. **Eligibility.** The eligibility of bidders shall be as defined under Section I of ADB's *Procurement Guidelines* (2015, as amended from time to time) (the "Guidelines"); accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in Section I of the Guidelines.

3. **Registration and Sanctioning.** Registration is acceptable under the following conditions:  
 (i) Bidding shall not be restricted to pre-registered firms under the national registration system, and such registrations shall not be a condition for the submission of bids in the bidding process.  
 (ii) National sanction lists or blacklists may be applied only with prior approval of ADB.

4. **Prequalification.** Prequalification is discouraged for OCB-National. When used, particularly for goods contracts, an individual prequalification exercise is acceptable for each contract as is the use of a registration system (or approved standing list) of contractors based on criteria such as experience, financial capacity, and technical capacity. Foreign bidders from eligible countries must, however, be allowed to register and to bid without unreasonable cost or additional requirements.

5. **Advertising.** The posting of OCB-National specific notices for contracts valued at less than \$1 million on ADB's website is not required but is highly recommended.

6. **Preferences.** The following shall be observed: (i) No preference of any kind shall be given to domestic bidders or for domestically manufactured goods; and (ii) foreign suppliers and contractors from ADB member countries shall be allowed to bid, without registration, licensing, and other government authorizations, leaving compliance with these requirements for after award and before signing of contract.

7. **Participation by Government-Owned Enterprises.** Government-owned enterprises in the Republic of Tajikistan shall be eligible to participate only if they can establish that they are legally and financially autonomous, operate under commercial law, and are not a dependent agency of the procuring entity, or the EA or PIG.

8. **Rejection of Bids and Rebidding.** Bids shall not be subjected to a test for unrealistic rates. No lowest evaluated and substantially responsive bid shall be rejected on the basis of comparison to rates, including but not limited to market, historical, or agency established rates, without prior approval of ADB.

9. Bids shall not be rejected, and new bids solicited without the ADB's prior concurrence.

10. **Price Negotiations.** Price negotiation shall be allowed only where the price offered by the lowest evaluated and substantially responsive bidder substantially exceeds costs estimates. Approval of ADB is required prior to any negotiation of prices.

### **C. Bidding Documents**

11. **Acceptable Bidding Documents.** Procuring entities shall use standard bidding documents acceptable to ADB for the Procurement of Goods, Works and Consulting Services, based ideally on the standard bidding documents issued by ADB.

12. **Bid Security.** Where required, bid security shall be in the form of a certified cheque, a letter of credit or a bank guarantee from a reputable bank.

13. **ADB Policy Clauses.** A provision shall be included in all OCB-National goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for OCB-National goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for OCB-National goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

16. **Participation by Government-owned enterprises:** Government-owned enterprises in the Republic of Tajikistan shall be eligible to participate as bidders only if they can establish that they are legally and financially autonomous, operate under commercial law and are not a dependent agency of the contracting authority. Furthermore, they will be subject to the same bid and performance security requirements as other bidders.



17. **Right to Inspect/Audit:** A provision shall be included in all OCB goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

18. **Fraud and corruption**

- (i) The Ministry of Finance shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question;
- (ii) ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for, or in executing, an ADB-financed contract.

19. **Pre-Shipment Inspections:** If necessary, shall be carried out by an independent reputable testing authority/surveyor in the supplier's country for reasons of sound engineering practice and economy and efficiency in project implementation.

20. **Disclosure of Decision on Contract Awards:** At the same time that notification on award of contract is given to the successful bidder, the results of bid evaluation shall be published in a local newspaper or a well-known freely accessible website identifying the bid and lot numbers and providing information on (i) name of each bidder who submitted a bid, (ii) bid prices as read out at bid opening; (iii) name of bidders whose bids were rejected and the reasons for their rejection, and (iv) name of the winning bidder, and the price it offered, as well as duration and summary scope of the contract awarded. The executing agency/implementing agency/contracting authority shall respond in writing to unsuccessful bidders who seek explanations on the grounds on which their bids are not selected.

## V. PROJECT PROCUREMENT RISK ASSESSMENT

### A. Overview

21. It is proposed to classify the project as 'Category B':

- i. The project will be managed by a PIG comprising qualified management staff including a procurement specialist;
- ii. The project will procure the services of a consultant firm to support implementation of the project and build CESC/D/PIG capacity including procurement; and
- iii. The CESC/D has an experience in implementing the WB and JICA funded projects.

#### i. Organization and Staff Capacity

22. Overall risk rating for the organizational and staff capacity of CESC/D is "**Moderate**".

23. As other ministries and agencies, the CESC/D has no accreditation or license to carry out procurement funded by the international financial institutions (IFIs). Like other ministries and agencies, the CESC/D has only national license for its internal small value procurement of goods, works and services under the state budget. The CESC/D doesn't

have certified procurement unit and the procurement functions are carried out by Planning and Financing Division (PFD) under the Financial and Economic Department (FED) of the CESCDC. The procurement staff responsible have valuable experience of procurement but had limited knowledge of ADB procurement policies and procedures. None of staff of FED is fluent in English.

24. The head of FED with its 3 staff handles the procurement and mostly through direct contracting. FED has recently carried out hiring of Audit Firm and Procurement of vehicles under project financed by IFIs.

25. Under the proposed project, the CESCDC with a new PIG will be responsible for conducting procurement needed under the project. It will need assistance of experienced procurement consultants in preparation of bidding documents, bid evaluation reports (BERs) and contract management. Procurement consultants (International and National) will be required to be fluent in English and also in Russian and Tajik languages.

## ii. Information Management

26. Overall risk rating for the information management is assessed as “**Low**”. As required by national legislation, the CESCDC is maintaining record of its respective procurement proceedings along with all associated documentation for a minimum period of 10 years. The CESCDC maintains referencing system, which provides identification of each procurement activity.

27. As required under the Law, the CESCDC is maintaining record of its respective procurement proceedings along with all associated documentation for more than 10 years. The agency has maintained referencing system, which provides unique identification of each procurement activity. Adequate resources are allocated for record keeping which includes the record keeping system, space and equipment. It is however recommended that for the proposed project, PIG will be requiring designated human resource for document control and record keeping. It is also recommended that PIG should regularly post and update information pertaining to tenders, evaluation and contract awards on websites<sup>8</sup> of SCISPM and CESCDC for public access. ADB will also post on its website.

## iii. Procurement Practices

28. Overall risk rating for procurement practices is assessed as “**Moderate**”.

29. The CESCDC recently has undertaken procurement of goods and selection of consultants related to foreign assistance through the support of the respective IFI. It didn't undertake works procurement, which is not required under the project. Systematic process to identify procurement requirements is in place. CESCDC follows procurement planning process. Invitations to bid/selection are advertised in respective media. Time limits for submission of bids are provided in the advertisements. Bids/proposals evaluated by the respective committee and contracts awarded to lowest evaluated responsive bidders after seeking approval from the competent authority (CAB, IFIs, Head of CESCDC) for the decision.

---

<sup>8</sup> <http://zakupki.gov.tj/> and <https://khf.tj/> (accessed on 10 June 2018)

30. There is already an awareness that the ADB guidelines will be followed in full. Although there is a concern on the use of ADB Bidding Document, it can be solved by the capacity building of the PIG during project implementation.

#### **iv. Effectiveness**

31. Overall risk rating for effectiveness is assessed as “**Low**”.

32. Dedicated staff of FED under CESC D systematically monitors the performance of the suppliers and consultants/firms hired for project development and implementation. The agency diligently tracks its contractual payment obligations; however, there is still need for improvement in follow up mechanism and timely release of payment.

33. The CESC D is implementing a complaint management system, that addresses grievances related to procurements and contract administration. The contracts signed by the agency entail a dispute resolution mechanism clause to settle the disputes among the contracting parties through Economic Court of Tajikistan.

34. The main risk to effective procurement will be the delay caused by the CAB in reviewing procurement transactions before it is send to IFIs for approval.

#### **v. Accountability Measures**

35. Overall risk rating for effectiveness is assessed as “**Low**”.

36. Detailed provisions on conflict of interest leading to in-eligibility are clearly mentioned in regulations, which are fully complied with during the entire procurement process.

37. Accountabilities and the allocation of responsibilities are clearly defined. The CESC D is accountable for ensuring project implementation, which is carried out in a timely manner in accordance with all legal, policy, and procedural requirements. FED under the CESC D is accountable for the appropriateness of developing requirements, procurement activities, contract negotiation and contract management. The coordination and procedural workload on the PIG is acceptable and can be mitigated in part by the support from related consulting services.

### **B. Strengths**

- Public Procurement in Tajikistan is regulated by PPL which was enacted in 2006, with a series of amendments thereafter (latest amendment in 2012).
- The government introduced a set of “Rules on bid opening applicable to procurement of goods, works, and services within the context of state investment projects” (Rules) in January 2011, which were amended in 2013.
- SCISPM is the designated CAB to centralize the bid opening process under state investment projects financed by various donors and IFIs.
- Processes for information management, effectiveness and accountability measures are simple and satisfactory and deficiencies can be addressed by additional staff and resources. External audits are budgeted for and conducted according to Government’s requirements.
- All bids submitted after the time prescribed are rejected and returned without being opened.

- Complaint management mechanism is in place, which addresses grievances related to Procurements and Contract administration.
- CESC D is maintaining record of their respective procurement proceedings along with required documentation for a period more than 10 years.

**C. Weaknesses**

- CESC D does not have experience of ADB financed projects and will require services of expert consultants/firm to assist them in carrying out procurement and contract administration.
- There is no nationwide system for collecting and disseminating procurement information, including tender invitations, requests for proposals, and contract award information.
- There is no specialized procurement training program for the staff involved for undertaking procurement.
- PPL provides principles, it does not provide specifics of evaluation methods rather, specific rule requires only that these be specified precisely in the bidding documents.
- Procedural delays within CESC D and CAB for procurement document preparation, tender/proposal evaluation and contract signing may adversely affect the planned procurement/project activities.
- Though the rules and regulations call for a complaint /grievances mechanism however, effectiveness and implementation is observed to be weak, since no record regarding complaints could be found.
- The complaint resolution may be made more transparent and unbiased by appointing a member of the committee as a third party outside of the Ministry/Committee.

**D. Procurement Risk Assessment and Management Plan (P-RAMP) for Committee of Emergency Situations and Civil Défense.**

Risk Description	Risk Assessment	Mitigation Measures or Risk Management Plan
The CESC D has insufficient qualified staff to efficiently undertake the procurements required to implement the project.	Substantial	The efforts of CESC D in preparing procurement plan, packaging and recruitment of project implementation consultants will be supported by ADB project preparation team. ADB should provide formal training (including on-the-job training) in ADB procurement and consulting services procedures and practices. Support from international and national consultants and on-the-job training in procurement will be provided to the executing/ implementing agencies during project implementation.

<p>Unclear and complex regulations in the PPL</p> <p>Rules on the participation of state-owned enterprises in public procurement needs clarity</p>	<p>Moderate</p>	<p>ADB procedures will be followed and templates will be used in all procurement under the project</p>
<p>The CESC D is unfamiliar with undertaking competitive procurement processes in accordance with ADB's <i>Procurement Policy and Procurement Regulations for ADB Borrowers: Goods, Works, Nonconsulting and Consulting Services</i> (2017, amended from time to time).</p>	<p>Substantial</p>	<p>ADB should apply prior-review process for all procurement activities (except for shopping after the first prior review) undertaken under the project. Sufficient time for bid validity will be provided to allow time for review.</p> <p>ADB should provide formal training (including on-the-job training) in ADB procurement and consulting services procedures and practices.</p> <p>Project implementation consultants will provide timely capacity building on procurement and institutional strengthening component to further reduce this risk.</p> <p>The progress report of project implementation consultants will record the trainings conducted.</p>
<p><b>Limited competition in bidding by:</b> Limiting time for bidding, Language barrier for participating in biddings. Lack of transparency in the bidding process</p>	<p>Low</p>	<p>Competitive bidding under ADB prior review procedures should be applied for all procurement process.</p>
<p>Advertisement and bid preparation period is shorter than ADB standard</p>	<p>Low</p>	<p>Monitor application of ADB specific timelines in advertisement of ADB funded procurement packages.</p>
<p>There is no nationwide system for collecting and disseminating procurement information, including tender invitations, requests for proposals, and contract award information.</p>	<p>Moderate</p>	<p>Information regarding the procurement of services and goods shall be available on the CESC D and ADBBO website. The CESC D should regularly update reports and disclose information related to procurement processes including contract awards.</p>
<p>Deficient contract management which may create delay in implementation, increasing the signed contract value.</p>	<p>Moderate</p>	<p>Capacity building and enhancement through support of the Project Implementation. Consultants recruited under the grant will manage to reduce the risk.</p>
<p><b>Overall</b></p>	<p><b>Moderate</b></p>	

## **E. CONCLUSION**

Overall risk rating for Project Procurement Risk Assessment is rated as “**Moderate**”. The CESC D staff has adequate technical skills to undertake procurement of consultancy services, and the procurement of goods works. The CESC D is maintaining record of its respective procurement proceedings along with all associated documentation for a period more than 10 years. Systematic process to identify procurement requirements is in place. The CESC D follows procurement planning process. The agency diligently tracks its contractual payment obligations; however, there is still need of improvement in follow up mechanism and timely release of payment. Engagement of a team of international and domestic implementation consultants to build project management capacity including procurement capability during the project implementation is recommended. The consultants will review the detail design, specifications, operation and maintenance requirements, bid document contractual conditions, and the bid evaluation report, including addressing ADB’s comments on relevant documents.

## **VI. OVERALL PROCUREMENT RISK RATING OF CESC D**

<b>Criterion</b>	<b>Risk</b>
<b>A. Organizational and Staff Capacity</b>	<b>Moderate</b>
<b>B. Information Management</b>	<b>Low</b>
<b>C. Procurement Practices</b>	<b>Moderate</b>
<b>D. Effectiveness</b>	<b>Low</b>
<b>E. Accountability Measures</b>	<b>Low</b>
<b>OVERALL RISK RATING</b>	<b>Moderate</b>

Appendix 1

## **PROJECT PROCUREMENT RISK ASSESSMENT QUESTIONNAIRE**

**Committee of Emergency Situations and Civil Defense (CESC D)**

QUESTIONS	RESPONSES	RISKS
<b>A. ORGANIZATIONAL AND STAFF CAPACITY</b>		
<b>PROCUREMENT DEPARTMENT/UNIT</b>		
<p>A.1 Does the agency or Government have a Procurement Committee that is independent from the head of the agency?</p>	<p>Public Procurement in Tajikistan is regulated by the Public Procurement Law (PPL) enacted in 2006, with a series of amendments thereafter (latest amendment in 2012). The Law is also supplemented by the “REGULATAION” on opening bids for the procurement of goods, works and services related to public investment projects 2010.</p> <p>Article 10,11, 12,13 and 14 of the Law of the Republic of Tajikistan on “Public Procurement of Goods, Works and Services”, dated 3<sup>rd</sup> of May 2006 details qualification requirements of the Procurement Entities and composition, responsibilities, duties of the Procurement Sub division and Tender Commissions for each tendering to be conducted.</p> <p>Para 6 of the Regulations-2010 requires the Tender initiating organization, following the evaluation of bids and selection of the successful bidder, shall submit a Report on evaluation of bids to CAB<sup>9</sup> in order to obtain ‘no objection’ from CAB. Only after receiving ‘no objections’ from CAB, tender initiating organization may submit Report on evaluation of bids to the donor in order to receive ‘no objection’.</p> <p>The Law obligates that the head of the procuring entity shall not have the right to be a member of the subdivision on procurement or included in the tender commission.</p>	<p>Low</p>
<p>A.2 Does the agency have a procurement department/unit, including a permanent office that performs the function of a Secretariat of the Procurement Committee?</p>	<p>There is no such dedicated procurement section in the Committee of Emergency Situations and Civil Defense (CESCD).  Planning and Financing Division under the Financial and Economic Department of the CESCD also serves the role of the “Procurement Unit” and is responsible for required institutional and operational procurement.</p>	<p>Moderate</p>
<p>A.3 If yes, what type of procurement does it undertake?</p>	<p>Publicly financed procurement – project procurement usually handled by an implementation unit established for the project.</p>	<p>Low</p>

<sup>9</sup>Central authorized body (hereinafter referred to CAB) - State Committee on investments and state property management of the Republic of Tajikistan, central body of executive authority, which is responsible for recruiting, coordinating and monitoring of the use of foreign aid.

QUESTIONS	RESPONSES	RISKS
A.4 How many years' experience does the head of the procurement department/unit have in a direct procurement role?	The Head of the Planning and Financing Division is well-qualified and has more than 10 years' of experience in Procurement.	Low
A.5 How many staff in the procurement department/unit are:  i. full time ii. part time iii. seconded	Planning and Financing Division that also serves the role of Procurement Unit has following dedicated staff:  4 Nil -	Low
A.6 Do the procurement staff have a high level of English language proficiency (verbal and written)?	Partial	Moderate
A.7 Are the number and qualifications of the staff sufficient to undertake the additional procurement that will be required under the proposed project?	For anticipated procurement on the project it appears that the procurement section is under staffed.	Moderate
A.8 Does the unit have adequate facilities, such as PCs, internet connection, photocopy facilities, printers etc. to undertake the planned procurement?	Yes	Low
A.9 Does the agency have, or have ready access to, a procurement training program?	Yes. The Agency has access to training organized by the IFIs through the Institute of Public Administration (which was the Civil Services Advanced Training Institute until 2013).  Agency Staff is also sent to trainings organized by Crown Agents of the United Kingdom which has been selected to develop the advanced procurement training modules, oversee testing, and manage the certification process. .	Low
A.10 At what level does the department/unit report (to the head of agency, deputy etc.)?	In line with the Article 12(h) of the Public Procurement Law (PPL) Tender Commission submits its decision of the award of the Contract to the Head of the respective Procuring Entity for approval.	Low
A.11 Do the procurement positions in the agency have job descriptions, which outline specific roles, minimum technical requirements and career routes?	Clear job descriptions are provided for each procurement position. As mentioned above, the staff are hired and have clear role and technical output requirements.	Low
A.12 Is there a procurement process manual for goods and works?	Yes. Law of the Republic of Tajikistan on "Public Procurement of Goods, Works and Services", dated 3 <sup>rd</sup> of May 2006 with amendments in 2012 is in place that provides guidelines on conducting procurement of works and goods.	Moderate



QUESTIONS	RESPONSES	RISKS
	However, a comprehensive procurement law that covers the preparation of standard bidding documents and the bidding process is needed.	
A.13 If there is a manual, is it up to date and does it cover foreign-assisted projects?	Yes. The Public Procurement Laws (PPL) promulgated in 2006 was amended in 2012. The PPL only provides guidance on developing bidding documents, so procurement entities follow separate bidding processes. A new draft public procurement law was prepared in 2014, and development partners, including ADB, are now reviewing it.	Moderate
A.14 Is there a procurement process manual for consulting services?	PPL include provisions on hiring of consulting services only. Procurement Entities are required to use IFI specific guidelines on recruitment of consulting services under IFI's financed project.	Low
A.15 If there is a manual, is it up to date and does it cover foreign-assisted projects?	PPL is updated and allows IFI's procedures to prevail in case of any conflict among the procedures stipulated in the PPL and funding agency.	Low
<b>PROJECT MANAGEMENT UNIT</b>		
A.16 Is there a fully (or almost fully) staffed PMU for this project currently in place?	Recruitment of staff for Project Implementation Group (PIG) has been initiated where position of the Head of the PIG and Procurement Office has been nominated.	Moderate
A.17 Are the number and qualifications of the staff sufficient to undertake the additional procurement that will be required under the proposed project?	No. PIG has insufficient qualified staff to efficiently undertake the procurements required to implement the project.	High
A.18 Does the unit have adequate facilities, such as PCs, internet connection, photocopy facilities, printers etc. to undertake the planned procurement?	Additional resources will be required in the PIG to carry out the procurement under the proposed project.	Substantial
A.19 Are there standard documents in use, such as Standard Procurement Documents/Forms, and have they been approved for use on ADB funded projects?	Standard documents are in use but only for NCB projects. For ICB projects, ADB documents shall be used after it's reviewed by ADB.	Moderate
A.20 Does the agency follow the national procurement law, procurement processes, guidelines?	Yes.	Low
A.21 Do ToRs for consulting services follow a standard format such as background, tasks, inputs, objectives and outputs?	Yes	Low
A.22 Who drafts the procurement specifications?	Procurement Entities with help from National/International Consultants	Substantial

QUESTIONS	RESPONSES	RISKS
A.23 Who approves the procurement specifications?	The Committee of Emergency Situations and Civil Defense (CESCD)”	Low
A.24 Who in the PMU has experience in drafting bidding documents?	Procurement Officer	Low
A.25 Are records of the sale of bidding documents immediately available?	Yes.	Low
A.26 Who identifies the need for consulting services requirements?	CESCD	Low
A.27 Who drafts the Terms of Reference (ToR)	Financial and Economic Department with help from relevant division	Low
A.28 Who prepares the request for proposals (RFPs)	Financial and Economic Department with help from relevant division	Low
<b>B. INFORMATION MANAGEMENT</b>		
B.1 Is there a referencing system for procurement files?	Yes, a referencing system is in place.	Low
B.2 Are there adequate resources allocated to record keeping infrastructure, which includes the record keeping system, space, equipment and personnel to administer the procurement records management functions within the agency?	Yes. Director with dedicated staff is responsible for administering all procurement related records within the agency. Adequate resources are allocated for record keeping which includes the record keeping system, space and equipment.	Low
B.3 Does the agency adhere to a document retention policy (i.e. for what period are records kept)?	Yes. More than 10 years	Low
B.4 Are copies of bids or proposals retained with the evaluation?	Yes	Low
B.5 Are copies of the original advertisements retained with the pre-contract papers?	Yes	Low
B.6 Is there a single contract file with a copy of the contract and all subsequent contractual correspondence?	Yes	Low
B.7 Are copies of invoices included with the contract papers?	Yes	Low
B.8 Is the agency’s record keeping function supported by IT?	Yes	Low
<b>C. PROCUREMENT PRACTICES</b>		
<b>Goods and Works</b>		
C.1 Has the agency undertaken procurement of goods or works related to foreign assistance recently	Yes. The Agency (has successfully conducted procurement of works and goods under foreign funding projects.	Low

QUESTIONS	RESPONSES	RISKS
(last 12 months or last 36 months)? If yes, indicate the names of the development partner/s and project/s.	Recent project includes: Construction of Republican Training Center and Procurement of Vehicles (through funding of Embassy of Japan).	
C.2 If the answer is yes, what were the major challenges faced by the agency?	Objective assessment of proposals - difficulties in bid evaluation and Contract Variations during civil works contracts implementation.	Moderate
C.3 Is there a systematic process to identify procurement requirements (for a period of one year or more)?	Yes	Low
C.4 Is there a minimum period for the preparation of bids and if yes, how long?	10 working days response time	High
C.5 Are all queries from bidders replied to in writing?	Yes	Low
C.6 Does the bidding document state the date and time of bid opening?	Yes	Low
C.7 Are bids opened in public?	Yes	Low
C.8 Can late bids be accepted?	No	Low
C.9 Can bids (except late bids) be rejected at bid opening?	Yes, only if the Bidder submits request of withdrawal. Otherwise all bids (except the late bids) are accepted and considered further for evaluation and conformance to the requirements of the bidding documents.	Low
C.10 Are minutes of the bid opening taken?	Yes	Low
C.11 Are bidders provided a copy of the minutes?	Yes	Low
C.12 Are the minutes provided free of charge?	Yes	Low
C.13 Who undertakes the evaluation of bids (individual(s), permanent committee, ad-hoc committee)?	Tender Commission	Low
C.14 What are the qualifications of the evaluators with respect to procurement and the goods and/or works under evaluation?	Have technical skills, experience and knowledge including knowledge in scope of the project.	Low
C.15 Is the decision of the evaluators final or is the evaluation subject to additional approvals?	Tender Commission decision is subject to approval of the Chairman of CESC/ Head of the Procuring Entity and afterwards is presented to CAB for approval	Moderate
C.16 Using the three 'worst-case' examples in the last year, how long from the issuance of the invitation for bids can the contract be awarded?	One Month	Low

QUESTIONS	RESPONSES	RISKS
C.17 Are there processes in place for the collection and clearance of cargo through ports of entry?	Yes	Low
C.18 Are there established goods receiving procedures?	Yes	Low
C.19 Are all goods that are received recorded as assets or inventory in a register?	Yes	Low
C.20 Is the agency/procurement department familiar with letters of credit?	No.	Moderate
C.21 Does the procurement department register and track warranty and latent defects liability periods?	Yes.	Low
<b>Consulting Services</b>		
C.22 Has the agency undertaken foreign-assisted procurement of consulting services recently (last 12 months, or last 36 months)? (If yes, please indicate the names of the development partner/s and the Project/s.)	Yes. Services of Audit Firm was procured on JICA funded project.	Low
C.23 If the above answer is yes, what were the major challenges?	Proposal Evaluation and preparation of Evaluation Report and approval forms.	Moderate
C.24 Are assignments and invitations for expressions of interest (EOIs) advertised?	Yes.	Low
C.25 Is a consultants' selection committee formed with appropriate individuals, and what is its composition (if any)?	Tender Commission review and evaluate the proposals. The Commission comprise qualified specialists including subject specialist and a procurement specialist. In general it is made of three persons. The findings of the Tender Commission is afterwards presented to CAB for approval.	Moderate
C.26 What criteria is used to evaluate EOIs?	The consulting firms are evaluated for qualification, general and specific experience, financial capability and other pertinent aspects.	Low
C.27 Historically, what is the most common method used (QCBS, QBS, etc.) to select consultants?	QCBS is commonly used whereas QBS is also used. FBS, LCS and SSS selection methods have not been applied before.	Low
C.28 Do firms have to pay for the RFP document?	Yes	High
C.29 Does the proposal evaluation criteria follow a pre-determined structure and is it detailed in the RFP?	Yes	Low

QUESTIONS	RESPONSES	RISKS
C.30 Are pre-proposal visits and meetings arranged?	Yes	Low
C.31 Are minutes prepared and circulated after pre-proposal meetings?	Yes	Low
C.32 To whom are the minutes distributed?	All concerned including consulting firm	Low
C.33 Are all queries from consultants answered/addressed in writing?	Yes	Low
C.34 Are the technical and financial proposals required to be in separate envelopes and remain sealed until the technical evaluation is completed?	Yes	Low
C.35 Are proposal securities required?	No	Low
C.36 Are technical proposals opened in public?	Yes	Low
C.37 Are minutes of the technical opening distributed?	Yes	Low
C.38. Do the financial proposals remain sealed until technical evaluation is completed?	Yes	Low
C.39 Who determines the final technical ranking and how?	Tender Commission evaluates the technical proposal in light of the Narrative Evaluation Criteria. Once the technical evaluation is completed findings of the Tender Commission is shared with Chairman of CESC/ Head of the Procuring Entity for review and approval.	Moderate
C.40 Are the technical scores sent to all firms?	Yes	Low
C.41 Are the financial proposal opened in public?	Yes	Low
C.42 Are minutes of the financial opening distributed?	Yes	Low
C.43 How is the financial evaluation completed?	The Tender Commission evaluates the financial proposal in line with the evaluation criteria stipulated in the RFP, i.e check the financial proposal for corrections, adjust the proposal if any deviations are observed etc.	Low
C.44 Are face to face contract negotiations held?	Yes	Low
C.45 How long after financial evaluation is negotiation held with the selected firm?	Max. 3 working days, which is in accordance with national regulation.	Low
C.46 What is the usual basis for negotiation?	Technical aspects mainly, i.e replacement of CVs if required, confirmation of expert availability, mobilization schedule, TORs, methodology, etc	Low

QUESTIONS	RESPONSES	RISKS
C.47 Are minutes of negotiation taken and signed?	Yes	Low
C.48 How long after negotiation is the contract signed, on average?	15 days	Low
C.49 Is there an evaluation system for measuring the outputs of consultants?	Evaluation of consultants is carried out based on the output requirements stipulated in the contract.	Low
<b>Payments</b>		
C.50 Are advance payments made?	Yes, advance payments are made against a bank guarantee.	Low
C.51 What is the standard period for payment included in contracts?	15-28 days	Low
C.52 On average, how long is it between receiving a firm's invoice and making payment?	Varies considerably depending on state of completion of contract obligations but can be delayed for up to two months	Low
C.53 When late payment is made, are the beneficiaries paid interest?	Provision exist in the contract however generally interest is neither claimed by the Contractor/Consultant nor paid by the employer.	Low
<b>D. EFFECTIVENESS</b>		
D.1 Is contractual performance systematically monitored and reported?	Yes	Low
D.2 Does the agency monitor and track its contractual payment obligations?	Yes	Low
D.3 Is a complaints resolution mechanism described in national procurement documents?	Yes	Low
D.4 Is there a formal non-judicial mechanism for dealing with complaints?	Yes mechanisms for amicable settlement exist.	Low
D.5 Are procurement decisions and disputes supported by written narratives such as minutes of evaluation, minutes of negotiation, notices of default/withheld payment?	Yes	Low
<b>E. ACCOUNTABILITY MEASURES</b>		
E.1 Is there a standard statement of ethics and are those involved in procurement required to formally commit to it?	Yes	Low
E.2 Are those involved with procurement required to declare any potential conflict of interest and remove themselves from the procurement process?	Yes	Low

QUESTIONS	RESPONSES	RISKS
E.3 Is the commencement of procurement dependent on external approvals (formal or de-facto) that are outside of the budgeting process?	No	Low
E.4 Who approves procurement transactions, and do they have procurement experience and qualifications?	Chairman of CESC D is ultimately responsible for approving procurement actions.	Moderate
E.5 Which of the following actions require approvals outside the procurement unit or the evaluation committee, as the case may be, and who grants the approval?		
a) Bidding document, invitation to pre-qualify or RFP	Chairman of CESC D approval needed	Low
b) Advertisement of an invitation for bids, pre-qualification or call for EOIs	Chairman of CESC D approval needed	Low
c) Evaluation reports	Chairman of CESC D approval followed by approval of CAB.	Moderate
d) Notice of award	Chairman of CESC D approval followed by approval of CAB.	Moderate
e) Invitation to consultants to negotiate	Chairman of CESC D is needed	Low
f) Contracts	Chairman of CESC D is requires	Low
E.6 Is the same official responsible for: (i) authorizing procurement transactions, procurement invitations, documents, evaluations and contracts; (ii) authorizing payments; (iii) recording procurement transactions and events; and (iv) the custody of assets?	No, actions are delegated to different persons/positions.	Low
E.7 Is there a written auditable trail of procurement decisions attributable to individuals and committees?	Yes	Low

**PROJECT PROCUREMENT RISK ANALYSIS**  
**National Disaster Risk Management Project**

SL No.	RISKS	IMPACTS	LIKELIHOOD	STRATEGIES
A6, A7, A9, A16, A17, A23, C1, C2, C14, C22, C23	Procurement Department and/or PIG have insufficient qualified staff to efficiently undertake the procurements required to implement the project.	Moderate	CESCD lacks resources and capacity and will require services of expert consultants/firm to assist them in planning and implementing the proposed project.	Mitigation: Engagement of Project Implementation Consultants (team of international and domestic implementation consultants) to build project management capacity including procurement capability during the project implementation is recommended
A19 – A20	The Agency uses practices inconsistent with national procurement law or bidding documents unsuitable for ADB-funded procurement.	Moderate	Unlikely	Mitigation: Loan/Grant agreement to ensure that ADB documents are used for procurement of goods and hiring of Project Implementation Consultants.
B2 –B3	Record-keeping is inadequate to enable internal or external audit of procurement processes.	Moderate	Unlikely	Recruitment of required staff in the PIG to be expedited to ensure efficient document control during the procurement.
A25, C4 - C12, C24- C44, C47, D5, E7	Agency does not promote non-discriminatory participation, transparent tender processes (including advertisement, tender documentation, tender evaluation, complaints mechanism)?	Low	Unlikely	Mitigation: Project Implementation Consultants will provide technical support to establish a common system for compliance.
C15, E1 – E.6	The Agency has inadequate ethical code of conduct and anticorruption measures in place.	High	The sector does have an ethical code of conduct and anticorruption measures in place but poorly defined and apparently not enforced.	Mitigation: Loan/Grant agreement shall mandate the use of ADB's guidelines, procedures and documents to ensure compliance with ADB's ethics and anticorruption measures.  Procurement personnel have to sign the "Procurement Code of Conduct" to be developed for the project.

**PROCUREMENT PLAN**



**Basic Data**

<b>Project Name:</b> National Disaster Risk Management Project		
<b>Project Number:</b> 52106-001	<b>Approval Number:</b>	
<b>Country:</b> Tajikistan	<b>Executing Agency:</b> Committee of Emergency Situations and Civil Defense under the Government of Tajikistan	
<b>Project Procurement Classification:</b> Category B	<b>Implementing Agency:</b> Committee of Emergency Situations and Civil Defense under the Government of Tajikistan	
<b>Project Procurement Risk:</b> Medium		
<b>Project Financing Amount:</b> US\$ 11,000,000 <b>ADB Financing:</b> US\$ 10,000,000 <b>Cofinancing (ADB Administered):</b> <b>Non-ADB Financing:</b> US\$ 1,000,000	<b>Project Closing Date:</b> 30 June 2024	
<b>Date of First Procurement Plan:</b> 31 May 2018	<b>Date of this Procurement Plan:</b> 31 May 2018	
<b>Procurement Plan Duration (in months):</b> 18	<b>Advance Contracting:</b> Yes	<b>e-GP:</b> No

**A. Methods, Review and Procurement Plan**

Except as the Asian Development Bank (ADB) may otherwise agree, the following methods shall apply to procurement of goods, works, and consulting services.

<b>Procurement of Goods and Works</b>		
<b>Method</b>	<b>Applicability</b>	<b>Comments</b>
Open Competitive Bidding (OCB) for Works – International Advertisement	\$3,000,000 and above	All contracts OCB (International) works will be reviewed using prior review procedure.
Open Competitive Bidding (OCB) for Works – National Advertisement	Between \$100,001 and \$2,999,999	All OCB (National) packages will be subject to prior review.
Open Competitive Bidding (OCB) for Goods – International Advertisement	\$2,000,000 and above	All contracts OCB (International) goods will be reviewed using prior review procedure.
Open Competitive Bidding (OCB) for Goods – National Advertisement	Between \$100,001 and \$1,999,999	All contracts OCB (National) goods will be reviewed using prior review procedure.
Request For Quotation for Goods	Up to \$100,000	Prior review.

<b>Consulting Services</b>	
<b>Method</b>	<b>Comments</b>
Quality- and Cost-Based Selection for Consulting Firm	Prior review of all contracts
Quality-Based Selection for Consulting Firm	Prior review of all contracts
Least-Cost Selection for Consulting Firm	Prior review for the 1st tender
Fixed-Budget Selection for Consulting Firm	Prior review of all contracts

## B. Lists of Active Procurement Packages (Contracts)

The following table lists goods, works, and consulting services contracts for which the procurement activity is either ongoing or expected to commence within the procurement plan duration.

Goods and Works							
Package Number	General Description	Estimated Value (in US\$)	Procurement Method	Review	Bidding Procedure	Advertisement Date (quarter/year)	Comments
NDRMP-Goods-PIG-01	Vehicles	80,000.00	RFQ	Prior		Q4 / 2018	No. Of Contracts: 1 Advance Contracting: Y Comments: PIG to procure
NDRMP-Goods-PIG-02	Equipment	20,000.00	RFQ	Prior		Q4 / 2018	No. Of Contracts: 1 Advance Contracting: Y Comments: PIG to procure
NDRMP-Goods-PIG-03	Furniture	100,000.00	RFQ	Prior		Q4 / 2018	No. Of Contracts: 1 Advance Contracting: Y Comments: PIG to procure
NDRMP-Goods-PIG-04	Office Repairs	100,000.00	RFQ	Prior		Q4 / 2018	No. Of Contracts: 1 Advance Contracting: Y Comments: PIG to procure

Consulting Services							
Package Number	General Description	Estimated Value (in US\$)	Selection Method	Review	Type of Proposal	Advertisement Date (quarter/year)	Comments
NDRMP-Cons-01	Mainstreaming Disaster Risk Management in Government Institutions	2,030,000.00	QCBS	Prior	FTP	Q2 / 2018	Type: Firm Assignment: International Quality-Cost Ratio: 90:10 Advance Contracting: Y Comments: To ensure increased VFM. PIG to procure.

NDRMP-Cons-02	Strengthening Capacity to Manage Natural Hazards and Minimize Losses Strengthened	3,550,000.00	QCBS	Prior	FTP	Q2 / 2018	Type: Firm Assignment: International Quality-Cost Ratio: 90:10 Advance Contracting: Y Comments: To ensure increased VFM. PIG to procure.
TBD	Project auditing	50,000.00	LCS	Prior	BTP	Q4 / 2019	Type: Firm Assignment: International Advance Contracting: N Comments: Under TAJ Block Audit Scheme.

### C. List of Indicative Packages (Contracts) Required Under the Project

The following table lists goods, works, and consulting services contracts for which procurement activity is expected to commence beyond the procurement plan duration and over the life of the project (i.e., those expected beyond the current procurement plan duration).

Goods and Works						
Package Number	General Description	Estimated Value (in US\$)	Procurement Method	Review	Bidding Procedure	Comments
NDRMP-Goods-01	Package 1: Supply and installation of equipment for Sarez Lake, anti-hail unit, DMIS and EWS	2,200,000.00	OCB	Prior	1S1E	Advertising Type: International No. Of Contracts: 1 Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Estimated Advertisement Date: Q2/2021. PIG to procure.
NDRMP-Goods-02	Package 2: Supply of Machinery for field offices of CESC	1,350,000.00	OCB	Prior	1S1E	Advertising Type: National No. Of Contracts: 1 Prequalification of Bidders: N Domestic Preference

						Applicable: N  Bidding Document: Goods  Comments: Estimated Advertisement Date: Q3/2021. PIG to procure.
--	--	--	--	--	--	----------------------------------------------------------------------------------------------------------------------

Consulting Services						
Package Number	General Description	Estimated Value (in US\$)	Selection Method	Review	Type of Proposal	Comments
None						