

INITIAL POVERTY AND SOCIAL ANALYSIS

Country:	People's Republic of China	Project Title:	Guizhou Gui'an New District New Urbanization Smart Transport System Development
Lending/Financing Modality:	Project Loan	Department/Division:	East Asia Department, Transport and Communications Division

I. POVERTY IMPACT AND SOCIAL DIMENSIONS

A. Links to the National Poverty Reduction Strategy and Country Partnership Strategy

Guizhou has one of the lowest gross domestic product (GDP) in the People's Republic of China (PRC) at CNY26,444, which is 56.7% of the PRC average. The rural poverty ratio in Guizhou (18.0%) is much higher than the national rate (7.2%). The average disposable income per capita in Guizhou was CNY15,121 in 2016, well below the coastal regions and the national average of CNY23,821. The 12th Five-Year Plan for Further Promoting the Economy of the Western Regions was approved in 2012, which includes the development of new cities and transport infrastructure projects to improve connectivity and economic development in the region.^a Aligning with the Country Partnership Strategy, ADB aims in helping to translate innovative ideas into actual projects and programs for implementation and supporting transformative and demonstration projects and programs, which can be replicated and scaled up.^b The proposed project aims to assist Gui'an New District in developing innovative solutions to curb traffic congestion and other related negative impacts, which can hamper inclusive growth, by providing high-level technology for intelligent transport systems (ITS) due to the anticipated urban growth.

B. Poverty Targeting

General intervention Individual or household (TI-H) Geographic (TI-G) Non-income MDGs (TI-M1, M2, etc.)
ITS services provide various transport users and operators with critical information for decision making, enabling safer and more coordinated use of transport networks, optimizing infrastructure utilization. An ITS enables people and freight to move freely through urban environments, reducing congestion and in turn reducing emissions, improving the quality of the urban environment and enabling economic growth.

C. Poverty and Social Analysis

1. Key issues and potential beneficiaries. The beneficiaries are population residing in Gui'an New District and the potential businesses and residents to be located in Gui'an, who may be adversely affected by the urban growth and congestion if the traffic situation is not properly managed.
2. Impact channels and expected systemic changes. The adoption of these high-level technologies and their integration into the urban fabric is crucial for the development of sustainable urban transport systems. Up-front planning and design of an efficient, integrated urban transport system in new cities is crucial to avoid the problems of historic, car-centric transportation systems and achieve the vision for sustainable economic growth.
3. Focus of (and resources allocated in) the transaction TA or due diligence. Meaningful and proper consultation needs to be carried out during TA implementation to ensure all people can benefit from the project.
4. Specific analysis for policy-based lending. Not applicable.

II. GENDER AND DEVELOPMENT

1. What are the key gender issues in the sector and/or subsector that are likely to be relevant to this project or program?

Equal and safe access to public transportation should be available to all. The impact of an improved transport system upon women will be assessed at the FTRTA stage. Efforts will be made to identify possible positive and negative impacts on women and provide direct benefits or mitigating features (e.g. jobs for women in project construction work, jobs for women during project operation, and training opportunities).

2. Does the proposed project or program have the potential to contribute to the promotion of gender equity and/or empowerment of women by providing women's access to and use of opportunities, services, resources, assets, and participation in decision making? Yes No Please explain. If yes, a gender action plan should be prepared during transaction TA or due diligence.

Employment generation and training opportunities will be specifically designed to increase the women opportunities to benefits from the outcome of the proposed project. A gender action plan will be prepared.

3. Could the proposed project have an adverse impact on women and/or girls or widen gender inequality?

Yes No

Please explain. If yes, actions and measures should be prepared during transaction TA or due diligence

4. Indicate the intended gender mainstreaming category:

GEN (gender equity) EGM (effective gender mainstreaming)
 SGE (some gender elements) NGE (no gender elements)

III. PARTICIPATION AND EMPOWERMENT
<p>1. Who are the main stakeholders of the project, including beneficiaries and negatively affected people? Identify how they will participate in the project design. Local residents and businesses in the project area, nongovernment organizations, people who may be affected by civil works (if any), local government agencies, and central government.</p> <p>2. How can the project contribute (in a systemic way) to engaging and empowering stakeholders and beneficiaries, particularly, the poor, vulnerable, and excluded groups? What issues in the project design require participation of the poor and excluded? Stakeholders participation in workshops, meetings, and trainings during project design is encouraged.</p>
<p>3. What are the key, active, and relevant civil society organizations (CSOs) in the project area? What is the level of civil society organization participation in the project design? <input checked="" type="checkbox"/> Information generation and sharing (L) <input type="checkbox"/> Consultation <input type="checkbox"/> Collaboration <input type="checkbox"/> Partnership Indicate in each box the level of participation by marking high (H), medium (M), low (L), or not applicable (N) based on definitions in the ADB's Guide to Participation.</p>
<p>4. Are there issues during project design for which participation of the poor and excluded is important? What are they and how should they be addressed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Please explain. Consultation of the stakeholder is required. This is to ensure that the project benefits reached the beneficiaries which include the poor and excluded.</p>
IV. SOCIAL SAFEGUARDS
<p>A. Involuntary Resettlement Category <input type="checkbox"/> A <input type="checkbox"/> B <input checked="" type="checkbox"/> C <input type="checkbox"/> FI</p>
<p>1. Does the project have the potential to involve involuntary land acquisition resulting in physical and economic displacement? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Further assessment will be carried out by the TA consultants.</p> <p>2. What action plan is required to address involuntary resettlement as part of the transaction TA or due diligence process? <input type="checkbox"/> Resettlement plan <input checked="" type="checkbox"/> Resettlement framework <input type="checkbox"/> Social impact matrix <input type="checkbox"/> Environmental and social management system arrangement <input type="checkbox"/> None</p>
<p>B. Indigenous Peoples Category <input type="checkbox"/> A <input type="checkbox"/> B <input checked="" type="checkbox"/> C <input type="checkbox"/> FI</p>
<p>1. Does the proposed project have the potential to directly or indirectly affect the dignity, human rights, livelihood systems, or culture of indigenous peoples? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>2. Does it affect the territories or natural and cultural resources indigenous peoples own, use, occupy, or claim, as their ancestral domain? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Assessment will be also carried out by the TA consultants.</p> <p>3. Will the project require broad community support of affected indigenous communities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>4. What action plan is required to address risks to indigenous peoples as part of the transaction TA or due diligence process? <input type="checkbox"/> Indigenous peoples plan <input checked="" type="checkbox"/> Indigenous peoples planning framework <input type="checkbox"/> Social impact matrix <input type="checkbox"/> Environmental and social management system arrangement <input type="checkbox"/> None</p>
V. OTHER SOCIAL ISSUES AND RISKS
<p>1. What other social issues and risks should be considered in the project design? <input checked="" type="checkbox"/> Creating decent jobs and employment (L) <input checked="" type="checkbox"/> Adhering to core labor standards (L) <input type="checkbox"/> Labor retrenchment <input type="checkbox"/> Spread of communicable diseases, including HIV/AIDS <input type="checkbox"/> Increase in human trafficking <input type="checkbox"/> Affordability <input type="checkbox"/> Increase in unplanned migration <input type="checkbox"/> Increase in vulnerability to natural disasters <input type="checkbox"/> Creating political instability <input type="checkbox"/> Creating internal social conflicts <input type="checkbox"/> Others, please specify _____ Indicate high (H), medium (M), low (L) for selected boxes</p> <p>2. How are these additional social issues and risks going to be addressed in the project design? Stakeholders consultation and surveys will be carried out.</p>
VI. TRANSACTION TA OR DUE DILIGENCE RESOURCE REQUIREMENT
<p>1. Do the terms of reference for the transaction TA (or other due diligence) contain key information needed to be gathered during transaction TA or due diligence process to better analyze (i) poverty and social impact, (ii) gender impact, (iii) participation dimensions, (iv) social safeguards, and (v) other social risks. Are the relevant specialists identified? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If no, please explain why.</p> <p>2. What resources (e.g., consultants, survey budget, and workshop) are allocated for conducting poverty, social, and/or gender analysis, and participation plan during the transaction TA or due diligence? Consultant time, and survey and training budget are allocation for conducting poverty, social and gender analysis, and participation plan during the project preparation stage.</p>

^a Government of the PRC. 2012. *Twelfth Five-Year Plan for Western Regions*. Beijing.

^b Asian Development Bank. 2016. *Transforming Partnership: People's Republic of China and Asian Development Bank, 2016–2020*. Manila.