



Initial Poverty and Social Analysis

July 2018

IND: Railways Track Electrification Project

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Asian Development Bank

INITIAL POVERTY AND SOCIAL ANALYSIS

Country:	India	Project Title:	Railways Track Electrification Project
Lending/Financing Modality:	Project Loan	Department/Division:	Private Sector Operations Department/ Infrastructure Division 1

I. POVERTY IMPACT AND SOCIAL DIMENSIONS

A. Links to the National Poverty Reduction Strategy and Country Partnership Strategy
 The project is consistent with the Midterm Review of Strategy 2020 of the Asian Development Bank (ADB), which identifies infrastructure development and private sector operations as two of the strategic priorities.^a It is aligned with two of the three development pillars that the country partnership strategy for India is based on: (i) boosting economic competitiveness to create more and better jobs, and (ii) addressing climate change and increasing climate resilience.^b The project is also aligned with ADB's Sustainable Transport Initiative, which defines a sustainable transport system as one that is accessible, safe, environmentally friendly, and affordable.^c

B. Poverty Targeting:
 General intervention Individual or household (TI-H) Geographic (TI-G) Non-income MDGs (TI-M1, M2, etc.)
 The project targets an increased number of passengers and more cargo transported by electric traction on the additionally electrified tracks and will include sections within the poor and low-income states of India.

C. Poverty and Social Analysis

1. Key issues and potential beneficiaries. The direct benefits to the communities living in the project area will be limited to employment opportunities during the construction phase, and in addition, the communities are expected to benefit from the improved air quality along the railway corridors because of the shift from diesel to electric power.
2. Impact channels and expected systemic changes. The project will result in overall economic growth and the creation of new and diverse employment opportunities driven by development.
3. Focus of (and resources allocated in) the transaction TA or due diligence. Due diligence will assess social safeguard impacts including compliance with ADB's Social Protection Strategy.

II. GENDER AND DEVELOPMENT

1. What are the key gender issues in the sector and/or subsector that are likely to be relevant to this project or program?
 The project benefits men and women equally. However, the project will explore participation of women in project-related employment as unskilled labor.

2. Does the proposed project or program have the potential to contribute to the promotion of gender equity and/or empowerment of women by providing women's access to and use of opportunities, services, resources, assets, and participation in decision making? Yes No
 The benefits to women will be of a generalized and indirect nature, and the nature of the project does not permit the inclusion of gender design features.

3. Could the proposed project have an adverse impact on women and/or girls or widen gender inequality?
 Yes No
 ADB will require borrower to include in the contracts provisions of equal opportunities and wages to women for engagement in project-related employment and report the same to ADB during project implementation and operation. The procurement documents for the project will require that the borrower and its contractors do not discriminate against women in their hiring processes, and the policies provide for equal wages for male and female workers, apart from provision of appropriate sanitary facilities at the sites and facilities for women workers and employees.

4. Indicate the intended gender mainstreaming category:
 GEN (gender equity) EGM (effective gender mainstreaming)
 SGE (some gender elements) NGE (no gender elements)

III. PARTICIPATION AND EMPOWERMENT

1. Who are the main stakeholders of the project, including beneficiaries and negatively affected people? Identify how they will participate in the project design.
 The main stakeholders in the project will include the borrower representatives, the state power transmission agencies, communities in the project area, elected representatives and community leaders, local government agencies, and representatives of government departments (including the forest department and district administration). Stakeholder consultations will be carried out as part of the preparation of the initial environmental examinations and resettlement plans for the individual subprojects.

2. How can the project contribute (in a systemic way) to engaging and empowering stakeholders and beneficiaries, particularly, the poor, vulnerable, and excluded groups? What issues in the project design require participation of the poor and excluded?

The safeguards frameworks for the project (environmental assessment and review framework, resettlement framework and the indigenous peoples planning framework) will be developed consistent with ADB SPS requirements. The frameworks will provide approaches to carry out stakeholder engagement in various phases of the project. As part of the due diligence, ADB will require that the borrower commits to carry out consultations in line with ADB Safeguard Policy Statement (2009) requirements for all subprojects to be taken up in the project.

3. What are the key, active, and relevant civil society organizations (CSOs) in the project area? What is the level of civil society organization participation in the project design?

Given that the subprojects are expected to be located within the railway lands, the level of civil society organizations' participation in the project design will be limited. However, for individual subprojects, consultations with relevant civil society groups will be undertaken, as required, to address any specific concerns related to subproject implementation.

Information generation and sharing (L) Consultation (M) Collaboration (N) Partnership (N)

4. Are there issues during project design for which participation of the poor and excluded is important? What are they and how should they be addressed? Yes No

The safeguard frameworks for the project will include guidance to the borrower on stakeholder consultations to be undertaken throughout the construction and operation of the project, provision of relevant project information and opportunities, share concerns about the project, and maintain a positive working relationship with communities, including any updates on economic development opportunities.

IV. SOCIAL SAFEGUARDS

A. Involuntary Resettlement Category A B C FI

1. Does the project have the potential to involve involuntary land acquisition resulting in physical and economic displacement? Yes No The railways electrification will be carried out along the existing tracks within the land owned by Indian Railways and is unlikely to result in physical or economic displacement impacts. No permanent land acquisition will be carried out for the transmission line alignments. The transmission line alignments will be finalized to avoid impacts on structures and permanent assets and minimize impacts on agricultural lands, forests and water bodies. Compensation for temporary damage to crops and trees will be paid at replacement costs to the landowners in case there are any.

2. What action plan is required to address involuntary resettlement as part of the transaction TA or due diligence process?

Resettlement plan Resettlement framework Social impact matrix
 Environmental and social management system arrangement None

B. Indigenous Peoples Category A B C FI

1. Does the proposed project have the potential to directly or indirectly affect the dignity, human rights, livelihood systems, or culture of indigenous peoples? Yes No

2. Does it affect the territories or natural and cultural resources indigenous peoples own, use, occupy, or claim, as their ancestral domain? Yes No

3. Will the project require broad community support of affected indigenous communities? Yes No

While no lands will be permanently acquired for the transmission lines and towers, there is a likelihood of certain sections of transmission lines leading to the railway tracks being located within areas inhabited by tribal communities, notified as scheduled areas. Along these stretches, the alignment will be finalized to minimize impacts on these tribal communities, and a vulnerability assessment of the households will be carried out.

4. What action plan is required to address risks to indigenous peoples as part of the transaction TA or due diligence process?

Indigenous peoples plan Indigenous peoples planning framework Social impact matrix
 Environmental and social management system arrangement None

V. OTHER SOCIAL ISSUES AND RISKS

1. What other social issues and risks should be considered in the project design?

(L) Creating decent jobs and employment Adhering to core labor standards (M) Labor retrenchment
 (L) Spread of communicable diseases, including HIV/AIDS Increase in human trafficking Affordability
 Increase in unplanned migration Increase in vulnerability to natural disasters Creating political instability
 Creating internal social conflicts Others, please specify _____

2. How are these additional social issues and risks going to be addressed in the project design?

On labor, the contractors will be required to provide all temporary facilities for workers—accommodation, storage of materials, and working area—and will ensure that labor and working conditions are in accordance with the agreed environmental management plan, monitored by the borrower.

On communicable diseases, the initial environmental examinations for the individual subprojects will include measures to avoid or mitigate risk related to the spread of communicable diseases in the project area.

VI. TRANSACTION TA OR DUE DILIGENCE RESOURCE REQUIREMENT

1. Do the terms of reference for the transaction TA (or other due diligence) contain key information needed to be gathered during transaction TA or due diligence process to better analyze (i) poverty and social impact, (ii) gender impact, (iii) participation dimensions, (iv) social safeguards, and (v) other social risks. Are the relevant specialists identified?

Yes No

2. What resources (e.g., consultants, survey budget, and workshop) are allocated for conducting poverty, social, and/or gender analysis, and participation plan during the transaction TA or due diligence? In addition to ADB staff, independent consultants will be commissioned to conduct social safeguard due diligence including labor and gender analysis.

^aADB. 2014. Midterm Review of Strategy 2020: Meeting the Challenges of a Transforming Asia and Pacific. Manila.

^bADB. 2017. Country Partnership Strategy: India, 2018–2022—Accelerating Inclusive Economic Transformation. Manila.

^cADB. 2010. Sustainable Transport Initiative. Manila.