



# Initial Poverty and Social Analysis

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June 2018

## Bangladesh: Multimodal Transport Project Preparatory Facility

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Asian Development Bank

**CURRENCY EQUIVALENTS**

(as of 15 May 2018)

Currency unit	–	taka (Tk)
Tk1.00	=	\$ 0.011888
\$1.00		Tk 84.1182

**ABBREVIATIONS**

TA – technical assistance

**NOTE**

- (i) In this report, "\$" refers to United States dollars.

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## INITIAL POVERTY AND SOCIAL ANALYSIS

Country:	Bangladesh	Project Title:	Multimodal Transport Project Preparatory Facility
Lending/Financing Modality:	Technical Assistance Loan	Department/ Division:	South Asia Department/Transport and Communications Division

### I. POVERTY IMPACT AND SOCIAL DIMENSIONS

#### A. Links to the National Poverty Reduction Strategy and Country Partnership Strategy

The Multimodal Transport Project Preparatory Facility supports the preparation of detailed designs and other preparatory works in the road, railway and port subsectors. The ensuing projects to be designed under the technical assistance (TA) loan are yet to be defined and may include rail and roads on arterial routes, as well as the development of port and container terminals. The TA loan will improve readiness of priority transport projects favoring regional and multi-regional connectivity. The total cost of the TA loan is US\$ 45 million. It is listed in ADB's Country Operations Business Plan 2017-2020 and is strongly aligned with the government's Seventh Five-Year Plan, (FY2016-FY2020), which aims at developing key transport links. It also supports the latest ADB Country Partnership Strategy 2016-2020 by promoting investments in sustainable transport.

#### B. Poverty Targeting

General Intervention  Individual or Household (TI-H)  Geographic (TI-G)  Non-Income MDGs (TI-M1, M2, etc.)

In spite of the considerable progress in poverty reduction — from 48.8% to 31.5 % between 2000 and 2010 — the incidence of poverty in Bangladesh is high, with a third of the population considered as poor and 17.6% as extremely poor. The TA loan will support poverty reduction by (i) generating additional employment opportunities, and (ii) providing cheaper and more reliable transport options through the selected ensuing projects, addressing the poor's mobility needs.

#### C. Poverty and Social Analysis

**1. Key issues and potential beneficiaries:** Key beneficiaries include Bangladesh Railway, the Roads and Highways Department, and Chittagong Port Authority. It also includes the future beneficiaries of the infrastructure projects that will be built as a result of the TA loan, such as local officials, residents along the selected corridors, port users, businesses and commercial services.

**2. Impact channels and expected systemic changes.** The TA loan will contribute to economic development and poverty reduction by contributing to infrastructure and connectivity development, which improves basic access to markets and employment as well as administrative, health and educational facilities.

**3. Focus of (and resources allocated in) the transaction TA or due diligence.** The TA loan includes the preparation of the social impact assessments, social safeguard documents and poverty and gender analysis of each subproject. The gender assessments will be guided by the ADB Gender Toolkit: Transport (2013).

**4. Specific analysis for policy-based lending.** N/A

### II. GENDER AND DEVELOPMENT

#### 1. What are the key gender issues in the sector/subsector that are likely to be relevant to this project or program?

Sex-disaggregated data of socioeconomic indicators relevant to the ensuing projects will be collected, with a focus on the needs, demands, and constraints faced by women. An assessment of their equitable access to the benefits and opportunities associated with the ensuing projects will be conducted as part of the gender analysis to be conducted for each ensuing project.

#### 2. Does the proposed project or program have the potential to make a contribution to the promotion of gender equity and/or empowerment of women by providing women's access to and use of opportunities, services, resources, assets, and participation in decision making?

Yes  No

Gender mainstreaming measures will be integrated into the ensuing projects' design based on the findings of the gender analyses.

**3. Could the proposed project have an adverse impact on women and/or girls or widen gender inequality?**

Yes  No

As the TA loan involves the preparation of studies, detailed designs and social impact and safeguard documents, the project does not have adverse gender impacts.

**4. Indicate the intended gender mainstreaming category:**

GEN (gender equity)  EGM (effective gender mainstreaming)  
 SGE (some gender elements)  NGE (no gender elements)

Given the requirement to conduct a gender impact assessment for each ensuing project, the TA loan is categorized as SGE.

**III. PARTICIPATION AND EMPOWERMENT**

**1. Who are the main stakeholders of the project, including beneficiaries and negatively affected people? Identify how they will participate in the project design.** The key project stakeholders include project beneficiaries and people affected by the ensuing project. The TA loan will conduct an in-depth social impact assessment for each subproject.

**2. How can the project contribute (in a systemic way) to engaging and empowering stakeholders and beneficiaries, particularly, the poor, vulnerable and excluded groups? What issues in the project design require participation of the poor and excluded?** During the preparation of the social impact assessments for the ensuing projects, key stakeholders will be consulted to ensure that their concerns are taken into account in the detailed designs. In-depth discussions with particular groups, such as women, the vulnerable and persons affected by the specific ensuing projects will be an integral part of the consultation process. Issues such as social impacts, design features, transport services and safety perception (including harassment) will be discussed during this process. Mitigation measures will also be proposed and integrated into the detailed design.

**3. What are the key, active, and relevant civil society organizations in the project area? What is the level of civil society organization participation in the project design?**

H Information generation and sharing M Consultation L Collaboration L Partnership

At this stage, the key civil society organizations of the ensuing projects have not been identified. However, civil society participation will be actively sought during the preparation of the social impact assessment and poverty, social and gender analysis.

**4. Are there issues during project design for which participation of the poor and excluded is important? What are they and how shall they be addressed?**  Yes  No

Workshops, community mobilization, and consultations with the vulnerable and the poor who will be affected by the ensuing projects are required to identify the appropriate mitigation measures.

**IV. SOCIAL SAFEGUARDS**

**A. Involuntary Resettlement Category**  A  B  C  FI

**1. Does the project have the potential to involve involuntary land acquisition resulting in physical and economic displacement?**  Yes  No

As per the ADB's Safeguard Policy Statement (2009), the project is categorized C for involuntary resettlement. The project involves the preparation of detailed designs and as such does not lead to resettlement impacts. The preparation of detailed design will include an assessment of the ensuing projects' involuntary resettlement impacts and the preparation of safeguard documents.

**2. What action plan is required to address involuntary resettlement as part of the PPTA or due diligence process?**

Resettlement plan  Resettlement framework  Social impact matrix  
 Environmental and social management system arrangement  None

**B. Indigenous Peoples Category**  A  B  C  FI

**1. Does the proposed project have the potential to directly or indirectly affect the dignity, human rights, livelihood systems, or culture of indigenous peoples?**  Yes  No

As per the ADB's Safeguard Policy Statement (2009), the project is categorized C for indigenous peoples. The project involves the preparation of detailed designs and as such does not lead to adverse social impacts on indigenous peoples. The preparation of detailed design will look into the ensuing projects' impacts on indigenous peoples.

**2. Does it affect the territories or natural and cultural resources indigenous peoples own, use, occupy, or claim, as their ancestral domain?**  Yes  No

**3. Will the project require broad community support of affected indigenous communities?**  Yes  No

**4. What action plan is required to address risks to indigenous peoples as part of the PPTA or due diligence process?**

Indigenous peoples plan  Indigenous peoples planning framework  Social Impact matrix  
 Environmental and social management system arrangement  None

## V. OTHER SOCIAL ISSUES AND RISKS

### 1. What other social issues and risks should be considered in the project design?

Creating decent jobs and employment   
 Adhering to core labor standards   
 Labor retrenchment  
 Spread of communicable diseases, including HIV/AIDS   
 Increase in human trafficking   
 Affordability  
 Increase in unplanned migration   
 Increase in vulnerability to natural disasters   
 Creating political instability  
 Creating internal social conflicts   
 Others, please specify: Rail and road safety

Indicate high (H), medium (M), low (L) for selected boxes

### 2. How are these additional social issues and risks going to be addressed in the project design?

The TA loan will finance the detailed design of several ensuing projects. It will not directly cause social impacts. However, the detailed design preparation will include a full social impact assessment, of the risks associated with the infrastructures for which detailed designs are prepared. These impacts include but are not limited to the spread of HIV/AIDS and sexually transmitted infections, the increase of human trafficking, compliance with core labor standards and personal as well as road and rail crossing safety.

## VI. PPTA OR DUE DILIGENCE RESOURCE REQUIREMENT

### 1. Do the terms of reference for the PPTA (or other due diligence) contain key information needed to be gathered during PPTA or due diligence process to better analyze (i) poverty and social impact; (ii) gender impact, (iii) participation dimensions; (iv) social safeguards; and (v) other social risks. Are the relevant specialists identified?

Yes                       No

The terms of reference for the detailed designs will include the preparation of social safeguards documents on involuntary resettlement and indigenous peoples, social impact assessments, poverty and social analyses as well as gender assessments.

### 2. What resources (e.g., consultants, survey budget, and workshop) are allocated for conducting poverty, social and/or gender analysis, and participation plan during the PPTA or due diligence

Social development and gender experts will be hired as part of the preparation of the detailed designs to conduct the social impact due diligence and poverty and gender analysis. The ADB Social Development Specialist in SATC will review the associated safeguards and social assessments.