



Technical Assistance Report

Project Number: 49173
Project Preparatory Technical Assistance (PPTA)
October 2015

Mongolia: Preparing the Improving Access to Health Services for Disadvantaged Groups Project (Financed by the Japan Fund for Poverty Reduction)

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Asian Development Bank

PROJECT PREPARATORY TECHNICAL ASSISTANCE

A. Justification

1. The project preparatory technical assistance (TA) is needed to (i) reach a consensus among stakeholders on a project that will expand health services primarily in *ger* (traditional tent) areas of Ulaanbaatar, and (ii) bring in international best practices to improve project design.

B. Major Outputs and Activities

2. The TA will develop an appropriate project design and all Asian Development Bank (ADB) required documents for Board consideration. The expected TA outputs are (i) urban primary health care strengthened, (ii) district hospital services improved, (iii) single purchaser of health services established, and (iv) project development and management. There will be two participatory and consultative stakeholder workshops, and small-scale surveys and focus group discussions organized under the TA with the Ministry of Health and Sports (MOHS).

3. The major outputs and activities are summarized in Table A3.1.

Table A3.1: Summary of Major Outputs and Activities

Major Activities	Expected Completion Date	Major Outputs	Expected Completion Date
Initial assessment	IV Feb 2016	Inception report	IV Feb 2016
First participatory workshop	I March 2016	Workshop report	II March 2016
Inception mission	I March 2016	Inception MOU	II March 2016
Second participatory workshop	I May 2016	Workshop report	II May 2016
Midterm review mission	I May 2016	Midterm review MOU and midterm report	II May 2016
Final review mission/tripartite mission	IV June 2016	Final review MOU and draft final report	IV June 2016

MOU = memorandum of understanding.

Source: Asian Development Bank.

C. Cost Estimate and Proposed Financing Arrangement

4. The TA is estimated to cost \$1,100,000 equivalent, of which \$1,000,000 equivalent will be financed on a grant basis by the Japan Fund for Poverty Reduction, and administered by ADB. The government has agreed to provide \$100,000 counterpart support in the form of office accommodation, venues for workshops and training, communication facilities for consultants, remuneration and per diem of counterpart staff, administrative support, and other in-kind contributions. The detailed cost estimate is presented in Table A3.2.

Table A3.2: Cost Estimates and Financing Plan
(\$'000)

Item	Total Cost
Japan Fund for Poverty Reduction^a	
1. Consultants	
a. Remuneration and per diem	
i. International consultants (26 person-months)	590.0
ii. National consultants (32 person-months)	160.0
b. International and local travel	85.0
c. Reports and communications	5.0
2. Equipment (computer, printer, etc.) ^b	10.0
3. Workshops, training, seminars, and conferences ^c	
a. Workshop	6.0
b. Training programs	9.0
4. Surveys	20.0

Item	Total Cost
5. Miscellaneous administration and support costs ^d	20.0
6. Representative for contract negotiations	5.0
7. Contingencies	90.0
Total	1,000.0

Note: The total cost of the technical assistance (TA) amounts to an equivalent of \$1,100,000, of which proposed contributions from the Japan Fund for Poverty Reduction are presented in the table above. The government will provide counterpart support in the form of office accommodation, venues for workshops and training, communication facilities for consultants, remuneration and per diem of counterpart staff, administrative support, and other in-kind contributions.

^a Administered by the Asian Development Bank.

^b Equipment (will be at the disposal of the consultants during TA implementation and turned over to the executing agency upon completion of TA activities).

Type	Quantity	Cost
Computer	4	\$4,000
Printer	2	1,000
Photocopier	1	4,000
Small office equipment	Various	1,000

^c Workshops, training, seminars, and conferences.

Purpose	Venue
Two participatory planning	Ulaanbaatar
Training on health sector reforms	Ulaanbaatar
Final workshop	Ulaanbaatar

^d Includes translation and interpretation for special events as approved by the Asian Development Bank.

Source: Asian Development Bank.

D. Consulting Services

5. ADB will engage a consulting firm to provide a team of national consultants (32 person-months) and international consultants (26 person-months), and a national environmental firm. The consulting firm will be recruited in accordance with ADB's Guidelines on the Use of Consultants (2013, as amended from time to time) using a simplified technical proposal and quality- and cost-based selection with a ratio of 90:10. The national environmental firm will be recruited through consultants' qualifications selection. The outline terms of reference for the project preparatory TA consultants are described in paras. 8 to 19.

Table A3.3: Summary of Consulting Services Requirement

Positions	International Person-months	National Person-months
Senior health systems specialist and team leader	4.0	5.0
Health services delivery specialist	3.0	4.0
Public-private partnership expert in health	2.0	3.0
Health sector planning specialist	3.0	3.0
Senior health insurance expert	3.0	4.0
Poverty, social, and gender specialist	1.5	2.0
Financial and economic specialist	2.0	3.0
Procurement specialist	2.0	0
Environment specialist	1.5	0
National accredited environmental firm (lumpsum)		
Health facility planner	4.0	4.0
Interpreter and translator		4.0
Total	26.0	32.0

Source: Asian Development Bank.

6. **Senior health systems specialist and team leader** (international, 4.0 person-months). The international consultant will have a postgraduate degree in medicine, public health, or a related field. S/he will demonstrate 15 years of experience in health systems, hospital and project development, and team leadership. S/he will lead the team of consultants, submit all

required TA outputs of the team of consultants, and design and document an appropriate project in line with ADB requirements.

7. **Health services delivery specialist** (international, 3.0 person-months). The international consultant will have a postgraduate degree in medicine, public health, or a related field. S/he will demonstrate 10 years of experience in health systems and primary health care (PHC) development. S/he will prepare a situation and gap analysis of urban PHC and design the project for output 1, including innovative model family health centers.

8. **Public-private partnership expert in health** (international, 2.0 person-months). The international consultant will have a postgraduate degree in economics or business management, or a related field. S/he will demonstrate 10 years of experience in public-private partnership (PPP) in social sectors, preferably in the health sector. S/he will provide intensive training on private sector engagement and PPP for MOHS and Ulaanbaatar City Health Department, prepare a capacity development plan in private health sector management and PPP for MOHS and Ulaanbaatar City Health Department, and identify and design PPP approaches in the primary care and hospital sector.

9. **Health sector planning specialist** (international, 3.0 person-months). The international consultant will have a postgraduate degree in public health or a related field. S/he will demonstrate 10 years of experience in health planning and systems development, including strong information and technology expertise in the area of health planning. S/he will assess current health planning methods, design a technology-based planning tool, and provide training.

10. **Senior health insurance expert** (international, 3.0 person-months). The international consultant will have a postgraduate degree in health economics, financing, or a related field. S/he will demonstrate 10 years of experience in health financing and health insurance development, and an excellent understanding of public finance systems. S/he will identify the legal and regulatory changes, prepare a capacity development plan, and develop a plan for pooling of state and health insurance funding.

11. **Poverty, social, and gender specialist** (international, 1.5 person-months). The international specialist will have a postgraduate degree in social sciences or related fields with 8 years of relevant experience. S/he will carry out a poverty and social analysis (PSA) in accordance with ADB requirements, including a gender analysis to identify project-related gender gaps and disparities.¹ A summary poverty reduction and social strategy, and a social and gender action plan will be prepared.

12. **Financial and economic specialist** (international, 2.0 person-months). The international specialist will have a graduate degree in business, finance, or economics and will have 10 years of experience in project financial and economic analysis. S/he will conduct a financial and economic analysis of the project, prepare detailed cost tables; and undertake financial management capacity assessment of MOHS and the implementing agency for output 3.

13. **Procurement specialist** (international, 2.0 person-months). The specialist will have 8 years of experience in international procurement. S/he will prepare the project procurement plan, undertake a procurement capacity assessment, prepare draft procurement bidding documents, and prepare consultant recruitment documentation.

¹ ADB. 2012. *Handbook on Poverty and Social Analysis: A Working Document*. Manila.

14. **Environment specialist** (international, 1.5 person-months). The international specialist will have 10 years of experience in conducting project environmental impact assessment. Experience in Mongolia is a plus. S/he will coordinate preparation of domestic environmental safeguard requirements, and prepare an initial environmental examination, including environmental safeguard due diligence and an environmental management plan in English that follows ADB's Safeguard Policy Statement (2009) and the International Finance Corporation's (World Bank Group) Environmental, Health, and Safety (EHS) Guidelines (2007); and responds to Mongolia safeguards requirements.²

15. **Health facility planner** (international, 4.0 person-months). The expert will be an experienced health facility planner with at least 10 years of experience in the establishment of modern PHC facilities and hospitals. S/he will conceptualize and design model family health centers and district hospitals while ensuring compliance with universal design, and develop downstream terms of reference.

16. The national experts will (i) work in tandem with the international specialists to assist in all tasks under the international experts' terms of reference, and (ii) act as interpreters and translate documents as required. The national consultants will have at least 8 years of experience in their respective area of assignment.

17. **National accredited environmental firm** (lumpsum). The firm will be an environmental accredited and reputable local firm recruited hired through a consultants' qualifications selection to prepare a request for a general environmental impact assessment and prepare a detailed environmental impact assessment as required.

E. Implementation Arrangements

18. The TA will be implemented over 14 months from 1 November 2015 to 31 December 2016. MOHS will be the executing agency for the TA. No project management unit will be established. The proceeds of the TA will be disbursed in accordance with ADB's *Technical Assistance Disbursement Handbook* (2010, as amended from time to time). The TA equipment will be procured in accordance with ADB's Procurement Guidelines (2015, as amended from time to time) and transferred to the executing agency upon TA completion.

19. The proposed TA processing and implementation schedule is listed in Table A3.4.

Table A3.4: Technical Assistance Processing and Implementation Schedule

Milestones	Expected Completion Date
Recruitment of TA consulting firm	IV January 2016
TA consultants fielded	II February 2016
TA inception mission	I March 2016
TA midterm review mission	I May 2016
TA tripartite meeting and loan fact-finding mission	IV June 2016
TA physical completion	IV December 2016
TA financial closure	IV January 2017

TA = technical assistance.

Source: Asian Development Bank.

² ADB. 2009. *Safeguard Policy Statement*. Manila; International Finance Corporation (World Bank Group). 2007. *Environmental, Health, and Safety (EHS) Guidelines*. <http://www.ifc.org/wps/wcm/connect/554e8d80488658e4b76af76a6515bb18/Final+-+General+EHS+Guidelines.pdf?MOD=AJPERES>