

PROCUREMENT PLAN

Basic Data

Project Name: Improving School Dormitory Environment for Primary Students in Western Region Project	
Project Number: 49168-001	Approval Number: 9182
Country: Mongolia	Executing Agency: Ministry of Education, Culture and Science
Project Financing Amount: US\$ 3,380,000 ADB Financing: Cofinancing (ADB Administered): US\$ 3,000,000 Non-ADB Financing: US\$ 380,000	Implementing Agency: N/A
	Project Closing Date: 19 October 2017
Date of First Procurement Plan: 26 November 2015	Date of this Procurement Plan: 6 June 2016, Version 1

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 2,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,001 and US\$ 1,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 100,000	The first shopping is subject to prior review, thereafter post review.
International Competitive Bidding for Works	US\$ 5,000,000 and Above	
National Competitive Bidding for Works	Between US\$ 100,001 and US\$ 4,999,999	All NCBs are subject to prior review
Shopping for Works	Up to US\$ 100,000	

Consulting Services	
Method	Comments
Consultant's Qualification Selection for Consulting Firm	Prior Review
Individual Consultants Selection for Individual Consultant	Prior Review

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
None							

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS01	Engineering firm for drawings (20 school	100,000.00	CQS	Prior	Q3 / 2015	BTP	Assignment: National

dormitories)							
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4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
CW01	Civil works (repair/rehabilitation of buildings, repair/installation of WASH facilities) (10 lots)	900,000.00	10	NCB	Prior	1S1E	Q2 / 2017	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: NCB bidding documents for works
	Lot 1: Lot 1	90,000.00						
	Lot 2: Lot 2	90,000.00						
	Lot 3: Lot 3	90,000.00						
	Lot 4: Lot 4	90,000.00						
	Lot 5: Lot 5	90,000.00						
	Lot 6: Lot 6	90,000.00						
	Lot 7: Lot 7	90,000.00						
	Lot 8: Lot 8	90,000.00						
	Lot 9: Lot 9	90,000.00						
	Lot 10: Lot 10	90,000.00						
GS01	PIU ICT equipment (including accounting software)	15,000.00	3	SHOPPING	Prior		Q2 / 2016	Comments: Prior (the first contract)

								under shopping)
GS02	PIU furniture	3,000.00	1	SHOPPING	Prior		Q2 / 2016	Comments: Prior (the first contract under shopping)
GS03	Equipment (kitchen, laundry, etc.)	20,000.00	1	SHOPPING	Post		Q3 / 2017	
GS04	Furniture (beds, tables, chairs, wardrobe, trash cans, bookshelves, etc.)	25,000.00	1	SHOPPING	Post		Q3 / 2017	
GS05	Books and other teaching-learning materials	30,000.00	1	SHOPPING	Post		Q3 / 2016	
GS06	Materials for extracurricular activities (musical instruments, etc.)	30,000.00	1	SHOPPING	Post		Q4 / 2017	
GS07	Equipment (kitchen, laundry, etc.)	20,000.00	1	SHOPPING	Post		Q3 / 2017	
GS08	Furniture (beds, tables, chairs, wardrobe, trash cans, bookshelves, etc.)	25,000.00	1	SHOPPING	Post		Q3 / 2017	

Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
CS02	Construction engineer (Gobi-Altai)	35,000.00	1	ICS	Prior	Q1 / 2017		Assignment: National Expertise: Engineering
CS03	Construction engineer (Uvs 1)	35,000.00	1	ICS	Prior	Q1 / 2017		Assignment: National

								Expertise: Engineering
CS04	Construction engineer (Uvs 2)	35,000.00	1	ICS	Prior	Q1 / 2017		Assignment: National Expertise: Engineering
CS05	Construction engineer (Zavkhan)	35,000.00	1	ICS	Prior	Q1 / 2017		Assignment: National Expertise: Engineering
CS06	Environment specialist	22,363.00	1	ICS	Prior	Q2 / 2016		Assignment: National Expertise: Environment
CS07	Dormitory equipment and furniture specialist	10,000.00	1	ICS	Prior	Q1 / 2017		Assignment: National Expertise: Interior Design
CS08	School dormitory staff policy and management specialist	20,000.00	1	ICS	Prior	Q2 / 2016		Assignment: National Expertise: Education
CS09	Education finance specialist	30,000.00	1	ICS	Prior	Q3 / 2016		Assignment: National Expertise: Education Economics
CS10	Social development specialist	35,000.00	1	ICS	Prior	Q2 / 2016		Assignment: National Expertise: Social Sciences
CS11	Legal specialist	15,000.00	1	ICS	Prior	Q3 / 2017		Assignment: National Expertise: Law

CS12	Nutritionist	10,000.00	1	ICS	Prior	Q2 / 2016		Assignment: National Expertise: Nutrition
CS13	Education economist	44,000.00	1	ICS	Prior	Q3 / 2017		Assignment: International Expertise: Education Economics
CS14	Project management specialist	44,000.00	1	ICS	Prior	Q4 / 2016		Assignment: International Expertise: Project Management
CS15	Architect Engineer (for design and drawings)	35,000.00	1	ICS	Prior	Q2 / 2016		Assignment: National Expertise: Architect Engineer (for design and drawings)

B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
CW02	Civil works (repair/rehabilitation of buildings, repair/installation of WASH facilities) (10 lots)	900,000.00	10	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Others Comments: Q1/2018, NCB bidding documents for works
	Lot 1: Lot 1	90,000.00					

	Lot 2: Lot 2	90,000.00					
	Lot 3: Lot 3	90,000.00					
	Lot 4: Lot 4	90,000.00					
	Lot 5: Lot 5	90,000.00					
	Lot 6: Lot 6	90,000.00					
	Lot 7: Lot 7	90,000.00					
	Lot 8: Lot 8	90,000.00					
	Lot 9: Lot 9	90,000.00					
	Lot 10: Lot 10	90,000.00					

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
None							

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
None							

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall be those set forth in the Public Procurement Law of Mongolia of 1 December 2005, effective 1 February 2006, as amended in February 2007; July 2009; and February, June and December 2011 (hereinafter referred to as PPLM), with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Eligibility [Recommended standard provision]

2. The eligibility of bidders shall be as defined under section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in section I of the Guidelines, **as amended from time to time**.

3. Government-owned enterprises in Mongolia shall be eligible for projects only if they can establish that they: (i) are legally and financially autonomous; (ii) operate under the principles of commercial law; and (iii) are not dependent agencies of the Borrower, Beneficiary, Recipient Executing Agency and/or the Implementing Agency.

2. Participation of Foreign Bidders

4. International bidders from eligible countries of ADB shall be allowed to participate in local procurement and may not be denied participation due to nationality.

3. Preferences

5. No domestic preference shall be given for domestic bidders and for domestically manufactured goods.

4. Prequalification and Registration

6. Prequalification is discouraged for procurement contracts using NCB. When used, particularly for works contracts, an individual prequalification exercise is acceptable for each contract as is the use of a registration system (or approved standing list) of contractors based on criteria such as experience, financial capacity, and technical capacity. Foreign bidders from eligible countries must, however, be allowed to register and to bid without unreasonable cost or additional requirements.

5. Rejection of All Bids and Rebidding

7. All bids shall not be rejected or new bids invited without ADB's prior written concurrence.

8. No bid shall be rejected merely on the basis of a comparison with the estimated cost or budget ceiling without ADB's prior written concurrence (with specific reference to Article 30 of the PPLM).

C. Bidding Documents

6. Use of Bidding Documents

9. National Standard Bidding Documents Goods and Works that have been approved for procurement in ADB-financed projects shall be used.

7. Language

10. Bidding documents may be prepared in other languages, but a copy of the bidding documents must be made available in English and submitted to ADB for review.

8. Bid Validity

11. Bidders shall be requested to extend the validity of their bids only under exceptional circumstances and the Executing or Implementing Agency, as the case may be, shall communicate such request for extension to all bidders before the date of expiry of their bids. When the procurement is subject to ADB's prior review, the Executing or Implementing Agency, as the case may be, shall obtain in a timely manner the prior written concurrence of ADB for the extension of the bid validity period.

9. Bid Evaluation

12. Evaluation and qualification criteria, and submission requirements, to be used in each bidding activity shall be clearly specified in the bidding documents. The evaluation of bids shall be done in strict adherence to the criteria specified in the bidding documents.

13. Negotiations with bidders shall not be undertaken before award of contract, except as provided in Paragraph 2.63 of ADB's Procurement Guidelines. A bidder shall not be required, as a condition for award, to undertake obligations not specified in the bidding documents or otherwise to modify its bid as originally submitted.

14. At the same time that notification on award of contract is given to the successful bidder, the results of the bid evaluation shall be posted on a well-known freely accessible website (namely Mongolia's Ministry of Finance e-procurement website: www.e-procurement.mn) identifying the bid and lot numbers and providing information on the: (i) name of each bidder that submitted a bid; (ii) bid prices as read out at bid opening; (iii) names of bidders whose bids were rejected and the reasons for their rejection; and (iv) name of the winning bidder, and the price it offered, as well as the duration and summary scope of the contract awarded. The Executing Agency or Implementing Agency, as the case may be, shall respond in writing to unsuccessful bidders who seek explanations on the grounds on which their bids were not selected.

10. ADB Policy Clauses

15. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

16. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

17. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

