

Resettlement Planning Document

Project Number: 47229-001 July 2016

IND: Uttarakhand Emergency Assistance Project

Sub Project : Due Diligence Report (social) internal roads of Bageshwar district

Submitted by

Uttarakhand Emergency Assistance Project (Roads & Bridges), Government of Uttarakhand, Dehardun

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Asian Development Bank



OFFICE OF PROGRAM MANAGER S Grs Preshuha

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Letter No: - 1269 / 02 /UEAP/PWD/2016-17 To

> Country Director, South Asia Department, India Resident Mission, 4 San Martin Marg, Chanakyapuri, New Delhi-110021, India.

Dated: 12/07/2016 ASIAN DEVELOPMENT BANK INRM 13 JUL 2016 OU 54 RECEIVED

for logger p

Subject: ADB Loan-3055 IND, UEAP (R&B) Re-Submission of revised Due Diligence Reports (DDRs) of Almora, Nainital, Pithoragarh and Bageshwar Districts for ADB's approval.

Madam,

Reference to the subject matter kindly find enclosed revised Due Diligence Reports (DDRs) of Almora, Nainital, Pithoragarh and Bageshwar Districts for the following subprojects for ADB's approval.

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1	UEAP/PWD/C-2	-	Almora Basseline Name
_		1.1	Almora-Bageshwar Motor Road
2	UEAP/PWD/C-6	-	Ranikhet-Mohan Motor Road
3	UEAP/PWID/C-48	-	NTD Kafarkhan- Dhaulchinna Motor Road
4	UEAP/PWD/C-60		Jalikhan-Nobara Motor Road
			Kosi-Daulaghat Motor Road (Km 1 to 36)
5	UEAP/PWD/C-61	2	Dwarhat-Binta Someshwer Motor Road (Km 17 to 32)
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7	UEAP/PWD/C-63	-	Ganai-Jorasi Motor Road (Km 1 to 24)
8	UEAP/PWD/C-64	-	Artola Jageshwer Naini Motor Road (Km 6 to 20)
9	UEAP/PWD/C-70	-	Kitallia Kanikhet Motor Road (Km 1 to 20)
10	UEAP/PWD/C-76	-	Marchula-Saraikhet-Bajiro-Pokhra Sotauti Mata D
		1	
1	UEAP/PWD/C-1	-	The second of the second of the second secon
6.1	in the second second	1	Kaladhungi-Kotabagh-Belpadav Road
-			Nainital-Kaladhungi- Bajpur Doraha Road
2	UEAP/PWD/C-3	1	Betalghat-Bhatrojkhan
			Nathuwakhan-Suyalbari
3	UEAP/PWD/C-73	-	
4		1	Ratighat-Betalghat Motor Road (Km 1 & 16 to 33)
4	UEAP/PWD/C-74	1	Ranibag-Bhimtal-Khutani Badamani Mari
5	LIP + P In sec.	Nainital	Road (Km. 7 & 12 to 61) Motor
5	UEAP/PWD/C-82A	E.	
6	TIP + D mon	N2a	Reconstruction of Ramnagar-Kaladungi-Haldwani-Kathgodam- Chorgaliya-Sitarganj-Bijti Motor Road (km 5.00 to 14.00
0	UEAP/PWD/C-82B		Reconstruction of Ramnagar-Kaladungi Heldungi Katt
7			Reconstruction of Ramnagar-Kaladungi-Haldwani-Kathgodam- Chorgaliya-Sitarganj-Bijti Motor Road (km 18.00 to 24.00 & km 29
/	UEAP/PWD/C-83A		Ramnagar Kaladungi Hald
8	UP A D/DUID IS		Reconstruction of Ramnagar-Kaladungi-Haldwani-Kathgodam- Chorgaliya-Sitarganj-Bijti Motor Road (km 66.00 to 76.00
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9 1	IEA DOWN		Chorgaliya-Sitargani-Biiti Motor Road (km 77.00 to 87.20.00
	UEAP/PWD/C-89		(Tousedon/ Treatment Work on Chronic land alida and alida
-			Bhowali Motor Road km 1.00)



1	UEAP/PWD/C-12	4	Satsiling Thal Motor Road
		agarh	Reconstruction of internal road of Nagar Panchayat Dharchula
2	UEAP/PWD/C-46	19	Seraghat-Udiyaribend
	UEAP/PWD/C-75	Pith	(Reconstruction of internal road of Nagar Panchayat Munsiyari)
I	UEAP/PWD/C-26	ar	Bageshwar-Kapkot-Sama-Tejam
2	UEAP/PWD/C-47	Bageshw	Kalnabend-Pantkwerali Motor Road
		Ba	Baijnath-Gwaldam Motor Raod

Enclosed: As Above

Chief Engineer PIU (R&B), UEAP Dehradun 16

Yours Sincerely

Copy To:-

- Program Director, PMU, UEAP (R&B) Dehradun.
 Program Manager, PIU(R&B), UEAP Dehradun.
 Mr. Prabhash Sahu, Project Officer, INRM, New Delhi.
 Dr. Shermila Sinch, Saferuarda (Seconda 1990)
- 4. Dr. Sharmila Singh, Safeguards officer, ADB.

Due Diligence Report of Bageshwar District of Uttarakhand, 2016, DSC-3 PIU (R&B), Uttarakhand



UTTARAKHAND EMERGENCY ASSISTANCE PROJECT



ADB LOAN NO. 3055 IND

JULY 2016

DUE DILIGENCE REPORT (Social)

INTERNAL ROADS OF BAGESHWAR DISTRICT

DESIGN & SUPERVISION CONSULTANT, DSC-3 (R&B) FOR RESTORATION OF ROADS IN DISTRICT BAGESHWAR IN KUMAUN ZONE IN UTTARAKHAND



Sr. No	Sub – Project No Sub – Project Name	
1	UEAP/PWD/C-26	Bageshwar-Kapkot-Sama-Tejam
2	UEAP/PWD/C-47	Kalnabend-Pantkwerali
		Baijnath-Gwaldam

List of Sub-projects of Bageshwar District

Project Fact Sheet

Funded by	Asian Development Bank (ADB)
Loan Details	3055 – IND Uttarakhand Emergency Assistance Project (UEAP)
Sub Project	Roads and Bridges (R&B), Design and Supervision Consultant (DSC-3) Kumaun Region: Subproject UEAP/PWD/C- 26 and 47
Executing Agency	State Disaster Management Authority (SDMA), Govt. of Uttarakhand (GoUK).
Implementing Authority	Project Implementation Unit (PIU)- Roads & Bridges, Department, Govt. of Uttarakhand

PREPARATION OF REPORT

Prepared by:	Verified by:
मिकाड्या गाडियका	Je
Mr. Nitin Shitole	Mr K. S. Verma
Social Expert	Team Leader
Design and Supervision Consultant (PWD/UEAP/DSC-3	Design and Supervision Consultant (PWD/UEAP/DSC-3
Garhwal and Kumaun Region) for Uttarakhand Emergency	Garhwal and Kumaun Region1) for Uttarakhand Emergency
Assistance Project (UEAP)	Assistance Project (UEAP)
Eurostudios S.L Spain and Theme Engineering Services Pvt.	Eurostudios S.L Spain and Theme Engineering Services Pvt. Ltd, Near S.T.Stand, Boradi 9New Tehari)
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(Rajsthan)	Email: dsc3tehri2014@rediffmail.com
	Tel: +919568366914
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Tel: +911412553987	
Date: May, 2016	

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CURRENCY EQUIVALENTS Currency unit – Indian Rupees (INR)

(As of Jan, 2016) INR1.00 = \$ 0.01 \$1.00 = INR 67.99 ABBREVIATIONS

ADB: CREDA: DP: EE: DPR: DSC: EA:	Asian Development Bank Centre for Rural and Ecological Development Displaced Person Executive Engineer Detailed Project Report Design and Supervision Consultant Executive Agency
GoU:	Government of Uttarakhand
GRC:	Grievance Redressal Committee
IA:	Implementing Agency
IRC:	Indian Road Congress
MDR:	Major District Road
MoRT&H:	Ministry of Road Transport and Highways
NGO:	Non-Governmental Organisation
PC:	Public Consultation
PIU:	Project Implementation Unit
PMU:	Project Management Unit
PWD:	Public Works Department
RF:	Resettlement Framework
RP:	Resettlement Plan
SE:	Superintending Engineer
RoW:	Right of Way
SH:	State Highway
SPS:	Safeguard Policy Statement
UEAP:	Uttarakhand Emergency Assistance Project

I. Introduction

A. Background

1. The State of Uttarakhand suffered from major cloud bursts, incessant rains and major floods in the upper valleys during 15-17 June 2013 that resulted in severe damages in several parts of Uttarakhand. The districts of Chamoli, Rudraprayag and Pauri were directly affected by this disaster. These regions are among the country's most important pilgrimage centres and the calamity occurred during the peak pilgrimage season. Several roads and bridges have been washed away by the unprecedented flash floods and landslides. A large number of houses, public buildings, roads and bridges, urban and rural infrastructure has been damaged. To restore and rebuild the damaged infrastructures, especially the road connectivity network in the state, the Uttarakhand Emergency Assistance Project (UEAP) has been taken up which envisages rehabilitation and reconstruction of state roads and bridges, tourism infrastructure (including construction of helipads and trekking routes), urban roads and buildings.

2. Through the implementation of the UEAP the expected outcome is economic and social recovery from the disaster in Uttarakhand State.

3. Broadly, the works in Kumaun region comprise restoration of the road to its original shape with the clearance of slip and construction of retaining and breast walls, drains, crash barriers and improvement of riding surface by resurfacing through cement concrete and BM/SDBC. These will be constructed as per Ministry of Road Transport and Highways (MORD/ MoRT&H) Specifications and as per the Indian Road Congress (IRC) guidelines. The Program will improve road connectivity and will improve the quality of life of the poor in the project influenced areas. The strengthening and rehabilitation of road will be restricted to affected lengths and alignments of proposed subprojects.

B. Need of the Sub-project

4. The subprojects of Bageshwar district under consideration has been taken up for restoring the damaged road network within the rural as well urban limits of Bageshwar district. This road subproject provides connectivity to internal areas of Bageshwar district. After its restoration local people from various parts of the district will be well connected with the mainstream.

5. Bageshwar District is a district of Uttarakhand state in northern India. The town of Bageshwar is the district headquarters. Bageshwar district is in the eastern Kumaun region of Uttarakhand, and is bounded on the west and northwest by Chamoli District, on the northeast and east by Pithoragarh District, and on the south by Almora District. As of 2011 census it is the third least populous district of Uttarakhand.

6. Restoration and reconstruction of affected roads is the need of people of Bageshwar district where people and tourist can safely move. Restoration and reconstruction also help in restoring livelihood of local people, especially road side small and medium businessmen and vendors and squatters.

C. Location of the Sub-projects

7. The subproject roads passes majorly through human settlements with a varying formation width (see Figure 1). The subproject roads also passes through hilly terrain where landslide and slip occurs especially during monsoon season.







Bageshwar-Kapkot-Sama-Tejam C-26

Kalnabend-Pantkwerali C-47



Baijnath-Gwaldam C-47

D. Objective of the Project

- 8. The main objectives of the project are as follows:
- Economic and Social recovery from the disaster in Uttarakhand;
- Restoration of the road to its original shape with the clearance of slip;
- Construction of Retaining & Breast Wall, Drains, Crash Barriers and improvement of riding surface;
- To avoid involuntary resettlement wherever possible by means of adopting an appropriate technical design, which leads to minimization of the resettlement impact;
- Provide opportunities for women to access employment and livelihood through rehabilitation of roads and bridges;
- Address gender concerns and other social vulnerabilities through the project.

E. Impact and Outcome

9. The impact of the project is improved economic and social condition in Uttarakhand after 2013 disaster. The outcome of the project is basic public and social infrastructure restored disaster preparedness, project management and institutional effectiveness improved. The design and construction standards for the physical infrastructure have been raised to an appropriate level and the focus is given to build back the same or better. The revised high flood levels of the rivers, natural streams and drainage channels is also considered, while

designing facilities, Geotechnical studies have been undertaken and slope stabilization measures considered for slide zones, wherever applicable.

F. Measures to Minimize Impact

10. Minimization of resettlement impact was achieved mainly by reducing the corridor of impact. Based on the availability of Right of Way (RoW) and likely improvement strategy, it was agreed in consultation with Public Works Department (PWD) to reduce the corridor of impact in habitation and market areas.

11. In Uttarakhand, almost all the road side shops and vendors close their business before 6 pm, except major cities. People from nearby settlements return back to their settlements before dark after shopping, Considering this scenario, it was decided to allow civil work activity in market area or population density area between 6 pm to 10 pm to avoid temporary disruption of livelihood of road side vendors. A circular has been issued by Chief Engineer, PIU (R&B), dated Sept 8, 2015 regarding timing of civil work activities in market / heavily populated areas. The decided timing is between 6.00pm to 10.00 pm to avoid temporary disruption of livelihood as well as environmental impact (Annexure A: Circular of Performing of Construction Work in the night shift in congested area of town and cities). With reference to provisions in the ADB approved Environmental Management and Monitoring Plan (EMMP, serial number C.4.3.1), another circular dated Jan. 27, 2016 was issued to ensure that the construction activity will be done once shops are closed in the market area and not continued beyond 10.00pm. (Annexure B: Minimization of Involuntary Resettlement (IR) and Indigenous People (IP) impacts).

G. Scope of the Sub-project

12. The ADB funded UEAP project covers the scope of reconstruction of internal rods of Bageshwar district considered as Sub-project UEAP/PWD/C-26 and C-47. The restoration of the affected 45 km is to be done within the existing ROW.

13. The scope of this subproject includes restoration of the roads with WBM (G3), profile correction by BM, resurfacing by SDBC in heavily damaged blacktop portion of the roads, Road side drainage system, and restoration of traffic signs, markings and appurtenances. All these need to be carried out under MORT&H/MORD specifications.

14. The salient features of the sub projects are given bellow table 1

Sr. No	Sub – Project No	Sub – Project Name	Affected Length (km)
1	UEAP/PWD/C-26	Bageshwar-Kapkot-Sama- Tejam	35.00
2	UEAP/PWD/C-47	Kalnabend-Pantkwerali	6.81
		Baijnath-Gwaldam3.19	3.19
	Total		45.00

Table 1: Salient features of sub projects of Bageshwar District

H. Objectives of Due Diligence Report

15. Objectives of this due diligence report is to: (i) determine whether the section of the subproject road is free of any resettlement impacts, e.g., land acquisition, displacement, adverse impacts on income and livelihood of both titled, non-titled Displaced Persons (DPs); and (ii) review the present field situation of this subproject in accordance with ADBs Safeguard Policy Statement (SPS) 2009.

I. Requirement of Due Diligence Report

16. Existing ROW was used for the purpose of restoration and reconstruction of roads affected due to disaster in 2013. In this project there is no involuntary resettlement involved and hence the preparation of Resettlement Plan (RP) for this project is not required.

17. The subproject does not entail any permanent land acquisition and resettlement. Site re-assessment of the entire proposed roads was undertaken. During re-assessment, it was observed that there is no expected impacts on private/public land, private properties like housing, shops, commercial buildings, religious and community infrastructure and temporary loss of income during civil work activity. No negative impact on livelihood of road side vendors is envisaged. Construction activity in these subprojects is partly completed.

J. Indigenous People

18. There is no permanent or temporary impact on any asset of Indigenous people. This subproject is definitely supporting economic growth of local communities including Tribes.

K. Scope of Land Acquisition and Resettlement

19. As mentioned earlier, no additional land is require for restoration and reconstruction of any subproject mentioned in table 1, as all proposed work is to be executed on existing ROW. Cut-Off-Date for this sub project is June 2014. After this date, any person or group of persons construct any structure will not be included in the list of Affected Persons.

L. Grievance Redress Mechanism

20. The project (UEAP), funded by Asian Development Bank has established Centralized GRC for all Sectors at PMU Level and in five worst affected districts (Rudraprayag, Uttarkashi, Chamoli, Bageshwar and Pithoragarh) out of 13 districts of Uttarakhand. The DDMOs have been deputed in all the 13 districts as Nodal officers for Grievance Redress Mechanism, a dedicated toll free phone number for the grievances and complaints from any stakeholders or individuals. There is a well-organized grievance Redressal mechanism. The toll free number is **0135-2708376** and email address is greivancepmu@gmail.com.

M. Gender Issues

21. There is no women headed household affected due to restoration and reconstruction of sub projects. Awareness programs and IEC programs on Gender awareness and HIV/AIDS prevention have been planned and implemented in this sub project to mainstream women.

N. Public Consultation

22. At the initial stage public consultation was carried out by the concerned Department (PIU) and DSC-1 technical team to disseminate project information and awareness creation about the project among the road users and local communities. During initial stage, Public

Consultations were carried out by CREDA along with Awareness Program in which respective DSC-1 field staff was also participated.

23. Validation and confirmation of impact during civil work activity was arranged in the month of May 2016 in subprojects of Bageshwar district by DSC-3 field staff along with Social Expert. Public Consultations were arranged to reconfirm that there is no permanent or temporary impact on private/public land, private properties like housing, shops, commercial buildings, religious and community infrastructures. No negative impacts on livelihood of road side vendors.

24. During Public Consultation, people expressed their satisfaction towards the quality of civil work and progress of work (Annexure C: Photographs and Attendance Sheet of Public Consultation).

O. Conclusion

25. The results of this Due Diligence report confirms that there would be no impact on any titled or non-titled holders like squatters or encroachers in the sub project. No land acquisition is involved and there is no requirement of payment of compensation or assistance to any titled or non-titled holders, on account of sub – projects.

26. In case any claims or complaints are submitted during the remaining project implementation period, an effective and efficient Grievance Redress Mechanism, being already in place, will enhance provision of timely hearings and facilitate solutions to address the issues raised. Also these impacts, if any will be captured in the Semi Annual Social Monitoring Reports (SASMRs) as well as new Involuntary Resettlement Due Diligence Reports (IR DDRs) or Resettlement Plan (RP) will be prepared as required.

Annexure A: Performing of Construction Work in the night shift in congested area of town and cities.

Bank (ADB) Fundad	P.I.U., U.E.A.P. (ROAD & BRII 2nd Ploor, Tax Commissioner Build (Pulla No-6) Nath Phone: 0135-2672031	anpur, Dahrad	Mussoorie Bypass, Riv
Letter No 1343/62/UEAI To,	P/PWD/2015	Dated :	/& / 09/2015
Mr Durvesh Kumar Tyagi Team Leader Meinhardt Singapore (India Branch) 2 ^{et} Floor, above Tulai Traders, Near, ICICI Bank Baksikhola Mall Road Almora	Mr Ravinder Aima, Team Leader SMEC India, Pvt Ltd, C/o Shri Sanjay Kumar Priyavansh Niwas (Near Parrol Pump) Vill&Post-Srikot, Ganganali Srinagar Garhwal) PIN-246174	Theme JV C/o Galthya B.T-34, Cammasale A Bus Stand B: New Hari M	r, Eurostudios S.L. Spat Singh, S/o Kundan Sing Area, aurari, angalam Hotel, New Te
Subject: ADB Loan 3055 IN congested areas of to Dear Sir.	D- UEAP (R&B) Performing of Con was and cities.	Town, Tehri struction Wo	Garbwal 249001 rk in the night shift in
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Annexure B: Circular on Minimization of Involuntary Resettlement (IR) and Indigenous People (IP) Impacts.

OFFICE OF PROGRAM MANAGER P.I.U., U.E.A.P. (ROAD & BRIDGE), DEHRADUN, UTTARAKHAN Asian Development Bank (ADB) Funder 2nd Floor, Tax Commissioner Building Jogiwala, Mussoorie Bypass, Ring Roar (Pulla No-6) Nathanpur, Dehradun- 248001 Uttarakhand Emergency Assistance Project Phone: 0135-2672031 E- mail- pm.ueap.pwd@gmail.co Letter No: 157 /02/UEAP/PWD / 2015-16 Date :27/01 /2016 To, Harish Pangti, Ravindra Aima, K.S. Verma, Suprintending Engineer, Team Leader, Team Leader, ADB, Apada Circle, C/O Sanjay Kumar, Eurostudios S.L. Spain, Almora Priyavansh Niwas, C/O Matwari Devi, Near Petrol Pump, Sector No. B.T, Plot No. 34, Village & Post- Srikot, Cammsale Area, Borari Bus Srinagar, Stand, In front of Hari Manglam Heritage Hotel, New Tehri Subject:-Minimization of Involuntary Resettlement (IR) and Indigenous People (IP) impacts. Reference:-1343/02/UEAP/PWD/2015 Dated 08-09-2015. Dear Sir, This has reference to the aforesaid letter regarding performing of construction work in the night shift in congested area of town and cities. This is to inform you that the provisions in the ADB approved Environmental Management and Monitoring Plan (EMMP) for the noise pollution related issues (refer serial number C.4.3.1) related to the construction at night should be strictly adhered. Kindly ensure that work should be done once shops are closed in the market area and not continued to be beyond 10:00 PM in the Residential areas, near Hospitals and Schools, 27 01 16 Chief Engineer PIU (R&B), UEAP Dehradun, Uttarakhand Copy to:-1. Program Manager, PIU, (R&B), UEAP, Dehradun, Uttarakhand. 2. Dr. Sharmila Singh, Safeguard Consultant, ADB, India Resident Mission, New Delhi. 3. Mr. Prabhash Sahu, Project Officer, ADB, India Resident Mission, New Delhi.

- 4. Superintending Engineer, Kumaun/Garhwal.
- Executive Engineer, Chamoli/Rudraprayag/Pauri/Tehri/Uttarakashi/Almora/Nainital/ Bageshwar/Pithoragarh.
- 6. Project Manager, SMEC India Pvt. Ltd.
- 7. Project Manager, Eurostudios S.L. Spain JV Theme Engineering Services.
- 8. Dr. Debashish Bhattacharya, Social Expert, SMEC India Pvt. Ltd.
- 9. Mr. Nitin Shitole, Eurostudios S.L. Spain JV Theme Engineering Services.
- 10. Mr. Sanjeev Sharma, Environment Expert, Eurostudios S.L. Spain.
- 11. Mr. Deepak Malik, Environment Expert, SMEC India Pvt. Ltd.

PC3/D/office work/New Office letter.docx

Annexure C: Photographs and Attendance Sheet of Public Consultations

A. Public Consultation: Bageshwar-Kapkot-Sama-Tejam Motor Road, UEAP/PWD/C-26





		oant List	
	10 no. C-26	Date	27.05.2016
Koad	Motor Road - Kapbot - Si	ama-Tejam - Place	Goluna/ Kapket
S.No.	A Name A	Contact No.	Bageshwar
.1-	Skundan Sink Prafile	The second secon	Astarle
2-	ania-11 1675	9458311905	9014-01/1000
3-	वंजनमामिड	94/1681357	Annight 2
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14	- मुकेर सिंह		MULLI
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B. Public Consultation: Kalnabend-Pantkwerali Motor Raod, UEAP/PWD/C-47



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Pack	Participa		26.05.2016
Road N	ame Velation	Date (Rainabend
	ame: Kalnabend - Pantkwer Road	al' Motor Place: District	Bageshwar
S. No.	Name	Contact No.	Signature
1-	अी मोहन साम्	9719507594	3002 1214
2 - 3-	<u>जनावाराम</u>		
4-	अप्रिल सिंह	8958057260	जीवन राम नातरा सिट्
5-	जिन्न काम जातगा मिट्ट		
6_	BAYAOTT RAM	9639603721	MAZINESPER
7-	SUR AJ SINGH KANWAL		Surus
8-	BISIN	8954558812	Per 20
7-	सरस्वती देवा		सररव
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C. Public Consultation: Baijnath-Gwaldam, UEAP/PWD/C-47

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0	Particip	ant List	
fac kar:	· m. C-47	Date	27.05 2016
Road N	Baijnath - Gwaldam	Motor Road Mac.	Bringth
	9	District	Bajestivar
	Name	Contact No.	Qignature
1-	Kundan Parihear	09412930444	Away
2-	Sunday borg	8449881720	Seulis
3-	Umerh chandra lander	9412913896	Jana 12
4-	Amend garia 0	9457354836	-Anon think
2-	Chemetrul Pafola	3411194454	tomps pole
6-	Dypert Chandly Joshi	9639618489	Dionhi
7-	- Shaskan Sah	9634928355	then
8-	Shankar S. Right	9410748161	-Baism
g-	Bachesh Risht	9837839968	Sout
10-	Gobal Lingh Bight	9643393327	Gat
11 -	Kishan - Strith Bisht	9639605261	KSB'SH
12	Sanjay Parts	9081896752	All
13	Satih Josh	9412969785	Saline
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