

### Resettlement Planning Document

Project Number: 47229-001

July 2016

### IND: Uttarakhand Emergency Assistance Project

Sub Project : Supplementary Due Diligence Report (social) internal roads of Uttarkashi district

#### Submitted by

Uttarakhand Emergency Assistance Project (Roads & Bridges), Government of Uttarakhand, Dehardun

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### Asian Development Bank

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Letter No: - 1172 / 02 /UEAP/PWD/2016-17

sian Development

Bank (ADB) Funded

Ultarakhand Emergency Assistance Project

Country Director, South Asia Department, India Resident Mission, 4 San Martin Marg, Chanakyapuri, New Delhi-110021, India.



Subject: ADB Loan-3055 IND, UEAP (R&B) Submission of Supplementary Due Diligence Reports (DDRs) of Dehradun, Tehri and Uttarkashi Districts for ADB's approval.

Madam,

Reference to the subject matter kindly find enclosed Supplementary Due Diligence Reports (DDRs) of Dehradun, Tehri and Uttarkashi Districts for the following subprojects for ADB's approval.

S.No.	Subproject No.		Subproject Name
1	UEAP/PWD/C-86		Restoration & Reconstruction of Rajpur-Kuthalgate Motor Road (km 3.00 to 8.00
2	UEAP/PWD/C-87		Restoration & Reconstruction Dehradun-Mussoorie Motor Road including widening (km 7.00 to 12.00
3	UEAP/PWD/C-88	Dehradun	Restoration & Reconstruction of Selaqui-Bhauwala-Dunga- Nanda ki Chowki Motor Road (km 14.00 to 25.00, Dunga to Nanda ki Chowki)
4	UEAP/PWD/C-99		Restoration & Reconstruction of Internal Motor Roads of Canal Road to Sahastradhara Road in Raipur Block
5	UEAP/PWD/C-106		Tyuni-Chakrata-Yamunapul-Mussoorie-Chamba-New Tehri-Kirtinagar Motor Road Kemptyfall by Pass (Kempty-Chadogi) Km. 1.00
1	UEAP/PWD/C-79		Restoration & Reconstruction of Internal Motor Road s of Muni-ki-Reti & Tapowan area by BM, SDBC
2	UEAP/PWD/C-80	Teri	Restoration & Reconstruction of Internal Motor Road of Nagar Palika Tehri (Tehri to Baurari) by BM & SDBC (3 km portion of SH-8 & 7.50 km portion Urban road under Nagar Palika Tehri
3	UEAP/PWD/C-91		Protection/Treatment work on Chronic land slide zone on Aglad-Thathyud Motor Road
4	UEAP/PWD/C-105		Pipaldali-Rajakhet Motor Road km. 1.00
5	UEAP/PWD/C-107		Lambgaon-Pratapnagar-Tehri Motor Road km. 19.00
1	UEAP/PWD/C-78	Uttarkashi	Restoration & Reconstruction of Phoolchatti-Jankichatti Motor Road

Enclosed: As Above

Yours Sincerely

✓ Chief Engineer

Copy To:-

1. Program Director, PMU, UEAP (R&B) Dehradun.

2. Program Manager, PIU(R&B), UEAP Dehradun.

3. Mr. Prabhash Sahu, Project Officer, INRM, New Delhi.

4. Dr. Sharmila Singh, Safeguards officer, ADB.





#### UTTARAKHAND EMERGENCY ASSISTANCE PROJECT



**LOAN NO. 3055 IND** 

**JUNE 2016** 

# SUPPLEMENTARY DUE DILIGENCE REPORT (Social)

INTERNAL ROADS OF UTTARKASHI DISTRICT

DESIGN & SUPERVISION CONSULTANT, DSC-3 (R&B) FOR RESTORATION OF ROAD IN DISTRICT UTTARKASHI IN GARHWAL REGION IN UTTARAKHAND



#### List of new Sub-Projects of Uttarkashi District

Sr. No	Sub-project No	Sub-project Name
1	UEAP/PWD/C-78	Restoration & Reconstruction of Phoolchatti-Jankichatti Motor Road by Cement Concrete.

#### **Project Fact Sheet**

Funded by	Asian Development Bank (ADB)
Loan Details	3055 – IND Uttarakhand Emergency Assistance
	Project (UEAP)
Sub Project	Roads and Bridges (R&B), Design and Supervision
-	Consultant (DSC-3) Garhwal Region: Subproject
	UEAP/PWD/ C- 78
Evenuting Agency	Ctate Dispeter Management Authority (CDMA) Cost
Executing Agency	State Disaster Management Authority (SDMA), Govt. of Uttarakhand (GoUK).
Implementing Authority	Project Implementation Unit (PIU)- Roads & Bridges,
	Department, Govt. of Uttarakhand

#### **PREPARATION OF REPORT**

Prepared by:

निकार्गाता हि

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Mr K. S. Verma Team Leader

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# **CURRENCY EQUIVALENTS Currency unit – Indian Rupees (INR)**

(As of Jan, 2016) INR1.00 = \$ 0.01 \$1.00 = INR 67.99

#### **ABBREVIATIONS**

ADB: Asian Development Bank

CREDA: Centre for Rural and Ecological Development

DP: Displaced Person
EE: Executive Engineer
DPR: Detailed Project Report

DSC: Design and Supervision Consultant

EA: Executive Agency

GoU: Government of Uttarakhand GRC: Grievance Redressal Committee

IA: Implementing Agency IRC: Indian Road Congress MDR: Major District Road

MoRT&H: Ministry of Road Transport and Highways

NGO: Non-Governmental Organisation

PC: Public Consultation

PIU: Project Implementation Unit
PMU: Project Management Unit
PWD: Public Works Department
RF: Resettlement Framework

RP: Resettlement Plan

SE: Superintending Engineer

RoW: Right of Way SH: State Highway

SPS: Safeguard Policy Statement

**UEAP:** Uttarakhand Emergency Assistance Project

#### I. Introduction

#### A. Background

- 1. The State of Uttarakhand suffered from major cloud bursts, incessant rains and major floods in the upper valleys during 15-17 June 2013 that resulted in severe damages in several parts of Uttarakhand. The districts of Chamoli, Rudraprayag and Pauri were directly affected by this disaster. These regions are among the country's most important pilgrimage centres and the calamity occurred during the peak pilgrimage season. Several roads and bridges have been washed away by the unprecedented flash floods and landslides. A large number of houses, public buildings, roads and bridges, urban and rural infrastructure has been damaged. To restore and rebuild the damaged infrastructures, especially the road connectivity network in the state, the Uttarakhand Emergency Assistance Project (UEAP) has been taken up which envisages rehabilitation and reconstruction of state roads and bridges, tourism infrastructure (including construction of helipads and trekking routes), urban roads and buildings.
- 2. Through the implementation of the UEAP the expected outcome is economic and social recovery from the disaster in Uttarakhand State.
- 3. Broadly, the works in Garhwal region comprise restoration of the road to its original shape with the clearance of slip and construction of retaining and breast walls, drains, crash barriers and improvement of riding surface by resurfacing through cement concrete and BM/SDBC. These will be constructed as per Ministry of Road Transport and Highways (MORD/ MoRT&H) Specifications and as per the Indian Road Congress (IRC) guidelines. The Program will improve road connectivity and will improve the quality of life of the poor in the project influenced areas. The strengthening and rehabilitation of road will be restricted to affected lengths and alignments of proposed subprojects.

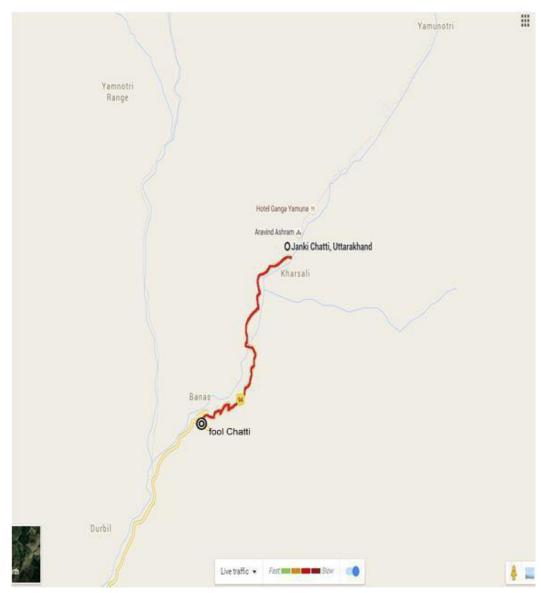
#### B. Need of the Sub-project

- 4. The subprojects of Uttarkashi district under consideration has been taken up for restoring the damaged road network within the rural as well urban limits of Uttarkashi district. This road subproject provides connectivity to internal areas of Uttarkashi district. After its restoration local people from various parts of the district will be well connected with the mainstream.
- 5. **Uttarkashi District** is a district of Garhwal division of the Uttarakhand state in northern India, and has its headquarters at Uttarkashi city. It has six Tehsils named after Badkot, Bhatwadi, Chinyali Sour, Dunda, Purola, and Mori. Uttarkashi District town lies high in the Himalaya range, and the district contains the source of both the Ganges and Yamunarivers, which attract thousands of Hindu pilgrims. The town lies on the main route to Gangotri, has many Hindu temples, and is also considered an important Hindu pilgrimage centre. The district is bounded on the north by Himachal Pradesh state, on the northeast by Tibet, on the east by Chamoli District, on the southeast by Rudraprayag District, on the south by Tehri Garhwal District, and on the west by Dehradun District.
- 6. Restoration and reconstruction of affected roads is the need of people of Dehradun district where people and tourist can safely move. Restoration and reconstruction also help in restoring livelihood of local people.

#### C. Location of the Sub-project

7. The subproject roads passes majorly through human settlements with a varying formation width (see **Figure 1**). At places, the roads passes through hilly terrain where landslide and slip occurs especially during monsoon season.

Figure 1: Map of Sub project



Phoolchatti-Jankichatti Motor Road, UEAP/PWD/C-78

#### D. Objective of the Project

- 8. The main objectives of the project are as follows:
- Economic and Social recovery from the disaster in Uttarakhand;
- Restoration of the road to its original shape with the clearance of slip;
- Construction of Retaining & Breast Wall, Drains, Crash Barriers and improvement of riding surface;
- To avoid involuntary resettlement wherever possible by means of adopting an appropriate technical design, which leads to minimization of the resettlement impact;
- Provide opportunities for women to access employment and livelihood through rehabilitation of roads and bridges;
- Address gender concerns and other social vulnerabilities through the project.

#### E. Impact and Outcome

9. The impact of the project is improved economic and social condition in Uttarakhand after 2013 disaster. The outcome of the project is basic public and social infrastructure restored, disaster preparedness, project management and institutional effectiveness improved. The design and construction standards for the physical infrastructure have been raised to an appropriate level and the focus is given to build back the same or better. The revised high flood levels of the rivers, natural streams and drainage channels is also considered, while designing facilities, Geotechnical studies have been undertaken and slope stabilization measures considered for slide zones, wherever applicable.

#### F. Measures to Minimize Impact

- 10. Minimization of resettlement was achieved mainly by reducing the corridor of impact. Based on the availability of Right of Way (RoW) and likely improvement strategy, it was agreed in consultation with Public Works Department (PWD) to reduce the corridor of impact in habitation and market area.
- 11. In Uttarakhand, almost all the road side shops and vendors close their business before 6 pm, except major cities. People from nearby settlements return back to their settlements before dark after shopping, Considering this scenario, it was decided to allow civil work activity in market area or population density area between 6 pm to 10 pm to avoid temporary disruption of livelihood of road side vendors. A circular has been issued by Chief Engineer, PIU (R&B), dated Sept 8, 2015 regarding timing of civil work activities in market / heavily populated areas. The decided timing is between 6.00pm to 10.00pm to avoid temporary disruption of livelihood as well as environmental impact (Annexure A: Circular of Performing of Construction Work in the night shift in congested area of town and cities). With reference to provisions in the ADB approved Environmental Management and Monitoring Plan (EMMP, serial number C.4.3.1), another circular dated Jan. 27, 2016 was issued to ensure that the construction activity will be done once shops are closed in the market area and not continued beyond 10.00pm. (Annexure B: Minimization of Involuntary Resettlement (IR) and Indigenous People (IP) impacts).

#### G. Scope of the Sub-project

- 12. The ADB funded UEAP project covers the scope of reconstruction of the internal road of Uttarkashi district considered as Subproject UEAP/PWD/C-78. The restoration of the affected 3.45 km is to be done within the existing ROW with cement concrete.
- 13. The scope of this subproject includes restoration of the roads with WBM (G3), profile correction by BM, resurfacing by SDBC in heavily damaged blacktop portion of the roads, CC Pavement, Drainage Work including Cause ways/RCC slab Scupper, Kuccha and *Pucca* Drain, restoration of traffic signs, markings and appurtenances. All these need to be carried out under MORT&H/MORD specifications.

14. The salient features of the sub project of Uttarkashi district is given in bellow table 1

Sr. No	Sub-Project No	Sub- Project Name	Affected Length (km)
1	UEAP/PWD/C-78	Restoration & Reconstruction of Phoolchatti-Jankichatti Motor Road by Cement Concrete.	3.45
	Total		3.45

#### H. Objectives of Due Diligence Report

15. Objectives of this due diligence report is to: (i) determine whether the section of the subproject road is free of any resettlement impacts, e.g., land acquisition, displacement, adverse impacts on income and livelihood of both titled, non-titled Displaced Persons (DPs); and (ii) review the present field situation of this subproject in accordance with ADBs Safeguard Policy Statement (SPS) 2009.

#### I. Requirement of Due Diligence Report

- 16. During September and October 2015, one new subproject has been added in the list of Uttarkashi District subprojects. After adding this new subproject, Uttarkashi district has total 6 subprojects as of now. Due Diligence Report of 5 subprojects has been already approved by the ADB in the month of May 2016. This Due Diligence Report is a supplementary of the first Due Diligence Report of Uttarkashi district. Social Impact Assessment was undertaken in the month of November and December 2015 for this new subproject by the Social Expert of DSC-3. On the basis of social assessment findings, the resettlement impact in this subproject has been classified as Category C. Based on the preliminary technical design and census survey, no land acquisition is envisaged in the sub project. There would be no impact on the livelihood of road side vendors during re-construction of the road.
- 17. Existing ROW is used for the purpose of restoration and reconstruction of roads affected due to disaster in 2013. In this project there was no involuntary resettlement involved and hence the preparation of Resettlement Plan (RP) for these sub projects is not required.
- 18. The subproject does not entail any permanent land acquisition and resettlement. Site re-assessment of the entire proposed roads was undertaken in the month of June 2016. During re-assessment, it was observed that there is no expected impacts on private/public land, private properties like housing, shops, commercial buildings, religious and community infrastructure and temporary loss of income during civil work activity. No negative impact on livelihood of road side vendors is envisaged. Construction activity in these subprojects has been started in the month of April 2016.

#### K. Scope of Land Acquisition and Resettlement

19. As mentioned earlier, no additional land is require for restoration and reconstruction of any subproject in Uttarkashi district as all proposed work is to be executed on existing ROW. Cut-Off-Date for this sub project is November 2015. After this date, any person or group of persons construct any structure will not be included in the list of Affected Persons.

#### J. Indigenous People

20. There is no permanent or temporary impact on any asset of Indigenous people. This subproject is definitely supporting economic growth of local communities including Tribes.

#### L. Grievance Redress Mechanism

21. An efficient grievance redress mechanism has been established to assist the DPs in resolving their queries and complaints. PIU level Grievance Redress Committee (GRC) is most important mechanism for grievance redress and it is anticipated that most, if not all grievances, would be settled by the GRC at the earliest, as for as possible.

#### M. Gender Issues

22. There is no women headed household affected due to restoration and reconstruction of sub projects. Awareness programs and IEC programs on Gender awareness and HIV/AIDS prevention have been planned and implemented in this sub project to mainstream women.

#### N. Public Consultation

- 23. At the initial stage public consultation was carried out by the respective PIU and DSC-3 technical team to disseminate project information and awareness creation about the project among the road users and local communities.
- 24. Validation and confirmation of impact during civil work activity was arranged in the month of June 2016 in this subproject of Uttarkashi district by DSC-3 technical team along with Social Expert. Public Consultation was arranged to reconfirm that there is no permanent or temporary impact on private/public land, private properties like housing, shops, commercial buildings, religious and community infrastructures. No negative impacts on livelihood of road side vendors. During Public Consultation, people expressed their satisfaction towards the quality of civil work and progress of work (Annexure C: Photographs and Attendance Sheet of Public Consultation).

#### O. Conclusion

- 25. The results of this supplementary Due Diligence report confirms that there would be no impact on any titled or non-titled holders like squatters or encroachers in the sub project. No land acquisition is involved and there is no requirement of payment of compensation or assistance to any titled or non-titled holders, on account of sub projects.
- 26. In case any claims or complaints are submitted during the remaining project implementation period, an effective and efficient Grievance Redress Mechanism, being already in place, will enhance provision of timely hearings and facilitate solutions to address the issues raised. Also these impacts, if any will be captured in the Semi Annual Social Monitoring Reports (SMRs) as well as new Involuntary Resettlement Due Diligence Reports (IR DDRs) or Resettlement Plan (RP) will be prepared as required.

Annexure A: Performing of Construction Work in the night shift in congested area of town and cities.



#### OFFICE OF PROGRAM MANAGER

k (ADB) Fu sinfance Project

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Letter No

1343/62/UEAP/PWD/2015

Dated: /& / 09/2015

To.

Mr Durvesh Kumar Tyagi Team Leader Meinhardt Singapore (India Branch) 2nd Floor, above Tulsi Traders. Near, ICICI Bank Baksikhola Mall Road Almora

Mr Ravinder Aima, Team Leader SMEC India, Pvt Ltd, C/o Shri Sanjay Kumar Priyavansh Niwas (Near Patrol Pump) Vill&Post-Srikot, Ganganali Srinagar Garhwal) PIN-246174

Mr KS Verma Team Leader, Eurostudios S.L. Spain' Theme JV C/o Galthya Singh, S/o Kundan Singh B.T-34, Cammasale Area Bus Stand Baurari,

New Hari Mangalam Hotel, New Tehri Town, Tehri Garhwal 249001

Subject: ADB Loan 3055 IND- UEAP (R&B) Performing of Construction Work in the night shift in congested areas of towns and cities.

Dear Sir,

It has been observed that the construction work in Urban Roads/Population Density Area/Market area during the daytime would hamper the flow of traffic. Many schools and offices, both private and Government, which are connected by the roads would be affected during the construction period. As accessibility to shops and movable vendors, which are settled on both side of the road, would be limited during the construction period may resultant in loss of livelihood. Hence, it is requested to shift the construction hours after 5:00 PM and during the night to minimize the impact on the flow of traffic and livelihood of shops and kiosks.

In view of the above circumstances, it has been decided and instructed to the Contractors and the DSCs for performing the construction work in the congested areas during the night shift for all the concerned packages.

You are directed to reschedule the construction accordingly as per the requirement of sub-projects.

(S.K. Birla) (8/1) Chief Engineer ADB, UEAP (R&B) Dehradun

Copy To:-

Mr Anil Motwani, Team Leader, ADB INRM.

2. Mr Prabhash Sahu, Associate Project Officer, ADB INRM.

Program Director, PMU, UEAP, SIIDCUL Building, 29 IIE (IT Park) Sahastradhara Road Dehradunfor kind information please.

4. Program Manager PIU, UEAP (R&B) Dehradun for kind information please.

5. Mr Girish Mahajan, Environment Specialist, ADB, INRM

6. Dr Sharmila Singh, Staff Consultant Social, ADB, INRM.

Superintending Engineer, ADB, ( Apada) Circle, PWD Almora/Srinagar.

Executive Engineer, PIU, UEAP(R&B) Apada Division Garhwal/Kumaon Region- for issuing the instructions accordingly.

Mr Arun Mahajan, Contract Management Specialist, ADB/TA, UEAP(R&B) Dehradun
 Dr AK Singh, Resettlement Specialist ADB/TA, UEAP(R&B) Dehradun.

11. Dr Prachi Sharma, SCDGO, PIU, UEAP(R&B) Dehradun.

12. CR €DA (NGO) Dehradun - for necessary action.

18/18 Chief Engineer ADB, UEAP (R&B) Dehradun

Annexure B: Circular on Minimization of Involuntary Resettlement (IR) and Indigenous People (IP) Impacts.



#### OFFICE OF PROGRAM MANAGER

Asian Development
Bank (ADB) Funded
Ultarakhand Emergency
Assistance Project

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Phone: 0135-2672031

E- mail- pm.ueap.pwd@gmail.co

Letter No:

157 /02/UEAP/PWD / 2015-16

Date 27/01 /2016

To.

Harish Pangti, Suprintending Engineer, ADB, Apada Circle, Almora Ravindra Aima, Team Leader, C/O Sanjay Kumar, Priyavansh Niwas, Near Petrol Pump, Village & Post- Srikot, Srinagar,

K.S. Verma,
Team Leader,
Eurostudios S.L. Spain,
C/O Matwari Devi,
Sector No. B.T, Plot No. 34,
Cammsale Area, Borari Bus
Stand, In front of Hari
Manglam Heritage Hotel,
New Tehri

Subject:-

Minimization of Involuntary Resettlement (IR) and Indigenous People (IP) impacts.

Reference:-

1343/02/UEAP/PWD/2015 Dated 08-09-2015.

Dear Sir,

This has reference to the aforesaid letter regarding performing of construction work in the night shift in congested area of town and cities. This is to inform you that the provisions in the ADB approved Environmental Management and Monitoring Plan (EMMP) for the noise pollution related issues (refer serial number C.4.3.1) related to the construction at night should be strictly adhered.

Kindly ensure that work should be done once shops are closed in the market area and not continued to be beyond 10:00 PM in the Residential areas, near Hospitals and Schools.

Chief Engineer
PIU (R&B), UEAP
Dehradun, Uttarakhand

#### Copy to:-

- Program Manager, PIU, (R&B), UEAP, Dehradun, Uttarakhand.
- 2. Dr. Sharmila Singh, Safeguard Consultant, ADB, India Resident Mission, New Delhi.
- 3. Mr. Prabhash Sahu, Project Officer, ADB, India Resident Mission, New Delhi.
- 4. Superintending Engineer, Kumaun/Garhwal.
- Executive Engineer, Chamoli/Rudraprayag/Pauri/Tehri/Uttarakashi/Almora/Nainital/ Bageshwar/Pithoragarh.
- 6. Project Manager, SMEC India Pvt. Ltd.
- 7. Project Manager, Eurostudios S.L. Spain JV Theme Engineering Services.
- 8. Dr. Debashish Bhattacharya, Social Expert, SMEC India Pvt. Ltd.
- 9. Mr. Nitin Shitole, Eurostudios S.L. Spain JV Theme Engineering Services.
- 10. Mr. Sanjeev Sharma, Environment Expert, Eurostudios S.L. Spain.
- 11. Mr. Deepak Malik, Environment Expert, SMEC India Pvt. Ltd.

PC3/D/office work/New Office letter.docx

**Annexure C: Photographs and Attendance Sheet of Public Consultation** 

A: Public Consultation in Phoolchati to Jankichatti Motor Road, UEAP/PWD/C-78



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