



India: Uttarakhand Emergency Assistance Project

Project Name	Uttarakhand Emergency Assistance Project
Project Number	47229-001
Country	India
Project Status	Active
Project Type / Modality of Assistance	Loan
Source of Funding / Amount	Loan 3055-IND: Uttarakhand Emergency Assistance Project Ordinary capital resources US\$ 200.00 million
Strategic Agendas	Environmentally sustainable growth Inclusive economic growth
Drivers of Change	Governance and capacity development
Sector / Subsector	Industry and trade - Industry and trade sector development Transport - Air transport - Road transport (non-urban) - Urban roads and traffic management Water and other urban infrastructure and services - Urban water supply
Gender Equity and Mainstreaming	Effective gender mainstreaming
Description	The emergency loan will help economic and social recovery in Uttarakhand in the aftermath of the June 2013 disaster. The outcome will be the rehabilitation of basic public and social infrastructure, as well as improvements to disaster preparedness, project management and institutional effectiveness.
Project Rationale and Linkage to Country/Regional Strategy	About 90% of Uttarakhand's land area is mountainous and most is prone to natural disasters. During June 15-17 2013, major cloud bursts, incessant rains and floods, resulted in heavy loss of life, the destruction of livelihoods and severe damage to basic infrastructure. The districts of Bageshwar, Chamoli, Pithoragarh, Rudraprayag and Uttarkashi were the worst affected. These also happen to be important pilgrimage destinations. The disaster occurred during the peak pilgrimage season. The floods washed away towns, and destroyed a large number of houses, public buildings, roads and bridges, rural and urban water and sanitation facilities, power transmission and distribution lines, telecommunications towers and irrigation systems. Close to 1 million people were affected. Almost 600 human lives were lost and more than 5,400 people were still reported missing. Government of India requested emergency assistance from ADB and the World Bank. The finance will be earmarked to rebuild basic infrastructure. Government of India and Government of Uttarakhand will focus on livelihoods. ADB already has operations in the state (in roads, energy, urban infrastructure and tourism) and will cover in these areas. The rehabilitation of damaged facilities in the energy sector will be financed through an existing ADB multitranches financing facility _ which still has sufficient funds for this purpose. World Bank assistance will focus on housing, public buildings, district and village roads, rural water supply and sanitation and disaster risk management and response systems. Consultants will help Government of Uttarakhand with subproject preparation, subproject implementation (including procurement), the adoption of modern road building technologies, road maintenance practices and overall project management. The consultants will also help with the execution of safeguards and financial management plans.
Impact	Improved economic and social condition in Uttarakhand after the 2013 disaster.

Project Outcome

Description of Outcome	Basic public and social infrastructure restored, and disaster preparedness, project management and institutional effectiveness improved.
Progress Toward Outcome	
Implementation Progress	
Description of Project Outputs	1) Roads and bridges are reconstructed and reconstructed. 2) Urban infrastructure reconstructed and upgraded 3) Tourism Infrastructure and trekking routes rehabilitated and reconstructed. 4) Improved capacity on disaster preparedness and management. 5) Project management system operational

Status of Implementation Progress (Outputs, Activities, and Issues)	Most of the civil work contracts have been awarded and are at various stages of implementation.
Geographical Location	

Safeguard Categories

Environment	A
Involuntary Resettlement	B
Indigenous Peoples	C

Summary of Environmental and Social Aspects

Environmental Aspects	Environmental impacts for most of the subprojects are expected to be construction related, as well as temporary and reversible in nature. However, some of the subprojects are likely to be in close proximity to, or within, environmentally sensitive areas such as national parks, wildlife sanctuaries, and biospheres, and therefore the possibility of significant adverse environmental impacts is not ruled out. As all the subprojects will be selected, appraised, and approved during the implementation phase, the emergency project is classified category A for environment. An Environmental Assessment and Review Framework has been prepared and disclosed on the ADB website.
Involuntary Resettlement	Reconstruction works are expected to be within existing rights-of-way and on government land. No major land acquisition is envisaged. However, the realignment of some road sections, construction of helipads, heliports, or helidromes, and trekking routes are likely to cause minor land acquisition and involuntary resettlement impacts. The project is classified category B for involuntary resettlement . A Resettlement Framework has been prepared and disclosed on the ADB website.
Indigenous Peoples	No impact on indigenous peoples is envisaged.The project is classified category C for indigenous peoples. An Indigenous Peoples Planning Framework has been prepared and disclosed on the ADB website.

Stakeholder Communication, Participation, and Consultation

During Project Design	
During Project Implementation	Consultations as required will be carried out during project implementation.

Business Opportunities

Consulting Services	All consultants will be recruited in accordance with ADB's Guidelines on the Use of Consultants (2013, as amended from time to time). It is estimated that 5 -6 national design and construction supervision consulting firms will be recruited under the emergency project. Experts will also be engaged to deal with financial management needs, safeguards, procurement, training, road building technologies, disaster preparedness, communications, and overall project management and reporting. The consulting firms will be recruited through quality- and cost-based selection using simplified or full technical proposals as needed and acceptable to ADB. Individual consultants may be engaged through open competition acceptable to ADB.
Procurement	The procurement of goods and works will be carried out in accordance with ADB's Procurement Guidelines (2013, as amended from time to time). National competitive bidding with a shortened bidding period of 2 weeks will be the preferred mode of procurement. The use of shopping and limited competitive bidding procedures based on the government of Uttarakhand's existing registration system for contractors will also be acceptable for goods, small civil works, and related services. Civil works contracts estimated at up to \$40 million will be procured through national competitive bidding. Bidding documents based on post-qualification with single-stage two-envelope procedures is one procurement option. For procurement of goods, small works, and related services estimated to cost less than \$100,000, the use of limited competitive bidding and shopping procedures will be followed. This will mean inviting bids from registered class A contractors by the government of Uttarakhand. For small, specialized equipment and materials contracts valued at less than \$20,000, direct contracting procedures acceptable to ADB will be followed, where relevant. The damaged trekking routes and eco-trails may be reconstructed under the force account method by the various departments and agencies of the government of Uttarakhand. Under ongoing ADB-funded projects in Uttarakhand, contractors executing the rehabilitation and reconstruction works will qualify for the restoration of works through contract variations under their respective contracts, where appropriate.

Responsible Staff

Responsible ADB Officer	Sahu, Prabhasha
Responsible ADB Department	South Asia Department
Responsible ADB Division	India Resident Mission
Executing Agencies	State Disaster Management Authority AMITNEGI.ADB@GMAIL.COM Secretariat, 4-B, Subhash Road Dehradun 248001, India

Timetable

Concept Clearance	-
Fact Finding	19 Aug 2013 to 30 Aug 2013
MRM	19 Sep 2013
Approval	28 Oct 2013
Last Review Mission	-
Last PDS Update	17 Mar 2017

Loan 3055-IND

Milestones					
Approval	Signing Date	Effectivity Date	Closing		
			Original	Revised	Actual
28 Oct 2013	05 Feb 2014	31 Mar 2014	31 Mar 2017	27 Oct 2017	-

Financing Plan		Loan Utilization			
	Total (Amount in US\$ million)	Date	ADB	Others	Net Percentage
Project Cost	205.00	Cumulative Contract Awards			
ADB	200.00	28 Oct 2013	178.17	0.00	96%
Counterpart	5.00	Cumulative Disbursements			
Cofinancing	0.00	28 Oct 2013	116.03	0.00	63%

Project Page	https://www.adb.org/projects/47229-001/main
Request for Information	http://www.adb.org/forms/request-information-form?subject=47229-001
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