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# **Embracing inclusiveness**

**11 to 15 January 2016** Asian Development Bank Headquarters Philippines

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## CDD and Convergence of Development Assistance

## **Session 1**

Thursday 14 January 2016 Welcome Remarks
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Session 1: Thursday, 14 January 2016 Welcome Remarks

Inclusive growth one of three strategic objectives of ADB

Poor communities participate and benefit from development through CDD Bart W. Édes Director, Social Development, Governance, and Gender Division, Asian Development Bank

*Mabuhay* and good morning! Welcome to ADB headquarters! I want to welcome in particular Undersecretary Camilo Gudmalin of the Philippines' Department of Social Welfare and Development (DSWD). We are very honored to have him here today. I also want to welcome the participants from Indonesia, Timor-Leste, and Myanmar as well as friends from around the Philippines who have come from different field offices and regions.



I would like to recognize in attendance representatives of other development agencies such as the World Bank and the Millennium Challenge Corporation as well as experts on Community-Driven Development (CDD), including some ADB colleagues. I hope that those of you who joined the field visit in Palawan found the experience of interacting with and hearing from government officials, volunteers, and community members enriching and stimulating.

"Strategy 2020", the ADB's long-term strategic framework, highlights a strategic direction of inclusive growth. The relevance of this important approach for ADB is that CDD really embraces inclusiveness. What we find in CDD projects is active engagement of all community members in deciding projects and helping to implement them.

When we talk about communities, we include poor communities where people oftentimes are in vulnerable circumstances. CDD helps them to be empowered. ADB recognizes that countries have different levels of development in terms of CDD approaches. As you have seen firsthand, we have here in the Philippines a very well-developed national program on CDD, the KALAHI-CIDSS National Community-Driven Development Program (KC-NCDDP). In Indonesia, we have seen the mainstreaming of CDD through the Village Law. In Timor-Leste, we have institutionalization of CDD through the National Devolution Program. And of course in Myanmar, since 2012, we have had a National CDD Program. Every country has a different stage and we can learn from each other.

Today, we are highlighting three major knowledge products, two focused on the Philippines and one on Indonesia. We also have an opportunity to share a Participatory Geographic Information System initiative, or PGIS, in the Philippines, which will touch on community disaster resilience. This workshop (and beyond) is a venue for fruitful knowledge exchange Please take advantage of this workshop in the next two days to network, share, ask questions, and challenge things that you hear. We hope that after two days you will leave feeling motivated, enthused, and better informed about the potential for CDD to address poverty, social challenges, marginalization and promote broad-based, community-based development.

Allow me now to welcome properly our keynote speaker. Undersecretary Gudmalin has extensive experience in managing and coordinating large government programs and supervising multiple teams of managers and technical personnel. He is the National Deputy Program Director of KALAHI-CIDSS. He also serves multiple other roles including Coach Monitor for the Visayas and Mindanao Regions.

He also is an agency focal person for the Peace Process and Indigenous Peoples Concerns. In addition, he is the head of the DSWD Convergence Management Bureau, and finally, overall coordinator for the DSWD technical assistance facility. Clearly he has a lot of responsibilities on his shoulder. Undersecretary Gudmalin is a licensed engineer and an active member of the Philippine Institute of Civil Engineers. When we are talking about the types of projects that CDD supports, having an engineering background is often very useful.

Undersecretary Gudmalin has a zest for learning, having completed a leadership fellows program and a certificate program on project management from the Asian Institute of Management here in Manila, a master's degree in public administration and certificate program on government management from the Western Mindanao State University, computer programming from Ateneo de Zamboanga University, and a bachelor's degree in civil engineering from Andres Bonifacio College in Dipology City.

With that introduction I would like to ask the Undersecretary to share a few words and insights with us all today, and again welcome to ADB.

Session 1: Thursday, 14 January 2016

CDD a viable platform for accelerating service delivery

Major lessons: convergence, flexibility, technology

## Keynote Address Community-Driven Development in the Philippines

Camilo G. Gudmalin

Undersecretary, Department of Social Welfare and Development, Government of the Philippines

*Mingala nan ne khin: ba* to our participants from Myanmar. *Bondia*to our participants from Timor-Leste. *Selamat pagi* to our participants from Indonesia. *Maayong buntag* to our participants from the Visayas and the Mindanao Regions. *Magandang umaga* to our participants from the Luzon Regions. Good morning to all of us.



Yesterday, we wrapped up a two-day international forum on Conditional Cash Transfer, organized by the Philippine Government's Department of Social Welfare and Development and jointly sponsored by the Asian Development Bank and the Australian Government's Department of Finance and Trade.

The event showcased Conditional Cash Transfer impact studies on different thematic areas such as peace building and the local economy, disaster risk reduction, and partnership with civil society. A colleague told me: "This is the best way for us to communicate the gains of Conditional Cash Transfer and the best way to consult with our major stakeholders on how to sustain the gains we have so far achieved."

Then he asked me, "If Conditional Cash Transfer is doing this, is there a similar plan for community-driven development?" I smiled and said, "No, we are not planning; we are already doing it, and even earlier than the Conditional Cash Transfer Forum."

Today's summation workshop is a sharing of different studies. Two of the studies are on CDD implementation in the Philippines; the other is CDD implementation in Indonesia. There are special presentations on the use of technology, such as geo-tagging, which is among the salient features of CDD in the Philippines.

With Director Bart Édes and on behalf of the DSWD, I welcome you all to this workshop, especially those from Myanmar, Timor-Leste, and Indonesia. We hope that your visit to Palawan to see actual implementation of KALAHI-CIDSS was a fruitful experience.

Just a little background on KALAHI-CIDSS in the Philippines. KALAHI is a contraction of words, meaning "Kapit-Bisig Laban sa Kahirapan" or linking of arms to fight poverty. CIDSS means "Comprehensive and Integrated Delivery of Social Services". It is a mouthful so we just call it "KALAHI-CIDSS".

Challenges remain in transitioning CDD to national level

*Philippine experience offers good CDD practices*  We launched this program in 2003. This is a departure from the usual way of delivering social services. It drew inspiration from two previous successful projects: Our CIDSS and the *Kecamatan Development Program* in Indonesia. Much of the design elements tested in these two programs later will find their way into KALAHI-CIDSS, which is a flagship anti-poverty program to empower communities and contribute to improved governance and reduced poverty.

We grew from 11 municipalities in 2003 to over 1,200 municipalities today as we scaled up KALAHI-CIDSS into a National CDD Program or KC-NCDDP. The count in coverage includes those that also are using CDD but funded outside of the NCDDP. These include those funded under the Millennium Challenge Corporation (I would like to acknowledge John Polk, MCC Country Director); Bottom-Up Budgeting; PAMANA, a program focused on building resilient communities affected by conflict; and, the Australian Department of Finance and Trade, which granted assistance to construct school buildings and day care centers, and other grants to the DSWD to use the CDD technology.

CDD is gaining recognition as a viable platform for accelerating service delivery in different contexts including those in geographically isolated and disadvantaged areas, communities devastated by natural disasters, and conflict-affected areas. As we speak, we still stumble occasionally but always learn from our experiences.

There is no such thing as a perfect CDD. We fashion unique versions of this approach to best fit our conditions. Together, your groups and the local counterparts seated at the back of the room are the pillars of CDD implementation. The DSWD's regional directors and assistant regional directors can share many experiences on how to manage and deal with different situations in implementing Community-Driven Development.

I was asked to mention briefly some of the key lessons when we transitioned CDD into a national program. Maybe this is useful for Myanmar and Timor-Leste as you plan to convert your projects into national programs. I will limit myself to three major lessons.

The first lesson is *convergence*. This is key if you want to scale up and make the impact of CDD effective in combating poverty, empowering communities, and enhancing local governance. We made a conscious decision to make NCDDP a platform for more convergent delivery of social services. We try as much as possible to make sure that appropriate agencies contribute in a synergistic way to responding to the needs of targeted populations or areas.

A national program as big as KC-NCDDP is a magnet for all sorts of convergence and rightly so because it presents huge opportunities for wide-scale response. But beware that this is easier said than done. It is

important to find the best fulcrum for that coordination to happen—to help field staff translate this into doable and useful models.

In our countries, poverty is multi-dimensional. No one agency can solve it. Addressing it requires the help of almost all government agencies, communities, and civil society. The formula that the Philippines uses to reduce poverty is shepherding different sectoral programs implemented in the same areas through convergence.

The second key lesson is *flexibility*. It is difficult to run a huge program saddled by rigid procedures and rules. We were advised that if we scale up our operations we needed to standardize our procedures. But we also were told to be innovative and creative in order to be successful.

So sometimes we are conflicted: Do we follow the standards or do we innovate? Our National Project Management Office tries to find a balance through flexibility. This means clearly defining the situation and then determining whether our standards or innovative practices apply to that particular situation.



HOTO COURTESY OF DEPARTMENT OF SOCIAL WELFARE AND DEVELOPMENT, GOVERNMENT OF THE PHILIPPINES

The third lesson is use of *technology*. Managing projects that cover about 20,000 far-flung, geographically isolated villages, 16,000 subprojects, and about 9,000 workers, is not an easy task. Relying on manuals, consolidating data, and gathering reports is a Herculean task. On one occasion President Benigno Aquino asked how we monitored on the ground this wide range of projects in different areas of the country. He also asked how we prevented duplicating the projects of other agencies. We said we applied information and communications technology (ICT) to geo-tag these projects.

Through geo-tagging, we provide coordinates where these projects are implemented. We also put pictures of projects on-line so that anybody can find out if a project in, say, Aborlan, Palawan, is indeed existing. News media people can visit the DSWD website if they want to validate our claim of completing 16,000 subprojects. They can search for "Aborlan", click the list of subprojects, and view pictures of the projects implemented by communities.

No such thing as a perfect CDD—we fashion unique versions of this approach to best fit our conditions We also use ICT to process our Requests for Fund Release. Our workers tell me that because of the intensity of operations and follow-through for them to submit their Requests for Fund Release, they dream of Requests for Fund Release while sleeping. That is how intense the Requests for Fund Release process is. Because it is very tedious and very laborious, we use ICT to simplify operations.

Our workers no longer need to send us thick documents; instead, they can submit scanned or digitized copies of their Requests for Fund Release. We no longer store paper copies in big rooms but store them instead in a hard disk drive. This facilitates the processing of documents, especially for funding releases.

We also use ICT to prepare for disaster events. The Philippines 'hosts' about 20 typhoons a year. They are becoming catastrophic typhoons. We help our communities prepare for disasters by using ICT to include hazard maps and areas vulnerable to disasters in their project plans. When our communities and the municipalities providing technical assistance do project planning, they can take into consideration risks from natural hazards, such as massive floods or storm surges.

This year we are working with the ADB to digitize our manuals and develop other web apps to provide more efficient online support for field workers. One of the things that we are putting in place is online teaching. Here, workers who face some issues, situations or problems in the field now can have online access to Senior Specialists to get advice on how to deal with particular situations. It is an online learning forum managed by the National Project Management Office of the KC-NCDDP.

To my mind, these are three important lessons helping us become more agile and effective in facilitating CDD implementation. Since 2003 we continue to grow in scope. Growth is good. It means more partners trust us with their investments. But keeping up and keeping on track can be a real challenge. The value of meetings like this is that we have access to the range of expertise you will all bring to the conference. This is what makes this event exciting.

We are happy to interact with our counterparts from Indonesia, Myanmar, and Timor-Leste. We hope to learn from your experiences. We also will be happy to share our CDD lessons of the past 12-13 years. I am certain that our discussions will produce many interesting insights to help us move forward.

The participants here belong to a "who's who?" in the Asia region that are shaping the future of CDD. As we move to a world where not only poverty continues to be a scourge in many parts of our countries but also a world that lacks peace, where voice is absent, where many are vulnerable to the risks from climate change, where states cannot create more equitable systems, and where isolation and marginalization result from these conditions, CDD will remain as relevant today as when it started 20 years ago.

## Session 1: Thursday, 14 January 2016 Overview of the Community-Driven Development Summation Workshop

A great desire among participants to learn and share CDD experiences

Yukiko Ito

Social Development Specialist, Social Development, Governance, and Gender Division, Asian Development Bank

This is to give you an overview of the next two days. But first let me tell you about the exciting 1 1/2 days learning visit of the participants from Timor-Leste, Indonesia, and Myanmar.



We visited the Municipality of Aborlan in Palawan and received briefings from DSWD colleagues, field offices, as well as the municipality. We also had discussions with provincial, municipal, and barangay (village) officials about how inter-agency collaboration is being done. We also heard many episodes of how residents became empowered through the KALAHI-CIDSS program in discussions with community volunteers. Municipal officials also shared information about improved governance through the KALAHI-CIDSS CDD approach. We also visited two subprojects: a suspension cable bridge and a farm-to-market road in the villages of Gogognan and Sagpangan, respectively.

I want to acknowledge DSWD for letting us visit the municipality as well as exerting effort to make it a memorable trip.

All of our activities—both the field trip and our two-day workshop—are being documented. The visual documentation of the field trip already has been put up on the walls of this conference room.

Our participants come from Indonesia, Timor-Leste, Myanmar, and the Philippines. They include representatives of project field and national offices, other government agencies, development partners, civil society organizations, CDD practitioners, and researchers. Thank you all for joining us.

Your information kit includes the program agenda and the expectations of the participants. These expectations, which were gathered through the registration form we sent out earlier, have served as the basis for the agenda of our workshop. A much-expressed expectation of most participants is the desire to learn and share experiences about CDD systems and approaches. To address this expectation, all of our sessions will start with a presentation on a specific topic, followed by a formal reaction. This will be followed by focus group discussions where we will form smaller groups to discuss certain questions and reflect on the presentations. It is our hope that the group work will facilitate cross-country sharing of experiences on CDD systems and approaches.

We hope to facilitate cross-country sharing of experiences on CDD systems and approaches Many of us are interested in the coordination, synchronization, and convergence of CDD with other development programs, as well as the vertical and horizontal coordination. This will be the subject of our first session, which will present the findings of a study of convergence between CDD and other national agency programs in the Philippine context.

Many of us also highlighted the importance of operations and maintenance (O&M) of village subprojects, including the systems and institutions that were set-up during course of CDD program implementation. This is the subject of the second presentation that discusses the findings of the Philippine KALAHI-CIDSS Tracer Study. Both of these Philippine studies will be discussed this morning.

Many of us also have expressed interest in mainstreaming and sustaining the CDD approach. This will be the focus of discussion in the afternoon when the findings of the Indonesian Analytical Study are presented. The Indonesian study examined the reactions of local stakeholders to the Village Law, which serves as the institutionalization mechanism for CDD in Indonesia. Among others, the analytical study also examined the role of local governments in the long-term implementation of CDD.

Finally, communication strategies on promoting CDD concepts at the local level and the promotion of disaster preparedness particularly mainstreaming disaster risk management into CDD processes, will be discussed tomorrow during the presentation and discussion on community disaster resilience and Participatory Geographic Information System or PGIS.

Our workshop will conclude with a session on lessons learned that the participants can 'bring back' to incorporate into the CDD programs of their respective countries.

We know that everyone is eager to participate actively and learn important insights throughout the next two days. We encourage you to network actively with the representatives of the other countries. This will enable you to remain connected even after our two days of being together. Session 1: Thursday, 14 January 2016

Enhancing CDD through Convergence: A Case Study of Household- and Community-Based Initiatives in Philippine Villages

Raul Gonzalez

Community-Driven Development Consultant cum Team Leader, Asian Development Bank

This case study examines the KALAHI-CIDSS National Community-Driven Development Program (KC-NCDDP) of the Department of Social Welfare and Development. The study was conducted in three assisted municipalities: Veruela in Agusan del Sur province, Tanauan in Leyte province, and Capalonga in Camarines Norte province.



DSWD implements two convergence strategies: "household-focused" and "community-focused". The *household-focused convergence* strategy aims to help raise, by 2016, the well-being of 2.3-million beneficiaries of the Pantawid Pamilyang Pilipino Program, the government's conditional cash transfer program. Findings:

1. The design of KC-NCDDP-funded community projects considers the needs of the poorest and prioritizes Pantawid Pamilya families for KC-NCDDP construction jobs. Villagers also do not need to spend as much time as before in program activities.

2. Some beneficiaries qualified for the Sustainable Livelihood Program and have, among others, increased the value of their business assets, financed farm production, and used savings to pay for their children's school expenses. Some borrowers even pooled their loans to put up group enterprises.

3. Program-wide, the percentage of women in construction works has been rising steadily and now accounts for 27% of the labor force in KC-NCDDP projects. However, women continue to encounter two major obstacles to obtaining construction jobs, namely, the existing gender division of labor and fear of hurting their husbands' feelings.

4. Municipal governments respond positively to the Municipal Transition Plan, despite some coordination issues.

5. There is adequate room to harmonize the targeting tools and databases used by municipal governments and the DSWD to identify beneficiaries and design poverty-reduction activities.

Philippines has two strategies: householdfocused and community-focused convergence The *community-focused convergence* strategy involves convergence between the KC-NCDDP and the programs of other national agencies, particularly those under the Bottom-Up Budgeting Program. Findings:

Household-focused convergence considers the needs of the poorest

Community-focused convergence has improved basic services delivery and expanded livelihood 1. Stakeholders positively view the Social Preparation and the Participatory Situation Analysis process of the KC-NCDDP.

2. Under KC-NCDDP, villagers including the village and municipal officials positively view community involvement in funds management because it gives employment and a sense of ownership, and because its transparency encourages them to contribute counterpart funds.

3. In terms of methods and approaches, there are opportunities for building closer links between KC-NCDDP and Bottom-Up Budgeting processes, such as their common use of Participatory Situation Analysis results for project selection.

4. Overall, convergence has improved basic services delivery and expanded livelihood opportunities. It is increasingly appreciated by local decision-makers and supported fully by DSWD top management.

SEE FULL PRESENTATION  $\rightarrow$ 

Session 1: Thursday, 14 January 2016

## **Philippine KALAHI-CIDSS Tracer Study**

Danilo Songco Social Development Consultant, Asian Development Bank

The study area, Enrique Villanueva Municipality in Siquijor Province, is among the first 11 KALAHI-CIDSS municipalities. It implemented 34 KALAHI-CIDSS sub-projects from 2003 to 2012. Project types include electrification, water system, road, day care center, school building, health station, livelihood training center, sea wall, drainage, and river/flood control.



As of August 2015, only nine subprojects are fully functional, the rest either need major or minor repairs or are no longer being used. Four subprojects are totally non-functional. Findings:

1. KALAHI-CIDSS significantly improved people's lives through better access to basic services (with water, electricity, and road subprojects having the biggest impact). Road projects are in danger of continuous deterioration because of the high cost of maintenance.

2. Operation and maintenance activities were taken over by the village officials or transferred to other entities like electric cooperatives and water associations.

3. KALAHI-CIDSS improved *barangay* (village) governance because many former volunteers became barangay officials (bringing with them the skills that they learned).

4. It made political structures mandated by the Local Government Code [Republic Act 7160] functional, such as the barangay development council and the municipal development council.

5. It improved people's involvement in barangay governance through their participation in barangay assemblies.

6. It increased social capital due to sustained volunteerism and creation of community-based organizations.

#### SEE FULL PRESENTATION $\rightarrow$

KALAHI-CIDSS improved people's lives and barangay governance

It increased people's participation in village affairs

It encouraged volunteerism and creation of community organizations Session 1: Thursday, 14 January 2016

## Reaction

The CDD approach leads to desirable outcomes that fuel inclusive growth

Benilda E. Redaja National Program Manager, KALAHI-CIDSS National Community Driven Development Program, Department of Social Welfare and Development, Government of the Philippines

Community-driven development is not just a "supply" response to the poor. It's a strategy to give the chronically poor population a voice in decision-making. In majority of the communities where the Department of Social Welfare and Development works, those in power always try to monopolize development plans and budgets. I think many of you can relate to that. When President Benigno Aquino began his term, he made a decision to pursue inclusive growth, although there have been many challenges.



What CDD brings into convergence are values that often are invisible in conventional measures of results. Nonetheless, they lead to desirable outcomes that fuel inclusive growth:

1. CDD provides citizens with direct access to resources not just from the national government but also from their local governments.

2. CDD widens the space for public dialogue and citizens' participation in governance, an arena often monopolized by ruling elites.

3. CDD facilitates information flows. This is especially important for very poor municipalities. For example, the law guarantees poor households health benefits from the Philippine Health Insurance Corporation; yet, many poor families do not know that.

4. CDD strengthens relationships, both among community people, and between local governments and national agencies. These connections promote collective action—an effective countervailing force to prevent concentration of powers—and allow citizens to benefit from development opportunities.

Given these outcomes, convergence ought to be a 'natural way' of doing things and a core competence of those working on poverty reduction. It requires a good understanding of local context and of key stakeholders. It requires knowledge of the community's development agenda and the pathways to link that agenda to the programs of services providers.

Convergence: not its own target but a method that puts the community in the arena of action

Need to consider partnering with municipal governments to maintain complex projects But what happens sometimes is that-owing to inexperience or wrong messaging-our frontline workers interpret convergence as its own target and translate it into 'regular meetings' or formulation of transition plans. Convergence becomes a nebulous concept; it's very good to hear but very difficult to execute.

Convergence is not going to be easy. Our foreign colleagues likely can relate to the challenge of how to help our workers gain a deeper understanding of convergence. It does not begin with a plan on paper. The community or the municipality is an arena for action. Once our workers see it that way, their next questions should then be: What is in that arena? What are the conditions there? What are the issues facing the communities? Who needs what? When? Who responds to what? How do they respond? The answers would be key in making convergence work.

For field workers to take care of those falling through the cracks, they need mentoring. Managing many stakeholders can be complex especially for new workers, who make up about 90% of our current field staff. We need to give them user-friendly tools and standards. Convergence should be informed by good data and field teams need to have that data within reach.

One takeaway in the Tracer Study is that most of the legacy is in the village level. Because they are farther away from the communities, municipalities have little appreciation of how to sustain CDD. This is understandable: Community-driven development is meant primarily as a village intervention.

However, municipal governments have more resources; linkages between them and the villages need to be tightened so that villages can tap into those resources to maintain their subprojects. Maintenance of subprojects is lodged at the barangay level. Few municipal governments have passed budget ordinances for these village subprojects because subprojects were negotiated with village residents and volunteers.

Barangays also do not have the technical skills to maintain some of these subprojects, especially water systems and roads. Both are very complex endeavors. It is not surprising for these subprojects to have the highest need for upgrading, operations and maintenance. There is recognition that operations and maintenance begins at subproject conceptualization, not after subproject completion.

Aside from the technical skills needed, operations and maintenance also has an organizational aspect. How can a village subproject like a water system transform later into an enterprise that can generate income for the community? A poor community with limited human resources might find this very challenging. It's not surprising that villages turn to the municipality for support in maintaining their subprojects. A big discussion we are having now is whether we should either turn over to or partner with municipal governments for the maintenance of complex subprojects.

Session 1: Thursday, 14 January 2016

# Focus Groups: A Visual Recording of What We Learned from Today's Presentations

Participants divided into five, inter-country focus groups

Each group given a color name: Green, Orange, Yellow, Blue, White

Guide question: "What are the 3-5 most important lessons you learned from today's presentation?"







White Group



Blue Group





Green Group



Yellow Group

**Blue Group** 





Orange Group

White Group





Green Group



See list of focus group members

## **Mainstreaming the CDD Strategy**

Toward Mainstreaming and Sustaining CDD in Indonesia: Understanding Local Initiatives and the Transition from the National Rural Community Empowerment Program to Implementation of the Village Law

Reaction

Focus Groups: A Visual Recording of What We Learned from Today's Presentation

#### PHOTOS COURTESY OF ASIAN DEVELOPMENT BANK







## Session 2

Thursday 14 January 2016 Session 2: Thursday, 14 January 2016

Strategy needed to sustain CDD during its transition to Village Law

Local governments central to CDD

Need to anticipate and respond to many risks of Village Law

### Toward Mainstreaming and Sustaining CDD in Indonesia: Understanding Local Initiatives and the Transition from the National Rural Community Empowerment Program to Implementation of the Village Law

Irfani Darma

Community-Driven Development Consultant, Asian Development Bank

This study sought to understand better the role of local initiatives in mainstreaming and sustaining CDD in Indonesia, as the government transitions to the Village Law enacted in 2014 and marked for roll-out in 2015. Fieldwork was conducted in Gianyar (Bali Province), Jombang (Central Java Province), Bantaeng (South Sulawesi Province), and Lombok Barat (Nusa Tenggara Barat Province). Findings:



1. There should be a strategy for sustaining CDD during its transition from a programmatic basis to a legal basis at an early stage of implementation.

2. Local government agencies are central to the implementation of CDD.

3. Sub-district-based budget earmarking is an important instrument for reinforcing participatory processes in deciding development priorities at the local level.

4. The transition within the government is as important as the institutional transition of a CDD program.

5. The sub-district and inter-village institutional system has developed a pool of local leaders with a wide range of skills and qualifications.

6. Implementation of the Village Law entails many risks and calls for introducing measures to anticipate and minimize those risks.

#### SEE FULL PRESENTATION $\rightarrow$

Session 2: Thursday, 14 January 2016

## Reaction

Woro Srihastuti Sulistyaningrum Deputy Director for Community Empowerment, Badan Perencanaan Pembangunan Nasional (Bappenas) [National Development Planning Agency], Government of Indonesia

This study is perhaps the first published documentation that covers the end of Indonesia's CDD program and its early transition into the Village Law. Some of the findings of this study reinforce results of previous researches. Allow me to provide highlights of some recent developments:



*First is the issue of sustainability*. We should have built sustainability strategies at the early stage of our CDD Program. Our community program was closed suddenly and directly turned into Village Law implementation; we are not ready yet with the sustainability mechanism. Let me illustrate this through the revolving funds component of our CDD program.

During the more than 15 years of our program, we have accumulated funds in the community that now reach US\$1 billion worth of micro-credits for the poor. This fund can be used to reduce poverty but because the program has closed, we don't know how we can use this money.

The revolving fund is managed by thousands of subdistrict-based community-led organizations that were formed and strengthened throughout the life of the program. Many of these micro-finance organizations are very successful. They demonstrate a high-level of operational sustainability and show obvious potential to grow even further. In ending the program, their existence, governance, and operations have to be incorporated into the relevant national legal framework that regulates the finance sector, including micro-finance.

In Indonesia, we are now at the stage of formulating policies and guidelines to integrate these organizations into national micro-finance management structures. We want to ensure that the revolving fund is well protected and supports the evolution and growth of these micro-finance organizations.

Besides the revolving loan fund, all infrastructure that have been built during the CDD program also constitute an important issue. How will these infrastructure be sustained in terms of operation and maintenance? We are working to transfer the assets to those that can manage the infrastructure—maybe to the local government, the community, or the village head.

Sustainability strategies should have been built at the early stage of the CDD program Transition from CDD Program to Village Law not easy

Important to ensure participation of poor groups in village planning Second is the Village Law. The transition from the CDD program into the Village Law could have been better, but we are progressing. Implementation of the Village Law is a very significant undertaking for us. The mandates are much broader than CDD. Challenges and lessons are not unexpected; they ought to be well monitored and documented.

Among our policy focuses right now is to make sure that significant resource flows to villages align with national priorities to reduce poverty and improve welfare (the very vision of the Village Law). This is our concern now. How can we utilize for poverty reduction the fund that flowed to the villages? This calls for crafting appropriate regulation.

Our national poverty strategies are built around three pillars: provision of social protection, provision of basic services, and promotion of sustainable livelihoods. Discussions are ongoing to formulate policies and guidelines on how to mainstream and integrate those strategies—particularly the last two—into the village development planning and delivery of services.

Another discussion about the Village Law is the participation of the poor and disadvantaged people. Since management of the village fund is now the responsibility of the village head, it is important to build the mechanism that ensures the participation of poor groups. This mechanism has to be built into the regular planning process.

Moreover, the use of community institutions developed during the CDD program becomes one issue when the government starts to implement the Village Law. How do we use institutions, such as the Inter-Village Board, when implementing the Village Law? It's important that the planning and budgeting process, as well as the social audit, in the village use those community groups.

Another key aspect in implementing the Village Law is the person who will facilitate the village development process. There are four uses of the village fund according to the Village Law: provision of basic needs, development of rural infrastructure, local economic development, and utilization of natural resources in a sustainable manner. The qualification of village facilitator is very critical. That's why we are now starting to develop a certification mechanism for village facilitators to help bring about quality results in the village development process.

I will add two more aspects of local government capacity building. It is important not only to develop the capacity of the community but also of the local government. Indonesia is training local governments on

pro-poor planning and budgeting. The training already is at the district level but not yet at the village level. We are now working to address this.

Long-time CDD investments leave significant capacities In Indonesia some local governments have begun implementing the CDD mechanism, but not uniformly. The problem is leadership. Local governments with good leadership will implement the CDD approach. In our group, we also discussed the problem of policy change when there is a change of leadership. I think this also happens in other countries. Our challenge is how to integrate the CDD approach into the system, so that changes in local government leadership will not affect it.

Overall, Indonesia is very proud of its accomplishment. The long duration of the program speaks as evidence of the achievements, and the beneficiaries and politicians are happy with it. It is encouraging that the local governments replicate the approach with their own resources and also undertake significant initiatives to secure and sustain the results of the program. We know that institutional sustainability is something complex to address, particularly in Indonesia, which has multi-layer and multi-sector government policies and regulations.

Our lessons and challenges tell us that the long-time CDD investments leave significant capacities, including many human resources that have been empowered throughout the program. I hope that when we meet next time we can share more experiences on implementing the Village Law in Indonesia. We are still in a transition period. We have a new government and a new structure for managing village level development. Government needs to define more clearly how this new structure will implement the Village Law.

Session 2: Thursday, 14 January 2016

# Focus Groups: A Visual Recording of What We Learned from Today's Presentation

Participants divided into five, inter-country focus groups

Each group given a color name: Green, Orange, Yellow, Blue, White

Guide question: "What are the 3-5 most important lessons you learned from today's presentation?" Green Group





Blue Group



Orange Group

White Group



Yellow Group



Green Group

Orange Group





White Group

Blue Group





Yellow Group



See list of focus group members

## Information and Communications Technology

## **Session 3**

Friday 15 January 2016 Enhancing Community Resource Mapping through a Participatory Geographic Information System Strengthening Community Disaster Resilience through CDD Approaches Reaction 1 Reaction 2 Focus Groups: A Visual Recording of What We Learned from Today's Presentations Country Group Discussions and Development of Country Plans: A Visual Recording

PHOTOS COURTESY OF ASIAN DEVELOPMENT BANK.





Session 3: Friday, 15 January 2016

Enhancing Community Resource Mapping through a Participatory Geographic Information System

Pamela Christine L. Clavio-Galenzoga

Geographic Information System Specialist, Monitoring and Evaluation Unit, KALAHI-CIDSS National Community-Driven Development Program, Department of Social Welfare and Development, Government of the Philippines

Note: The presentation is based on Jose Edgardo Aban (January 2016), *Philippines: Enhancing Community Resource Mapping Through GIS (Cofinanced by the Republic of Korea e-Asia and Knowledge Partnership Fund)*, Technical Assistance Consultant's Report (Project Number: 47156), Asian Development Bank and the Department of Social Welfare and Development, Government of the Philippines.

This technical assistance sought to develop a standardized community resource mapping process to support KC-NCDDP geo-tagging and build up of a harmonized participatory geographic information system (PGIS) that can guide local governments and the KC-NCDDP Area Coordinating Teams. The study was conducted in the municipalities of Santa Josefa in Agusan del Sur Province and Gloria in Oriental Mindoro Province. Findings:



1. In general, barangay local government units do not have GIS software, suitable computer equipment, and GIS-trained workers; barangays manually prepare their resource maps.

2. Although provincial and municipal local governments have workers with basic GIS knowledge, they have a high turnover rate of relatively young workers, most with information technology backgrounds.

4. Wireless broadband is available in all barangays in the study area but signal reliability is poor.

5. Alternate power sources should be made available to communities, in order to address the problem of constant electricity outages, particularly in Mindanao.

6. Spatial information based on GIS does not exist among barangay governments in the areas studied.

7. Pre-testing of an Enhanced Community Resource Mapping method is recommended.

#### SEE FULL PRESENTATION $\rightarrow$

Village-level local governments have limited access to GIS software, hardware, data, and trained workers Session 3: Friday, 15 January 2016

Asia-Pacific countries are vulnerable to natural hazards, both sudden and slow onset

Community disaster resilience should focus on managing disaster risks instead of managing disaster events

## Strengthening Community Disaster Resilience through CDD Approaches

Arghya Sinha Roy Disaster Risk Management Specialist, Climate Change and Disaster Risk Management Division, Asian Development Bank

Asia-Pacific countries are vulnerable to natural hazards, both sudden and slow onset. Underlying development practices increase vulnerability and exposure to natural hazards, thereby increasing disaster risks, which impact the poor hardest.



The better approach to strengthening community disaster resilience is managing disaster risks instead of managing disaster events. It includes measures to quickly re-establish normal conditions through continuity of services, social welfare support, and flexible business value chains. Common good practices include participatory approaches to identify risk, action planning to prioritize risk reduction measures, capacity building on disaster preparedness, and implementation of small scale measures to reduce risk.

Most disaster risk efforts at the community level are designed in isolation, with no link to community level sector development. They usually fail to address the root causes of vulnerability. Sustainability of the efforts is weak because of limited government involvement and weak linkage with community-level investments.

Community disaster resilience needs to be an integral part of community development. Investments in it should build local capacities and be community-driven. Some guiding principles for strengthening community disaster resilience include:

- A multi-hazard, community-driven approach that takes into account current and future risks;
- Strengthening community-based systems to manage residual disaster risk;
- Mobilizing government support through policies, tools and information;
- Ensuring ongoing and pipeline investments across sectors; and,
- Building partnerships with NGOs and community-based organizations.

#### SEE FULL PRESENTATION $\rightarrow$

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Session 3: Friday, 15 January 2016

## **Reaction 1**

ICT is readily available

in urban areas but not

*in the communities where the CDD* 

program operates

Participatory GIS

of communities to

record the resources

and the potential in

their area

*improves the capacity* 

Alexander Glova Deputy National Program Manager for Operations, KALAHI-CIDSS National Community-Driven Development Program, Department of Social Welfare and Development, Government of the Philippines

I will focus on two points: first, an amplification of aspects of the resource mapping presented earlier and, second, a consideration of the positive implications of this initiative.

Information and communications technology is readily accessible in urban areas. But in the communities where KC-NCDDP operates, we encounter what ICT practitioners call the "last mile" connectivity problem. To me, a civil engineering graduate, this is like an unfinished bridge. You cannot get to the other side because there is no connection. We are working within that constraint. Is there an intermediate level between the very available technology in urban areas and the last mile connectivity problem?

We are learning from the KC-NCDDP experience that "a picture [still] is worth a thousand words" and that ICT can help convey the picture's content more effectively.

Traditionally, resource mapping was hand drawn. This raised problems of how to reuse the same information, store it, and standardize it across agencies. Emerging now from our experience is a joining up of ICT and local knowledge. The typical output is a data-rich map of the barangay, hopefully, consolidated later with the municipality map. Here, the village map is enriched with data generated by a participatory geographic information system. In other words, local community knowledge—such as where the houses are, where the streets are, and the like—becomes richer by adding on PGIS information. All the data is then digitized.

What are the positive implications of this on capability building at the community level?

1. It improves the capacity of communities to record the resources and the potential in their area. The recording, previously hand drawn, is now digitized. It becomes more efficient, more long lasting, and reusable. Communities record the information about their resources and that record will last longer with the aid of technology.

2. It can catalyze decision-making. The capacity of community people to build information by putting together different bits of data can catalyze decision-making in their communities and their local



governments. In relation to building resilience, this can inform decision-making in terms of where to put in investments.

Participatory GIS can help communities prepare themselves for the future For example, a coastal municipality in CARAGA [an administrative region in the Philippines occupying the northeastern section of the island of Mindanao] planned to build an evacuation center. The municipality had enough funds to put up a center in every barangay but it eventually decided to build only one in the *poblacion* [town center]. The skeptics among us, the CDD workers, asked "why in the poblacion?" Municipal authorities explained that this was the highest point in the town and so the least vulnerable to high water intrusion. We need to provide this kind of technical information to communities to help them avoid bad or wrong decisions.

After Typhoon Haiyan [among the strongest tropical cyclones ever recorded, which devastated portions of Southeast Asia, particularly the Philippines, on 8 November 2013], the government prohibited the building of physical structures within 40 meters of the shoreline. One municipality in our program is shaped lengthwise, which places it entirely inside the 40-meter prohibition. Do you relocate everyone in this municipality? That would be unpalatable to the community. Aided by PGIS, resource mapping can mirror vital information to the community, helping them to appreciate and understand the risks they face. This is where awareness and decision-making begins for community people, by helping them see how to improve their preparedness and mitigation plans, should a disaster happen. Again, the nature of the problem is technical. Communities should receive the information so that the decision comes from them.

3. It paints for community people a "picture" they can use to assess the value of community projects. It gives community people the capacity to generate a "picture" or visual tool to help them evaluate whether the inclusiveness, responsiveness, and disaster preparedness of projects correspond to actual conditions. Are the projects and activities in their area inclusive of, say, indigenous peoples?

4. It enables communities to practice transparency. This is crucial in CDD work. Transparency helps community people build more trust among themselves and for outsiders. It also builds more participation, which builds more dialogue. We have a pop-culture saying: "Gaano kadalas ang minsan?", which really asks, "Can't we do this more often?" Community dialogue *can* happen more often if there is participation rooted in transparency and trust.

5. *It helps communities position themselves for the future*. How does PGIS prepare our communities for the upcoming plans of national government agencies? For example, our Department of Science and Technology plans to address the "last mile" connectivity problem by using unutilized TV frequencies to give Internet access to barangays. This could turn an entire municipality into a local area network. We think PGIS can prepare our communities for this exciting program.

Session 3: Friday, 15 January 2016

## **Reaction 2**

Leo Quintilla

Deputy Regional Program Manager, KALAHI-CIDSS National Community-Driven Development Program, Department of Social Welfare and Development Field Office VI, Government of the Philippines

The presentation reemphasized the changing landscape, the importance of disaster risk reduction (DRR), and the increasing vulnerabilities for countries in Asia-Pacific. As a quick reaction, I will discuss three points.

First, I will contrast the presentation with the recently conducted Paris Climate Conference or COP21 and the challenge of operationalizing our countries' agreements and commitments. Second, I will talk about the objective of this Summation Workshop, which is to identify regional capacity development and technical assistance needs of countries using CDD as a platform for building disaster-resilient communities. Third, I will touch on the experience of the KALAHI-CIDSS National CDD Program in implementing disaster response operations.

I agree that there is a need to better understand how DRR programs are formulated and if these are linked to community sectoral development. I will wear my anthropologist hat and ask: How are the ideas of vulnerability and disaster framed? How are risks socially constructed? How do communities adopt to disaster situations?

It is very important to integrate DRR concepts and ideas to the way our programs are being developed. In the Philippines, for example, Conditional Cash Transfer, CDD, and the Sustainable Livelihood Program have reduced vulnerability and increased community resiliency.

In COP21, our governments agreed to increase resources for DRR programs and climate change. This is a response to the observation that DRR programs are funded mostly by external sources. This is not to minimize the importance of external sources. In situations of large-scale disaster, emergency assistance should be made available to support recovery and rehabilitation, no mater where it comes from.

The presentation extensively discussed the role of CDD in strengthening community disaster resilience, especially in moving away from 'managing disaster events' to 'managing disaster risks'. This is an area where CDD practitioners in Asia need technical assistance in order to acquire a 'DRR lens'. Disaster risk reduction planning can benefit from the CDD approach of community problem identification, design,

## It is important to integrate disaster risk reduction concepts to our CDD programs

CDD practitioners in Asia need technical assistance in order to acquire a 'disaster risk reduction lens'



There should be a seamless understanding of how to integrate disaster risk reduction in CDD as a platform for community resiliency financing, implementation, and operation and maintenance of community projects. For example, we can apply the CDD approach to determine how a road subproject can reduce vulnerability. It can be used to determine how a solar electrification subproject can increase community resiliency. Capacity-building on disaster risk-based programming and planning should form part of the technical assistance agenda.

Finally, I want to point to targeted capacity building of communities and local government units, and the institutional strengthening of agencies. The same kind of capacity building should be done for DRR. There should be a seamless understanding of how to integrate disaster risk reduction in CDD as a platform for community resiliency.
Session 3: Friday, 15 January 2016

## Focus Groups: A Visual Recording of What We Learned from Today's Presentation

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Guide question: "What are the 3-5 most important lessons you learned from today's presentation?" Orange Group



Yellow Group



White Group



Green Group



Blue Group



Orange Group

Yellow Group





White Group





Blue Group



See list of focus group members

## **Country Group Discussions and Development of Country Plans**

Each participating country prepared a presentation on the lessons it will 'take home' from the workshop.

Because of the relatively large size of the Philippine delegation, participants from this country were divided into two smaller groups.

Country groups answered the following three questions for their presentations:

What are the 3 most important lessons from this workshop that you will take back to your country and incorporate into your CDD project?

What outcomes do you expect as your chosen lessons are incorporated into your project?

What are the three most important activities that you need to undertake in order to incorporate the above lessons into your project?

> Click on a thumbnail to go to the country plan →











## **Country Plan - Indonesia**



Lessons learned	Expected outcomes	Key activities
<ol> <li>Convergence</li> <li>CDD and other sectoral programs at municipality</li> </ol>	a) Coordination of facilitators from all sectors/development programs in subdistrict level	<ul> <li>Joint agreement within related ministries to implement the programs</li> </ul>
level	b) <i>Kecamatan</i> (subdistrict) leads the facilitation and assistance process	<ul> <li>Evaluation and clear guidelines to improve the convergence of CDD</li> </ul>
		<ul> <li>Regular meetings between related parties to control and monitor programs</li> </ul>
2. Sustainability of CDD in implementation of Village Law	a) Involvement of villagers in budgeting and planning process	Improve implementing regulations of Village Law
	(enhanced participation)	<ul> <li>Develop MIS for monitoring and</li> </ul>
	<ul> <li>b) CDD principles are</li> <li>implemented through the Village</li> <li>Law (i.e., gender participation,</li> <li>transparency and accountability)</li> </ul>	evaluation of Village Law implementation (including basic services, livelihood, disaster risk management)
3. Developing community resilience to mitigate the risk (as	Implementation of CDD to help vulnerable groups; community	Develop guidelines for community
part of disaster risk management)	planning focused to mainstream consideration of hazards and risks	<ul> <li>Provide training for local government, facilitators, and community</li> </ul>
4. Utilization of village fund based on priorities, local sectoral investments, and historical achievement	District set guidelines (based on data analysis for budget allocation at village level)	<ul> <li>Prepare guidelines for the village</li> </ul>

## **Country Plan - Myanmar**



Lessons learned	Expected outcomes	Key activities
1. Convergence of actions and resources	<ul> <li>a) Better targeting and service delivery</li> </ul>	<ul> <li>Inter-ministerial coordination mechanism</li> </ul>
	b) Generating multiple impacts	<ul> <li>Information sharing via MIS</li> </ul>
		Resource sharing
		<ul> <li>Integrated planning and coordination</li> </ul>
2. Institutionalizing and mobilizing resources for sustainability of CDD	a) Ensuring maintenance b) Sustainable growth	<ul> <li>Policy advocacy and institutionalization</li> <li>Capacity building at all levels</li> <li>Maintenance fund</li> </ul>
3. Using CDD as a platform for community-based disaster risk management	a) Community resilience b) Green growth development	<ul> <li>Multi-stakeholders coordination mechanism</li> <li>Identifying risks and actions</li> </ul>
		<ul> <li>Integrating communmity- based disaster risk management in manuals</li> </ul>
		<ul> <li>Building capacity on disaster risk management at all levels</li> </ul>

## **Country Plan - Philippines (Group 1)**



Lessons learned	Expected outcomes	Key activities
1. Importance of coordinating mechanisms (national and sub- national level) for	a) Standardization of outputs and processes most importantly on Bottom-Up Budgeting and PAMANA	<ul> <li>Agree on social preparation process standards</li> </ul>
harmonized process, targeting, sequencing of	b) Unified messaging among different agencies	<ul> <li>Coordinate with agencies at national and regional levels</li> </ul>
programs	c) Use of Participatory Situation Analysis and Barangay Development Plan as reference for various program implementation	Standardize implementation procedures
	d) Sustained quality participation of different agencies	Conduct learning visits and study tours
		<ul> <li>Develop road maps for convergence through Regional Directors' Consultation Workshop/ Regional Advisory Committee</li> </ul>
2. Sustainability	a) Operation and maintenance funds are available	<ul> <li>Adequately reflect subprojects in local government unit's book of accounts using the Mutual Partnership Agreement</li> </ul>
		<ul> <li>Incorporate operations &amp; maintenance requirements in the Barangay Development Plan</li> </ul>
	b) Roles among stakeholders are clear and accepted	<ul> <li>Involve barangay/municipal local government units in operations and maintenance planning</li> </ul>
		<ul> <li>Include sustainability as criteria for Municipal Inter-Barangay Forum resource allocation</li> </ul>

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## **Country Plan - Philippines (Group 1)**



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Lessons learned	Expected outcomes	Key activities
<ol> <li>Manage the risk, not the disaster</li> </ol>	a) Staff capacitated on Disaster Risk Reduction	<ul> <li>Develop framework for programs on the use of Disaster Risk Reducton tools and technologies</li> </ul>
		<ul> <li>Make available to stakeholders data and maps on hazards</li> </ul>
		<ul> <li>Use of actual hazard data and maps during Institutional Development Division trainings</li> </ul>
		<ul> <li>Develop and conduct trainings for field staff on Disaster Risk Reduction using technology on risk mapping/Participatory GIS</li> </ul>
	b) Guidelines on how field staff can contribute to risk	<ul> <li>Develop guidelines for staff on risk mitigation, preparedness, response and rehabilitation</li> </ul>
	mitigation, preparedness, response and rehabilitation	<ul> <li>Use risks surfaced during CDD activities to inform Municipal Development Plan and Disaster Risk Reduction and Management</li> </ul>

## **Country Plan - Philippines (Group 2)**



Lessons learned	Expected outcomes	Key activities
1. Institutionalization of CDD	High quality service delivery	Advocacy on CDD legislation
2. Continuous capacity-building	CDD facilitators, communities, oriented and trained on Participatory GIS, Disaster Risk Reduction, geo-tagging	<ul> <li>Capacity building activities for communities, CDD facilitators, and other stakeholders</li> </ul>
3. CDD and climate change	Resilient communities	<ul> <li>Capacity building for Disaster Risk Reduction planning and implementation</li> </ul>
4. Harmonization and convergence for CDD	CDD incorporated in Barangay Development Plan/Barangay Action Plan	<ul> <li>Advocacy on resource allocation for operation and maintenance</li> </ul>

## **Country Plan - Timor-Leste**



Lessons learned	Expected outcomes	Key activities
1. Enhancing coordination	a) Duplication avoided	Joint monitoring system
mechanism and integrated planning	b) Resources (financial and human) effectively and	<ul> <li>Regular inter-ministerial coordination meeting</li> </ul>
	efficiently used	<ul> <li>Information sharing</li> </ul>
2. Sustainability of PNDS	Long-term impact of the	Development of PNDS Law
[National Program for Village Development]	program assured	Diversification of projects
		<ul> <li>Continuous capacity development for staff</li> </ul>
		• Decentralizing budget and functions to the local government
3. Sustainability of projects	Long-term benefit of the project ensured	<ul> <li>Crafting of integrated guidelines on operation and maintenance</li> </ul>
		<ul> <li>Defining local government budget for operation and maintenance</li> </ul>
4. Mainstreaming of climate change disaster	Climate change and risk impact minimized	<ul> <li>Use of GIS and ICT tools to do risk management assessment</li> </ul>
risk management in CDD program		<ul> <li>Reinforcing traditional and local laws</li> </ul>
r - 0 -		<ul> <li>Socialization of disaster risk management</li> </ul>

## **Closing Remarks and Reflections**

Closing Remarks from Indonesia, Timor-Leste, and Myanmar

**Reflections of a CDD Practitioner** 

## **Session 4**

Friday 15 January 2016





### Session 4: Friday, 15 January 2016 Closing Remarks from Indonesia, Timor-Leste, and Myanmar



#### Aunur Rofiq Hadi

Chairman, Working Group for Strengthening Village Governance and Community Engagement, Tim Nasional Percepatan Penanggulangan Kemiskinan (TNP2K) [The National Team for Accelerating Poverty Reduction], Government of Indonesia

Thank you to the ADB staff that organized this workshop. We have a long list of lessons learned not only from the presenters but also from colleagues from the Philippines, Myanmar, Timor-Leste, as well as from Indonesia whom we met for the first time in this workshop. We had many discussions and we hope we can do the activities proposed here when we report next year. I was part of the Green Group, which had a fruitful discussion on how to face the challenges of implementing the Village Law in Indonesia. We truly learned many lessons.



#### Abíilio de Araújo

National Training Development Adviser, AusAID-funded Technical Support Project for the Programa Nasional Desenvolvimento Suco [National Program for Village Development] of the Government of Timor-Leste

It was a fruitful week. We went to Palawan and had very inspiring, very dynamic discussions with the community. The volunteerism of the community impressed us. It's very encouraging for Timor-Leste as a young country. We just experienced three years of implementing our CDD program. We learned many lessons both from the field visit and the two-day workshop. We had a chance to interact with colleagues from Myanmar, Philippines, and Indonesia. We will bring home some of the lessons that are appropriate to the context and needs of a young country that just implemented CDD. We hope you will remember us through the small souvenirs that we just handed to you. We are a small country and people tend to forget us easily. We hope one day you also can visit Timor-Leste.



#### Zarni Minn

Deputy Director and Project Director for Enhancing Rural Livelihoods and Incomes (ERLIP) Project, Department of Rural Development, Ministry of Livestock, Fisheries, and Rural Development, Government of Myanmar

Our profound thanks to ADB for inviting us to this very important workshop. Our special thanks to the Philippine government, especially colleagues from the Department of Social Welfare and Development. We will never forget your hospitality and generosity. Last but not the least, we also are very thankful to the CDD experts. We have learned a lot of different contexts from different countries. Of course Myanmar is an emerging country; we have opened our doors only lately. We have an opportunity to develop. Part of this is we need the helping hands of our colleagues. What I do request from the Philippines, Indonesia, and Timor-Leste is to be our older sisters, and Myanmar to be the younger sister. So please extend your hands for the success of CDD in Myanmar.

#### Session 4: Friday, 15 January 2016 Reflections of a CDD Practitioner

Victor Bottini Community-Driven Development Advisor, Asian Development Bank

It is really appropriate as community practitioners of CDD that we spend some time talking to each other, learning from each other and focusing on convergence, integration, coordination, sustainability, and mainstreaming. I am glad we also had a chance to see some tools like Participatory GIS that can help us implement CDD better and empower communities to help give them greater capacity to make better decisions.



Our discussions were useful but they will not make any difference unless we seriously go back and try to implement our plans. Perhaps we shouldn't expect to implement them 100 percent but we should at least try to implement some of them.

Talking about mainstreaming, sustainability, and so forth does not mean much at all unless we focus on quality. The principles, the values of CDD, making sure that these are in the community and remain in the community, whether we have a new Village Law or the rules have changed a bit, do not matter as much as aiming for quality implementation.

We are all CDD practitioners. Just as important as talking to each other is having good monitoring and evaluation and a good communications strategy so that we can convince people and other government agencies. I am glad that we have two friends in Indonesia from the Ministry of Finance because we have to convince other people that CDD has an important part in the national development strategy. It is not a silver bullet. It does not do everything but it does do some things really well and efficiently and inexpensively. So I think we need the data. We need the proof and it is important that we collect these from our programs. We document, distribute, and communicate it well.

I also would like to thank ADB, the Philippine government—especially DSWD, our KALAHI-CIDSS friends for this opportunity to share. If there is a chance for another workshop next year, I think that would be really good and my preference would be we talk about CDD as a flexible platform for addressing disasters, livelihood, and local economic development.

The principles of CDD do not matter as much as aiming for quality implementation

We need to show proof that CDD has an important role in national development

## **Community-Driven Development Regional-Capacity Development Technical Assistance Summation Workshop** 11-15 January 2016, Philippines

**Objectives:** The Community-Driven Development Regional-Capacity Development Technical Assistance (CDD R-CDTA) Summation Workshop aimed to present the outputs of the R-CDTA with the selected Developing Member Country participants that are currently implementing the CDD program in their countries. Specifically, it presented the R-CDTA outputs on two analytical studies of the Philippines and Indonesia, and shared the findings of the tracer study and experience of participatory geographic information system from the Philippines. The workshop served as a venue for knowledge exchange on CDD experiences and to learn from the other DMC participants. Networking among the participants was also one of the key objectives of the workshop.

Participants: The workshop brought together some 70 participants from Indonesia, Philippines, Timor-Leste, Myanmar, development partners, and the ADB team.

	Field Visit	
January 11 (Mon)		
10:05-11:30 pm	Travel to Palawan	Timor-Leste, Myanmar, and Indonesia participants, ADB representatives, KC-NCDDP regional representatives (from field office)
01:30-05:00 pm	Briefing on KC-NCDDP and inter-agency collaboration at the provincial/regional level; orientation on the field visit	DSWD Provincial representatives and Provincial Inter-Agency Team (including representatives from DSWD core programs) and Developing Member Country participants
January 12 (Tue)		
8:00-09:30 am	Travel to Municipality of Aborlan	
09:30-10:30 am	Courtesy visit to Mayor and discussion with the Municipal Inter-Agency Committe (MIAC) and Municipal Action Team (MAT)	Mayor, MIAC, MAT, and Developing Member Country representatives
10:30-11:00 am	Travel to Barangays Gogognan and Sagpangan (2 groups per village)	
11:00-12:00 nn	Visit to sub-project locations	
01:00-01:15 pm	Short briefing at Barangay Hall	
01:15-4:00 pm	Focus group discussion with community volunteers, civil society organizations, and village officials	Community volunteers, civil society organizations, village officials
05:00-08:30 pm	Debriefing and dinner	

	R-CDTA Output Presentations, Oper	n forum and Plenary Sessions	
anuary 14 (Thur), N	Multi-Function Halls 2-3, ADB		
08:30-09:00 am	Registration	Multi-Function Hall 3 Lobby	
Inaugural Session			
09:00-09:10	Welcome remarks. Bart W. Édes, Director, Social Development, Governance, and Gender Division, Sustainable Development and Climate Change Department, Asian Development Bank		
09:00-09:10 am	Keynote address. Camilo Gudmalin, Undersecretary, Department o	f Social Welfare and Development, Government of the Philippines	
09:10-09:20 am	Overview of the Summation Workshop. Yukiko Ito, Social Developn Development Bank	nent Specialist, Social Development, Governance, and Gender Division, Asian	
-	<b>CDD and Convergence of Development Assistance</b> ottini, CDD Advisor, Asian Development Bank		
09:45-10:15 am	Presentation of the Philippine study: "Enhancing Local Development through CDD: KC-NCDDP and the Convergence of Development Assistance to Communities"	Raul Gonzalez. Community-Driven Development Consultant cum Team Leader, Asian Development Bank	
10:15-10:45 am	Presentation of results: "KALAHI-CIDSS Tracer Study"	Danilo Songco. Social Development Consultant, Asian Development Bank	
10:45-11: 15 am	Presentation reactor and open forum	Benilda Redaja. Director IV and National Program Manager, KC-NCDDP, Department of Social Welfare and Development, Government of the Philippine	
11:15-12:15	Inter-country focus group discussion		
01:15-02:00 pm	Plenary presentation		
-	<b>Mainstreaming CDD Strategy</b> ottini, CDD Advisor, Asian Development Bank		
02:00-02:30 pm	Presentation of the Indonesia study: "Toward Mainstreaming and Sustaining CDD in Indonesia: Understanding Local Initiative and Perspective on Village Law"	Irfani Darma. Community-Driven Development Consultant, Asian Development Bank	
02:30-03:00 pm	Presentation reactor and open forum	Woro Srihastuti Sulistyaningrum. Deputy Director for Community Empowerment, National Development Planning Agency, Government of Indonesia	

03:15-04:15 pm	Inter-country focus group discussion		
04:15-05:00 pm	Plenary presentation		
January 15 (Fri), M	ulti-Function Halls 2-3, ADB		
_	<b>CDD and Information, Communication and Technology</b> nzalez, Community-Driven Development Consultant cum Team Leader, Asian De	evelopment Bank	
09:00-09:30 am	Presentation of results: "KC-NCDDP Technology-Needs Assessment Survey for the Adoption of Geographic Information Technology and System at the Community Level"	Pamela Christine L. Clavio-Galenzoga. Geographic Information System Specialist, Monitoring & Evaluation Unit, KC-NCDDP, Department of Social Welfare and Development, Government of the Philippines	
09:30-09:50 am	Presentation: "Community Disaster Resilience"	Arghya Sinha Roy. Disaster Risk Management Specialist, Climate Change and Disaster Risk Management Division, Asian Development Bank	
09:50-10:15 am	Presentation reactors and open forum	Alexander Glova. Deputy National Program Manager for Operations, KC-NCDDP, Department of Social Welfare and Development, Government of the Philippines Leo Quintilla. Deputy Regional Program Manager, KC-NCDDP, Department of Social Welfare and Development Field Office VI, Government of the Philippines	
10:30-11:30 am	Inter-country focus group discussion		
11:30-12:30 am	Plenary presentation		
1:30-2:30 pm	Country group discussion and preparation for presentation		
02:30-3:30 pm	Plenary presentation		
Working Session 4 Facilitator: Yukiko	: <b>Closing</b> Ito, Social Development Specialist, Asian Development Bank		
3:30-3:50 pm	Closing remarks	Representatives from Indonesia, Myanmar, and Timor-Leste	
3:50-4:00 pm	Reflections of a CDD practitioner	Victor Bottini, CDD Advisor, Asian Development Bank	

## **Directory of Participants**

#### **INDONESIA**

Hadi, Aunur Rofiq. Chairman of Working Group for Strengthening Village Governance and Community Engagement, Tim Nasional Percepatan Penanggulangan Kemiskinan (TNP2K) [The National Team for Accelerating Poverty Reduction], Government of Indonesia.

Marlina, Irma. Head of Subdivision for Social Assistance Expenditure, Center for Budget Policy, Fiscal Policy Agency, Ministry of Finance, Government of Indonesia.

Sulistyaningrum, Woro Srihastuti. Deputy Director for Community Empowerment, Badan Perencanaan Pembangunan Nasional (Bappenas) [National Development Planning Agency], Government of Indonesia.

Tama, Andi Yoga. *Community-Driven Development Specialist, TNP2K, Government of Indonesia.* 

Waristi, Fino Valico. *Head of Subdivision, Fiscal Policy Agency, Ministry of Finance, Government of Indonesia.* 

#### **MYANMAR**

Aung, Myint. Director, Planning Department, Ministry of National Planning and Economic Development, Government of Myanmar.

Aung, Win Min. *Deputy Director, Ministry of Border Affairs, Government of Myanmar.* 

Min, Kyaw Thu. Staff Officer, Township Project Manager for Enhancing Rural Livelihoods and Incomes Project (ERLIP), Department of Rural Development, Ministry of Livestock, Fisheries, and Rural Development, Government of Myanmar. Minn, Zarni. Deputy Director, Project Director for ERLIP, Department of Rural Development, Ministry of Livestock, Fisheries, and Rural Development, Government of Myanmar.

Phyu, Su Yi. Sub-Assistant Engineer, Procurement Staff for ERLIP, Department of Rural Development, Ministry of Livestock, Fisheries, and Rural Development, Government of Myanmar.

Tun, Aung Wai. Staff Officer (Technical), Department of Rural Development, Ministry of Livestock, Fisheries, and Rural Development, Government of Myanmar.

Zaw, Aung Khine. *Staff Officer (Technical), Department of Rural Development, Ministry of Livestock, Fisheries, and Rural Development, Government of Myanmar.* 

#### PHILIPPINES

Armas, Janet. OIC-Regional Director, Cordillera Administrative Region, Department of Social Welfare and Development (DSWD), Government of the Philippines.

Barnachea, Melanie. *Regional Program Coordinator/Social Welfare Officer III, DSWD Field Office III, Government of the Philippines.* 

Behagan, Abraham Villanueva. Project Development Officer III/Bottom-Up Budgeting, Protective Services Bureau, DSWD, Government of the Philippines.

Clavio-Galenzoga, Pamela Christine L. *Geographic Information System* Specialist, KALAHI-CIDSS National Community-Driven Development Program, National Program Management Office (KC-NCDDP NPMO), DSWD, Government of the Philippines.

de Asis, Karlo Alberto. *National Monitoring and Evaluation Specialist, KC-NCDDP NPMO, DSWD, Government of the Philippines.*  dela Cruz, Abigail. *Evaluation Specialist, KC-NCDDP NPMO, DSWD, Government of the Philippines.* 

dela Cruz, Aldersey. Assistant Regional Director for Operations, DSWD Field Office X, Government of the Philippines.

Dizon, Emerita. *Regional Program Coordinator, DSWD Field Office XII, Government of the Philippines.* 

Falcon, Ramon Paul. *National Economic and Development Authority - Social Development Staff (NEDA-SDS), Government of the Philippines.* 

Garcia, Aretha Janin. NEDA-SDS, Government of the Philippines.

Glova, Alexander. *Deputy National Program Manager for Operations, KC-NCDDP NPMO, DSWD, Government of the Philippines.* 

Gudmalin, Camilo. Undersercretary, DSWD, Government of the Philippines.

Gupana-Lim, Mita Chuchi. Assistant Regional Director for Operations, DSWD Field Office-Caraga, Government of the Philippines.

Lucero, Shalaine Marie. Assistant Regional Director, DSWD Field Office Negros Island Region, Government of the Philippines.

Macapobre, Ma. Evelyn. *Regional Director/Director IV, DSWD Field Office VII, Government of the Philippines.* 

Macuto, Restituto. *Assistant Regional Director for Operations, DSWD Field Office VIII, Government of the Philippines.* 

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Mamuyac, Christine Joy. NEDA-SDS, Government of the Philippines.

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Raymundo, Ana Maria.

Redaja, Benilda E. Director IV and National Program Manager, KC-NCDDP NPMO, DSWD, Government of the Philippines.

Sesay, Virginia. *Regional Program Coordinator/Social Welfare Officer IV, DSWD Field Office I, Government of the Philippines.* 

Usman, Consejo. Assistant Regional Director for Operations, DSWD Field Office IX, Government of the Philippines.

#### TIMOR-LESTE

Amaral, Fortunato Godinho. *National Monitoring and Evaluation Coordinator, Ministry of Planning and Strategic Investment, Government of Timor-Leste.* 

de Araújo, Abílio. National Training Development Adviser, AusAID-funded Technical Support Project for the Programa Nasional Desenvolvimento Suco (PNDS) [National Program for Village Development] of the Government of Timor-Leste.

Gusmao, Maria Soares Pereira. *National Accountant, Ministry of Planning and Strategic Investment, Government of Timor-Leste.* 

Guterres Junior, Dulce. National Director, PNDS, Government of Timor-Leste.

Jong, Angelo Moniz. Special Projects Coordinator/Translator, PNDS, Government of Timor-Leste.

Moreira, Secundino Freitas. *Director of Administration and Finance, PNDS, Government of Timor-Leste.* 

#### ASIAN DEVELOPMENT BANK

Aban, Jose Edgardo. Consultant.

Barrameda, Sharon. Consultant.

Bottini, Victor. Community-Driven Development Advisor.

Darma, Irfani. *Community-Driven Development Consultant.* 

Édes, Bart W. Director, Social Development, Governance, and Gender Division.

Gonzalez, Raul. *Community-Driven Development Consultant cum Team Leader.* 

Ito, Yukiko. *Social Development Specialist, Social Development, Governance, and Gender Division.* 

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Marquez, Imelda. *Associate Operations Analyst, Social Development, Governance, and Gender Division.* 

Presbitero, Kristine. *Operations Assistant, Social Development, Governance, and Gender Division.* 

Roy, Arghya Sinha. Disaster Risk Management Specialist, Climate Change and Disaster Risk Management Division.

Samsuya, Dindi Tisha. Consultant.

Songco, Danilo. Social Development Consultant.

Yoon, Seok Yong. Senior Public Management Specialist, Social Development, Governance, and Gender Division.

Zubiri, Andrew. Consultant.



#### **DEVELOPMENT PARTNER AND OTHERS**

Arroyo, Dennis. Consultant, Philippines.

Beltran, Maita. Visual Recorder, Pushpin Visual Solutions (PVS).

Cham, Rob. Visual Recorder, PVS.

Delfin, Claudin. Visual Recorder, PVS.

Domingo, Andrea. Visual Recorder, PVS.

Ferrer, Marielle. Visual Recorder, PVS.

Inanc, Burak. *Deputy Resident Country Director, Millennium Challenge Corporation Philippines.* 

Padua, Ma. Loreto. *Co-Task Team Leader/Senior Social Development Specialist, World Bank Philippines.* 

Polk, John. Country Director, Millennium Challenge Corporation Philippines.

Rauniyar, Ganesh. Consultant, Philippines.

Tiongson, Alice. Consultant, Philippines.

## **Composition of Inter-Country Focus Group Discussions (FGDs)**

#### **GREEN GROUP**

Indonesia: Aunur Rofiq Hadi. Myanmar: Su Yi Phyu; Aung Wai Tun. Philippines: Janet Armas; Restituto Macuto; Shalaine Marie Lucero; Catherine Racho. Timor-Leste: Angelo Moniz Jong. ADB: Raul Gonzalez (facilitator); Ganesh Rauniyar.

#### **BLUE GROUP**

Indonesia: Andi Yoga Tama; Fino Valico Waristi. Myanmar: Myint Aung; Aung Khine Zaw. Philippines: Abraham Villanueva Behagan; Wilma Naviamos; Benilda Redaja (facilitator); Consejo Usman. Timor-Leste: Secundino Freitas Moreira.

#### YELLOW GROUP

*Myanmar:* Kyaw Thu Min. *Philippines:* Karlo Alberto de Asis; Aldersey dela Cruz; Ramon Paul Falcon; Alexander Glova. *Timor-Leste:* Fortunato Godinho Amaral; Maria Soares Pereira Gusmao. *ADB:* Irfani Darma; Danilo Songco (facilitator).

#### WHITE GROUP

Indonesia: Irma Marlina. Myanmar: Win Min Aung. Philippines: Emerita Dizon; Aretha Janin Garcia; Tricia Rona Maligalig; Leo Quintilla; Virginia Sesay. Timor-Leste: Abílio de Araújo. ADB: Victor Bottini (facilitator).

#### **ORANGE GROUP**

Indonesia: Woro Srihastuti Sulistyaningrum. Myanmar: Zarni Minn. Philippines: Melanie Barnachea; Ma. Evelyn Macapobre; Mita Chuchi Gupana-Lim; Abigail dela Cruz; Christine Joy Mamuyac. Timor-Leste: Dulce Guterres Junior. ADB: Yukiko Ito (facilitator).

## Abbreviations

AC	Area Coordinator	DRR	Disaster Risk Reduction
ACT	Area Coordinating Team	DRRM	Disaster Risk Reduction and Management
ADB	Asian Development Bank	DSWD	Department of Social Welfare and Development
ADSDPP	Ancestral Domain Sustainable Development and	ECRM	Enhanced Community Resource Mapping
	Protection Plan	ESSC	Environmental and Social Safeguards Checklist
BA	Barangay [Village] Assembly	ESMP	Environmental and Social Management Plan
BAP	Barangay Action Plan	EV	Enrique Villanueva
BDC	Barangay Development Council	FDS	Family Development Session
BDP	Barangay Development Plan	FGD	Focus group discussion
BLGU	Barangay Local Government Unit	FMR	Farm-to-market road
BUB	Bottom-Up Budgeting	FPIC	Free and Prior Informed Consent
CAPABILICA	Camogao, Parian Bino-ongan, Libo, and Cangmangki	GAD	Gender and Development
CBDRM	Community-based Disaster Risk Management	HH	Household
CBMS	Community-based Monitoring System	IDD	Institutional Development Division
ССТ	Conditional Cash Transfer	IT	Information technology
CDD	Community-Driven Development	ICT	Information and communications technology
CDR	Community Disaster Resilience	IP	Indigenous peoples
CEAC	Community Empowerment Activity Cycle	IRA	Internal Revenue Allotment
CEF	Community empowerment facilitator	KALAHI-CIDSS	Kapit-Bisig Laban sa Kahirapan [Linking Arms against
CF	Community Facilitator		Poverty] - Comprehensive and Integrated Delivery of
COA	Commission on Audit		Social Services [Philippines]
COP21	21 <sup>st</sup> Conference of Parties or Paris Climate Conference	KC-NCDDP	KALAHI-CIDSS National Community Driven-Development
СВО	Community-based Organization		Program [Philippines]
CFA	Community Force Account	KII	Key Informant Interviews
CSO	Civil society organization	LARR	Land acquisition, resettlement and rehabilitation
CV	Community volunteer	LCC	Local Counterpart Contribution
DCC	Day Care Center	LGC	Local Government Code
DepEd	Department of Education	LGPMS	Local Government Performance Management System
DENR	Department of Environment and Natural Resources	LGU	Local Government Unit
DILG	Department of Interior and Local Government	LPRAT	Local Poverty Reduction Action Team
DMC	Developing Member Country	M&E	Monitoring and Evaluation
DOH	Department of Health	MAO	Municipal Agricultural Officer
DOST	Department of Science and Technology	MARO	Municipal Agrarian Reform Officer

MAT	Municipal Action Team	Pantawid Pamilya	Pantawid Pamilya Pilipino Program [Conditional Cash
MBO	Municipal Budget Officer		Transfer program of the Department of Social Welfare
MCC	Millennium Challenge Corporation		and Development, Philippines]
MCT	Municipal Coordinating Team	PGIS	Participatory Geographic Information System
MDC	Municipal Development Council	PI	Poverty incidence
MDP	Municipal Development Plan	PMT	Poblacion, Manan-ao, and Tulapos
МНО	Municipal Health Officer	PNDS	Programa Nasional Desenvolvimento Suco [National
MIAC	Municipal Inter-Agency Committee		Program for Village Development, Timor-Leste]
MIBF	Municipal Inter-Barangay Forum	PNPM	Program Nasional Pemberdayaan Masyarakat [National
MIMAROPA	Mindoro, Marinduque, Romblon and Palawan		Program for Community Empowerment, Indonesia]
MIS	Management Information System	PSA	Participatory situation analysis
MLGOO	Municipal Local Government Operations Officer	PTAS	Participation, Transparency, Accountability and
MLGU	Municipal Local Government Unit		Sustainability
MM	Municipal Monitor	RAC	Regional Advisory Committee
MPA	Mutual Partnership Agreement	R-CDTA	Regional-Capacity Development Technical Assistance
MPDO	Municipal Planning and Development Officer	RDCW	Regional Directors' Consultation Workshop
MSWDO	Municipal Social Welfare and Development Officer	RPJMN	Rencana Pembangunan Jangka Menengah Nasional
MT	Makamasang Tugon [People's Response][Philippines]		[National Medium Term Development Plan, Indonesia]
MTP	Municipal Transition Plan	RPMO	Regional Project Management Office
NCIP	National Commission on Indigenous Peoples	SDCD	Social Development and Climate Change Department
NGA	National government agency	SET	Sustainability evaluation tool
NGO	Non-government organization	SLP	Sustainable livelihood program
NHTS-PR	National Household Targeting System for Poverty	SP	Subproject
	Reduction	SPI	Subproject implementation
NPMO	National Project Management Office	SRPMO	Sub-Regional Program Management Office
ODM	Organizational development and management	SWI	Social welfare indicator
0&M	Operation and maintenance	ТА	Technical assistance
PAMANA	PAyapa at MAsaganang PAmayanan [Peaceful and	TF	Technical facilitator
	Resilient Communities] [Philippines]	TESDA	Technical Education and Skills Development Authority

## Enhancing CDD through Convergence: A Case Study of Household- and Community-Based Initiatives in Philippine Villages (14 slides)

Raul Gonzalez. Community-Driven Development Consultant cum Team Leader, Asian Development Bank.







Emerging synergistic benefits:
 Household convergence

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- Residents' <u>time</u> required reduced.
- <u>Needs</u> of CCT recipients considered in design of CDD subprojects.
- CDD community mobilization facilitated
- CCT Parent Leaders constitute bulk of CDD community volunteers
- CCT recipients become <u>SLP borrowers</u>

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Key Findings Community-based Convergence

Stakeholder Perceptions and Support for CDD Processes

- Residents support to adopt CDD processes in village projects (but feel powerless to implement this policy).
- <u>BLGUs</u> recognize effectiveness of certain CDD processes (village meetings, PSA, community volunteers) but prefer BLGU management of actual construction.
- <u>MLGUs</u> acknowledge benefits of CDD processes, (community funds management), but concerned about length of construction, politics in project selection, cost overruns, and excessive documentation.
- <u>NGA Regional Staff</u> okay with community management since final accountability rests with the MLGU.



### Philippine KALAHI-CIDSS Tracer Study (14 slides)

Danilo Songco. Social Development Consultant, Asian Development Bank.





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#### STATUS OF SUB-PROJECTS

SP Status	Number and %	Subproject Classification and Barangay
Fully Functional	6 (18%)	Electrification : 4     Seawall : 1     Drainage : 1
Functional and Upgraded	3 (9%)	Water System : 2     Road : 1
Functional But Needs Major Repairs	10 (29%)	Water System : 2     Road : 7     Day Care Center : 1
Functional But Needs Minor Repairs	9 (25%) :	Day Care Centur : 8     School Building : 1
Not Functional As Intended	2(6%)	Heillift Station: 1     Multi-Use Building/Facility (Veilitop)     training center): 1
Totally Not Functional	4 (1256)	Water System : 3     Reserviced Califront : 1
TATA	361503965	

#### CAMOGAO : Construction of Camogao Seawall (Fully Functional)

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BOLOT : Brgy. Bolot Electrification Project (Fully Functional)



#### TLOTON: Construction of Lottoton Farm to Market Ros (Functional But Needs Major Repairs)





OBLACION : Installation of Poblacion Level I Waterworks vistem (Totally Not Functional)



10

In all fourteen barangays, some former KC volunteers have become or continue to be barangay officials - they are applying the governance skills learned in KC

- 2 People rely on the barangay council to identify development priorities
- Barangay projects and budgets presented to residents during synchronized barangay assemblies (BAs)
- High attendance in the synchronized BAs has been sustained in most barangays

#### FINDINGS

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- Barangay development councils (BDC) functional in most barangays – they are venue for soliciting proposals
- In general barangay officials are responsible for maintenance of subprojects
- a. The road projects are in danger of continuous deterioration because of the high cost of maintenance
- 9. In almost all barangays water supply is biggest issue

#### FINDINGS

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- 10 Mayor has a very rich rhetoric in people's participation but not fully matched by actions
- Bottom-Up Budgeting (BUB) has become new venue for people's participation, particularly in the Municipal level
- In general, people say KC has improved their lives they associate KC with infra projects rather than the process

#### FINDINGS

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# Toward Mainstreaming and Sustaining CDD in Indonesia: Understanding Local Initiatives and the Transition from the National Rural Community Empowerment Program to Implementation of the Village Law (34 slides)

Irfani Darma. Community-Driven Development Consultant, Asian Development Bank.












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25 Adoption and replication by different forms, and co-exist	local gov't is apparent, takes s	27	Continuous, long-term engag responsibilities build local ow	
<ul> <li>Proliferation         <ul> <li>Local governments own budget</li> <li>Variations exist:                 <ul> <li>Funds transfer and management</li> <li>Use of facilitators</li> <li>Policies and procedures (manuals)</li> <li>Work with village organizations</li> </ul> </li> </ul> </li> <li>26 Adoption of participatory plabudgetary instrument to enforce the second secon</li></ul>	<ul> <li>Different programs co-exist, mixed on synergies → potential under Village Law</li> <li>Micro-credits more complex</li> <li>Weak on gender</li> <li>Locally-hired facilitators: limitations in the recruitment, remuneration, training, quality</li> <li>Nat'l program's simplified community procurement rules may not applicable locally</li> </ul>	28	<ul> <li>Strong program management, well structured instruments</li> <li>Increasing roles of local gov't over time</li> <li>Sub-district locus and intervillage system and network play critical roles</li> <li>Regeneration from within – system allows vertical mobility</li> </ul>	<ul> <li>Program reputation is key for local ownership and replication</li> <li>Program reputation in part due to good M &amp; E and good Communications Strategy</li> <li>Great capacity-building impact on key community actors overlooked</li> </ul>
National program as a vehicle	-Lesson		Key element	Key CDD principles adopted
<ul> <li>Allows for horizontal and vertical integration</li> <li>Institutionalization by local gov't</li> <li>Widespread, appreciation – highly relevant under village law</li> </ul>	Quality and links to district planning could be improved     Weak influence over "supply side"     – including political processes     Best practice: sub-district based     budget earmarking system     allows for enforcing village/     community development     priorities		<ul> <li>Constitutional right – relative autonomy</li> <li>Recognition and subsidiarity</li> <li>Hybrid governance – a compromise</li> <li>Check-and-balance institutional set up</li> <li>Substantial transfer of funds: <ul> <li>10% of nat'l transfer to districts</li> <li>10% from local gov't budget</li> </ul> </li> <li>Serve as new master framework for village dev't/community empowerment</li> <li>Roles of village head/gov't</li> </ul>	<ul> <li>Block-grant transfer</li> <li>Facilitation</li> <li>Affirmative inclusive actions</li> <li>Community decision – by assembly</li> <li>Communities manage activities</li> <li>Community organizations play roles</li> <li>Transparency &amp; accountability stressed</li> </ul>

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years	for continue	ous improve	ment		Summarizing – key highlights		
Prog	ress	Le	sson		<ul> <li>The obvious: program reputation!</li> </ul>		
<ul> <li>Regulations – issuance, revision</li> <li>Block-grant transferred (in stages)</li> <li>Facilitators deployment - partial</li> <li>Training for village gov't apparatus</li> <li>"Socialization" – though limited</li> <li>National CDD program is ending</li> <li>Sustaining CDD relocal initiative and local initiative and</li> <li>On facilitators: nur capacity, effectiver</li> <li>Risks of politicizati</li> <li>Sense of ambiguity</li> <li>Village head – pow</li> <li>Untested and com</li> </ul>		mber, recruitment, ness tion, elite capture ty wer, past lessons		<ul> <li>An approach people love – communities, bureaucrats, politicians, CSC</li> <li>National program as a vehicle for local reforms – transformational</li> <li>Strategizing sustainability upfront – institutional sustainability is comple</li> <li>Planned engagement of local governments and community institutions</li> <li>Addressing variations of local capacity</li> <li>Investing on human resources –incl. youth, rural middle classes</li> <li>Governance and capacity building</li> </ul>			
		f village "developme	nt" and "governance"		<ul> <li>Anticipating changes within government</li> <li>Continuous learning for continuous improvement</li> </ul>		
Custainability	roadman <sup>(i</sup> ma	dol <sup>2</sup> t while p		32	<u> († 1965) († 1967) († 1967) († 1967) († 1967)</u> 1967 - National Maria, filozof († 1967)		
Sustainability been made, pla			rogress has	32	Many good resources are available here:		
	anning from tl	he outset is c	rogress has	32	Many good resources are available here: Psflibrary.org		
been made, pla	Integration into re     Coordination of view	he outset is of egular planning arious investments betency and status eration standards	rogress has critical Why bother to sustain this? A time-tested platform to deliver a range of services	32	Psflibrary.org (website of PNPM Support Facility)		
been made, pla	Integration into re     Coordination of vi     Facilitators' comp     Technical, remune     Professional certi	he outset is of egular planning arious investments betency and status eration standards ification, training ement, inter-village	rogress has critical Why bother to sustain this? A time-tested platform to deliver	32	Psflibrary.org (website of PNPM Support Facility) Tnp2k.go.id (website of the National Team for Accelerating Poverty		
been made, pla Integration into system Sustainable facilitation Strengthening	Integration into re     Coordination of vi     Facilitators' comp     Technical, remune     Professional certi     Financial manage	he outset is of egular planning arious investments betency and status eration standards ffication, training ement, inter-village acity support nsibility	rogress has critical Why bother to sustain this? A time-tested platform to deliver a range of services to village communities, through financial	32	Psflibrary.org (website of PNPM Support Facility) Tnp2k.go.id		



# **Enhancing Community Resource Mapping through a Participatory Geographic Information System** (12 slides)

Pamela Christine L. Clavio-Galenzoga. Geographic Information System Specialist, Monitoring & Evaluation Unit, KC-NCDDP, Department of Social Welfare and Development, Government of the Philippines.

Note: The presentation is based on Jose Edgardo Aban (January 2016), *Philippines: Enhancing Community Resource Mapping Through GIS (Cofinanced by the Republic of Korea e-Asia and Knowledge Partnership Fund)*, Technical Assistance Consultant's Report (Project Number: 47156), Asian Development Bank and the Department of Social Welfare and Development, Government of the Philippines.



# 5 METHODOLOGY 1) Literature Review of GIS, PGIS and ICT 2) Focused Group Discussions (FGD) and Key Informant Interviews (KII) 3) Processing of FGD Results 4) Protocol Development

#### MAJOR FINDINGS Area Coordinating Team (ACT) Provincial LGU Aunicipal LGU Local Schools Regional Program Village LGU Internet Components Program Shops Manageme nt Office Managem nt Office HARDWARE SOFTWARE $\bigcirc$ INFORMATION HUMAN RESOURCE SKILLS/TRAININGS $\bigcirc$ CONNECTIVITY With existence With existence, but with limitations None

## WHAT IS PGIS IN NCDD PROGRAM?

- 1) Enhancement to traditional resource mapping activity
- 2) Capacity development for Program staff, government staff and community volunteers
- 3) Gradual build-up of local spatial information
- 4) Local knowledge-informed GIS

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## FINDINGS, ISSUES AND LESSONS

- 1. Slow procurement process of materials
- 2. High cost of tarpaulin printing

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- 3. Villages without clear satellite images
- 4. Boundary disputes at municipal, village and sitio levels

### FINDINGS, ISSUES AND LESSONS

5. Full integration of map outputs in other activities6. Capacity of the Program to use the maps as platforms to manage household level data7. Involvement and capacity building for all Program staff

### Strengthening Community Disaster Resilience through CDD Approaches (8 slides)

Arghya Sinha Roy. Disaster Risk Management Specialist, Climate Change and Disaster Risk Management Division, Asian Development Bank.





# **Field Visit**

CDD Impacts in Promoting Social Equity in Development Planning and Project Management: Aborlan CDD Experience with KALAHI-CIDSS

Community-Driven Development in MiMaRoPa through the DSWD's KC-NCDDP

Briefing Materials: Field Visit to Municipality of Aborlan, Palawan, Philippines

11-12 January 2016

Visual Recording of Focus Group Discussions with Community Volunteers, Civil Society Organizations, and Village Officials

PHOTOS COURTESY OF ASIAN DEVELOPMENT BANK



# **CDD Impacts in Promoting Social Equity in Development Planning and Project Management: Aborlan CDD Experience with KALAHI-CIDSS** (36 slides)

Anna Lee Mole. Planning Officer II/Acting Municipal Planning and Development Coordinator, Municipality of Aborlan, Palawan, Philippines.





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# ABORLAN'S VISION AND MISSION

A premier eco-cultural tourist destination in Palawan, economically vibrant agricultural trading center and productive agro-industrial municipality governed by competent, dynamic, and committed public servants, supported by adequate and reliable infrastructure facilities, with empowered, secured and healthy society sustainably managing the environment. MISSION

To create a positive environment for sustainable growth through collective, transparent and proactive approach for a better quality of life of ABORLANONS.

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## MUNICIPAL DEVELOPMENT STATUS

State of Local Governance State of Socio-Economic Development State of People Empowerment

#### 11 STATE OF LOCAL GOVERNANCE 12 FINANCIAL PERFORMANCE REPORT 2009 TO 2013 MUNICIPAL INCOME (in Peso) 125,300,981 119,807,002 140,000,000.00 PhP111.21 Million 96,847,749 103,130,751 110,979,061 120.000.000.00 on average 100,000,000.00 80,000,000.00 60.000.000.00 Aborlan's municipal income is increasing 40,000,000.00 for the past 5 years. The average LGU 20,000,000.00 income is PhP111.21 million per year. 2009 2010 2011 2012 2013

Source: BLGF Fiscal Data, 2009-2013









Grant Received from DSWD/KC MCC

MCC 1<sup>st</sup> Cycle = 8,226,633.92 2<sup>nd</sup> Cycle = 8,546,945.65 3<sup>rd</sup> Cycle = 8,349,182.61 Bonus Cycle=8,500,000.00 Total = 33,622,762.18

Local Counterpart for 3 cycles (Aborlan MLGU) 1<sup>st</sup> Cycle = 2,198,000.00 2<sup>nd</sup> Cycle = 2,198,000.00 3<sup>rd</sup> Cycle = 2,198,000.00 Bonus Cycle=2,198,000.00 Total = 8,792,000.00



KALAHI	-CIDSS Sub-projects					
Cycle	Barangay	SP Title				
	Apurawan	Day Care Center				
	Culandanum	Day Care Center				
	Арос-Арос	Day Care Center				
	Barake	Day Care Center				
	Iraan	Barangay Health Station				
3	Isaub	ı unit Solar Dryer with Warehouse				
	Mabini	Day Care Center				
	Jose Rizal	Barangay Health Station				
	Magsaysay	Day Care Center				
	Tagpait	Barangay Health Station				
	Tigman	Day Care Center				

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# Total No. of Projects: 22

Status: ALL PHYSICALLY COMPLETED AND TURNED-OVER TO BLGU AND 0&M GROUP EXCEPT FOR THE ON-GOING BONUS CYCLE PROJECTS (190 UNITS SOLAR STREET LIGHTS)

Total Project Cost: Php 44,800,585.68





Good Practice in Aborlan that res	sulted to better
implementation of the project	

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- ✓ All out support by the Local government Unit
- Motivated and dedicated Staff from the ACT and MCT
- ✓ Hiring of Municipal Coordinating Team as LGU counterpart to Area Coordinating Team
- Regular feed back mechanism to the operation in the Area (updates and reports)
- Presence of LGU officials in all community activities
- 36 Good Practice in Aborlan that resulted to better implementation of the project...
  - Coordination with the Local Chief Executive to all important functions of KALAHI-CIDSS to address issues that needs immediate actions...
  - And most important things is.... avoid POLITICAL related interest over KALAHI-CIDSS activities and processes because it might contribute to backlogs and delays of project implementation...

### Community-Driven Development in MiMaRoPa through the DSWD's KC-NCDDP (38 slides)

Floreceli Gunio. Assistant Regional Director and KC-NCDDP Regional Program Manager, Department of Social Welfare and Development Field Office IV-MiMaRoPa, Government of the Philippines.





### KC1 in MiMaRoPa **R**roads 猾 14 17roads rainings Impv't 2bridges 12 Day care center ---3 small 3 footpath eawall irrigation 41 school building KC1 in MiMaRoPa

7

8













# Success Stories of Poverty Alleviation

 The Access Roads in Lubang, Occidental Mindoro that helped the residents transport their products faster and easier.



# Success Stories of Poverty Alleviation





equipment and the birthing facilities have been a big help on emergency cases in Torrijos





- 22 Success Stories in Gender and Development
  - Every sitio has been given basic clinic equipment in Torrijos.

# Success Stories in LGU engagement to KC NCDDP

 The Mayor of Looc Romblon works hands-on in participation and mobilization of the communities in her municipality.



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# Success Stories in LGU engagement to KC NCDDP

 The LGU of Sablayan, Occ. Mindoro is gradually adopting the CDD in their governance strategy through the principle of peoples Participation, Transparency, Accountability and Sustainability (PTAS).

# 25 Best Practices of KC NCDDP MiMaRoPa

 Regular conduct of Municipal and Barangay Fiduciary Workshops are adopted in the Municipality of Lubang, Occidental Mindoro through issuance of Sangguniang Bayan Resolution.

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## **Best Practices**

- PTAS principle of Sablayan, Occ. Mindoro which is derived from the CDD process
- Gender Sensitive Projects of LGUs (particularly in Calatrava and Looc in Romblon)
- Gender balanced employment in construction works/ non-traditional skills for women

## **Best Practices**

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- Appointment of IP Mandatory representative for better coordination with the IP groups in the area.
- Bayanihan

# Challenges

 GIDA areas such as Cagayancillo (difficulty in procurement activities) and Linapacan (difficulty in mobilizing people for Barangay Assemblies).





# **Facilitating Factors and Hindrances**

 Community Organizing and Community Driven Development skills enhancement and staff development trainings to sharpen the skills of the Area Coordinating Teams (ACTs).

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# **Convergence Successes**

 On internal convergence- Municipal Action Team (MAT) coordinated planning and collaboration in the conduct of municipal activities, sharing of tasks in mobilizing the community to DSWD's program and activities.

#### 33

## **Convergence Successes**

 External Convergence: Extension of financial, material, logistical and technical support of Local Government Units, Civil Society Organizations and National Government Agencies. Through this, the community people were able to access certain projects from partner agencies through the facilitation of DSWD.

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## **Convergence Successes**

- Provision of trainings and seminars
  - DOST have provided trainings on operation of materials recovery facility in Marinduque for the operation and maintenance of the SP;
  - DOH provided the Basic life support training and medical equipment manipulation;
  - Non-traditional skills for women (welding, masonry, and plumbing) by TESDA

# **Convergence Successes**

- DILG helps in the mobilization of the communities through the MLGOO;
- NCIP helps in the mobilization of IP communities and facilitation of requirements for LARR documents, ESC and ESMP, FPIC and certification of pre-condition.
- NCIP has also helped the DSWD in crafting the ADSDPP ancestral domain

# NEXT STEPS

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# Organizational Development and

- Management (ODM) trainings for the community volunteers;
- Registration and Accreditation of all formed organizations from Operations and Maintenance (O&M) Groups to any government accreditation bodies;
- Timely and regular conduct of Sustainability and Evaluation Test (SET) tool;

# NEXT STEPS

Thank you!

- Continuous Monitoring and Evaluation of both the physical implementation and operation/fund utilization of completed SPs with thorough accounting of women and IP participation and sex segregated data;
- Continuous implementation of Municipal Talakayan;
- Ensure quality assurance during SPI

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## Briefing Materials: Field Visit to Municipality of Aborlan, Palawan, Philippines



"KALAHI-CIDSS in MiMaRoPa Region" prepared by the Regional Program Management Office









Barangay	Nome	Cycle	Physical Status	KC Amouil	LCC Amount	Total	No of HR	Date Started	Completion date
RAAN	Improvement of Rolongay Road	W.C.	Completed	PERMIT	Pho-650 152,17	Php 1.619,000,00	449	06/27/2013	01/31/2014
APOC-APOC	Construction of Health Staffon	- E	Completed	.Ptp 730,0x0 <sup>87</sup>	PT-0 130,939,13	Phip Sea DOCKD	271	05/28/2013	06/30/201
ISAUB	Construction of Health Station	E.	Completed	Php 734,49,45	Php (01.59435	Php 866,000.00	478	05/17/2013	01/30/201-
SAN JUAN	Contraction of Health Blatton	E.	Completed	PTD 649,71522	Phia 127.27438	11tp 777,080:00	Z11	05/17/2013	02/28/201
APO-	Continuation of Hearth Station	1	Completed	Pro steast	Pip 139.139.13	Ptup #24,000.00	197	94/08/2013	02/28/201
GOGOGNAN	Construction of Cable Hanging Bridge	11	Completed	Http: 4.475,602 <sup>00</sup>	PA5 \$36400.00	3%p 5.370,000.00	135	08/03/2014	01/30/201
MAGBABADIL	3 Units Solar Driek with waterbasia	2	Completed	mp 3001,50(78	Phg 48506522	Prep 3.530,000.90	296	06/02/2014	01/30/201
SAGPANGAN	Improvement of 3.343 km Form to market back	2	Completed	Http:5.515,010.07	P1cc 3.764.989.13	Prip 7.780.000.00	360	09/09/2014	06/30/201
RAMON	Construction of Day Care Denter	-2	Completed	PT-0-580,295-55	Fig: 107.764.35	Php 801,000.50	634	11/10/2014	04/30/201
OSE RIZAL	Construction of Barangay Health Station	30	Completed	1 np 747,686.96	Pap 127/3/0.04	1750 (175,000,00	346	11/03/2014	07/30/201
APORAWAN	Continuation of Day Clare Contre	3	Completed	Php 785,94783	Php 124,052,17	Page 910,000.00	817	11/04/2014	05/30/201
BARAKE	Construction of Day Care Center	3.	Completed	Php 890,295 55	Ptip/ 109;704.35	Phys 800,000,00	199	11/03/2014	06/30/201
MABINI	Construction of Day Care Center	3	Deteloping	Pho #40.29; 45	Phys. 109:264.365	Presidence	219	11/03/2014	05/30/201
CULANCIANUM	Construction of Day Carle Center	3	Completed	Pho 205,947 83	Prep 124,012 17	Php #10,000.00	357	11/04/2014	04/30/201
TIGMAN	Construction of Day Care Center	э	Completed	Pho 666,811-39	Pp 113.182.61	Phip 500,090,00	299	11/10/2014	05/30/201
ISAUS	Construction of 1 Unit Scient Dryer with Storage Warehouse	3	Completed	Php 1.077,53C,43	1940 (V2,465) ±7	Php 1,270(500,05	419	10/26/2014	05/30/201
APOC APOC	Construction of Day Cale Center	з	Completed	Php 290.295.65	Ptp 109,764.35	Ptp-805.000.00	270	11/24/2014	07/30/201
IRAAN	Construction of meath Station	3	Completed	Ptp /5428.00	Php 120,791.30	Php 075 330.00	415	11/24/2014	08/15/201
TAGPAIT	Construction of Borangay Health Station	3	Completed	Php 249 800 87	PHD (28.139)13	Prio 1075,000.00	244	10/29/2014	08/15/201
MABINI (Lead Bray.)	Instaligation of 199 Units Solar Ported Street Lights	Additione	Organg produmment produmment	Php-8.580,000,00	Php 1.273.000-30	Php/8.275.000	7,732	8	13



#### LIST OF KALAHI CIDSS SUB-PROJECTS IN THE MUNICIPALITY OF ABORLAN



#### *Program of Activities prepared by Aborlan Municipal Local Government Unit*



# Visual Recording of Focus Group Discussions with Community Volunteers, Civil Society Organizations, and Village Officials







