



## Regional: Improving Safeguard Policy Applications in South Asia Developing Member Countries

Project Name	Improving Safeguard Policy Applications in South Asia Developing Member Countries																
Project Number	46282-001																
Country	Regional																
Project Status	Active																
Project Type / Modality of Assistance	Technical Assistance																
Source of Funding / Amount	<table> <tr> <td colspan="2"><b>TA 8569-REG: Improving Safeguard Policy Applications in South Asia Developing Member Countries</b></td></tr> <tr> <td>Technical Assistance Special Fund</td><td>US\$ 1.50 million</td></tr> <tr> <td colspan="2"><b>TA 8569-REG: Improving Safeguard Policy Applications in South Asia Developing Member Countries (Supplementary)</b></td></tr> <tr> <td>Technical Assistance Special Fund</td><td>US\$ 600,000.00</td></tr> <tr> <td colspan="2"><b>TA 8569-REG: Improving Safeguard Policy Applications in South Asia Developing Member Countries (Supplementary)</b></td></tr> <tr> <td>Technical Assistance Special Fund</td><td>US\$ 1.50 million</td></tr> <tr> <td colspan="2"><b>TA: Improving Safeguard Policy Applications in South Asia Developing Member Countries (Supplementary)</b></td></tr> <tr> <td>Technical Assistance Special Fund</td><td>US\$ 1.50 million</td></tr> </table>	<b>TA 8569-REG: Improving Safeguard Policy Applications in South Asia Developing Member Countries</b>		Technical Assistance Special Fund	US\$ 1.50 million	<b>TA 8569-REG: Improving Safeguard Policy Applications in South Asia Developing Member Countries (Supplementary)</b>		Technical Assistance Special Fund	US\$ 600,000.00	<b>TA 8569-REG: Improving Safeguard Policy Applications in South Asia Developing Member Countries (Supplementary)</b>		Technical Assistance Special Fund	US\$ 1.50 million	<b>TA: Improving Safeguard Policy Applications in South Asia Developing Member Countries (Supplementary)</b>		Technical Assistance Special Fund	US\$ 1.50 million
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Strategic Agendas	Environmentally sustainable growth Inclusive economic growth																
Drivers of Change	Governance and capacity development																
Sector / Subsector	<b>Energy</b> - Electricity transmission and distribution - Large hydropower generation <b>Transport</b> - Road transport (non-urban) - Urban roads and traffic management <b>Water and other urban infrastructure and services</b> - Urban sanitation - Urban sewerage - Urban solid waste management - Urban water supply																
Gender Equity and Mainstreaming	No gender elements																
Description	<p>Development results and effectiveness are quite often interrupted or delayed due to unsatisfactory or flawed implementation of safeguard mitigation measures. Often time implementation results are intertwined with planning and design of safeguard measures. There have been concerns over the quality of safeguard planning documents and ADB staff skills in managing implementation issues. The need to understand these implementation issues have been prioritized by SARD and therefore SARD is currently supporting a S-CDTA to assess the status of institutional capacity for implementation of environmental and social safeguards in ADB-funded infrastructure projects in India, Nepal, and Bhutan. The S-CDTA has identified a set of issues that are interrelated between environmental and social safeguard planning and implementation phases and has recommended an action plan which highlights on various level of capacity development needs in each of the 3 selected DMCs and SARD. The aim of the proposed R-CDTA is to deliver on this action plan and extend similar investigation on current status and safeguard capacity development for rest of the SARD DMCs.</p> <p>The regional aspect of the proposed R-CDTA will allow to avail the opportunities for South-South co-operation and sharing of best practices across SARD DMCs. It is in line with the ADB Safeguard Policy Statement 2009 that emphasizes the need for effective implementation of the safeguard measures together with the borrower. The R-CDTA also reflects the strategy encouraged by the Regional Cooperation Strategy for South Asia 2011-2015, where it supports (i) project implementation, and (ii) capacity development initiatives. As a first time for SARD, the S-CDTA has engaged SARD's clients in a dialogue on rationalizing safeguards implementation practices and the R-CDTA will deliver the improvement.</p>																

Project Rationale and Linkage to Country/Regional Strategy	The TA will have significant improvement in safeguard implementation practices in ADB funded projects in SARD DMCs. Performance indicator will be (i) by 2020: Audits of project environmental management plans and social safeguard plans undertaken by external monitors show improvement from 2013 level; and (ii) reduced safeguard complaints filed against ADB funded projects from 2017 onwards.
Impact	Better safeguard implementation practices in ADB-funded projects in DMCs in South Asia

## Project Outcome

Description of Outcome	Stronger capacity of both ADB and key government agencies to systematically design, implement, and monitor environment and social safeguard aspects of ADB projects
Progress Toward Outcome	In process

### Implementation Progress

Description of Project Outputs	<ol style="list-style-type: none"> <li>1. Safeguard implementation manuals, including guidelines, procedures, and training materials developed</li> <li>2. Training programs implemented</li> <li>3. Web-based automated safeguard system for tracking safeguard performance developed</li> <li>4. Social and environmental safeguards assessments enhanced</li> </ol>
Status of Implementation Progress (Outputs, Activities, and Issues)	<p>Output 1: Recruited two international consultants, namely Environmental Management Specialist and Social Safeguard Specialist. The engagement of the Social Safeguard Specialist has commenced beginning 27 March 2014. The Environmental Management Specialist's contract has commenced on 16 April 2014.</p> <p>Environment and social safeguards training materials drafted.</p> <p>Output 2: Training workshops have been conducted for EAs and IAs as follows:</p> <ul style="list-style-type: none"> <li>(a) Environment and social safeguards training workshops have been conducted in India;</li> <li>(b) Training on handling environmental contracts conducted in Sri Lanka and Nepal;</li> <li>(c) Training on grievance redress mechanism and problem solving conducted in Nepal.</li> </ul> <p>The TA supported training for 3 POWERGRID safeguards staff on Resettlement and Livelihood Restoration in Manila, Philippines.</p> <p>TA Activation workshops have been conducted in Bhutan and Nepal in November and December 2016, respectively.</p> <p>A 5-day Safeguards Training-of-trainers was conducted on 30 January - 3 February 2017 in Raipur, India.</p> <p>Output 3: Recruited Information Technology Consultant on 01 September 2014. An international Safeguards Database Management Specialist was recruited on 20 April 2016. Pilot-testing of the Safeguards Tracking System was initiated in the last quarter of 2016 and is currently ongoing. After further refinements, the system is expected to be launched in April 2017.</p> <p>Output 4: Recruited ten international consultants and sixteen national consultants to perform social and environmental assessment work. One of the ten international consultants worked on the country safeguards system assessment for a project in India.</p> <p>Further consultant recruitment ongoing.</p>

Geographical Location

## Summary of Environmental and Social Aspects

Environmental Aspects

Involuntary Resettlement

Indigenous Peoples

### Stakeholder Communication, Participation, and Consultation

During Project Design	The assigned peer reviewers were consulted during the interdepartmental circulation of the draft concept paper.
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During Project Implementation	
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## Business Opportunities

Consulting Services	On an intermittent basis, ADB will engage individual consultants in accordance with the Guidelines on the Use of Consultants (2013, as amended from time to time). Two international consultants namely, an environmental management specialist and a social safeguard specialist, will be engaged for a total of 31 person-months. Their main tasks will be to enhance overall quality of environmental and social safeguard design and planning, implementation, monitoring and reporting on ADB projects in Bangladesh, Bhutan, India and Nepal by preparing the necessary manuals and training materials. One international consultant, namely a safeguards specialist, will be engaged for a total of 88 days to work on the SARD safeguards tracking system with the national information technology consultant. ADB will engage 20 national consultants for a total of 56 person-months. Disbursements under the R-CDTA will be made in accordance with ADB's Technical Assistance Disbursement Handbook (January 2010, as amended from time to time). No activity will be financed in any DMC until ADB receives a letter of no objection in respect of such activity from the government of such DMC.
Procurement	The participating governments will provide support in the form of data and other information, counterpart staff, office accommodations and facilities, and transportation to site visits for training purposes.

## Responsible Staff

Responsible ADB Officer	Barba, Ricardo Carlos
Responsible ADB Department	South Asia Department
Responsible ADB Division	Office of the Director General, SARD
Executing Agencies	<i>Asian Development Bank 6 ADB Avenue, Mandaluyong City 1550, Philippines</i>

## Timetable

Concept Clearance	20 Sep 2013
Fact Finding	-
MRM	-
Approval	13 Dec 2013
Last Review Mission	-
Last PDS Update	30 Mar 2017

## TA 8569-REG

Milestones					
Approval	Signing Date	Effectivity Date	Closing		
			Original	Revised	Actual
13 Dec 2013	-	13 Dec 2013	28 Feb 2017	31 Aug 2018	-

Financing Plan/TA Utilization							Cumulative Disbursements	
ADB	Cofinancing	Counterpart				Total	Date	Amount
		Gov	Beneficiaries	Project Sponsor	Others			
3,600,000.00	0.00	0.00	0.00	0.00	0.00	3,600,000.00	13 Dec 2013	1,317,876.14

Project Page	<a href="https://www.adb.org/projects/46282-001/main">https://www.adb.org/projects/46282-001/main</a>
Request for Information	<a href="http://www.adb.org/forms/request-information-form?subject=46282-001">http://www.adb.org/forms/request-information-form?subject=46282-001</a>
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