

## INITIAL POVERTY AND SOCIAL ANALYSIS

Country:  Project Title:   
 Lending/Financing Modality:  Department/Division:

### I. POVERTY ISSUES

#### A. Links to the National Poverty Reduction Strategy and Country Partnership Strategy

The Country Partnership Strategy (2005-2010) was aligned with the Uzbekistan Welfare Improvement Strategy (WIS), which was based on the country poverty assessment carried out in 2007. The Project is included in the strategy which focuses on enhancing energy security through energy efficiency and reduction of transmission losses. The project is one of the priority development program covered in the Country Operations Business Plan 2011-2013 and is expected to improve household living standards and access to stable electricity in the Karakalpakstan and Khorezm Regions.

#### B. Targeting Classification

General Intervention  Individual or Household (TI-H)  Geographic (TI-G)  Non-Income MDGs (TI-M1, M2, etc.)

The proposed infrastructure contributes to improvements in energy efficiency and reliable power supply, benefiting all including the poor but without specific poverty targets.

#### C. Poverty Analysis

1. If the project is classified as TI-H, or if it is policy-based, what type of poverty impact analysis is needed?  
Not applicable.
2. What resources are allocated to the PPTA/due diligence?  
The PPTA will have Social Development Expert consultants, both international and national. A rapid Poverty and Social Analysis will be prepared assessing project benefits for the general population as well as for the communities in the project area. CWRD's Social Development Specialist is a team member and will work closely with the consultants.
3. If GI, is there any opportunity for pro-poor design (e.g., social inclusion subcomponents, cross subsidy, pro-poor governance, and pro-poor growth)?  
Opportunity for pro-poor design will be identified upon the completion of PPTA activities especially after completing the Poverty and Social Analysis for the project areas.

### II. SOCIAL DEVELOPMENT ISSUES

#### A. Initial Social Analysis

Based on existing information:

1. Who are the potential primary beneficiaries of the project? How do the poor and the socially excluded benefit from the project?  
The potential primary beneficiaries of the project would be the general population in urban and rural areas and industries from improved power supply reliability through the national grid, especially in the Karakalpakstan and Khorezm regions. In addition, more reliable power supply is expected to benefit firms and industries, which will provide increased economic and job opportunities for households, including the poor and socially excluded. The PPTA will also try to identify entry points to develop a social development component for the communities in the project area to increase their benefits from the projects as well as to attract and retain skilled workers to the very isolated project location.
2. What are the potential needs of beneficiaries in relation to the proposed project?  
Affordable and reliable power supply to the households and the industrial sector.
3. What are the potential constraints in accessing the proposed benefits and services, and how will the project address them?  
No potential constraints have been identified. The issue will be explored further during the PPTA activities.

#### B. Consultation and Participation

1. Indicate the potential initial stakeholders.  
General households, communities in the project area, business community, community-based organization, local governments, social institutions such as schools and hospitals.
2. What type of consultation and participation (C&P) is required during the PPTA or project processing (e.g., workshops, community mobilization, involvement of nongovernment organizations and community-based organizations, etc.)?  
Stakeholders' consultations through community meetings, discussions and interviews will be conducted to share information about the proposed project and to get views from stakeholders on their expectations.

3. What level of participation is envisaged for project design?

Information sharing     Consultation     Collaborative decision making     Empowerment

4. Will a C&P plan be prepared during the project design for project implementation?  Yes     No    Please explain.

### C. Gender and Development

### Proposed Gender Mainstreaming Category: Category IV

1. What are the key gender issues in the sector/subsector that are likely to be relevant to this project/program?

This project will not directly address energy supply at the household level. Thus, direct gender impact and alleviation of gender inequities such as multiple burden, subordination, stereotyping, economic marginalization and cultural constraints will not be systematically addressed. However, the PPTA will conduct a rapid gender analysis and will assess opportunities to design project interventions, which will promote women employment and career development in the energy sector. The PPTA will also identify entry points to improve women's access to social services in the project area.

2. Does the proposed project/program have the potential to promote gender equality and/or women's empowerment by improving women's access to and use of opportunities, services, resources, assets, and participation in decision making?

Yes     No

The project has only indirect gender benefits with no specific gender design due to its project nature.

3. Could the proposed project have an adverse impact on women and/or girls or to widen gender inequality?

Yes     No    Please explain

### III. SOCIAL SAFEGUARD ISSUES AND OTHER SOCIAL RISKS

Issue	Nature of Social Issue	Significant/Limited/ No Impact/Not Known	Plan or Other Action Required
<b>Involuntary Resettlement</b>	No land acquisition and resettlement impacts in the project are expected.	No Impact	<input checked="" type="checkbox"/> None
<b>Indigenous Peoples</b>	No adverse impact is expected. No people defined by ADB SPS 2009 as IP are present in project areas.	No Impact	<input checked="" type="checkbox"/> None
<b>Labor</b> <input checked="" type="checkbox"/> Employment Opportunities <input type="checkbox"/> Labor Retrenchment <input checked="" type="checkbox"/> Core Labor Standards	Employment opportunity is expected during construction. Gender-inclusive core labor standards to promote female employment opportunities with non-discrimination, equal pay for work of equal value.	Limited	<input checked="" type="checkbox"/> Other Action
<b>Affordability</b>	The project improves energy efficiency, and reliability of energy supply. The project does not address issues of affordability.	Limited	<input checked="" type="checkbox"/> No Action
<b>Other Risks and/or Vulnerabilities</b> <input checked="" type="checkbox"/> HIV/AIDS <input type="checkbox"/> Human Trafficking <input type="checkbox"/> Others (conflict, political instability, etc.), please specify	The construction site will have an influx of workers, which could increase the risk of HIV/AIDS. An awareness campaign on preventing HIV/AIDS will be conducted for people living in project areas and construction worker.	Limited	<input type="checkbox"/> Plan <input checked="" type="checkbox"/> Other Action <input type="checkbox"/> No Action <input type="checkbox"/> Uncertain

### IV. PPTA/DUE DILIGENCE RESOURCE REQUIREMENT

1. Does the TOR for the PPTA (or other due diligence) include poverty, social and gender analysis and the relevant specialist/s?  
 Yes     No

2. Are resources (consultants, survey budget, and workshop) allocated for conducting poverty, social and/or gender analysis, and C&P during the PPTA/due diligence?  Yes     No