

Ukraine/ Ministry for Communities, Territories and Infrastructure Development of Ukraine

UKRAINE HOUSING REPAIR FOR PEOPLE'S EMPOWERMENT PROJECT (HOPE) (P181200)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

May X, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Ukraine (the Borrower) will implement the Ukraine Housing Repair for People's Empowerment Project (HOPE) (P181200) (the Project), through the Ministry for Communities, Territories and Infrastructure Development of Ukraine (MoCTID), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the MoCTID. The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	REGULAR REPORTING Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).	Submit quarterly reports to the Bank throughout Project implementation, commencing after the Effective Date of the Loan Agreement. Submit each report to the Bank not later than 15 days after the end of each reporting period.	MoCTID
B	INCIDENTS AND ACCIDENTS Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate. Subsequently, at the Bank's request, prepare a report including a root cause analysis on the incident or accident and propose any measures to address it and prevent its recurrence.	Notify the World Bank not later than 48 hours after learning of the incident or accident. Provide a subsequent report to the Bank within a timeframe acceptable to the Bank throughout Project implementation.	MoCTID
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE a. MoCTID shall establish up and maintain throughout the project implementation the Project Implementation Unit (PIU), including one environmental and one social specialist who shall be responsible for the day-to-day implementation and support the management of E&S risks of project activities. Additional ES consultants may be hired as needed. b. MoCTID shall engage a third-party monitoring (TPM) with ToR acceptable to the Bank for undertaking monitoring, verification, and reporting on the progress as well as completion of activities.	a. Establish and maintain the PIU (including one environmental and one social specialist) not later than thirty (30) days after the Effective Date of the Loan Agreement and thereafter maintain it throughout Project implementation. b. Engage TPM not later than thirty (30) days after the Effective Date of the Loan Agreement and thereafter maintain it throughout Project implementation.	MoCTID

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>a. MoCTID shall prepare, disclose, consult upon, adopt and implement an Environmental and Social Management Framework (ESMF) for the Project consistent with ESSs. The ESMF shall describe potential E&S impacts and mitigation measures for common groups of activities, including preparation of additional site-specific E&S plans (an Environmental and Social Management Plan (ESMP), and/or a simplified ESMP Checklist, a Waste Management Plan, a Cultural Heritage Management Plan etc.), as relevant.</p> <p>The ESMF shall provide a monitoring plan format that includes monitoring indicators, timing, methods, and institutional responsibilities.</p> <p>The ESMF shall include labor management procedures addressing risk of SEA/SH incidents and a grievance process for workers with contact details for service providers. These measures shall also be further included in the Project Operations Manual (POM).</p> <p>The ESMF shall provide guidance on the preparation of Emergency Preparedness and Response Plans which shall cover measures to protect the safety and security of project personnel and nearby communities from war-related hazards and other relevant emergencies, including Explosive Remnants of War (ERW) management procedures, consistent with ESSs.</p>	<p>Prepare, disclose, consult upon and adopt the ESMF not later than ninety (90) days after the Effective Date of the Loan Agreement and thereafter implement it throughout Project implementation.</p>	<p>MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly</p>
<p>b. MoCTID shall prepare, disclose, consult upon, adopt and implement Emergency Preparedness and Response Plans, consistent with ESSs and in a manner acceptable to the Bank.</p>	<p>Prepare, disclose, consult upon and adopt plans as soon as possible and before the commencement of project activities. Implement throughout Project implementation. Report to the Bank every ninety (90) days on the current status of the application of the Borrower's martial law and regulations restricting disclosure of certain information under the Project, starting from the Effective Date of the Loan Agreement, or upon the Bank's request.</p>	<p>MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>c. MoCTID shall prepare, disclose, consult upon, adopt, and implement relevant site-specific instruments as indicated by the Project ESMF and consistent with ESSs requirements, including ESMP/ESMP Checklist, as well as preparation and implementation of additional site-specific E&S management plans (such as Waste Management Plan, Cultural Heritage Management Plan etc.)</p>	Prepare, disclose, consult upon and adopt relevant instruments as soon as possible and before the commencement of relevant activities. Implement throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
1.3	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate relevant aspects of the ESCP, including, <i>inter alia</i>, the relevant E&S instruments, the Labor Management Procedures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	As part of the preparation of procurement documents of respective contracts. Supervise contractors throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
1.4	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that consultancies, studies (including feasibility studies), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with the terms of reference acceptable to the Bank that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
1.5	<p>ASSOCIATED FACILITIES</p> <p>Cause relevant entities performing the associated activities (i.e., activities required to implement and continue operation of Project-related goods/designs, including repair works, commissioning) to ensure that such activities implemented by respective entities are all carried out in accordance with the applicable requirements of this ESCP and the ESSs, and the applicable requirements of Project-specific ES instruments, <i>inter alia</i>, the ESMF, site-specific instruments referenced in Section 1.2, and LMP.</p>	Throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
ESS 2: LABOR AND WORKING CONDITIONS			

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>2.1</p> <p>LABOR MANAGEMENT PROCEDURES</p> <p>Adopt and implement the Labor Management Procedures (LMP) for the Project as part of the ESMF including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms, all in accordance with the applicable requirements of ESS2 and the ESSs. These measures will be further included in the Project Operations Manual (POM).</p>	<p>Same timeframe as under Section 1.2.a. above.</p>	<p>MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly</p>
<p>2.2</p> <p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p>Establish a grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation</p>	<p>MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly</p>

ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLANS Incorporate and implement waste management plans in the ESMPs/ESMP Checklists, and relevant management plans to be prepared under the ESMF to manage hazardous and non/hazardous wastes, consistent with ESS3.	Same timeframe as under Section 1.2 c. above.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate and implement resource efficiency and pollution prevention and management measures in the ESMPs/ESMP Checklists, and/or relevant management plans to be prepared under ESMF, including, <i>inter alia</i> , measures to address noise and dust emissions and the generation of construction wastes and wastewater, including e-waste, hazardous and non-hazardous waste and water pollution; energy efficient processes/products, all consistent with ESS3.	Same timeframe as under Section 1.2 c. above.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities, including possible security risks, and include mitigation measures in the relevant ESMPs/ESMP Checklists and/or relevant management plans to be prepared under the ESMF, in accordance with ESS4.	Same timeframe as under Section 1.2 above.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	Not currently relevant		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			

6.1	Each ES instrument will include provisions for screening for potential adverse impacts on biodiversity and those having such impacts on critical habitats or ecosystems will be excluded from consideration under this project	Throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	Not currently relevant		
ESS 8: CULTURAL HERITAGE			
8.1	Activities will be screened for potential impacts on known heritage sites and practices and those having impacts on cultural heritage will develop Cultural Heritage Management Plan specific to each site	Throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
8.2	Provisions for implementation of chance find procedures shall be included in generic/ site specific ESMPs and in all civil works contracts to be conducted in such a way as to avoid any potential adverse impacts on historical monuments and other tangible and intangible cultural heritage, all consistent with ESS8.	Same timeframe as under Section 1.2. c. above.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
ESS 9: FINANCIAL INTERMEDIARIES			
	Not relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Prepare, disclose, consult upon, adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	Adopt SEP no later than thirty (30) days after the Effective Date and thereafter implement the SEP throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centred manner.</p>	Establish the Grievance Mechanism not later than two weeks after the Effective Date of the Loan Agreement and thereafter operate and maintain it throughout Project implementation.	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
CAPACITY SUPPORT			
CS1	Provide training to Project Implementation Unit under the MoCTID and other relevant implementing support staff responsible for the Project on the Project's ESHS plans and instruments, fair, equitable and inclusive access and allocation of Project benefits and the roles and responsibilities of different key agencies in the ESSs implementation.	Not later than sixty (60) days after the Effective Date of the Loan Agreement and periodically with the addition of new Project team members join the Project throughout implementation	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly
CS2	<p>Develop a training plan for staff, with adequate budget, providers and timeframe. Training will be required for staff on:</p> <ul style="list-style-type: none"> • E&S/OHS risks and impacts • ESMF Implementation • LMP Implementation • SEP Implementation • World Bank ESSs/EHS Guidelines 	Not later than sixty (60) days after the Effective Date of the Loan Agreement and periodically with the addition of new Project team members join the Project throughout implementation. MoCTID shall deploy E&S specialists to develop and implement the training plan for staff prior to the commencement of project activities	MoCTID to be responsible and to cause other relevant entities to carry out their project-related activities accordingly

	<ul style="list-style-type: none">• Community health and safety• Emergency preparedness and response• Monitoring and reporting of E&S aspects of the Project• ESF in Procurement• Other issues, as required		
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