

## INTEGRATED SAFEGUARDS DATASHEET APPRAISAL STAGE

### I. Basic Information

Date prepared/updated: 04/29/2014

Report No.: AC7119

### 1. Basic Project Data

|  |   |
|--|---|
| Original Project ID: P087154   | Original Project Name: Water Sector Support Project               |
| Country: Tanzania  | Project ID: P146700   |
| Project Name: Tanzania Water Sector Support Project - Additional Financing   |   |
| Task Team Leader: Yitbarek Tessema   |   |
| Estimated Appraisal Date: April 16, 2014   | Estimated Board Date: June 5, 2014                                |
| Managing Unit: AFTU1   | Lending Instrument: Investment Project Financing                  |
| Sector: Water supply (70%);Sub-national government administration (11%);General water, sanitation and flood protection sector (7%);Sanitation (7%);Central government administration (5%)                      |   |
| Theme: Rural services and infrastructure (40%);Urban services and housing for the poor (25%);Water resource management (15%);Pollution management and environmental health (10%);Other human development (10%) |   |
| IBRD Amount (US\$m.): 0  |   |
| IDA Amount (US\$m.): 45  |   |
| GEF Amount (US\$m.): 0   |   |
| PCF Amount (US\$m.): 0   |   |
| Other financing amounts by source:   |   |
| <u>BORROWER/RECIPIENT</u>  | 15.00   |
|  | 15.00   |
| Environmental Category: A - Full Assessment  |   |
| Simplified Processing  | Simple <input type="checkbox"/> Repeater <input type="checkbox"/> |
| Is this project processed under OP 8.50 (Emergency Recovery) or OP 8.00 (Rapid Response to Crises and Emergencies)   |   |
| Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>  |   |

### 2. Project Objectives

The Development Objective of the parent WSSP and the additional financing is "to assist the recipient in a) improving integrated water resources management by strengthening water sector institutions; and b) expanding access to water supply and sanitation services.

### 3. Project Description

WSSP is part of a Sector Wide Approach Program (SWAp – the Water Sector Development Program (WSDP) - which incorporates all activities undertaken in the water sector in Tanzania. WSDP is funded by the Government of Tanzania (GoT), IDA, and other Development Partners (DPs): KfW, the Netherlands, AFD, AfDB and DFID. All DPs and Government agencies have signed an MOU that sets out common principles governing WSDP and establishes a common basket fund (for DPs) to finance a subset of WSDP activities. The common basket fund is administered in accordance with the WB

fiduciary and safeguards rules and is supervised by the WB in coordination with other DPs.

The WSSP is contributing to the first phase of the country's Water Sector Development Program (WSDP) which spans a 20 year period from 2006-2025, the first phase of the WSDP provides assistance to 132 Local Government Authorities (LGAs), 109 district small towns utilities, 7 national water supply projects, 19 regional urban water and sewerage authorities as well as Dar es Salaam Water and Sewerage Authority (DAWASA) to improve first phase of priority water supply and sanitation services. WSSP also assists in improving integrated water resources management through strengthening water sector institutions and supporting water resources management and development investments.

The project has four components;

**Component 1 (Sub-Program – A)- : Strengthening of Water Resources Management Institutional Framework:** This component is intended to strengthen 9 Basin Water offices (BWOs) and to prepare basin wide Integrated River and Lake Management and Development Plans (IRLMDP) and support priority water resources development schemes.

**Component 2 (Sub Program B): Scaling up of Rural Water Supply and Sanitation Services:** This component is intended to enhance the capacity of the Local Government Authorities (LGAs) and to rehabilitate / expand rural water supply schemes as well as provide assistance to Sanitation improvement .

**Component 3 (Sub Program C): Scaling up of Urban Water Supply and Sewerage Services:** This component is intended to enhance the capacity of 19 urban Water Supply and Sewerage Authorities (UWSAs), Dar es Salaam Water and Sewerage Authority (DAWASA), regional and district town utilities national multi village schemes, and gazetted small towns as well as providing household connections and kiosks.

**Component 4 (Sub Program D) Sector Institutional Strengthening and Capacity Building:** This component is intended to enhance the capacity of MoW with the provision of new offices, equipment, technical assistance, training, establishment of Management Information System (MIS), and support to sector coordination and capacity building.

The additional financing to the WSSP is aiming at financing activities started under the original project following the same safeguard arrangement designed for the original project.

Since the project was approved in February 2007, some 800 sub-projects have been screened for environmental and social risks and impacts. The vast majority of sub-projects have been Category C, with negligible impacts.

Project safeguards management has improved significantly over the recent period. After delayed implementation stemming from serious capacity constraints, the project's safeguards performance has gained momentum and substantially improved its performance following the midterm review and subsequent project restructuring. As a result of this improvement in safeguards, the project has been able to better identify and manage risks associated with the nationwide WSDP program.

The environmental categorization of subprojects proposed to be supported under the urban water supply component of the additional financing include: one Category "A", six category "B", and nine Category "C" subprojects.

a. Category A: The single Category A investment – development of the Kimbiji /Mpera well field – is being undertaken in a phased manner to ensure that the final well field development design reflects the results of the ongoing Comprehensive Groundwater Assessment (CGA) and the actual pump test from the first phase drilling. Two ESIsAs (one each for Kimbiji and Mpera) are being prepared. Once the CGA is completed in January 2015, a separate supplemental environmental management and monitoring plan will be prepared to outline the sequence and monitor of development of the well field. A RAP for both Kimbiji and Mpera was prepared, approved and cleared in November 2013.

b. Category B: Some of the Category "B" subprojects were not initially considered for WSDP financing, and as such, followed national environmental standards rather than rules set forth in the WSDP ESMF. These subprojects would now be included within WSDP, and as such, would be subject to environmental and social audits to identify any necessary measures to ensure the environmental and social management of the project is satisfactory.

#### **4. Project Location and salient physical characteristics relevant to the safeguard analysis**

The project will support government's national program which covers rural and urban beneficiaries in the country.

#### **5. Environmental and Social Safeguards Specialists**

Ms Helen Z. Shahriari (AFTCS)

Ms Ann Jeannette Glauber (AFTN3)

| <b>6. Safeguard Policies Triggered</b>                  | <b>Yes</b> | <b>No</b> |
|---|------------|-----------|
| <b>Environmental Assessment (OP/BP 4.01)</b>            | <b>X</b>   |           |
| <b>Natural Habitats (OP/BP 4.04)</b>                    |            | <b>X</b>  |
| <b>Forests (OP/BP 4.36)</b>                             |            | <b>X</b>  |
| <b>Pest Management (OP 4.09)</b>                        |            | <b>X</b>  |
| <b>Physical Cultural Resources (OP/BP 4.11)</b>         |            | <b>X</b>  |
| <b>Indigenous Peoples (OP/BP 4.10)</b>                  |            | <b>X</b>  |
| <b>Involuntary Resettlement (OP/BP 4.12)</b>            | <b>X</b>   |           |
| <b>Safety of Dams (OP/BP 4.37)</b>                      |            | <b>X</b>  |
| <b>Projects on International Waterways (OP/BP 7.50)</b> | <b>X</b>   |           |
| <b>Projects in Disputed Areas (OP/BP 7.60)</b>          |            | <b>X</b>  |

## **II. Key Safeguard Policy Issues and Their Management**

### **A. Summary of Key Safeguard Issues**

1. Describe any safeguard issues and impacts associated with the proposed project.  
 Identify and describe any potential large scale, significant and/or irreversible impacts:  
 The ongoing Water Sector Support Project (WSDP) is a Category A Project, for which an Environmental and Social Management Framework (ESMF) and a Resettlement Policy Framework (RPF) were developed and applied to subprojects as part of the approval process. There are no known safeguards compliance issues that are unresolved in the original project. Safe guard screenings are conducted for sub projects and depending on the recommended procedure ESIAs and RAPs are prepared and implemented.
2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:  
 There are no known potential indirect and/or long term impacts due to anticipated future activities in the project area.
3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.
4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.  
 The key stakeholders include: Ministry of Water,; Prime Minister's Office Regional and Local Government (PMO-RALG) , Dar es Salaam Water and Sewerage Authority (DAWASA); Local Governments (LGAs); relevant municipalities; and the affected communities and people. The ESMF and RPF provide the criteria for subproject screening and set out the mandatory safeguards management procedures to be followed throughout the project cycle in order to comply with national environmental laws and World Bank safeguard policies. Important progress has recently been made to improve the overall environmental and social management of the Project including hiring of an international safeguard advisor, and improving planning of required safeguard supervision especially for high-risk subprojects. Nonetheless, implementing agencies still lack sufficient capacity and resources to ensure effective safeguard management.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people. The project safeguard documents ESMF and RPF, require implementing agencies to undertake adequate level of consultation with beneficiary communities; in particular the rural water supply and sanitation component of the project follows a community based participatory planning, implementation and management of the water supply schemes provided under the project. Beneficiary community prioritizes their needs and makes informed decision on the technology they chose to construct. The RPF has laid down the participatory approach to planning and resolution of issues in a manner acceptable to stakeholders including the PAPs.

### ***B. Disclosure Requirements Date***

#### **Environmental Assessment/Audit/Management Plan/Other:**

|  |            |
|--|------------|
| Was the document disclosed <b>prior to appraisal?</b>  | N/A        |
| Date of receipt by the Bank  | 09/10/2006 |
| Date of "in-country" disclosure  | 09/15/2006 |
| Date of submission to InfoShop   | 04/28/2014 |
| For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors | 10/02/2006 |

#### **Resettlement Action Plan/Framework/Policy Process:**

|   |            |
|---|------------|
| Was the document disclosed <b>prior to appraisal?</b> | N/A        |
| Date of receipt by the Bank                           | 09/10/2006 |
| Date of "in-country" disclosure                       | 09/15/2006 |
| Date of submission to InfoShop                        | 04/28/2014 |

#### **Indigenous Peoples Plan/Planning Framework:**

|   |
|---|
| Was the document disclosed <b>prior to appraisal?</b> |
| Date of receipt by the Bank                           |
| Date of "in-country" disclosure                       |
| Date of submission to InfoShop                        |

#### **Pest Management Plan:**

|   |
|---|
| Was the document disclosed <b>prior to appraisal?</b> |
| Date of receipt by the Bank                           |
| Date of "in-country" disclosure                       |
| Date of submission to InfoShop                        |

**\* If the project triggers the Pest Management and/or Physical Cultural Resources, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.**

**If in-country disclosure of any of the above documents is not expected, please explain why:**

**C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting)**

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**OP/BP/GP 4.01 - Environment Assessment**

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|---|-----|
| Does the project require a stand-alone EA (including EMP) report?                                       | Yes |
| If yes, then did the Regional Environment Unit or Sector Manager (SM) review and approve the EA report? | Yes |
| Are the cost and the accountabilities for the EMP incorporated in the credit/loan?                      | Yes |

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**OP/BP 4.12 - Involuntary Resettlement**

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|---|-----|
| Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared? | Yes |
| If yes, then did the Regional unit responsible for safeguards or Sector Manager review the plan?            | Yes |

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**OP 7.50 - Projects on International Waterways**

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|---|-----|
| Have the other riparians been notified of the project?  | Yes |
| If the project falls under one of the exceptions to the notification requirement, has this been cleared with the Legal Department, and the memo to the RVP prepared and sent? | N/A |
| Has the RVP approved such an exception?   | N/A |

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**The World Bank Policy on Disclosure of Information**

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|--|----|
| Have relevant safeguard policies documents been sent to the World Bank's Infoshop?   | No |
| Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs? | No |

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**All Safeguard Policies**

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|---|-----|
| Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies? | Yes |
| Have costs related to safeguard policy measures been included in the project cost?  | Yes |
| Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?            | Yes |
| Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?          | Yes |

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#### *D. Approvals*

| <b>Signed and submitted by:</b>                                      | <b>Name</b>              | <b>Date</b> |
|--|--------------------------|-------------|
| Task Team Leader:  | Mr Yitbarek Tessema      | 04/22/2014  |
| Environmental Specialist:  | Ms Ann Jeannette Glauber | 04/22/2014  |
| Social Development Specialist  | Ms Helen Z. Shahriari    | 04/22/2014  |
| Additional Environmental and/or<br>Social Development Specialist(s): |                          |             |
| <b>Approved by:</b>  |                          |             |
| Regional Safeguards Coordinator:                                     | Ms Alexandra C. Bezeredi | 04/22/2014  |
| Comments:  |                          |             |
| Sector Manager:  | Mr Alexander E. Bakalian | 04/22/2014  |
| Comments:  |                          |             |